

Audit & Risk Assurance Committee

Thu 05 May 2022, 13:30 - 16:30

Via MS Teams

Agenda

13:30 - 13:30 **1. Introductions**
0 min

1.1. Apologies

Paul Newman

1.2. Declaration of Interests


All

13:30 - 14:40 **2. NWSSP - Audit and Assurance Services - Internal Audit**
70 min

2.1. Internal Audit Plan Progress Report

James Johns

 SBAR IA Plan Progress Report ARAC May 2022.pdf (3 pages)

 IA Plan Progress Report ARAC May 2022.pdf (11 pages)

2.2. Risk Management & Board Assurance Framework

James Johns/Joanne Wilson

DEFERRED to 9 June 2022

2.3. Infection Prevention & Control (Reasonable Assurance)

James Johns/Mandy Rayani

 Infection Prevention & Control Final IA Report.pdf (12 pages)

2.4. Nurse Staffing Act (Reasonable Assurance)

James Johns/Mandy Rayani

 Nurse Staffing Levels (Wales) Act 2016 Compliance Final IA Report.pdf (17 pages)


2.5. Prevention of Self Harm (Limited Assurance)

James Johns/Mandy Rayani

 Prevention Of Self Harm Final IA Report.pdf (17 pages)

2.6. Women & Children's Phase 2 (Reasonable Assurance)

James Johns/Andrew Carruthers

 Women and Children's Phase II Final IA Report.pdf (42 pages)

2.7. BlackLine Financial Reconciliation System (Non-opinion Review)

James Johns/Huw Thomas

14:40 - 15:50
70 min

3. Governance

3.1. Year-end Processes:

3.1.1. Compliance with Ministerial Directions

Joanne Wilson

📄 Ministerial Directions 2021-22 ARAC May 2022.pdf (7 pages)

3.1.2. Compliance with Welsh Health Circulars

Joanne Wilson

📄 Welsh Health Circulars 2021-22 ARAC May 2022.pdf (5 pages)

📄 Appendix A - WHC Tracker.pdf (5 pages)

3.2. Draft Audit & Risk Assurance Committee Annual Report 2021/22

Paul Newman

📄 SBAR ARAC Annual Report 2021-22.pdf (3 pages)

📄 Draft ARAC Annual Report 2021-22.pdf (30 pages)

3.3. Draft Head of Internal Audit Opinion & Annual Report 2021/22

James Johns

📄 SBAR HoIA Opinion & Annual Report 2021-22 ARAC May 2022.pdf (3 pages)

📄 HoIA Opinion & Annual Report 2021-22 Draft for ARAC May 2022.pdf (31 pages)

3.4. BREAK

3.5. Assurance Report on Board Effectiveness

Joanne Wilson

📄 Board Effectiveness Report 2021-22 ARAC May 2022.pdf (7 pages)

📄 Appendix 1 - Self-assessment against the Corporate Governance Code of Practice 2017.pdf (10 pages)

📄 Appendix 2 - Annual Assessment against H&C Standard 1 Governance, Accountability and Leadership.pdf (17 pages)

📄 Appendix 3A - Letter to HDdUHB re Joint Escalation and Intervention Arrangements.pdf (2 pages)

📄 Appendix 3B - HDdUHB Escalation Status Response.pdf (3 pages)

3.6. Audit Enquiries to those Charged with Governance and Management

Huw Thomas

📄 SBAR Audit Enquiries Letter 2021-22 ARAC May 2022.pdf (3 pages)

📄 Appendix 1 - Audit Enquiries Letter & Draft Response 2021-22.pdf (21 pages)

3.7. Draft Accountability Report

Joanne Wilson

📄 SBAR Draft Accountability Report 2021-22 ARAC May 2022.pdf (4 pages)

📄 Draft Accountability Report 2021-22 for ARAC May 2022.pdf (90 pages)

3.8. Draft Performance Overview

Huw Thomas

📄 SBAR Performance Report ARAC May 2022.pdf (3 pages)


15:50 - 16:30 **4. Financial Focus**

40 min

4.1. Draft Annual Accounts 2021/22

Huw Thomas

 SBAR Draft Annual Accounts 2021-22 ARAC May 2022.pdf (4 pages)

 HDdUHB Draft Accounts 2021-22.pdf (75 pages)

 Presentation - Draft Accounts - ARAC May 2022.pdf (15 pages)

16:30 - 16:30 **5. Any Other Business**

0 min

All

16:30 - 16:30 **6. Date and Time of Next Meeting**

0 min

9.30am, 9 June 2022 (Sign-off Annual Accounts)

9.30am, 21 June 2022 (Routine Meeting)