



**PWYLLGOR ARCHWILIO A SICRWYDD RISG  
AUDIT AND RISK ASSURANCE COMMITTEE**

<b>DYDDIAD Y CYFARFOD: DATE OF MEETING:</b>	17 October 2023
<b>TEITL YR ADRODDIAD: TITLE OF REPORT:</b>	Primary Care Post Payment Verification (PPV) Update
<b>CYFARWYDDWR ARWEINIOL: LEAD DIRECTOR:</b>	Jill Paterson, Director of Primary Care, Community and Long Term Care
<b>SWYDDOG ADRODD: REPORTING OFFICER:</b>	Tracey Huggins, Head of General Medical Services and Community Pharmacy (Contracting)

**Pwrpas yr Adroddiad (dewiswch fel yn addas)**

**Purpose of the Report (select as appropriate)**

Er Gwybodaeth/For Information

**ADRODDIAD SCAA**

**SBAR REPORT**

**Sefyllfa / Situation**

This report provides an updated position on Post Payment Verification (PPV) for Primary Care - April 2023 to August 2023.

**Cefndir / Background**

With regards to General Medical Services only, since the transition of family practitioner payments away from the National Health Application and Infrastructure Services to Business Services Organisation in Northern Ireland's Family Practitioner Payment System in December 2021, the Post Payment Verification (PPV) service provided by NHS Wales Shared Services Partnership (NWSSP) has been largely restricted but has now partially restarted.

It was NWSSP's intention to agree with all individual Health Boards to re-instate the PPV for General Medical Services in the second half of 2022/23, and to undertake reviews of 222 practices across Wales in 2022/23, with resumption of full reviews from April 2023.

Due to difficulties experienced with data collection and submission from practices to NWSSP Primary Care Services using the new system, NWSSP Primary Care Services has confirmed that, although Initial Visits have recommenced in April 2023, it is not possible to move on to Revisits until December 2023, when a full year of data is made available to the PPV Team.

## Asesiad / Assessment

Summary of all GMS PPV visits undertaken between April 2023 and August 2023.

Visit Data					
Visit date	Visit type	Sample size	Claim errors	Claim error %	Recovery
Jul-23	Routine	250	24	9.60%	£646.67
May-23	Routine	226	13	5.75%	£293.62
Jun-23	Routine	Visit in progress			
Jul-23	Routine	354	18	5.08%	£633.24
May-23	Routine	Visit in progress			
Jun-23	Routine	258	23	8.91%	£897.16
Jul-23	Routine	Visit in progress			
Jul-23	Revisit	Visit in progress			
May-23	Routine	264	13	4.92%	£454.51

### Summary of Recoveries and Action Taken:

#### Practice 1 (24 Claim Errors)

Total recovery of £646.67 which is made up of 1 x Gonadorelin, 2 x Immunisations, 1 x Minor Surgery (injection), 8 x Near Patient Testing (NPT), 4 x Direct Oral Anti Coagulation (DOAC), 1 x Pertussis, 7 x Substance Misuse. A revisit has been approved to be undertaken in the next 12 months on NPT, DOAC and Substance Misuse.

#### Practice 2 (13 Claim Errors)

Total recovery of £293.62 made up of 2 x Denosumab, 2 x Flu, 7 x Immunisations, 2 x Minor Surgery, 1 x DOAC. A revisit has been approved to be undertaken in the next 12 months on Denosumab and Immunisations.

#### Practice 3 (18 Claim Errors)

Recovery of £633.24 on 2 x Care Homes, 2 x Contraceptive Services, 1 x Flu, 2 x Gonadorelins, 2 x Immunisations, 3 x Insulin, 6 x NPT. A revisit has been approved to be undertaken in the next 12 months on Insulin and NPT.

#### Practice 4 (23 Claim Errors)

Total Recovery of £897.16 on 4 x Immunisations, 1 x NPT, 16 x DOAC, 2 x Treatment Room (TR). A revisit has been approved to be undertaken in the next 12 months on Immunisations and DOAC.

#### Practice 5 (13 Claim Errors)

Recovery of £454.51 on 5 x Immunisations, 4 x Minor Surgery (excisions), 4 x Warfarin A. A revisit is required within 12 months on Immunisations, MS (excisions) and Warfarin A.

## PPV Visits for Optometric Practices

NWSSP has recommenced PPV visits for General Ophthalmic Services (GOS) fully in 2023/24. These will now be conducted through a combination of remote and physical visits. A total of 34 Practices are expected to undertake their PPV visit during 2023/24.

