

**PWYLLGOR ARCHWILIO A SICRWYDD RISG
AUDIT AND RISK ASSURANCE COMMITTEE**

DYDDIAD Y CYFARFOD: DATE OF MEETING:	14 April 2026
TEITL YR ADRODDIAD: TITLE OF REPORT:	Structured Assessment 2024 and 2025 – Management Response Updates
CYFARWYDDWR ARWEINIOL: LEAD DIRECTOR:	Professor Phil Kloer, Chief Executive Officer
SWYDDOG ADRODD: REPORTING OFFICER:	Joanne Wilson, Director of Corporate Governance/Board Secretary

Pwrpas yr Adroddiad (dewiswch fel yn addas)

Purpose of the Report (select as appropriate)

Er Sicrwydd/For Assurance

ADRODDIAD SCAA

SBAR REPORT

Sefyllfa / Situation

This report provides the Audit and Risk Assurance Committee with an update on progress against the recommendations made by Audit Wales (AW) in:

- Structured Assessment 2024
- Structured Assessment 2025

Cefndir / Background

The structured assessment work undertaken by Audit Wales enables the Auditor General to discharge his statutory requirement under section 61 of the Public Audit (Wales) Act 2014 to be satisfied that NHS bodies have made proper arrangements to secure economy, efficiency and effectiveness in their use of resources.

Asesiad / Assessment

As part of the Structured Assessment process in 2025, Audit Wales made two new recommendations and assessed the Health Board's response to the recommendations made in previous reports. One recommendation from the Structured Assessment 2024 was assessed as in progress following AW review which reported to the Board in January 2026.

This report provides progress in response to these recommendations. For further detail on progress, please see Appendix 1, which has been extracted from AMaT.

Structured Assessment 2024

Recommendation	Executive Lead	Date for implementation	RAG status as at 31/03/2026
R1 (Improving Together Framework)	Executive Director of Finance	31/03/2025	AW

R2 (Quality Improvement Strategic Framework)	Executive Director of Nursing, Quality and Patient Experience	30/04/2025	
R3 (Well-being objectives and strategy refresh)	Executive Director of Public Health	31/03/2026	The revised Well-Being objectives were presented to the Board in March 2026

Structured Assessment 2025

Recommendation	Executive Lead	Date for implementation	RAG status as at 31/03/2026
R1 Clarifying the use of the Triple A (Alert, Advise, Assure) process	Director of Corporate Governance/Board Secretary	31/01/2026	Complete
R2 Updating the Board Assurance Framework Dashboard to include committee oversight arrangements	Director of Corporate Governance/Board Secretary	30/11/2025	Complete

Argymhelliad / Recommendation

The Audit and Risk Assurance Committee is asked to receive assurance on the progress made in respect of the recommendations from the Structured Assessments 2024 and 2025.

Amcanion: (rhaid cwblhau)

Objectives: (must be completed)

Committee ToR Reference: Cyfeirnod Cylch Gorchwyl y Pwyllgor:	3.3 In carrying out this work, the Committee will primarily utilise the work of Internal Audit, Clinical Audit, External Audit and other assurance functions, but will not be limited to these audit functions. It will also seek reports and assurances from directors and managers as appropriate, concentrating on the overarching systems of good governance, risk management and internal control, together with indicators of their effectiveness.
Cyfeirnod Cofrestr Risg Datix a Sgôr Cyfredol: Datix Risk Register Reference and Score:	Not applicable
Parthau Ansawdd: Domains of Quality Quality and Engagement Act (sharepoint.com)	Not Applicable
Galluogwyr Ansawdd: Enablers of Quality: Quality and Engagement Act (sharepoint.com)	Not Applicable

Amcanion Strategol y BIP: UHB Strategic Objectives:	Not Applicable
Amcanion Cynllunio Planning Objectives	Not Applicable
Amcanion Llesiant BIP: UHB Well-being Objectives: Hyperlink to HDdUHB Well-being Objectives Annual Report 2021-2022	10. Not Applicable

Gwybodaeth Ychwanegol: Further Information:	
Ar sail tystiolaeth: Evidence Base:	Structured Assessment 2025 report
Rhestr Termau: Glossary of Terms:	Included in report
Partion / Pwyllgorau â ymgynhorwyd ymlaen llaw y Pwyllgor Archwilio a Sicrwydd Risg Parties / Committees consulted prior to Audit and Risk Assurance Committee:	All relevant Executive Directors have been asked to provide progress updates to the management response.

