

**Audit & Risk Assurance Committee**  
**TABLE OF ACTIONS**  
**Arising from Meeting held on 16<sup>th</sup> August 2022**

Minute No.	Meeting Date	Subject	Action	Lead	Timescale	Progress/Date Achieved
AC(21)118	22/06/2021	<b>Report on the Adequacy of Arrangements for Declaring, Registering and Handling Interests, Gifts, Hospitality, Honoraria and Sponsorship</b>	To explore other digital solutions for obtaining and collating this information.	HT/AT	August October December 2021 February April August 2022	A new developer has been asked to link in with the Assistant Director of Corporate Legal Services and Public Affairs to discuss requirements. This will be scoped out starting week commencing 17 <sup>th</sup> October once the new member of the team has completed the induction process. Following this exercise, we will have a clear understanding of the development requirements and a timeline for completion will be provided.
AC(22)66	19/04/2022	<b>Radiology Directorate Internal Audit Update</b>	To discuss with Mrs Lisa Gostling whether there is any update on how HEIW propose to manage graduate allocation to Health Boards.	AC	August 2022	<i>Update to follow.</i>

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			To discuss with Mr Huw Thomas opportunities offered by digital platforms.	AC/HT	August 2022	The opportunity for digital interventions is now being incorporated into the planned care recovery programme. Closed.
<b>AC(22)92</b>	<b>05/05/2022</b>	<b>Draft Annual Accounts 2021/22</b>	To obtain additional data/intelligence from the Welsh Risk Pool around clinical negligence and the likely future trajectory of claims.	HT	May August October 2022	A meeting has been arranged between HDdUHB and NWSSP colleagues to discuss feasibility and opportunities for improved reporting in early October 2022. A verbal update will be provided to the Committee.
<b>AC(22)109</b>	<b>09/06/2022</b>	<b>Partnership Governance Follow-up (Reasonable Assurance)</b>	To consider a national/ wider approach with regard to auditing RIF governance arrangements	JJ	August October 2022	Complete. This matter has been raised by the Director of Audit & Assurance at relevant national groups for consideration. Its addition to audit plans would be subject to local risks.
			To define timescales for outstanding recommendations to allow monitoring via the Audit Tracker	CB/JP/ KB	August October 2022	Internal Audit have confirmed that the outstanding recommendations can be closed, as the findings have been superseded by the new RIF process which came into place in April 2022. This report

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						has now been closed on the audit tracker.
			To include in the next Internal Audit Plan Progress Report potential solutions/actions to address the backlog in outstanding recommendations	JJ	August October 2022	The Assurance and Risk team are currently undertaking an exercise reviewing recommendations remaining on the Tracker and gaining further information regarding their status. Following completion of this, Audit & Assurance will undertake testing to review the current position and obtain evidence to evaluate whether these actions have been fully completed.
			To discuss with Mrs Lisa Gostling the issue of use of different rota systems and whether this is a topic for discussion at PODCC	JW/ LG	August 2022	Following the recent Wagestream discussion at Executive Team meeting, it was agreed that it would be beneficial to move all staff to the Allocate Rostering system in order to draw down pay for additional hours worked.  Discussions are underway with Workforce colleagues to transfer facilities into the existing

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						<p>roster which will include the cost of the medical package. Following this meeting, a funding decision will be agreed.</p> <p>It is anticipated that the business case will be ready by February 2023.</p>
<b>AC(22)143</b>	<b>16/08/2022</b>	<b>Tackling the Planned Care Backlog in Wales</b>	To explore consulting with the Ethics Committee in regards to the workforce challenges	<b>AC</b>	<b>October 2022</b>	Update to follow
<b>AC(22)144</b>	<b>16/08/2022</b>	<b>Withybush Fire Precautions Phase 1</b>	To discuss with Mr Rob Elliot the suggestion of a deep dive into Specialised Estates Services at the Shared Services Partnership Committee.	<b>HT/RE</b>	<b>October 2022</b>	The suggestion of a deep dive in to Specialised Estates Services at the Shared Services Partnership Committee has been put forward to NWSSP.
<b>AC(22)146</b>	<b>16/08/2022</b>	<b>Counter Fraud Update</b>	To explore ways to raise awareness, support staff and mitigate the potential risks of a potential increase in fraudulent activity in expenses claims due to the imminent cost of living crisis.	<b>HT/ LG/ BR</b>	<b>October 2022</b>	The risk of increased fraudulent activity has been incorporated into the Counter Fraud risk assessment which will be addressed through the wellbeing support provided through the Workforce and Organisational Development team.
<b>AC(22)147</b>	<b>16/08/2022</b>	<b>GMS PPV Update</b>	To share with Members a revised snapshot report due to an error within the published version.	<b>AL</b>	<b>October 2022</b>	<b>Complete</b>

