

**AUDIT AND RISK ASSURANCE COMMITTEE (ARAC)/ PWYLLGOR ARCHWILIO A SICRWYDD RISG
07/05/2026**

TABLE OF ACTIONS/TABL GWEITHREDOEDD

Key: AC-Andrew Carruthers; HT-Huw Thomas; JW-Joanne Wilson; LD-Lee Davies; PK-Philip Kloer; PS-Peter Skitt; RE-Rhodri Evans; UP-Urvisha Perez

MEETING DATE	MINUTE REF	ACTION	LEAD	TIME SCALE	PROGRESS
14/10/2025	AC(25)171	Validation of Emergency Department Waiting Time Data (Limited Assurance) <ul style="list-style-type: none"> To review and revise the SOP and take it forward via CCG and wider Health Board governance processes 	PS	31/07/2026	In progress Due to operational pressures, it has not been possible to take the revised SOP through the governance process. Revised delivery date of end of March 2026 proposed. The Final Draft of the SOP is being commented on by Clinical teams led by the ED Lead Clinician, The introduction of the new ED system has delayed the progress with this action, along with alignment of reporting from a PAS perspective ,this SOP will be completed by July 31st 2026
09/12/2025	AC(25)187	Table of Actions <ul style="list-style-type: none"> AC(25)171 – to clarify whether the revised Standard Operating Procedure (SOP) has been subject to the required governance processes 	AC	23/06/2026	In progress See AC(25)171
10/02/2026	AC(26)27	External Recommendations and Welsh Health Circulars Assurance Report <ul style="list-style-type: none"> To consider requesting a formal response at ARAC from Chief Operating Officer if no improvement in Community and Integrated Medicine CCG performance. 	AC	23/06/2026	In progress In progress. To be considered in June 2026 should no improvement in CIM CCG performance be exhibited. See AC(26)66 below
14/04/2026	AC(26)38	Escalation Status Update Report <ul style="list-style-type: none"> To consider whether organisational bandwidth/ capacity should form the basis of a Corporate Risk 	LD	23/06/2026	Complete Existing risks relating to this issue have been reviewed and a new risk is being considered in line with the action due date

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14/04/2026	AC(26)38	Escalation Status Update Report • To provide an update on the mapping of escalation criteria to committees	JW	23/06/2026	Complete Complete. All the escalation criteria from the updated WG Escalation Framework has been mapped to the Committees. This is undertaken by the Director of Delivery each time, an updated Escalation Framework is issued.
14/04/2026	AC(26)38	Escalation Status Update Report • To take forward the suggestion of training or information for IMs around the new Welsh Government Escalation arrangements	JW	23/06/2026	Complete Session scheduled for 7 July 2026.
14/04/2026	AC(26)41	Audit Wales Audit Plan 2026/27 • To schedule a meeting between Cllr. Evans, Mrs Wilson, Mr Thomas and Mr Tom Haslam	JW	23/06/2026	Complete Agreed this will be later in the financial year
14/04/2026	AC(26)41	Audit Wales Audit Plan 2026/27 • To issue a letter of thanks to Mr Dave Thomas on behalf of the Committee	JW	23/06/2026	Complete
14/04/2026	AC(26)45	Review of the Management of Outpatients • To consider why services are not viewing recording risks around delayed follow-up appointments as a priority	AC	23/06/2026	Complete All historic follow ups with exception of Ophthalmology have been admin and clinically validated and this is embedded in the Care groups reporting structure for risk , quality and governance and add to risk register if appropriate. Ophthalmolgy are working through the cohort now and will be complete by Q3
14/04/2026	AC(26)45	Review of the Management of Outpatients • To consider at SPC the need for a longer term plan in relation to Outpatients, which takes account of demand and the potential use of Primary and Community services	AC	30/09/2026	In progress This item has not been considered at SPC. The plans remain in development
14/04/2026	AC(26)45	Review of the Management of Outpatients • To consider at QSEC Recommendation 7, in relation to harm	AC	30/09/2026	In progress This item is yet to be presented or discussed at QSEC
14/04/2026	AC(26)48	Operational Governance Arrangements (Limited Assurance) • To provide an update on the Operational Governance Arrangements Internal Audit report to a future meeting	AC	23/06/2026	Complete Forward planned for the June 2026 ARAC meeting.

