

Audit & Risk Assurance Committee

Thu 11 May 2023, 09:30 - 12:30

Board Room, Ystwyth Building and via MS Teams

Agenda

09:30 - 09:30 **1. Introductions**
0 min

1.1. Apologies

Rhodri Evans

1.2. Declaration of Interests

All

09:30 - 09:40 **2. Audit Wales**
10 min

2.1. Audit Wales Annual Plan 2023

Audit Wales

 2.1 Hywel Dda UHB Detailed Audit Plan 2023.pdf (22 pages)

09:40 - 10:50 **3. NWSSP - Audit and Assurance Services - Internal Audit**
70 min

3.1. Internal Audit Plan Progress Report


James Johns

 3.1 SBAR IA Plan Progress Report ARAC May 23.pdf (3 pages)

 3.1 IA Plan Progress Report ARAC May 2023.pdf (9 pages)

3.2. Job Planning (Limited Assurance)

James Johns/Philip Kloer

 3.2 Job Planning Final IA Report.pdf (13 pages)

3.3. Service Reset and Recovery (Reasonable Assurance)

James Johns/Andrew Carruthers

 3.3 Service Reset & Recovery Final IA Report.pdf (12 pages)

3.4. WGH Fire Precautions Phase 1 (Reasonable Assurance)

James Johns/Andrew Carruthers

 3.4 WGH Fire Precautions Phase 1 Final IA Report.pdf (30 pages)


3.5. Theatre Loan Trays & High Cost Consumables

James Johns/Andrew Carruthers

DEFERRED to 20 June 2023

3.6. Regional Integrated Fund (Reasonable Assurance)

Huw Thomas/Andrew Spratt/Rhian Davies

 3.6 Regional Integrated Fund Final IA Report.pdf (13 pages)

3.7. Lessons Learned

James Johns/Mandy Rayani

DEFERRED to 20 June 2023

3.8. Agency Nursing & Rostering

James Johns/Lisa Gostling

DEFERRED to 20 June 2023

3.9. Records Digitalisation (Limited Assurance)

James Johns/Huw Thomas/Anthony Tracey

 3.9 Records Digitisation Final IA Report.pdf (16 pages)

3.10. Strategic Transformation Programme Governance

James Johns/Huw Thomas

DEFERRED to 20 June 2023

3.11. Financial Management

James Johns/Huw Thomas

DEFERRED to 20 June 2023


10:50 - 11:40
50 min

4. Governance

4.1. Year-end Processes:

4.1.1. Compliance with Ministerial Directions

Joanne Wilson

 4.1.1 Ministerial Directions 2022-23 ARAC May 2023.pdf (8 pages)

4.1.2. Compliance with Welsh Health Circulars


Joanne Wilson

 4.1.2 Welsh Health Circulars 2022-23 ARAC May 2023.pdf (14 pages)

4.2. Draft Audit & Risk Assurance Committee Annual Report 2022/23

Rhodri Evans


 4.2 SBAR ARAC Annual Report 2022-23.pdf (3 pages)

 4.2 Draft ARAC Annual Report 2022-23.pdf (28 pages)

4.3. Draft Head of Internal Audit Opinion & Annual Report 2022/23

James Johns




 4.3 SBAR HoIA Opinion & Annual Report 2022-23 ARAC May 2023.pdf (3 pages)

 4.3 HoIA Opinion & Annual Report 2022-23 Draft for ARAC May 2023.pdf (33 pages)

4.4. BREAK



4.5. Assurance Report on Board Effectiveness

Joanne Wilson

-  4.5 SBAR ARAC Board Effectiveness May 2023.pdf (8 pages)
-  4.5 Appendix 1 - Corporate Governance Code 2017 2022-23 Assessment.pdf (11 pages)
-  4.5 Appendix 2 - Proposed Annual Report and Self-Assessment Process 2023-24.pdf (1 pages)

4.6. Audit Enquiries to those Charged with Governance and Management

Huw Thomas/Andrew Spratt/Rhian Davies

-  4.6 SBAR Audit Enquiries Letter 2022-23 ARAC May 2023.pdf (3 pages)
-  4.6 Appendix 1 - Audit Enquiries Letter & Draft Response 2022-23.pdf (33 pages)



4.7. Draft Performance Overview

Huw Thomas/Andrew Spratt/Rhian Davies

-  4.7 SBAR Performance Report ARAC May 2023.pdf (4 pages)
-  4.7 Draft Annual Report 2022-23 for ARAC May 2023.pdf (164 pages)

4.8. Draft Accountability Report

Joanne Wilson

-  4.8 SBAR Draft Accountability Report 2022-23 ARAC May 2023.pdf (4 pages)
-  4.8 Draft Accountability Report 2022-23 ARAC May 2023.pdf (98 pages)




11:40 - 12:30 5. Financial Focus

50 min

5.1. Draft Annual Accounts 2022/23

Huw Thomas/Andrew Spratt/Rhian Davies

The presentation to accompany this item will follow on 9 May 2023

-  5.1 SBAR Draft Annual Accounts 2022-23 ARAC May 2023.pdf (3 pages)
-  5.1 Draft Annual Accounts 2022-23.pdf (74 pages)
-  5.1 Draft Accounts ARAC May 2023 Presentation.pdf (15 pages)

12:30 - 12:30 6. Any Other Business

0 min

All

12:30 - 12:30 7. Date and Time of Next Meeting

0 min

- 9.30am, 20 June 2023 (Routine Meeting)
- 9.30am, 26 July 2023 (Sign-off Annual Accounts)