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AC(22)148	16/08/2022	Financial Assurance Report	<p>To clarify the following:</p> <ul style="list-style-type: none"> <li>• Whether the contract for CP Management is for one-year period and whether there are any potential implications due to inflation.</li> <li>• What sites are included for the MITIE Grounds and Gardens Maintenance contract extension.</li> </ul>	HT	October 2022	<p>The extension for CP Management is the last year of a five year contract; the prices being consistent with what was set out in the original agreement.</p> <p>MITIE operates on the following sites:</p> <ul style="list-style-type: none"> <li>○ Prince Philip Hospital</li> <li>○ Pontyates Surgery</li> <li>○ Amman Valley Hospital</li> <li>○ Elizabeth Williams Clinic</li> <li>○ Kidwelly Surgery</li> <li>○ Llandovery Hospital</li> <li>○ Swn y Gwynt</li> <li>○ Glangwili General Hospital</li> <li>○ Glangwili General Hospital Helipad</li> <li>○ Pond Street Clinic</li> <li>○ Ty Bryngwyn Hospice (within Prince Philip Hospital grounds)</li> <li>○ Crosshands Health Centre</li> <li>○ Llys Steffan Resource Centre</li> <li>○ Brynmair Clinic</li> </ul>

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						<ul style="list-style-type: none"> <li>○ Bryngofal (within Prince Philip Hospital grounds)</li> <li>○ Caebryn (within Prince Philip Hospital grounds)</li> <li>○ Hafan Derwen Campus (including all wards)</li> <li>○ Ty Myddfai Johnstown</li> <li>○ 22, Wellfield Road</li> <li>○ Penlan Buildings - weeding only</li> <li>○ 79, Bro Myrddin</li> <li>○ Hafan Hedd Resource Centre</li> </ul>
AC(22)148	16/08/2022	Financial Assurance Report	To highlight the potential risks of staff withdrawing from the Pension scheme due to the imminent cost of living challenges for discussion at the People and Organisational Development Committee	HT	October 2022	The Risk has been highlighted to Director of Workforce & Organisational Development for consideration.
AC(22)148	16/08/2022	Financial Assurance Report	To comment and make enquiries into the COVID-19 expenditure by the Health Board which seems to be different from the approach being taken by other Health Boards.	AW	October 2022	There are indications that HDdUHB may be an outlier. We understand that the Finance Delivery Unit may be looking at the categorisation of

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						COVID-19 expenditure in the near future.
<b>AC(22)150</b>	<b>16/08/2022</b>	<b>General Medical Services Post Payment Verification (PPV) Update</b>	To discuss revising the ARAC reporting arrangements with the Director of Primary Care, in line with the upcoming new contract arrangements in Primary Care Services and to ensure appropriate updates are provided to the Committee going forward.	<b>RB</b>	<b>October 2022</b>	The Assistant Director and Director of Primary Care Services are in the process of reviewing the PPV reporting arrangements in line with the new contract negotiations.
<b>AC(22)151</b>	<b>16/08/2022</b>	<b>The Welsh Community Care Information System (WCCIS)</b>	To provide a response to the WCCIS update report from Audit Wales and share with ARAC.	<b>HT/AT</b>	<b>October 2022</b>	<b>Complete</b>
<b>AC(22)153</b>	<b>16/08/2022</b>	<b>Overpayment of Salary</b>	To discuss the expectation for managers in monitoring payroll expenditure in a future Executive Team meeting.	<b>HT</b>	<b>October 2022</b>	This requirement has been communicated with all budget managers through the accountability letter process.