### Summary of all PPV visits undertaken for GOS in Optometric Practices between April and August 2023:

Visit Data – GOS					
Visit date	Visit type	Sample size	Claim errors	Claim error %	Recovery
Aug-23	Routine	103	1	0.97%	£63.68
Aug-23	Routine	103	2	1.94%	£81.56
Aug-23	Routine	103	2	1.94%	£28.57

### Summary of recoveries and action taken

Practice 1 – Recovery of £63.68 relating to Eye Health Examinations Wales (EHEW) B1. No revisit necessary.

Practice 2 – Recovery of £81.56 relating to GOS 4 and EHEW B2. No revisit necessary.

Practice 3 – Recovery of £28.57 relating to GOS 4 and EHEW B3. No revisit necessary.

### Summary of PPV Visits for Community Pharmacies between April and August 2023

Validation 'visits' by the PPV team have been extended to the Community Pharmacy Quality & Safety Scheme and Collaborative Working. This work has been completed remotely, by utilising the data held within databases held in Primary Care Services (PCS) and therefore was not intrusive/did not place additional requirements on the front-line service.

PPV verified the submission of All Wales Pharmacy Database (AWPD) validation data and the Prevalence of High Risk medicines, and also required the pharmacies to submit copies of the practices IQT bronze e-learning certificates, which were emailed to the PPV team.

This enabled PPV to verify the correct completion of these, and they have been stored securely until the next routine 3-year cycle visit for reference as, once submitted, they will not need to be submitted again. Upon return of the IQT bronze e-learning certificates, an email is issued to the pharmacy to confirm the number of e-learning certificates they have supplied matches the number of employees who are involved in the provision of NHS pharmaceutical services.

9 PPV reports were received for this period, with no financial recoveries being made.

### Summary of PPV Visits for Dental between April and August 2023

No PPV activity reported.

## Argymhelliad / Recommendation

The Audit and Risk Assurance Committee is asked to **NOTE** the information contained within this report.

<b>Amcanion: (rhaid cwblhau)</b> <b>Objectives: (must be completed)</b>	
Committee ToR Reference: Cyfeirnod Cylch Gorchwyl y Pwyllgor:	<p>3.1 The Committee shall review the adequacy of the UHB's strategic governance and assurance arrangements and processes for the maintenance of an effective system of good governance, risk management and internal control, across the whole of the organisation's activities (both clinical and non-clinical) that supports the achievement of the organisation's objectives.</p> <p>3.3 In carrying out this work, the Committee will primarily utilise the work of Internal Audit, Clinical Audit, External Audit and other assurance functions, but will not be limited to these audit functions. It will also seek reports and assurances from directors and managers as appropriate, concentrating on the overarching systems of good governance, risk management and internal control, together with indicators of their effectiveness.</p> <p>3.14 Receive an assurance on Post Payment Verification Audits through bi-annual reporting to the Committee.</p>
Cyfeirnod Cofrestr Risg Datix a Sgôr Cyfredol: Datix Risk Register Reference and Score:	Not applicable
Parthau Ansawdd: Domains of Quality <a href="#">Quality and Engagement Act (sharepoint.com)</a>	<p>3. Effective</p> <p>4. Efficient</p> <p>4. Efficient</p> <p>5. Equitable</p>
Galluogwyr Ansawdd: Enablers of Quality: <a href="#">Quality and Engagement Act (sharepoint.com)</a>	3. Data to knowledge
Amcanion Strategol y BIP: UHB Strategic Objectives:	6. Sustainable use of resources
Amcanion Cynllunio Planning Objectives	8c Financial Roadmap
Amcanion Llesiant BIP: UHB Well-being Objectives: <a href="#">Hyperlink to HDdUHB Well-being Objectives Annual Report 2021-2022</a>	10. Not Applicable

<b>Gwybodaeth Ychwanegol: Further Information:</b>	
Ar sail tystiolaeth: Evidence Base:	Not applicable
Rhestr Termau: Glossary of Terms:	Contained within the body of the report
Partïon / Pwyllgorau â ymgynhorwyd ymlaen llaw y Pwyllgor Archwilio a Sicrwydd Risg Parties / Committees consulted prior to Audit and Risk Assurance Committee:	PPV Team

<b>Effaith: (rhaid cwblhau) Impact: (must be completed)</b>	
<b>Ariannol / Gwerth am Arian: Financial / Service:</b>	Assurance around appropriate and proper use of funding provided by GMS Enhanced Services
<b>Ansawdd / Gofal Claf: Quality / Patient Care:</b>	Not applicable
<b>Gweithlu: Workforce:</b>	Not applicable
<b>Risg: Risk:</b>	Assurance around appropriate and proper use of funding provided by GMS Enhanced Services
<b>Cyfreithiol: Legal:</b>	Application of Statement of Financial Entitlement under the terms of the General Medical Services Contract
<b>Enw Da: Reputational:</b>	Not applicable
<b>Gyfrinachedd: Privacy:</b>	Not applicable
<b>Cydraddoldeb: Equality:</b>	Not applicable