

# Audit & Risk Assurance Committee

Tue 20 April 2021, 09:30 - 12:45

Board Room, Ystwyth Building and via MS Teams

## Agenda

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**09:30 - 09:35** **1. Introductions**  
5 min

**1.1. Apologies**

*Paul Newman*

**1.2. Declaration of Interests**

*All*

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**09:35 - 10:00** **2. Governance**  
25 min

**2.1. Minutes of the Meeting held on 23 February 2021**


*Paul Newman*

 Unapproved ARAC Minutes 23 February 2021.pdf (20 pages)

**2.2. Table of Actions**

*Paul Newman*

 Table Of Actions ARAC 23 February 2021.pdf (6 pages)

 Appendix 1 - HDUHB outturn and fee letter 190321.pdf (2 pages)

 Appendix 2 - Hywel Dda UHB Audit Plan 2021.pdf (16 pages)


**2.3. Matters Arising not on the Agenda**


*Paul Newman*

**2.4. Audit & Risk Assurance Committee (ARAC) Self-Assessment Exercise 2020/21**

*Paul Newman*

 ARAC Self Assessment April 2021.pdf (3 pages)

 Appendix 1 - ARAC Self-Assessment Questionnaire 2020-21.pdf (5 pages)

 Appendix 2 - ARAC Self-Assessment Responses 2020-21.pdf (9 pages)

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**10:00 - 10:10** **3. Financial Focus**  
10 min

**3.1. Financial Assurance Report**

*Huw Thomas*

 Financial Assurance Report ARAC April 2021.pdf (19 pages)

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**10:10 - 10:30** **4. Clinical Audit**  
20 min

## 4.1. Clinical Audit Update

*Mandy Rayani*

 Clinical Audit Update ARAC April 2021.pdf (6 pages)

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10:30 - 10:50  
20 min

## 5. Audit Wales

### 5.1. Audit Wales Update Report

*Audit Wales*

 Audit Wales Update ARAC April 2021.pdf (10 pages)

### 5.2. Audit Wales Review: Test, Trace, Protect

*Audit Wales/Alison Shakeshaft/Ros Jervis*

 Test, Trace, Protect in Wales - An Overview of Progress to Date.pdf (36 pages)

### 5.3. Audit Wales Review: Supporting Staff Wellbeing during COVID-19

*Audit Wales/Lisa Gostling*

DEFERRED to 22 June 2021

### 5.4. Audit Wales Orthopaedic Services Follow-up

*Audit Wales/Andrew Carruthers*

DEFERRED to 22 June 2021

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10:50 - 11:00  
10 min

## 6. MORNING BREAK

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11:00 - 11:45  
45 min

## 7. NWSSP - Audit and Assurance Services - Internal Audit

### 7.1. Internal Audit Plan Progress Report

*James Johns*

 SBAR IA Plan Progress Report ARAC April 2021.pdf (3 pages)

 IA Plan Progress Report ARAC April 2021.pdf (11 pages)

### 7.2. Internal Audit Plan 2021/22

*James Johns*

 SBAR IA Plan 2021-22 ARAC April 2021.pdf (3 pages)

 IA Plan Strategy & Charter 2021-22.pdf (37 pages)

### 7.3. COVID-19 Mass Vaccination Programme (Advisory Review)

*James Johns/Ros Jervis*

 COVID-19 Mass Vaccination Programme Final IA Report.pdf (18 pages)


### 7.4. Patient Experience (Reasonable Assurance)

*James Johns/Mandy Rayani*

 Patient Experience Final IA Report.pdf (14 pages)

## 7.5. Management of Fire Enforcement Notices (Substantial Assurance)

*James Johns/Andrew Carruthers*

 Management of Fire Enforcement Notices Final IA Report.pdf (21 pages)

## 7.6. Bronglais General Hospital Front of House - Final Account (Non-opinion Review)

*Eifion Jones/Andrew Carruthers/Huw Thomas*

 BGH Front of House Scheme - Final Account Non-opinion Review.pdf (5 pages)

## 7.7. Health & Safety

*James Johns/Mandy Rayani*

DEFERRED to 5 May 2021

## 7.8. COVID-19 Governance Update

*James Johns/Joanne Wilson*

DEFERRED to 5 May 2021

## 7.9. Data Modelling

*James Johns/Huw Thomas*

DEFERRED to 5 May 2021

## 7.10. Specific Brexit Risks

*James Johns/Huw Thomas*

DEFERRED to 5 May 2021

## 7.11. Women & Children's Phase 2

*Eifion Jones/Andrew Carruthers/Huw Thomas*

DEFERRED to 5 May 2021

## 7.12. WGH Palliative Care, Oncology & Haematology Inpatient Facility (Wards 9 & 10)

*Eifion Jones/Andrew Carruthers/Huw Thomas*

DEFERRED to 5 May 2021

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11:45 - 12:10  
25 min

## 8. Assurance and Risk

### 8.1. Charitable Funds Committee (CFC) Assurance Report around the Discharge of their Terms of Reference

*Mandy Rayani*

 CFC Assurance Report ARAC April 2021.pdf (7 pages)

### 8.2. Finance Committee Assurance Report around the Discharge of their Terms of Reference

*Huw Thomas*

 Finance Committee Assurance Report ARAC April 2021.pdf (6 pages)

### 8.3. Audit Tracker

*Joanne Wilson*

- 📄 Audit Tracker ARAC April 2021.pdf (7 pages)
- 📄 Appendix 1 - High Priority Recommendations April 2021.pdf (10 pages)
- 📄 Appendix 2 - In Progress and Overdue Recommendations April 2021.pdf (13 pages)
- 📄 Appendix 3 - Open and Closed Reports April 2021.pdf (4 pages)

## **8.4. Prioritised Plan for Outstanding Audit/Regulatory/Inspectorate Recommendations - Update on Progress**

*Joanne Wilson*

- 📄 Prioritised Plan Update ARAC April 2021.pdf (5 pages)

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### **12:10 - 12:30** 20 min **9. Counter Fraud**

#### **9.1. Counter Fraud Annual Report 2020/21**

*Ben Rees*

- 📄 SBAR Counter Fraud Annual Report 2020-21.pdf (2 pages)
- 📄 Counter Fraud Annual Report 2020-21.pdf (18 pages)

#### **9.2. Counter Fraud Work Plan 2021/22**

*Ben Rees*

- 📄 SBAR Counter Fraud Work Plan 2021-22.pdf (3 pages)
- 📄 Counter Fraud Work Plan 2021-22.pdf (36 pages)

#### **9.3. NHS Counter Fraud Authority Draft SRT Return**

*Ben Rees*

DEFERRED to 22 June 2021

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### **12:30 - 12:35** 5 min **10. For Information**

#### **10.1. Audit & Risk Assurance Committee Work Programme 2021/22**

- 📄 Draft Audit Work Programme 2021-22.pdf (8 pages)

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### **12:35 - 12:40** 5 min **11. Any Other Business**

*All*

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### **12:40 - 12:45** 5 min **12. Review of Meeting**

#### **12.1. Reflective Summary of the Meeting**

*Paul Newman/Joanne Wilson*

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### **12:45 - 12:45** 0 min **13. Date and Time of Next Meeting**

9.30am, 5 May 2021 (Review of Draft Annual Accounts and Draft Accountability Report)

9.30am, 10 June 2021 (Sign-off Annual Accounts)

9.30am, 22 June 2021 (Routine Meeting)