Effaith: (rhaid cwblhau) Impact: (must be completed)	
Ariannol / Gwerth am Arian: Financial / Service:	No direct impacts from this report.
Ansawdd / Gofal Claf: Quality / Patient Care:	No direct impacts from this report.
Gweithlu: Workforce:	No direct impacts from this report.
Risg: Risk:	No direct impacts from this report.
Cyfreithiol: Legal:	No direct impacts from this report.
Enw Da: Reputational:	No direct impacts from this report.
Gyfrinachedd: Privacy:	No direct impacts from this report.
Cydraddoldeb: Equality:	No direct impacts from this report.

Audits and Inspection Reports

Audit Wales: Structured Assessment Reports - Update



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Bwrdd Iechyd Prifysgol
Hywel Dda
University Health Board

Report issued by	Report Title	Clinical Care Group / Executive Function	Lead Director	Original Completion Date	Revised Completion Date	Total number of recs	Overdue	In Progress	Complete	Complete Pending Formal Approval	Reliant on External Factors	Unable to Complete	Any Barriers to Completion Noted?
Audit Wales	Structured Assessment 2024 (issued Nov 24)	Corporate Services	Director of Corporate Governance	Mar-26	Mar-26	3	0	0	3	0	0	0	None noted.
Audit Wales	Structured Assessment 2025 (issued Oct 25)	Corporate Services	Director of Corporate Governance	Jan-26	Jan-26	2	0	0	2	0	0	0	None noted.

Audits and Inspection Reports

Audit Wales: Structured Assessment Recommendations - Update



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University Health Board

Report Issued By	Report Title	Status of report	Recommendation Reference	Recommendation	Management Response	Recommendation Owner	Original Completion Date	Revised Completion Date	Status Category
Audit Wales	Structured Assessment 2024 (issued Nov 24)	Closed	AW_SA2024_HDU HB_001	R1. The Health Board should update its Improving Together Framework documentation, ensuring it adequately reflects current performance management and internal escalation arrangements. In updating the framework, the Health Board should also ensure documentation includes arrangements: <ul style="list-style-type: none"> Escalating and supporting directorates at the highest level of escalation for extended periods; and Coordinating support for directorates escalated over several domains (see paragraph 53). 	The Improving Together Framework will be updated to address the points raised in this recommendation. Timeline for completion: <ul style="list-style-type: none"> February 2025 - full draft submitted to Strategic Development and Operational Delivery Committee for consideration March 2025 – final draft submitted to Board for approval 	Executive Director of Finance	Mar-25	N/A	Complete
Audit Wales	Structured Assessment 2024 (issued Nov 24)	Closed	AW_SA2024_HDU HB_002	R2. The Quality, Safety and Experience Committee should receive, at least annual, a standalone update on Quality Improvement activities, including the Health Board’s progress in implementing the Quality Improvement Strategic Framework (2023-2026), a roundup of improvement initiatives and the impact they are having to date (see paragraph 60).	A standalone annual report on Quality Improvement Activities will be added to the work plan for the Quality Safety and Experience Committee for 2025/26	Executive Director of Nursing, Quality and Patient Experience	Apr-25	Apr-25 N/A	Complete
Audit Wales	Structured Assessment 2024 (issued Nov 24)	Closed	AW_SA2024_HDU HB_003	R3. To ensure the sustainable development principle is central to its long-term vision, the Health Board should review its well-being objectives as part of its planned long-term strategy refresh (see paragraph 69).	The well-being objectives will be reviewed as part of the long-term strategy refresh	Executive Director of Public Health	Mar-26	Mar-26	Complete
Audit Wales	Structured Assessment 2025 (issued October 2025)	Closed	AW_SA2025_HDU HB_001	R1. The Health Board should hold a briefing session with Independent Members to clarify the ‘Triple A’ (Alert, Advise, Assure) process	To hold a session at an Independent Member Development Session on the correct and consistent use of the ‘Triple A’ assessments at meetings. To include the ‘Triple A’ criteria on Chair’s Briefs as a prompt for Chairs to make an assessment at the end of each agenda item.	Director of Corporate Governance	Jan-26	Jan-26 N/A	Complete
Audit Wales	Structured Assessment 2025 (issued October 2025)	Closed	AW_SA2025_HDU HB_002	R2. The Health Board should update the Board Assurance Framework Dashboard to include committee oversight arrangements	Committee oversight arrangements of the BAF have been included in the supporting information on the BAF Dashboard to the Board in November.	Director of Corporate Governance	Nov-25	Nov-25 N/A	Complete