



**PWYLLGOR ARCHWILIO A SICRWYDD RISG
AUDIT AND RISK ASSURANCE COMMITTEE**

DYDDIAD Y CYFARFOD: DATE OF MEETING:	20 June 2023
TEITL YR ADRODDIAD: TITLE OF REPORT:	Counter Fraud Update
CYFARWYDDWR ARWEINIOL: LEAD DIRECTOR:	Huw Thomas, Director of Finance
SWYDDOG ADRODD: REPORTING OFFICER:	Ben Rees, Head of Counter Fraud

Pwrpas yr Adroddiad (dewiswch fel yn addas) Purpose of the Report (select as appropriate)
Er Gwybodaeth/For Information

**ADRODDIAD SCAA
SBAR REPORT**

<p><u>Sefyllfa / Situation</u></p> <p>This report provides to the Audit & Risk Assurance Committee an update on the Counter Fraud work completed within Hywel Dda University Health Board (HDdUHB). This ensures compliance with the Welsh Government Directives for Countering Fraud in the NHS and the NHS Counter Fraud Authority Requirements of the Government Functional Standard GovS 013: Counter Fraud.</p> <p>The report will present a breakdown as to how resource has been used within Counter Fraud, alongside an overview of key work areas completed against the 4 NHS Counter Fraud Authority standard areas.</p>
<p><u>Cefndir / Background</u></p> <p>Main Report: To evidence the provision of services within a sound governance framework.</p>
<p><u>Asesiad / Assessment</u></p> <p>Main Report: The Health Board is compliant with the Welsh Government Directives.</p>
<p><u>Argymhelliad / Recommendation</u></p> <p>The Audit & Risk Assurance Committee is invited to receive for information the Counter Fraud Update Report and appended items.</p>

Amcanion: (rhaid cwblhau) Objectives: (must be completed)	
Committee ToR Reference: Cyfeirnod Cylch Gorchwyl y Pwyllgor:	3.2 In particular, the Committee will review the adequacy of:

	3.2.4 the policies and procedures for all work related to fraud and corruption as set out in National Assembly for Wales Directions and as required by the Counter Fraud and Security Management Service.
Cyfeirnod Cofrestr Risg Datix a Sgôr Cyfredol: Datix Risk Register Reference and Score:	Not applicable.
Parthau Ansawdd: Domains of Quality Quality and Engagement Act (sharepoint.com)	3. Effective
Galluogwyr Ansawdd: Enablers of Quality: Quality and Engagement Act (sharepoint.com)	4. Learning, improvement and research
Amcanion Strategol y BIP: UHB Strategic Objectives:	3. Striving to deliver and develop excellent services 6. Sustainable use of resources
Amcanion Cynllunio Planning Objectives	Not Applicable
Amcanion Llesiant BIP: UHB Well-being Objectives: Hyperlink to HDdUHB Well-being Objectives Annual Report 2021-2022	10. Not Applicable

Gwybodaeth Ychwanegol: Further Information:

Ar sail tystiolaeth: Evidence Base:	Counter Fraud Workplan 2023/24
Rhestr Termiau: Glossary of Terms:	LCFS – Local Counter Fraud Specialist/s CFS Wales – Counter Fraud Services Wales NHS CFA – NHS Counter Fraud Authority NWSSP – NHS Wales Shared Services Partnership
Partïon / Pwyllgorau â ymgynhorwyd ymlaen llaw y Pwyllgor Archwilio a Sicrwydd Risg Parties / Committees consulted prior to Audit and Risk Assurance Committee:	Not applicable.

Effaith: (rhaid cwblhau) Impact: (must be completed)

Ariannol / Gwerth am Arian: Financial / Service:	Not applicable.
Ansawdd / Gofal Claf: Quality / Patient Care:	Not applicable.

Gweithlu: Workforce:	Not applicable.
Risg: Risk:	Not applicable.
Cyfreithiol: Legal:	Not applicable.
Enw Da: Reputational:	Not applicable.
Gyfrinachedd: Privacy:	Not applicable.
Cydraddoldeb: Equality:	Not applicable.



