



**PWYLLGOR ARCHWILIO A SICRWYDD RISG
AUDIT AND RISK ASSURANCE COMMITTEE**

DYDDIAD Y CYFARFOD: DATE OF MEETING:	13 December 2022
TEITL YR ADRODDIAD: TITLE OF REPORT:	Counter Fraud Update
CYFARWYDDWR ARWEINIOL: LEAD DIRECTOR:	Huw Thomas, Director of Finance
SWYDDOG ADRODD: REPORTING OFFICER:	Ben Rees, Head of Counter Fraud

**Pwrpas yr Adroddiad (dewiswch fel yn addas)
Purpose of the Report (select as appropriate)**

Er Gwybodaeth/For Information

**ADRODDIAD SCAA
SBAR REPORT**

Sefyllfa / Situation

This report provides to the Audit & Risk Assurance Committee an update on the Counter Fraud work completed within Hywel Dda University Health Board (HDdUHB). This ensures compliance with the Welsh Government Directives for Countering Fraud in the NHS and the NHS Counter Fraud Authority Requirements of the Government Functional Standard GovS 013: Counter Fraud.

The report will present a breakdown as to how resource has been used within Counter Fraud, alongside an overview of key work areas completed against the 4 NHS Counter Fraud Authority standard areas.

Cefndir / Background

To evidence the provision of services within a sound governance framework.

Asesiad / Assessment

The Health Board is compliant with the Welsh Government Directives.

Argymhelliad / Recommendation

The Audit & Risk Assurance Committee is invited to receive for information the Counter Fraud Update Report and appended items.

**Amcanion: (rhaid cwblhau)
Objectives: (must be completed)**

Committee ToR Reference: Cyfeirnod Cylch Gorchwyl y Pwyllgor:	3.2 In particular, the Committee will review the adequacy of: 3.2.4 the policies and procedures for all work related to fraud and corruption as set out in National Assembly for
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	Wales Directions and as required by the Counter Fraud and Security Management Service.
Cyfeirnod Cofrestr Risg Datix a Sgôr Cyfredol: Datix Risk Register Reference and Score:	Not applicable.
Safon(au) Gofal ac Iechyd: Health and Care Standard(s):	Governance, Leadership and Accountability
Amcanion Strategol y BIP: UHB Strategic Objectives:	3. Striving to deliver and develop excellent services 6. Sustainable use of resources
Amcanion Cynllunio Planning Objectives	Not Applicable
Amcanion Llesiant BIP: UHB Well-being Objectives: Hyperlink to HDdUHB Well-being Objectives Annual Report 2018-2019	10. Not Applicable

Gwybodaeth Ychwanegol: Further Information:

Ar sail tystiolaeth: Evidence Base:	Counter Fraud Workplan 2022/23
Rhestr Termiau: Glossary of Terms:	LCFS – Local Counter Fraud Specialist/s CFS Wales – Counter Fraud Services Wales NHS CFA – NHS Counter Fraud Authority
Partïon / Pwyllgorau â ymgynhorwyd ymlaen llaw y Pwyllgor Archwilio a Sicrwydd Risg: Parties / Committees consulted prior to Audit and Risk Assurance Committee:	Not applicable.

Effaith: (rhaid cwblhau) Impact: (must be completed)

Ariannol / Gwerth am Arian: Financial / Service:	Not applicable.
Ansawdd / Gofal Claf: Quality / Patient Care:	Not applicable.
Gweithlu: Workforce:	Not applicable.
Risg: Risk:	Not applicable.
Cyfreithiol: Legal:	Not applicable.

Enw Da: Reputational:	Not applicable.
Gyfrinachedd: Privacy:	Not applicable.
Cydraddoldeb: Equality:	Not applicable.



HYWEL DDA UNIVERSITY HEALTH BOARD

COUNTER FRAUD UPDATE

For Presentation 13th December 2022

The NHS Protect Standards are set in four generic areas:

- Strategic Governance
- Inform and Involve
- Prevent and Deter
- Hold to Account

AREA OF ACTIVITY	Resource Allocated (days) 2022/23	Resource Used (days) as at 30/11/2022
STRATEGIC GOVERNANCE	40	31
INFORM AND INVOLVE	85	70
PREVENT AND DETER	120	95
HOLD TO ACCOUNT	175	111
TOTAL	420	307

Work Area	<i>Summary of work areas completed</i>
Inform and Involve	<ul style="list-style-type: none"> • All new inductees have completed the Health Board’s induction programme and the Counter Fraud mandatory training programme. • Counter Fraud content on the Health Board’s Medicines Safety learning days has again been delivered to Nurses by way of virtual sessions. • Counter Fraud presentations continue to be delivered to the Overseas Nurses Cohort. Raising awareness of Fraud, Bribery and Corruption, in addition to raising awareness of recent scams involving immigration and rental properties. • International Fraud Awareness week took place week commencing Monday 14th November 2022. Numerous activities took place, including face to face awareness sessions at Tregaron Community Hospital, Aberaeron Health Centre, South Pembrokeshire Hospital, Withybush General Hospital, Prince Phillip Hospital, and various community pharmacies across the three counties. In addition, online webinars were held for all GP surgeries, Workforce and OD, including recruitment teams, Pathology and a general meet and greet. As well as the above, daily digital newsletters were published via the Global Messaging System, placing NHS Fraud under the magnifying glass. Topics included, Working Whilst Sick, Expenses, Charitable Funds, and Overpayments of Salary. These documents will be circulated to committee members via the MS Teams chat bar. • A fraud awareness session was delivered to Pathology in response to a Fraud Risk Assessment, Datix reference 1542 refers. • Since the last report, a total of 6 Global awareness messages / alerts have been issued, raising awareness of fraud in the NHS.

Prevent and Deter	<ul style="list-style-type: none"> • Current pro-active work continues, having commenced two planned exercises, the first looking into Credit Card Usage and the second, Travel Expenses. These are due for completion at the end of Quarter 3. • A report into the two Overpayment of Salary exercises undertaken has been completed and a report is appended to the in-committee paper. No instances of overpayments were identified. • A risk assessment into Mortuary Services has been completed in partnership with the service, who have subsequently recorded the assessment on Datix, reference number 1542 refers. The assessment highlights the need to review existing processes, including those linked to post-mortems, where Health Board employees are receiving payments for PM related work from Local Authorities. No fraud has been identified, however a need for better governance in this area is required. • The CF team continues to engage with Internal Audit, with future meetings planned throughout the year.
Hold to Account	<ul style="list-style-type: none"> • A number of new referrals have been received into the department over the last two months, with significant work being undertaken around these. These are noted within a separate report, for discussion during the closed In-Committee session.
Strategic Governance	<ul style="list-style-type: none"> • Quarterly statistics have been submitted to Counter Fraud Service (CFS) Wales and in compliance with WG directions and CFS Wales have released their Q2 report, which is appended to the in-committee paper. • The LCFS attended a quarterly PPV meeting, during which issues relating to current error trends were raised and discussed, with a view to identifying potential risk areas. These meetings will continue throughout the year. • The Lead LCFS and Fraud Champion continue to meet on a bi-monthly basis, offering both parties the opportunity to raise and discuss areas of concern.

Report Provided by:
Ben Rees - Lead Local Counter Fraud Specialist
For presentation; 13th December 2022

Report agreed by:
Huw Thomas
Director of Finance