

**PWYLLGOR ARCHWILIO A SICRWYDD RISG
AUDIT AND RISK ASSURANCE COMMITTEE**

DYDDIAD Y CYFARFOD: DATE OF MEETING:	16 April 2024
TEITL YR ADRODDIAD: TITLE OF REPORT:	Report on the Health Board's Escalation Status
CYFARWYDDWR ARWEINIOL: LEAD DIRECTOR:	Professor Philip Kloer, Interim Chief Executive
SWYDDOG ADRODD: REPORTING OFFICER:	Joanne Wilson, Director of Corporate Governance/Board Secretary

Pwrpas yr Adroddiad (dewiswch fel yn addas)

Purpose of the Report (select as appropriate)

Er Sicrwydd/For Information

**ADRODDIAD SCAA
SBAR REPORT**

Sefyllfa / Situation

The purpose of this report is to update Audit and Risk Assurance Committee (ARAC) members regarding the Targeted Intervention Inception Meeting held with Welsh Government (WG) on 15 March 2024.

Cefndir / Background

The Health Board has been in 'targeted intervention' for finance and planning, and 'enhanced monitoring' for quality issues related to performance resulting in long waiting times and poor patient experience since September 2022. However, due to WG concerns on the lack of sustained progress over a period of time on integrated planning, finance and delivery, on 23 January 2024, WG increased the escalation status to 'targeted intervention' for the entire organisation. This ministerial decision followed the tripartite process of Healthcare Inspectorate Wales, Audit Wales and Welsh Government officials' discussing collective intelligence of NHS Wales organisations. The escalation of the whole organisation into level 4 reflects escalating concerns in all of the 6 domains of the [NHS Wales Escalation and oversight Framework](#).



Asesiad / Assessment

Targeted Intervention (TI) Inception Meeting

A TI inception meeting was held on 15 March 2024 to review and consider the draft escalation framework. The framework sets out the Welsh Government approach to oversight and escalation, the roles and responsibilities and expected outcomes of each domain.

The escalation framework is still in a draft format at the present time and will be shared with ARAC Members as soon as the final version is received.

A more detailed report will be provided at the next meeting by the Chief Executive Officer and the Senior Responsible Officer, the Executive Director of Strategy and Planning.

Argymhelliad / Recommendation

The Audit and Risk Assurance Committee is invited to receive for information the update from the TI inception meeting held on 15 March 2024.

Amcanion: (rhaid cwblhau)

Objectives: (must be completed)

Committee ToR Reference: Cyfeirnod Cylch Gorchwyl y Pwyllgor:	3.24 The Committee shall request and review reports and positive assurances from directors and managers on the overall arrangements for governance, risk management and internal control
Cyfeirnod Cofrestr Risg Datix a Sgôr Cyfredol: Datix Risk Register Reference and Score:	Not Applicable
Parthau Ansawdd: Domains of Quality Quality and Engagement Act (sharepoint.com)	2. Timely
Galluogwyr Ansawdd:	Not Applicable

Enablers of Quality: Quality and Engagement Act (sharepoint.com)	
Amcanion Strategol y BIP: UHB Strategic Objectives:	6. Sustainable use of resources
Amcanion Cynllunio Planning Objectives	All Planning Objectives Apply
Amcanion Llesiant BIP: UHB Well-being Objectives: Hyperlink to HDdUHB Well-being Objectives Annual Report 2021-2022	10. Not Applicable

Gwybodaeth Ychwanegol: Further Information:	
Ar sail tystiolaeth: Evidence Base:	Notes of the meeting
Rhestr Termiau: Glossary of Terms:	Included within the body of the report
Partion / Pwyllgorau â ymgynhorwyd ymlaen llaw y Pwyllgor Archwilio a Sicrwydd Risg Parties / Committees consulted prior to Audit and Risk Assurance Committee:	Meeting information for the 15 March 2024 has been shared with the Chair.

Effaith: (rhaid cwblhau) Impact: (must be completed)	
Ariannol / Gwerth am Arian: Financial / Service:	Any issues are identified in the report.
Ansawdd / Gofal Claf: Quality / Patient Care:	Any issues are identified in the report.
Gweithlu: Workforce:	Any issues are identified in the report.
Risg: Risk:	Ensuring that ARAC is sighted on key areas of its business, and on national strategic priorities and issues, is essential to assurance processes and related risks.
Cyfreithiol: Legal:	Any issues are identified in the report.
Enw Da: Reputational:	Any issues are identified in the report.
Gyfrinachedd: Privacy:	Not Applicable
Cydraddoldeb: Equality:	<ul style="list-style-type: none"> • Has EqIA screening been undertaken? Not on the Report • Has a full EqIA been undertaken? Not on the Report