

# Audit & Risk Assurance Committee

Tue 15 December 2020, 09:30 - 12:45

Boardroom/MS Teams

## Agenda

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**09:30 - 09:35** **1. Introductions**  
5 min

**1.1. Apologies**

*Paul Newman*

**1.2. Declaration of Interests**

*All*

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**09:35 - 09:50** **2. Governance**  
15 min




**2.1. Minutes of the Meeting held on 20 October 2020**

*Paul Newman*

 Unapproved ARAC Minutes 20 October 2020.pdf (18 pages)

**2.2. Table of Actions**

*Paul Newman*

-  Table Of Actions ARAC 20 October 2020.pdf (13 pages)
-  Appendix 1 - Update AC(20)176 & AC(20)177.pdf (2 pages)
-  Appendix 2 - Update AC(20)188.pdf (3 pages)

**2.3. Matters Arising not on the Agenda**

*Paul Newman*



**2.4. All Wales NHS Audit Committee Chairs' Meeting**

*Paul Newman*

 All Wales Audit Committee Chairs' Meeting.pdf (5 pages)

**2.5. Annual Review of Standing Orders/Standing Financial Instructions**

*Joanne Wilson*

-  Annual Review of SOs SFIs ARAC December 2020.pdf (5 pages)
  -  LHB Revised Standing Orders Final Draft December 2020.pdf (61 pages)
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**09:50 - 09:55** **3. Financial Focus**  
5 min

**3.1. Financial Assurance Report**

*Huw Thomas*


 Financial Assurance Report ARAC December 2020.pdf (20 pages)

09:55 - 10:10  
15 min

## 4. Audit Wales

### 4.1. Audit Wales Update

*Audit Wales*

 2069A2020-21 HDUHB AC Update.pdf (10 pages)

### 4.2. Audit Wales Annual Audit Report 2020

*Audit Wales*

 2169A2020-21 Hywel Dda UHB 2020 Annual Audit Report.pdf (18 pages)

### 4.3. Audit Wales Structured Assessment 2019 - Progress to Date


*Joanne Wilson*

 SA 2018 & 2019 ARAC December 2020.pdf (4 pages)

### 4.4. Audit Wales Report: The Refurbishment of Ysbyty Glan Clwyd

*Audit Wales/Huw Thomas*

 Ysbyty Glan Clwyd ARAC December 2020.pdf (3 pages)

 Appendix 1 - Hywel Dda Governance - Advisory Review.pdf (13 pages)

 Appendix 2 - AW Ysbyty-Glan-Clwyd-Eng.pdf (44 pages)

10:10 - 12:10  
120 min

## 5. NWSSP - Audit and Assurance Services - Internal Audit

### 5.1. Internal Audit Plan Progress Report

*James Johns*

 A&A SBAR ARAC December 2020.pdf (3 pages)

 A&A HDUHB Progress Report ARAC December 2020.pdf (12 pages)

### 5.2. IM&T Control & Risk Assessment (No Assurance Rating)

*James Johns/Huw Thomas*

 HDUHB-2021-20 IMT Control and Risk Assessment Final Report v2.pdf (33 pages)

### 5.3. Implications of COVID-19 on Information Governance (Substantial Assurance)

*James Johns/Huw Thomas*

 HDUHB-2021-23 Implications of COVID-19 on Information Governance Report (Final) v.1.pdf (13 pages)

### 5.4. Agility to Flex Workforce to COVID-19 Planning (Substantial Assurance)

*James Johns/Lisa Gostling*

 HDUHB-2021-25 Agility to Flex Workforce to Covid Planning (Final) Report v1.pdf (16 pages)


### 5.5. Information Technology in Response to COVID-19

*James Johns/Huw Thomas*

DEFERRED to 23 February 2021

### 5.6. Welsh Community Care Information System (WCCIS) (Reasonable Assurance)

*James Johns/Huw Thomas*

 HDUHB-2021-22 WCCIS Project (Ceredigion Locality) Final Report.pdf (14 pages)

## 5.7. Morning Break

## 5.8. Welsh Risk Pool Claims Management (Substantial Assurance)

*James Johns/Mandy Rayani*

 HDUHB-2021-03 Welsh Risk Pool (Final) Report v1.0.pdf (12 pages)


## 5.9. Quality & Safety Governance

*James Johns/Mandy Rayani*

DEFERRED to 23 February 2021

## 5.10. Finance Team Transformation (Substantial Assurance)

*James Johns/Huw Thomas*

 HDUHB-2021-17 Finance Team Transformation (Final) Report v1.pdf (14 pages)

## 5.11. Backlog Maintenance (Reasonable Assurance)

*Eifion Jones/Andrew Carruthers*

 HDD Backlog Maintenance Final Report.pdf (34 pages)

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12:10 - 12:20  
10 min

## 6. Governance Reviews

### 6.1. KPMG Review of Transformation Fund

*Huw Thomas/Jill Paterson/Martyn Palfreman*

 KPMG Transformation Fund Report ARAC December 2020.pdf (5 pages)

 Appendix 1 - Final Report - 271020 Evaluation of Healthier West Wales Programmes.pdf (51 pages)

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12:20 - 12:25  
5 min

## 7. Assurance and Risk

### 7.1. Audit Tracker

*Joanne Wilson*

 Audit Tracker ARAC December 2020.pdf (8 pages)

 Appendix 1 - High Priority A&I Tracker Dec 2020.pdf (11 pages)

 Appendix 2 - Other A&I Tracker Dec 2020.pdf (15 pages)

 Appendix 3 - Open and Closed Reports.pdf (2 pages)

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12:25 - 12:30  
5 min


## 8. Counter Fraud


### 8.1. Counter Fraud Update

*Ben Rees*

 SBAR Counter Fraud Update ARAC December 2020.pdf (2 pages)

 Counter Fraud Update ARAC December 2020.pdf (5 pages)

 Appendix 1 a - Newsletter Fraud Awareness Week 2020.pdf (4 pages)

 Appendix 1 b - Newsletter IFAW 2020 - Cymraeg.pdf (4 pages)

## 8.2. Counter Fraud Update - Collaborative Working

*Ben Rees*

- 📄 SBAR Collaborative Working Report ARAC December 2020.pdf (2 pages)
  - 📄 Collaborative Working Report ARAC December 2020.pdf (7 pages)
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## 12:30 - 12:35 **9. For Information** 5 min

### 9.1. Audit & Risk Assurance Committee Work Programme 2020/21

*Paul Newman*

- 📄 Draft Audit Work Programme 2020-21.pdf (13 pages)
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## 12:35 - 12:40 **10. Any Other Business** 5 min

*All*

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## 12:40 - 12:45 **11. Review of Meeting** 5 min

### 11.1. Reflective Summary of the Meeting

*Paul Newman/Joanne Wilson*

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## 12:45 - 12:45 **12. Date and Time of Next Meeting** 0 min

9.30am, 23 February 2021