# Pwyllgor Archwilio a Sicrwydd Risg/ Audit and Risk Assurance Committee

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| **DYDDIAD Y CYFARFOD: DATE OF MEETING:** | **13 AUGUST 2026** |
| **TEITL YR ADRODDIAD: TITLE OF REPORT:** | Progress Update on Major Infrastructure Investment Programme (MIIP) Internal Audit |
| **CYFARWYDDWR ARWEINIOL: LEAD DIRECTOR:** | Lee Davies, Executive Director of Strategy and Planning |
| **SWYDDOG ADRODD: REPORTING OFFICER:** | Rob Elliott, Programme Director Major Infrastructure Projects |

| **Pwrpas yr Adroddiad** (dewiswch fel yn addas)<br>**Purpose of the Report** (select as appropriate) |
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| Er Sicrwydd/ For Assurance |

**ADRODDIAD SCAA**
**SBAR REPORT**

## Sefyllfa / Situation

The Major Infrastructure Investment Programme Internal Audit Report was presented to the Audit and Risk Assurance Committee (ARAC) meeting on 7 May 2026. An update report on the progress of actions from the Audit was requested to be presented to this ARAC meeting.

## Cefndir / Background

The Audit was rated at a Reasonable Assurance level and contained 10 recommendations, noting the status of each.

Following consideration of the Audit findings, the Major Capital Projects team has worked closely with the Hywel Dda University Health Board (HDdUHB) Assurance and Risk team and NHS Wales Shared Services Partnership (NWSSP) Internal Audit team. This has provided assurance by independent validation that actions taken by the Major Capital Projects team fully satisfy the requirements of each recommendation.

In addition, we have worked closely with the Assurance and Risk and Internal Audit teams to specify completion dates for recommendations listed for future actions. These dates will be recorded in the Audit Management and Tracking (AMaT) system, so that assurance can be given that they are completed against timelines now set.

## Asesiad / Assessment

At Appendix 1 to this report, a summary is attached from the AMaT system confirming the status of the 10 recommendations listed.

In summary, of the 10 recommendations:

- 6 have now been "*Fully Completed and Approved*"

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| • 4 are noted as *"In Progress"* as future actions, but now have specific dates set so that these recommendations can be tracked for Assurance. The dates now established have been agreed with the HDdUHB Assurance and Risk and NWSSP Internal Audit teams as appropriate to when these issues will need to be addressed in future stages of the Project. |
| **Argymhelliad / Recommendation**<br><br>The Audit and Risk Assurance Committee is asked to **TAKE ASSURANCE**:<br><br>• On the current status of completed recommendations<br>• That specific completion dates are now established for all future actions. |

| **Amcanion: (rhaid cwblhau)<br>Objectives: (must be completed)** | |
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| Cyfeirnod Cylch Gorchwyl y Pwyllgor:<br><br>Committee ToR Reference: | Not Applicable |
| Cyfeirnod Cofrestr Risg Datix, Teitl a Sgôr Risg Cyfredol:<br>Datix Risk Register Reference and Score: | Not Applicable |
| Parthau Ansawdd:<br>Domains of Quality<br>Quality and Engagement Act (sharepoint.com) | 2. Timely<br>3. Effective |
| Galluogwyr Ansawdd:<br>Enablers of Quality:<br>Quality and Engagement Act (sharepoint.com) | 4. Learning, improvement and research |
| Amcanion Strategol y BIP:<br>UHB Strategic Objectives: | Not Applicable |
| Nodau Cynllunio 2026/27<br>Planning Goals 2026/27 | 8. Fit for Purpose, Modern Facilities and Services |
| Hypergyswllt i Amcanion Llesiant HDdUHB 2026<br><br>Hyperlink to HDdUHB Well-being Objectives 2026 | 10. Not Applicable |

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| Model Cymdeithasol ar gyfer Iechyd a Llesiant: (wedi'i gwblhau ar gyfer newid strategol a/neu newidiadau sylweddol i wasanaethau)<br><br>A Social Model for Health and Wellbeing: (*complete for strategic change and/or significant service changes)* | Not Applicable |
| **Gwybodaeth Ychwanegol:<br>Further Information:** | |
| Ar sail tystiolaeth:<br>Evidence Base: | Major Infrastructure Investment Programme Audit Report |
| Rhestr Termau:<br>Glossary of Terms: | Within the body of text |
| Partïon / Pwyllgorau â ymgynhorwyd ymlaen llaw y cyfarfod hwn:<br>Parties / Committees consulted prior to this meeting: | None |

| **Effaith: (rhaid cwblhau)<br>Impact: (must be completed)** | |
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| **Ariannol / Gwerth am Arian:<br>Financial / Service:** | Capital requirements linked to Welsh Government funding |
| **Ansawdd / Gofal Claf:<br>Quality / Patient Care:** | Infrastructure /environmental improvements |
| **Gweithlu:<br>Workforce:** | Not Applicable |
| **Tegwch Iechyd:<br>Health Equity:** | Not Applicable |
| **Risg:<br>Risk:** | Infrastructure risks identified in HDdUHB operational team systems. Mitigation plans set out to manage these risks. |
| **Cyfreithiol:<br>Legal:** | Not Applicable |
| **Enw Da:<br>Reputational:** | Not Applicable |
| **Gyfrinachedd:<br>Privacy:** | Not Applicable |
| **Cydraddoldeb:<br>Equality:** | Not Applicable |

