

Audit & Risk Assurance Committee
TABLE OF ACTIONS
Arising from Meeting held on 13 August 2024

Minute No.	Meeting Date	Subject	Action	Lead	Timescale	Progress/Date Achieved
AC(24)107	18/06/2024	Accelerated Cluster Development	To review the reporting arrangements for the accelerated cluster development programme.	JP/JW	October 2024	<p><u>13 August 2024</u> In Progress This will be incorporated within the review of Primary Care governance which is due to report in September 2024, however recognising this may need to be extended to align to the revised operational governance arrangements.</p> <p><u>15 October 2024</u> In Progress This is being considered as part of the wider operational governance review, which should conclude by the end of the financial year.</p>
AC(24)121	18/06/2024	ARAC Work Programme 2024/25	Update the Committee Work Programme to align with the revised and approved Terms of Reference.	JW	October August 2024	<p><u>13 August 2024</u> In Progress An update on this will be brought to the 15 October 2024 meeting.</p> <p><u>15 October 2024</u> Complete An update was presented to the 13 August 2024 meeting.</p>
AC(24)139	13/08/2024	Escalation Status Update Report	To reflect on the classification of Criteria 6 (Board clarity on the strategic vision for the organisation), in view of the fact that the AHMWW PBC has not yet been endorsed by Welsh Government	LD	October 2024	<p>Complete Will be updated as part of the Escalation Status report to ARAC in October 2024.</p>

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			To seek clarification from Welsh Government around the suggestion that the Health Board's decision-making and processes require improvement	PK	October 2024	<p>Complete Response to request for clarification received:</p> <p>The comment reflects mainly to your own self-assessment as follows:</p> <p>Following due consideration of the sources of assurances and supporting documentation, the Board acknowledged that while it has effective corporate arrangements in place, it considered the overall level of maturity in respect of governance and board effectiveness for 2023/24 has reduced to level 2. below. This is in recognition of their increased escalation status and the work that needs to be taken forward to strengthen confidence in their ability to deliver the improvements to integrated planning, finance and performance delivery.</p> <p>This also links to some of our own observations linked to decision making etc, although the recent board meeting reflected considerable maturity with supportive paperwork.</p>
			To revisit whether Criteria 52 (Effective response from the health board to external reports and reviews) should be aligned to QSEC or ARAC	SA/JW	October 2024	<p>Complete following discussion with the TI Programme Director, it has been agreed that the criteria should remain with QSEC as it is part of the 'Quality of Care' domain.</p>

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AC(24)140	13/08/2024	ARAC Self-Assessment of Committee Effectiveness Progress Update	To revisit and make clearer the narrative accompanying the action which will be addressed via the Chief Executive's Report to Board	JW	October 2024	Complete This has been updated as follows: 'This requirement will be addressed through the CEO Report to Board, however ARAC will continue to receive briefings and training on any changes pertinent to the Committee's role'.
AC(24)141	13/08/2024	All Wales NHS Audit Committee Chairs' Meeting Update	To extract the various issues covered during the two meetings, including recommendations around training, and feedback regarding management responses, to ensure that they are addressed	JW	October 2024	Complete The IM Handbook has been updated. The Director of Corporate Governance meets regularly with Internal Audit and Audit Wales, who both escalate concerns relating to management responses to the DoCG when appropriate
AC(24)142	13/08/2024	Audit Wales Update Report	To examine the Swansea Bay City Deal report, to establish whether this contains any learning which the Health Board may need to take forward	JW/HT	October 2024	Complete A review of the report has been undertaken which identified no specific actions for the Health Board. The report has been shared with the Chief Executive and Director of Strategy and Planning as the Health Board links to the Swansea Bay City Deal.
AC(24)143	13/08/2024	Review of Cost Savings Arrangements Report and HDdUHB Management Response	To discuss, if required, prioritisation of recommendations	JB/HT	October 2024	Complete Content with addressing the recommendations within the timelines already reported to the Committee.
AC(24)144	13/08/2024	Structured Assessment - Progress Update on Recommendations	To provide an update to the next meeting, via the Table of Actions, regarding Recommendation 1 and its status in terms of implementation	LD/UP	October 2024	Complete The update presented at the August 2024 ARAC meeting was a self-assessment; it indicated that Recommendation 1 (2021) was 'in progress'. Any updates to this status

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						would also be based on a self-assessment. Audit Wales' Structured Assessment will follow-up last year's recommendations, and any open recommendations from previous years including Recommendation 1 (2021). Arrangements related to this recommendation were discussed at the Director of Strategy and Planning's Structured Assessment interview.
AC(24)149	13/08/2024	Transforming Urgent and Emergency Care - Progress update on Management Response	For Ms Alison Bishop to meet with Mr James Johns to consider each Internal Audit recommendation in turn and report on progress with regard to the management response	AB/JJ	October 2024	In Progress Further update report forward planned for 15 October 2024 meeting.
AC(24)150	13/08/2024	Discharge Management - Progress Update on Management Response	To check whether Recommendation 3 has been completed	CG	October 2024	Complete Recommendation 3 is partially complete and should be completed with uploaded evidence by end of September 2024.
			To address the fact that there are no revised timescales for the actions which are not yet completed in respect of Recommendation 2	CG	October 2024	Complete Recommendation 2 is complete - waiting for the QIST team to share the evidence slide to update AMAT
AC(24)152	13/08/2024	Counter Fraud Update	To link with the Assurance and Risk team, to ensure that the Lease Car Invoicing report's recommendations are included on the Audit Tracker	BR	October 2024	Complete The recommendations made by Counter Fraud have been recorded on AMAT, and actions undertaken against each recommendation have been recorded.