

2.4 Update on Escalation/Targeted Intervention Status

Presenter: Steve Moore

Update on Escalation/Targeted Intervention ARAC October 2020

Appendix 1 2020-10-05 AG to SM re Joint Escalation and Intervention Arrangements



**PWYLLGOR ARCHWILIO A SICRWYDD RISG
AUDIT AND RISK ASSURANCE COMMITTEE**

DYDDIAD Y CYFARFOD: DATE OF MEETING:	20 October 2020
TEITL YR ADRODDIAD: TITLE OF REPORT:	Escalation and Intervention Arrangements
CYFARWYDDWR ARWEINIOL: LEAD DIRECTOR:	Steve Moore, Chief Executive, Hywel Dda University Health Board
SWYDDOG ADRODD: REPORTING OFFICER:	Steve Moore, Chief Executive, Hywel Dda University Health Board

Pwrpas yr Adroddiad (dewiswch fel yn addas)

Purpose of the Report (select as appropriate)

Er Gwybodaeth/For Information

**ADRODDIAD SCAA
SBAR REPORT**

Sefyllfa / Situation

This paper provides the Audit & Risk Assurance Committee (ARAC) with an update on the University Health Board's (UHB) Escalation and Intervention Arrangements.

Cefndir / Background

In September 2016, as part of the Welsh Government's (WG) Escalation and Intervention Arrangements, the now Minister for Health and Social Services moved the UHB from Enhanced Monitoring to Targeted Intervention. This report provides an update on the recent change of status.

Asesiad / Assessment

The last Targeted Intervention (TI) meeting was held on 23rd July 2020, and an update report provided to ARAC at its last meeting held on 25th August 2020. The next TI meeting was due to be held on 30th September 2020, but was cancelled by Welsh Government (WG).

On 5th October 2020, the UHB received a letter from the Director General Health and Social Services/NHS Wales Chief Executive, advising that following the recent tripartite meeting (WG, Audit Wales and Healthcare Inspectorate Wales), WG would be recommending to the Minister that the UHB's escalation status was reduced from Targeted Intervention to Enhanced Monitoring; a copy is attached at Appendix 1. This was approved by the Minister for Health and Social Services.

This reduced escalation status was due to the UHB's continued good performance prior to COVID-19, and the group was complimentary regarding the UHB's response to the KPMG review. In order for the UHB to move into the lower escalation status of Routine Monitoring, the UHB must focus on future financial plans, dependent on delivering the clinical strategy.

The group raised some concerns and issues for consideration:

- Challenges still remain around the UHB's financial position and management;
- The need to ensure that appropriate planning capacity and leadership is in place recognising the significant commitments in the clinical strategy.

It is proposed to provide ARAC members with a six monthly assurance report on the progress with these areas.

In the meantime, WG will continue to provide the necessary specialised support and advice to address these issues raised, and will continue to hold Joint Executive Team (JET) and Quality and Delivery (Q&D) meetings when considered necessary.

I would like to take this opportunity to thank our staff who have worked so diligently to allow this positive development to happen, particularly during the COVID-19 pandemic.

Argymhelliad / Recommendation

The Audit & Risk Assurance Committee is asked to **NOTE** the update on the UHB's Escalation and Intervention Arrangements from Targeted Intervention to Enhanced Monitoring.

Amcanion: (rhaid cwblhau) Objectives: (must be completed)	
Committee ToR Reference Cyfeirnod Cylch Gorchwyl y Pwyllgor	4.4.2 Maintain an appropriate financial focus demonstrated through robust financial reporting and maintenance of sound systems of internal control.
Cyfeirnod Cofrestr Risg Datix a Sgôr Cyfredol: Datix Risk Register Reference and Score:	
Safon(au) Gofal ac Iechyd: Health and Care Standard(s):	Governance, Leadership and Accountability
Amcanion Strategol y BIP: UHB Strategic Objectives:	4. Improve the productivity and quality of our services using the principles of prudent health care and the opportunities to innovate and work with partners. 5. Deliver, as a minimum requirement, outcome and delivery framework work targets and specifically eliminate the need for unnecessary travel & waiting times, as well as return the organisation to a sound financial footing over the lifetime of this plan
Amcanion Llesiant BIP: UHB Well-being Objectives: Hyperlink to HDdUHB Well-being Statement	Improve efficiency and quality of services through collaboration with people, communities and partners

