

Audit & Risk Assurance Committee

Tue 20 June 2023, 09:30 - 13:15

Board Room, Ystwyth Building and via MS Teams

Agenda

09:30 - 09:35 1. Introductions

5 min

1.1. Apologies

Rhodri Evans

1.2. Declaration of Interests

All

09:35 - 10:15 2. Governance

40 min

2.1. Minutes of the Meetings held on 18 April and 11 May 2023

Rhodri Evans


 Item 2.1 Unapproved ARAC Minutes 18 April 2023.pdf (25 pages)

 Item 2.1 Unapproved ARAC Minutes 11 May 2023.pdf (17 pages)

2.2. Table of Actions

Rhodri Evans

 Item 2.2 Table Of Actions ARAC 18 April and 11 May 2023.pdf (19 pages)

 Item 2.2 Appendix 1 - 1049 - Use of Consultancy FP.v2.pdf (13 pages)

2.3. Matters Arising not on the Agenda

Rhodri Evans

2.4. Escalation Status Update

Steve Moore

 Item 2.4 Escalation Status Update ARAC June 2023.pdf (6 pages)

 Item 2.4 Appendix 1 - Notes of ESG Meeting 31 May 2023.pdf (3 pages)

 Item 2.4 Appendix 2 - 2023-06-12 - JP to SM - following End of Year JET.pdf (3 pages)

2.5. Review of HDdUHB Standing Orders (SOs) and Standing Financial Instructions (SFIs)

Joanne Wilson

 Item 2.5 SOs and SFIs ARAC June 2023.pdf (5 pages)


2.6. Notification of the the Annual Review of the Committee's Self-Assessment of Effectiveness

Rhodri Evans

 Item 2.6 ARAC Self-Assessment June 2023.pdf (4 pages)

2.7. All Wales NHS Audit Committee Chairs' Meeting Update

Rhodri Evans

 Item 2.7 AWACC Highlight Report 13.10.22.pdf (5 pages)

2.8. Execution of the Contract for the Construction of the Day Surgery Unit, Prince Philip Hospital

Lee Davies

 Item 2.8 PPH DSU ARAC June 2023.pdf (4 pages)

2.9. Counter Fraud Update

Ben Rees

 Item 2.9 SBAR Counter Fraud Update ARAC June 2023.pdf (3 pages)

 Item 2.9 Counter Fraud Update June 2023.pdf (4 pages)

10:15 - 10:30 15 min **3. Financial Focus**

3.1. Financial Assurance Report

Huw Thomas

 Item 3.1 SBAR Financial Assurance Report ARAC June 23.pdf (3 pages)

 Item 3.1 Financial Assurance Report for June 23 ARAC.pdf (21 pages)

3.1.1. Independent Mental Capacity Advocate (IMCA) Services Single Tender Action

Jill Paterson

 Item 3.1.1 IMCA Services STA.pdf (4 pages)

10:30 - 10:50 20 min **4. Clinical Audit**

4.1. Clinical Audit Update and Forward Workplan

Mandy Rayani/Ian Bebb

 Item 4.1 Clinical Audit Update ARAC June 2023.pdf (8 pages)

 Item 4.1 Appendix 1 - Whole Hospital Audit Meeting 2022-23.pdf (2 pages)

10:50 - 11:00 10 min **5. BREAK**

11:00 - 11:40 40 min **6. Audit Wales**

6.1. Audit Wales Update Report

Audit Wales

 Item 6.1 2022-23 Audit Wales ARAC Update June 2023.pdf (12 pages)

6.2. Structured Assessment 2022 - Management Response Update

Audit Wales/Joanne Wilson

- Item 6.2 SA2022 Management Response Update ARAC June 2023.pdf (4 pages)
- Item 6.2 Appendix 1 - HDUHB SA2021 (Ph 1) Mgmt Resp Updated ARAC June 2023.pdf (2 pages)
- Item 6.2 Appendix 2 - HDUHB SA2022 Mgmt Resp Updated ARAC June 2023.pdf (10 pages)

