

Tuesday  
**16**  
Apr 2024

## Audit & Risk Assurance Committee Meeting

🕒 09:30 - 13:00

HDD\_Audit and Risk  
Committee

📍 Microsoft Teams Meeting; Ystwyth Board Room Avocor (Hywel Dda UHB -  
Generic Account)

### Agenda

09:30 🕒 5 min

#### 1 | Introductions

##### 1.1 | Apologies

Rhodri Evans (Hywel Dda UHB - Indepe...

##### 1.2 | Declaration of Interests

All

🕒 25 min

#### 2 | Governance

##### 2.1 | Minutes of the Meeting held on 20 February 2024

Rhodri Evans (Hywel Dda UHB - Indepe...

📎 1

##### 2.2 | Table of Actions

Rhodri Evans (Hywel Dda UHB - Indepe...

📎 1

##### 2.3 | Matters Arising not on Agenda

Rhodri Evans (Hywel Dda UHB - Indepe...

##### 2.4 | Escalation Status Update

Philip Kloer (Hywel Dda UHB - Interim C...  
Lee Davies (Hywel Dda UHB - Executive ...

📎 1

##### 2.5 | Contract and Procurement Processes - Governance

Joanne Wilson (Hywel Dda UHB - Direct...

📎 1

🕒 40 min

#### 3 | Financial Focus

##### 3.1 | Financial Assurance Report

Huw Thomas (Hywel Dda UHB - Directo...

📎 8

##### 3.2 | Industrial Action Payments (To Follow)

Huw Thomas (Hywel Dda UHB - Directo...  
Ardiana Gjini (Hywel Dda UHB - Executi...

##### 3.3 | Counter Fraud Annual Report 2023/24

Benjamin Rees (Hywel Dda UHB - Local ...

📎 2

### 3.4 | Counter Fraud Work Plan 2024/25

Benjamin Rees (Hywel Dda UHB - Local ...)

📎 2

### 3.5 | NHS Counter Fraud Authority Draft SRT Return

Benjamin Rees (Hywel Dda UHB - Local ...)

📎 2

🕒 30 min

## 4 | Audit Wales

### 4.1 | Audit Wales Update Report

Anne Beegan

📎 1

### 4.2 | Audit Wales Annual Plan 2024

Anne Beegan

📎 1

### 4.3 | Follow-up Review of Primary Care - Revised Management Response (To Follow)

Jill Paterson (Hywel Dda Health Board - ...)  
Rhian Bond (Hywel Dda UHB - Assistant...)

### 4.4 | Review of Unscheduled Care

DEFERRED to 18 June 2024

Anne Beegan  
Andrew Carruthers (...)

### 4.5 | Review of Operational Governance Arrangements across Service Directorates

DEFERRED to 18 June 2024

Anne Beegan  
Andrew Carruthers (...)

🕒 10 min

## 5 | BREAK

🕒 1 hr 30 min

## 6 | NWSSP – Audit and Assurance Services - Internal Audit

### 6.1 | Internal Audit Plan Progress Report

James Johns (NWSSP - Internal Audit)

📎 2

### 6.2 | Internal Audit Plan and Charter 2024/25

James Johns (NWSSP - Internal Audit)

📎 2

### 6.3 | WGH RAAC Internal Major Incident (Reasonable Assurance)

James Johns (NWSSP - Internal Audit)  
Andrew Carruthers (Hywel Dda UHB - D...)

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## 6.4 | Transforming Urgent and Emergency Care (TUEC) (Reasonable Assurance)

James Johns (NWSSP - Internal Audit)  
Andrew Carruthers (Hywel Dda UHB - D...

📎 1

## 6.5 | Cleanliness/Cleaning Standards

DEFERRED to 9 May 2024

Andrew Carruthers (...  
James Johns (NWSSP ...

## 6.6 | Elective Waiting List Management - Single Cancer Pathway (Reasonable Assurance)

James Johns (NWSSP - Internal Audit)  
Andrew Carruthers (Hywel Dda UHB - D...

📎 1

## 6.7 | GGH Fire Enforcement (BJC1)

DEFERRED to 9 May 2024

James Johns (NWSSP ...  
Andrew Carruthers (...

## 6.8 | Records Digitisation Follow-up (Reasonable Assurance)

James Johns (NWSSP - Internal Audit)  
Andrew Carruthers (Hywel Dda UHB - D...  
Huw Thomas (Hywel Dda UHB - Directo...

📎 1

## 6.9 | Discharge Management (Limited Assurance)

James Johns (NWSSP - Internal Audit)  
Andrew Carruthers (Hywel Dda UHB - D...

📎 1

## 6.10 | Agency/Rostering (Reasonable Assurance)

James Johns (NWSSP - Internal Audit)  
Lisa Gostling (Hywel Dda UHB - Executi...

📎 1

## 6.11 | Cross Hands Health and Wellbeing Centre Capital Scheme (Reasonable Assurance)

James Johns (NWSSP - Internal Audit)  
Lee Davies (Hywel Dda UHB - Executive ...

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## 6.12 | Consultant Job Planning Follow-up (Limited Assurance)

James Johns (NWSSP - Internal Audit)  
Mark Henwood (Hywel Dda UHB - Interi...

📎 1

🕒 5 min

## 7 | Assurance and Risk

### 7.1 | Audit Tracker

Joanne Wilson (Hywel Dda UHB - Direct...

📎 5

## 8 | For Information

## 8.1 | Audit & Risk Assurance Committee Work Programme 2024/25

Rhodri Evans (Hywel Dda UHB - Indepe...

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## 8.2 | National Internal Audit Reports

NONE RECEIVED

## 9 | Any Other Business

All

## 10 | Review of Meeting

### 10.1 | Matters and Risks for Escalation to the Board

Rhodri Evans (Hywel Dda UHB - Indepe...  
Joanne Wilson (Hywel Dda UHB - Direct...

## 11 | Date and Time of Next Meeting

9.30am, 9 May 2024 (Review of Draft Annual Accounts and Accountability Report)

9.30am, 18 June 2024 (Routine Meeting)