Gwybodaeth Ychwanegol: Further Information:	
Ar sail tystiolaeth: Evidence Base:	Financial and performance positions of the UHB. Correspondence between the UHB and WG.
Rhestr Termau: Glossary of Terms:	Included within the body of the Report
Partion / Pwyllgorau â ymgynhorwyd ymlaen llaw y Pwyllgor Archwilio a Sicrwydd Risg: Parties / Committees consulted prior to Audit and Risk Assurance Committee:	Targeted Intervention Meetings with Welsh Government

Effaith: (rhaid cwblhau) Impact: (must be completed)	
Ariannol / Gwerth am Arian: Financial / Service:	No direct impacts from this report.
Ansawdd / Gofal Claf: Quality / Patient Care:	No direct impacts from this report.
Gweithlu: Workforce:	No direct impacts from this report.
Risg: Risk:	No direct impacts from this report.
Cyfreithiol: Legal:	No direct impacts from this report.
Enw Da: Reputational:	No direct impacts from this report.
Gyfrinachedd: Privacy:	No direct impacts from this report.
Cydraddoldeb: Equality:	No direct impacts from this report.

Cyfarwyddwr Cyffredinol Iechyd a Gwasanaethau Cymdeithasol/
Prif Weithredwr GIG Cymru
Grŵp Iechyd a Gwasanaethau Cymdeithasol

Director General Health and Social Services/
NHS Wales Chief Executive
Health and Social Services Group



Llywodraeth Cymru
Welsh Government

Mr Steve Moore
Chief Executive
Hywel Dda University Health Board
Corporate Offices
Ystwyth Building
Hafen Derwen
St David's Park
Job's Well Road
Carmarthen
SA31 3BB

Our Ref: AG/MR/SB

5 October 2020

Dear Steve

Joint Escalation and Intervention Arrangements

As you are aware, under the Joint Escalation and Intervention Arrangements, the Welsh Government meets with Audit Wales and Healthcare Inspectorate Wales twice a year to discuss the overall assessment of each health board and trust in relation to the arrangements.

A tripartite meeting has recently taken place and I write now to let you know your health board's status.

On the basis of the tripartite group discussion, Welsh Government officials will be recommending to the Minister that the escalation status of Hywel Dda University Health Board is reduced to 'enhanced monitoring'.

I would like to recognise the professional and considered way in which the NHS and your organisation has responded to the extraordinary circumstances of the pandemic response. I would also like to thank you and your team on the work you did in relation to the setting up of Field Hospitals across Wales. This has been an important initiative in dealing with COVID.

It was agreed the escalation status should be reduced due to the continued good performance the health board was showing prior to COVID. The group was complimentary on the way you had responded to the KPMG review. In order for the health board to move into routine monitoring, the group felt that there was a need for the organisation to focus on future financial plans, which will be dependent on delivering the clinical strategy.

Despite the de-escalation, some concerns and issues were raised by the group for you to consider and improve upon, namely:

- Challenges still remain around the health board's financial position and management;
- The need to ensure that appropriate planning capacity and leadership is in place recognising the significant commitments in the clinical strategy.

In the meantime, Welsh Government colleagues will continue to provide the necessary specialised support and advice to address issues raised. We will continue to have regular JET and Q&D meetings with subject specific discussions as and when considered necessary.

Yours sincerely

A handwritten signature in blue ink, appearing to read 'Andrew Goodall', with a stylized, cursive script.

Dr Andrew Goodall CBE