

Audit & Risk Assurance Committee

Tue 15 August 2023, 09:30 - 13:00

Board Room, Ystwyth Building and via MS Teams

Agenda

09:30 - 09:35 **1. Introductions**

5 min

1.1. Apologies

Rhodri Evans

1.2. Declaration of Interests

All

09:35 - 10:20 **2. Governance**

45 min

2.1. Minutes of the Meeting held on 20 June 2023

Rhodri Evans

📄 Item 2.1 Unapproved IC ARAC Minutes 20 June 2023.pdf (24 pages)

2.2. Table of Actions

Rhodri Evans

📄 Item 2.2 Table Of Actions ARAC 20 June 2023.pdf (8 pages)

2.3. Matters Arising not on the Agenda

Rhodri Evans

2.4. Escalation Status Update

Steve Moore

📄 Item 2.4 Escalation Status Update ARAC August 2023.pdf (6 pages)

📄 Item 2.4 Appendix 1 - 2023-07-05 WG Summary Letter following TI meeting 21 June 2023.pdf (4 pages)

2.5. Audit Tracker

Joanne Wilson

📄 Item 2.5 Audit Tracker ARAC August 2023.pdf (15 pages)

📄 Item 2.5 Appendix 1 - Audit and Inspection Tracker - August 2023.pdf (50 pages)

📄 Item 2.5 Appendix 2 - Open and Closed Reports - August 2023.pdf (2 pages)

📄 Item 2.5 Appendix 3 - Not Known Recommendations - August 2023.pdf (12 pages)

2.6. Risk Assurance Report

Joanne Wilson

📄 Item 2.6 SBAR Risk Assurance Report ARAC August 2023.pdf (3 pages)

📄 Item 2.6 Risk Assurance Report August 2023.pdf (20 pages)

2.7. Scheme of Delegation (To Follow)

Joanne Wilson

2.8. Counter Fraud Update

Ben Rees

- 📄 Item 2.8 SBAR Counter Fraud Update ARAC August 2023.pdf (3 pages)
 - 📄 Item 2.8 Counter Fraud Update August 2023.pdf (6 pages)
 - 📄 Item 2.8 Appendix 1 - Fraud Prevention Notice - Working Elsewhere.pdf (5 pages)
-

10:20 - 10:40 3. Financial Focus 20 min

3.1. Financial Assurance Report

Huw Thomas

- 📄 Item 3.1 SBAR Financial Assurance Report ARAC August 2023.pdf (3 pages)
 - 📄 Item 3.1 Financial Assurance Report (incl Appendices 1-4) ARAC August 2023.pdf (18 pages)
 - 📄 Item 3.1 Appendix 5 - Pensions Processing Data.pdf (3 pages)
-

10:40 - 11:10 4. Audit Wales 30 min

4.1. Audit Wales Update Report

Audit Wales

- 📄 Item 4.1 2023-24 Audit Wales ARAC Update August 2023.pdf (12 pages)

4.2. Workforce Planning Review

Audit Wales/Lisa Gostling

- 📄 Item 4.2 Workforce planning report HDUHB (FINAL).pdf (38 pages)

4.3. Follow-up Review of Primary Care

Audit Wales/Jill Paterson

DEFERRED to 17 October 2023

4.4. Review of Unscheduled Care

Audit Wales/Andrew Carruthers

DEFERRED to 17 October 2023

11:10 - 11:20 5. BREAK 10 min

11:20 - 12:40 6. NWSSP - Audit and Assurance Services - Internal Audit 80 min

6.1. Internal Audit Plan Progress Report

James Johns

- 📄 Item 6.1 SBAR IA Plan Progress Report ARAC August 2023.pdf (3 pages)
- 📄 Item 6.1 IA Plan Progress Report ARAC August 2023.pdf (8 pages)

6.2. Strategic Governance Update & Savings Governance Review

Joanne Wilson/Huw Thomas/Lee Davies

📄 Item 6.2 Savings Governance Review & Management Response.pdf (15 pages)

6.3. Escalation Status Actions (Reasonable Assurance)

James Johns/Steve Moore/Joanne Wilson

📄 Item 6.3 Escalation Status Actions Final Internal Audit Report.pdf (13 pages)

6.4. NICE Guidance

James Johns/Phil Kloer

DEFERRED to 17 October 2023

6.5. Quality & Safety Governance Bronglais General Hospital

James Johns/Mandy Rayani/Andrew Carruthers

DEFERRED to 17 October 2023

12:40 - 12:45 7. For Information

5 min

7.1. Audit & Risk Assurance Committee Work Programme 2023/24

📄 Item 7.1 Audit Work Programme 2023-24.pdf (10 pages)

7.2. National Internal Audit Reports

NONE TO REPORT

12:45 - 12:50 8. Any Other Business

5 min

All

12:50 - 12:55 9. Review of Meeting

5 min

9.1. Reflective Summary of the Meeting

Rhodri Evans/Joanne Wilson

12:55 - 12:55 10. Date and Time of Next Meeting

0 min

9.30am, 17 October 2023