6.3. Workforce Planning Review

Audit Wales/Lisa Gostling

DEFERRED to 15 August 2023

6.4. Follow-up Review of Primary Care

Audit Wales/Jill Paterson

DEFERRED to 15 August 2023

6.5. Orthopaedic Services Review (National and Local Audit Wales Reports and GIRFT Orthopaedics Report)

Audit Wales/Andrew Carruthers

- Item 6.5 Orthopaedic_Services_in_Wales_Tackling_the_Waiting_List_Backlog.pdf (43 pages)
- Item 6.5 3293A2022 Hywel Dda_orthopaedics.pdf (28 pages)
- Item 6.5 HDUHB_GIRFT Orthopaedic Health Board Report_Final_May2022.pdf (12 pages)
- Item 6.5 SBAR Orthopaedics Services Review (National & Local AW & GIRFT) ARAC June 2023.pdf (5 pages)
- Item 6.5 Integrated Impact Assessment.pdf (7 pages)
- Item 6.5 HDUHB Organisational Response Form - Orthopaedic Services in Wales - Tackling the Waiting List Backlog.pdf (11 pages)
- Item 6.5 GIRFT Orthopaedic Report - Summary May 2023.pdf (12 pages)
- Item 6.5 GIRFT Orthopaedic Recommendations Annex A Updated May 2023.pdf (7 pages)

11:40 - 13:00
80 min

7. NWSSP - Audit and Assurance Services - Internal Audit

7.1. Internal Audit Plan Progress Report

James Johns

- Item 7.1 SBAR IA Plan Progress Report ARAC June 2023.pdf (3 pages)
- Item 7.1 IA Plan Progress Report ARAC June 2023.pdf (8 pages)

7.2. Head of Internal Audit Opinion & Annual Report 2022/23

James Johns

- Item 7.2 SBAR Final HoIA Opinion & Annual Report 2022-23 ARAC June 2023.pdf (3 pages)
- Item 7.2 HoIA Opinion & Annual Report 2022-23 Final for ARAC June 2023.pdf (33 pages)

7.3. Theatre Loan Trays & Consumables (Limited Assurance)

James Johns/Andrew Carruthers

- Item 7.3 Theatre Loan Trays & Consumables Final IA Report.pdf (25 pages)

7.4. Records Digitalisation (Limited Assurance)

James Johns/Andrew Carruthers/Huw Thomas

- Item 7.4 Records Digitisation Final IA Report v2.pdf (16 pages)

7.5. Lessons Learned (Reasonable Assurance)

James Johns/Mandy Rayani

- Item 7.5 Lessons Learned Final IA Report.pdf (12 pages)

7.6. Agency & Rostering (Reasonable Assurance)

James Johns/Lisa Gostling

📄 Item 7.6 Agency & Rostering Final IA Report.pdf (24 pages)

7.7. Strategic Transformation Programme Governance (Limited Assurance)

James Johns/Huw Thomas/Lee Davies

📄 Item 7.7 Strategic Change Programme Governance Final IA Report.pdf (13 pages)

7.8. Financial Management (Reasonable Assurance)

James Johns/Huw Thomas

📄 Item 7.8 Financial Management Final IA Report.pdf (12 pages)

13:00 - 13:05 8. Assurance and Risk

5 min

8.1. Audit Tracker

Joanne Wilson

📄 Item 8.1 Audit Tracker ARAC June 2023.pdf (14 pages)

📄 Item 8.1 Appendix 1 - Audit and Inspection Tracker - June 2023.pdf (44 pages)

📄 Item 8.1 Appendix 2 - Open and Closed Reports - June 2023.pdf (2 pages)

📄 Item 8.1 Appendix 3 - Not Known recommendations - June 2023.pdf (10 pages)

13:05 - 13:10 9. For Information

5 min

9.1. Auditor General Forward Programme 2023-2026

📄 Item 9.1 Audit Wales Work Programme 2023-2026.pdf (14 pages)

9.2. Audit & Risk Assurance Committee Work Programme 2023/24

📄 Item 9.2 Audit Work Programme 2023-24.pdf (9 pages)

9.3. National Internal Audit Reports

NONE TO REPORT

13:10 - 13:10 10. Any Other Business

0 min

All

13:10 - 13:15 11. Review of Meeting

5 min

11.1. Reflective Summary of the Meeting

Rhodri Evans/Joanne Wilson

13:15 - 13:15 12. Date and Time of Next Meeting

0 min

9.30am, 26 July 2023 (Sign-off Annual Accounts)

9.30am, 15 August 2023 (Routine Meeting)