

Audit and Risk Assurance Committee

Tue 12 December 2023, 09:30 - 13:15

Ystwyth Boardroom/MS Teams



Agenda

09:30 - 09:35

5 min

1. Introductions

1.1. Apologies

Rhodri Evans

1.2. Declaration of Interests

All

09:35 - 10:00

25 min

2. Governance

2.1. Minutes of the Meeting held on 17 October 2023

Rhodri Evans

Item 2.1 Unapproved ARAC Minutes 17 October 2023.pdf (21 pages)

2.2. Table of Actions

Rhodri Evans

Item 2.2 Table Of Actions ARAC 17 October 2023.pdf (9 pages)

Item 2.2 Appendix 1 - Quality Decision-making Tool.pdf (3 pages)

2.3. Matters Arising not on the Agenda

Rhodri Evans

2.4. Escalation Status Update

Steve Moore

Item 2.4 Escalation Status Update ARAC December 2023.pdf (6 pages)

Item 2.4 2023-12-04 JP to SM - following mid Year JET.pdf (6 pages)

2.5. Contract and Procurement Processes - Governance

Joanne Wilson

DEFERRED to 20 February 2024

2.6. ARAC Self-Assessment Timelines 2023/24

Joanne Wilson

Item 2.6 ARAC Self-Assessment Timelines 2023-24.pdf (3 pages)



10:00 - 10:15

15 min

3. Financial Focus



3.1. Financial Assurance Report

Huw Thomas

-  Item 3.1 SBAR Financial Assurance Report ARAC December 2023.pdf (3 pages)
-  Item 3.1 Financial Assurance Report ARAC December 2023 (incl Appendix 1 - 3).pdf (17 pages)

3.2. Counter Fraud Update

Ben Rees

-  Item 3.2 SBAR Counter Fraud Update ARAC December 2023.pdf (3 pages)
-  Item 3.2 Counter Fraud Update ARAC December 2023.pdf (6 pages)

10:15 - 10:30 4. Deep Dive Follow-up

15 min

4.1. Ophthalmology Deep Dive Update

Andrew Carruthers

-  Item 4.1 Ophthalmology Deep Dive Update ARAC December 2023.pdf (11 pages)

10:30 - 11:15 5. Audit Wales

45 min

5.1. Audit Wales Update Report

Audit Wales

-  Item 5.1 2023-24 Audit Wales ARAC Update December 2023.pdf (12 pages)

5.2. Structured Assessment 2023

Audit Wales/Joanne Wilson/Andrew Carruthers/Lee Davies

-  Item 5.2 3950A2023 HDUHB Structured Assessment 2023 report.pdf (34 pages)

5.3. Follow-up Review of Primary Care

Audit Wales/Jill Paterson

-  Item 5.3 3949A2023 HDUHB Primary Care Follow-Up Report.pdf (27 pages)

5.4. Review of Unscheduled Care

Audit Wales/Andrew Carruthers

DEFERRED to 20 February 2024

11:15 - 11:25 6. BREAK

10 min

11:25 - 13:00 7. NWSSP - Audit and Assurance Services - Internal Audit

95 min

7.1. Internal Audit Plan Progress Report

James Johns

-  Item 7.1 SBAR IA Plan Progress Report ARAC December 2023.pdf (4 pages)
-  Item 7.1 IA Plan Progress Report ARAC December 2023.pdf (9 pages)

7.2. Interim Update - Quality and Safety Governance BGH

James Johns/Mandy Rayani/Andrew Carruthers

 Item 7.2 BGH Q&S Follow-up Interim Progress Update.pdf (15 pages)

7.3. WGH RAAC Internal Major Incident

James Johns/Andrew Carruthers

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7.4. Estates Condition (Limited Assurance)

James Johns/Andrew Carruthers

 Item 7.4 Estates Condition Final Internal Audit Report.pdf (32 pages)

7.5. Deprivation of Liberty Safeguards (Reasonable Assurance) Revised Version

James Johns/Jill Paterson

 Item 7.5 DoLS Final Internal Audit Report (Revised).pdf (14 pages)

7.6. Workforce Strategies - Site Stabilisation

James Johns/Lisa Gostling

REMOVED FROM IA PLAN - TO BE REPLACED

7.7. Decarbonisation

James Johns/Lee Davies

DEFERRED to 20 February 2024

7.8. Cross Hands Health and Wellbeing Centre

James Johns/Lee Davies

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7.9. Strategic Programme Governance Follow-up (Reasonable Assurance)

James Johns/Lee Davies/Huw Thomas

 Item 7.9 Strategic Programme Governance Follow-up Final Internal Audit Report.pdf (13 pages)

7.10. Technical Resilience (Reasonable Assurance)

James Johns/Huw Thomas

Due to potentially sensitive information contained within this report, it will be discussed during the In-Committee session

7.11. Emergency Planning





James Johns/Dr Ardiana Gjini

DEFERRED to 20 February 2024

13:00 - 13:10 **8. Assurance and Risk**
10 min


8.1. Audit Tracker

Joanne Wilson

-  Item 8.1 Audit Tracker ARAC December 2023.pdf (15 pages)
-  Item 8.1 Appendix 1 - Audit and Inspection Tracker - November 2023.pdf (53 pages)
-  Item 8.1 Appendix 2 - Open and Closed Reports - December 2023.pdf (4 pages)
-  Item 8.1 Appendix 3 - Not Known Recommendations - December 2023.pdf (11 pages)

13:10 - 13:10 **9. For Information**
0 min

9.1. Audit & Risk Assurance Committee Work Programme 2023/24

-  Item 9.1 Audit Work Programme 2023-24.pdf (10 pages)

9.2. National Internal Audit Reports

NONE TO REPORT

13:10 - 13:10 **10. Any Other Business**
0 min
All

13:10 - 13:15 **11. Review of Meeting**
5 min

11.1. Matters and Risks for Escalation to the Board

Rhodri Evans/Joanne Wilson

13:15 - 13:15 **12. Date and Time of Next Meeting**
0 min
9.30am, 20 February 2024