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14/04/2026	AC(26)49	Internal Escalation: Level 3 and 4 Functions (Limited Assurance) • To provide an update on the Internal Escalation: Level 3 and 4 Functions Internal Audit report to a future meeting	HT	13/08/2026	Complete Forward planned for the August 2026 ARAC meeting.
14/04/2026	AC(26)50	Estates Assurance – Space Utilisation (Advisory Report) • To prepare a management response to the report's recommendations, to facilitate tracking on the AMAT system	LD	23/06/2026	Complete Management responses are agreed and will be monitored via the AMAT system by the target date.
14/04/2026	AC(26)61	Financial Assurance Report • To discuss with the Chief Executive concerns around continued breaches of SFIs due to approaches made to suppliers outside recognised procurement processes	RE	23/06/2026	Complete
14/04/2026	AC(26)66	Risk Assurance Report • To invite the Chief Operating Officer and representatives of the CIM CCG to attend a future meeting to provide an update on risks and audits	JW	13/08/2026	Complete Forward planned for the August 2026 ARAC meeting.
07/05/2026	AC(26)76	Digital Transformation Review • To consider the report at DDIC	HT	13/08/2026	In progress Update to be provided at August 2026 meeting
07/05/2026	AC(26)76	Digital Transformation Review • To feedback the comment that the report does not seem to include a conclusion on the Health Board's position with regard to digital transformation	UP	13/08/2026	In progress Update to be provided at August 2026 meeting
07/05/2026	AC(26)77	Internal Audit Plan Progress Report • To schedule a meeting between Cllr. Rhodri Evans, Mr Huw Thomas, Mr James Johns and Mrs Joanne Wilson, to discuss issues with audit delivery and ensure that lessons are learned for future years. To include the need to forward plan sufficient time for scoping audits, dealing with queries and escalating issues	JW	13/08/2026	Complete Complete. Meeting held on 14th May 2026. A new way of working protocol has been agreed covering all the areas requested by the Committee. This was approved by the Chair of ARAC and will be shared with ARAC members for information.
07/05/2026	AC(26)77	Internal Audit Plan Progress Report • To provide an update on the HOIA Opinion to the ARAC Chair following the meeting between Mrs Joanne Wilson and Mr James Johns	JW	13/08/2026	Complete Completed. ARAC Chair updated that the Health Board will receive a limited Head of Internal Audit Opinion. All paperwork shared with the ARAC Chair

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07/05/2026	AC(26)79	Major Infrastructure Investment Programme (Reasonable Assurance) • To revisit the report at a future meeting, following the discussion proposed around contract management	LD	13/08/2026	In progress Update to be provided at August 2026 meeting
07/05/2026	AC(26)79	Major Infrastructure Investment Programme (Reasonable Assurance) • To work with Mr Lee Davies, Mrs Joanne Wilson and Mr Rob Elliott, in dialogue with the Procurement team, to establish how greater assurance can be provided around contract management	HT	13/08/2026	In progress Update to be provided at August 2026 meeting
07/05/2026	AC(26)79	Major Infrastructure Investment Programme (Reasonable Assurance) • To feed back comments around the use of 'consultants' and 'professional advisors' terminology, to ensure greater clarity in future reports	MG	13/08/2026	Complete Complete
07/05/2026	AC(26)80	Withybush General Hospital – Fire Precautions Works Phase 2 (Reasonable Assurance) • In the management response for Key Finding 6, to consider how to reflect the intention to address Engagement and Contract Formalisation of Advisers for future projects, to enable tracking	LD	13/08/2026	In progress Update to be provided at August 2026 meeting
07/05/2026	AC(26)87	Draft Audit and Risk Assurance Committee Annual Report 2025/26 • To reflect in the report that the Chair of ARAC had, during the year, issued letters reminding Health Board staff of the importance of compliance with audit deadlines and constructive engagement with auditors	JW	13/08/2026	Complete Completed – ARAC Annual Report has been amended to include the narrative requested by the committee.
07/05/2026	AC(26)87	Draft Audit and Risk Assurance Committee Annual Report 2025/26 • To take forward with Ms Sharon Daniel the suggestion that HIW be invited to engage in a private forum with ARAC Members, in the same way as for other regulators	JW	13/08/2026	Complete Completed. Mrs Daniel will raise this at the next engagement meeting – recognising a new approach is in place for engagement with the Health Board.

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07/05/2026	AC(26)87	Draft Audit and Risk Assurance Committee Annual Report 2025/26 <ul style="list-style-type: none"> To suggest to Ms Daniel that it might be appropriate to invite the Chair of QSEC to engagement meetings with HIW 	PK	13/08/2026	In progress Update to be provided at August 2026 meeting
07/05/2026	AC(26)93	Financial Grip and Control <ul style="list-style-type: none"> To evaluate the assertion regarding OCPs that there is no additional cost, and provide a response via the Table of Actions 	HT	13/08/2026	In progress Update to be provided at August 2026 meeting
07/05/2026	AC(26)93	Financial Grip and Control <ul style="list-style-type: none"> To consider further how to progress emphasising and enforcing compliance 	HT	13/08/2026	In progress Update to be provided at August 2026 meeting
07/05/2026	AC(26)94	Draft Annual Accounts 2025/26 <ul style="list-style-type: none"> To correct the heading for Pooled Budget contributions on page 72, which should be in thousands 	HT	13/08/2026	Complete Updated for Final Accounts submission