HYWEL DDA UNIVERSITY HEALTH BOARD

COUNTER FRAUD UPDATE

For Presentation 20 June 2023

The NHS Protect Standards are set in four generic areas:

- Strategic Governance
- Inform and Involve
- Prevent and Deter
- Hold to Account

AREA OF ACTIVITY	Resource Allocated (days) 2023/24	Resource Used (days) as at 31/05/2023	Resource Used (Percentage as at 31/05/2023)
STRATEGIC GOVERNANCE	40	4	10%
INFORM AND INVOLVE	85	11.5	14%
PREVENT AND DETER	120	18	15%
HOLD TO ACCOUNT	175	31.5	18%
TOTAL	420	65	16%

Work Area	<i>Summary of work areas completed</i>
Inform and Involve	<ul style="list-style-type: none"> • All new inductees have completed the Health Board’s induction programme and the Counter Fraud mandatory training programme. • Counter Fraud content on the Health Board’s Medicines Safety learning days has again been delivered to Nurses by way of virtual sessions. • Counter Fraud presentations continue to be delivered to the Overseas Nurses Cohort, raising awareness of Fraud, Bribery and Corruption, in addition to recent frauds involving immigration and rental properties. • A Summer Newsletter has been published, raising awareness of Fraud within the NHS, including a piece on Overpayments of Salary.
Prevent and Deter	<ul style="list-style-type: none"> • A further piece of work linked to deceased patients is at the planning stage, which will involve cross-partnership working between the CF department and the Post Payment Verification (PPV) Team. • Policies and procedures have been reviewed by the department, with a view to mitigating risk of fraud, this included an All Wales Overpayment Policy, which is currently being drafted by NWSSP (in consultation with all Welsh Health Boards). • A Fraud Risk relating to recruitment-related Fraud (False qualifications / Failure to disclose) has been identified and referred to the relevant department for review, Datix reference 1669 refers. The outcome of this risk will be recorded and reported to ARAC as part of the risk management strategy. • At time of writing, a further risk linked to Over / Under payment of salaries linked to consultant workplans is being reviewed, the outcome of which will be presented to the Committee verbally. • A proactive exercise linked to off-framework agency use has been commenced. The exercise will examine the invoices submitted by agencies who supply at off-framework costs, ensuring that the invoices are in line with what

	<p>was forecast, and appropriate budgetary approvals were obtained prior to booking. We will report the outcome of this exercise to the Committee in August 2023.</p> <ul style="list-style-type: none"> • As requested, a report into existing Counter Fraud Overpayment of Salary cases has been prepared, the report also references other over and underpayments highlighted by Payroll and actions undertaken by Workforce to mitigate future events. Due to ongoing investigations, this report is appended to the In-committee paper. • The CF team continues to engage with Internal Audit, with future meetings planned throughout the year.
<p>Hold to Account</p>	<ul style="list-style-type: none"> • A number of new referrals have been received into the department over the last two months, with significant work being undertaken around these, including a collaborative investigation with Dyfed Powys Police. These are noted within a separate report, for discussion during the closed In-Committee session.
<p>Strategic Governance</p>	<ul style="list-style-type: none"> • The draft SRT submitted for approval to the Committee in April 2023 has now been directly inputted into the NHS Counter Fraud Authority electronic portal and digitally signed by both Mr Huw Thomas and Cllr. Rhodri Evans. • Quarterly statistics have been submitted to Counter Fraud Service (CFS) Wales and in compliance with WG directions and CFS Wales have released their Q4 / end of year report, which is appended to the In-Committee report. • The LCFS attended a quarterly PPV meeting, during which issues relating to current error trends were raised and discussed, with a view to identifying potential risk areas. These meetings will continue throughout the year. • The Lead LCFS and Fraud Champion continue to meet on a bi-monthly basis, offering both parties the opportunity to raise and discuss areas of concern.

Report Provided by:
Ben Rees - Lead Local Counter Fraud Specialist
 For presentation; 20 June 2023

Report agreed by:
Huw Thomas
Director of Finance