

Audit and Risk Assurance Committee

Tue 13 December 2022, 09:30 - 13:00

Boardroom, Ystwyth, St David's Park and MSTeams

Agenda

09:30 - 09:35 1. Introductions

5 min

1.1. Apologies

Paul Newman

1.2. Declaration of Interests

All

09:35 - 10:25 2. Governance

50 min

2.1. Minutes of Meeting held on 18th October 2022

Paul Newman

 2.1 Unapproved ARAC Minutes 18.10.2022 .pdf (11 pages)

2.2. Table of Actions

Paul Newman


 2.2 Table Of Actions ARAC Oct 22 FINAL.pdf (8 pages)

2.3. Matters Arising not on Agenda

Paul Newman

2.4. Targeted Intervention

Steve Moore

 2.4.1 SBAR on Escalation Status - ARAC Dec 2022.pdf (3 pages)

 2.4.4 Appendix 3 Targeted Intervention and Enhanced Monitoring Framework - Hywel Dda 06.12.2022.pdf (16 pages)

 2.4.3 Appendix 2 Escalation - TI and EM ToR - Hywel Dda UHB alias.pdf (6 pages)

 2.4.2 Appendix 1 TI Meeting 6th December 2022 (F) v3 (002).pdf (19 pages)

 2.4.5 Appendix 4 AGENDA - TI Escalation Meeting - Hywel Dda - 06 December 2022.pdf (1 pages)

2.5. All Wales Audit Committee Chairs Meeting Update

Paul Newman

 2.5 - AWACCM - Highlight Report - 13.10.2022.pdf (5 pages)

2.6. Risk Management Strategy


Charlotte Wilmshurst

 2.6.1 ARAC SBAR RM Strategy FINAL.pdf (3 pages)

 2.6.2 156 - Risk Management Strategy and Policy.draft ARAC Appendix FINAL v2.pdf (8 pages)

2.7. Counter Fraud Update

Ben Rees

 2.7.1 CF Audit and Risk Assurance Committee SBAR December 2022.pdf (3 pages)

 2.7.2 Counter Fraud Update December 2022.pdf (4 pages)

2.8. Annual Review of Requisitions with a Focus on Credit Card Transactions

Deferred

Ben Rees

10:25 - 10:35


10 min

3. Financial Focus

3.1. Financial Assurance Report

Huw Thomas

 3.1.1 Financial Assurance Report for December ARAC 051222 FINAL.pdf (19 pages)

 3.1.2. 2.4 APPENDIX 5b WG approval ex-gratia payment Selwyn Samuel Field Hospital USED.pdf (2 pages)

10:35 - 10:55

20 min

4. Audit Wales

4.1. Audit Wales Update Report

Anne Beegan

 4.1 2069A2022 Audit Wales HDUHB ARAC Update.pdf (10 pages)

4.2. Structured Assessment

Anne Beegan / Joanne Wilson

 4.2 3273A2022 Hywel Dda UHB Structured Assessment Report.pdf (32 pages)

4.3. Review of Operational Governance Arrangements – Mental Health & Learning Disabilities

Deferred

Anne Beegan / Liz Carroll

4.4. Orthopaedics Services Follow-up

Deferred


Anne Beegan / Andrew Carruthers

10:55 - 11:15

20 min

5. Deep Dive - Ophthalmology

Andrew Carruthers / Carly Hill

 5. Audit and Risk Assurance Committee SBAR Dec 2022 .pdf (7 pages)

11:15 - 11:25

10 min

6. BREAK

11:25 - 12:45

80 min

7. NWSSP Audit and Assurance Services- Internal Audit (Currently under review due to change in T.I status)

7.1. Internal Audit Plan Progress Report

James Johns

7.2. Estates Assurance – Decarbonisation - Advisory

James Johns / Lee Davies

📄 7.2 Final All-Wales Decarbonisation report (HDUHB).pdf (15 pages)

7.3. Follow-up: Welsh Language Standards - Reasonable Assurance

James Johns / Alwena Hughes-Moakes

📄 7.3 HDUHB-2223-29 Welsh Language Standards Follow Up Final Report.pdf (12 pages)

7.4. Salary Overpayment Follow Up - Reasonable Assurance

James Johns / Lisa Gostling

📄 7.4 HDUHB-2223-38 Overpayments of Salary Follow Up (Final) Report.pdf (10 pages)

7.5. IT Infrastructure - Reasonable Assurance

James Johns / Huw Thomas

📄 7.5 HDUHB-2223-24 IT Infrastructure final IA Report.pdf (16 pages)

7.6. Cyber Security - Substantial Assurance

James Johns / Huw Thomas

📄 7.6 HDUHB -2223-23 Cyber Security Internal Audit Final Report.pdf (10 pages)

7.7. Healthier Mid & West Wales - Advisory

Deferred James Johns / Lee Davis

7.8. Blackline Financial Reconciliation System

Deferred James Johns / Huw Thomas

7.9. Continuing Health Care

Deferred James Johns / Jill Paterson

7.10. Fitness for Digital

Deferred James Johns / Huw Thomas

7.11. Service Reset and Recovery

Deferred James Johns / Andrew Carruthers

7.12. Regional Integrated Fund

Deferred James Johns / Jill Paterson

12:45 - 12:50
5 min

8. Assurance and Risk

8.1. Audit Tracker

Joanne Wilson

📄 8.1.1 Audit Tracker SBAR December 2022.pdf (12 pages)

- 📄 8.1.2 Appendix 1 - Audit Tracker - December 2022.pdf (24 pages)
 - 📄 8.1.3 Appendix 2 - Open and Closed Reports December 2022.pdf (2 pages)
 - 📄 8.1.4 Appendix 3 - Not Known recommendations December 2022.pdf (6 pages)
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12:50 - 12:50
0 min

9. For Information

9.1. Audit and Risk Assurance Committee Work Programme 2022/23

- 📄 Audit Work Programme 2022-23 v7.pdf (11 pages)
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12:50 - 12:55
5 min

10. Any Other Business

All

12:55 - 13:00
5 min

11. Review of Meeting

11.1. Reflective Summary of Meeting

Chair / Joanne Wilson

13:00 - 13:00
0 min

12. Date and Time of Next Meeting

9.30am, 21st February 2023

DRAFT