# Update inspection - Internal Audit - Major Infrastructure Investment Programme Final Internal Audit Report 2025/26 (Reasonable) - Actions

| Reference | Action | Site | Service | Responsibility | Date raised | Due date | Approval board | Progress status | Manage |
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| Internal Audit/2026/790/MD1/1 | MD A Programme Execution Plan is now in place, reviewed at Project<br>Group level and signed off by the Executive Director (SRO). | No Site Specific | Strategy & Planning | Mr Rob Elliott | 01/05/2026 | 30/06/2026 | N/A | **Fully complete (Approved)** | Manage |
| Internal Audit/2026/790/MD2/1 | MD • Actioned since fieldwork - the ToR has been reviewed and<br>updated, with the comments received from Internal Audit<br>reflected in the updated version.<br>• Members will be reminded of the importance of regular<br>attendance and ongoing attendance monitored.<br>• Meetings will be recorded, ensuring a record is maintained<br>where support staff are not available to take minutes<br>during the meeting. | No Site Specific | Strategy & Planning | Mr Rob Elliott | 01/05/2026 | 30/06/2026 | N/A | **Fully complete (Approved)** | Manage |
| Internal Audit/2026/790/MD3/1 | MD Agreed Action:<br>With the external Project Manager now in post (Feb 2026), this<br>will support more detailed reporting against the areas highlighted<br>by Internal Audit, including risks and programme status.<br>The Progress Report template will be fully populated for each<br>Programme Group meeting to ensure information is fully<br>conveyed. | No Site Specific | Strategy & Planning | Mr Rob Elliott | 01/05/2026 | 30/06/2026 | N/A | **Fully complete (Approved)** | Manage |

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| Internal Audit/2026/790/MD4/1 | MD | As above, the recently appointed (Feb 2026) external Project Manager will support the project in developing project management tools, including a risk register and detailed programme for BJC1 development. These tools will be used to inform the Progress Report to Programme Board and elsewhere. | No Site Specific | Strategy & Planning | Mr Rob Elliott | 01/05/2026 | 30/06/2026 | N/A | **Fully complete (Approved)** | Manage |
| Internal Audit/2026/790/MD5/1 | MD | We confirm that evidence of insurances was included within the tender documents. We will ensure at future procurements that evidence of insurances is documented within the tender evaluation. We will ensure that these are updated each year for the duration of the appointment. We will ensure that signed copies are held for all key approval documents. | Trust wide | Trust wide | Mr Rob Elliott | 02/07/2026 | 31/01/2027 | N/A | **In progress** | Manage |

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| Internal Audit/2026/790/MD6/1 | MD | We have issued JCT Consultancy contracts to all advisers and a number have already been signed and returned. We will pursue the outstanding contracts to ensure this is resolved as soon as possible | Trust wide | Trust wide | Mr Rob Elliott | 02/07/2026 | 30/06/2026 | N/A | **Fully complete (Approved)** | Manage |
| Internal Audit/2026/790/MD7/1 | MD | A decision has been made to maintain the intended JCT Consultancy contract approach for the work being undertaken to develop BJC1. Further consideration will be given to switching to NEC Professional Services Contracts, thereafter, should this be determined to better align with the works-contracting approach.Appropriate advice will be obtained to inform the decision.Any potential cost impacts (to the advisers quoted fees) will be appropriately considered and reported. | Trust wide | Trust wide | Mr Rob Elliott | 02/07/2026 | 31/12/2026 | N/A | **In progress** | Manage |

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| Internal Audit/2026/790/MD8/1 | MD | With formal contracts in place, any future contractual changes will be managed via the appropriate contractual route. Cost information reported to Programme Group will be checked for accuracy and updated if required. | Trust wide | Trust wide | Mr Rob Elliott | 02/07/2026 | 31/12/2026 | N/A | **In progress** | Manage |
| Internal Audit/2026/790/MD9/1 | MD | We will review the intended KPI approach as outlined in the tender specification and determine an appropriate level of application for the current stage. | Trust wide | Trust wide | Mr Rob Elliott | 02/07/2026 | 31/12/2026 | N/A | **In progress** | Manage |
| Internal Audit/2026/790/MD10/1 | MD | We will require advisers to provide more detailed information about activities completed, and this will be reviewed against the defined cash flow for the lump-sum appointment before payments are made. | Trust wide | Trust wide | Mr Rob Elliott | 02/07/2026 | 31/07/2026 | N/A | **Fully complete (Approved)** | Manage |