

# Audit & Risk Assurance Committee

Tue 21 June 2022, 09:30 - 12:00

Board Room, Ystwyth Building and via MS Teams

## Agenda

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### 09:30 - 09:35 1. Introductions

5 min

#### 1.1. Apologies

*Paul Newman*

#### 1.2. Declaration of Interests

*All*

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### 09:35 - 09:55 2. Governance

20 min

#### 2.1. Review of HDdUHB Standing Orders (SOs) and Standing Financial Instructions (SFIs)


*Joanne Wilson*

 Review of SOs SFIs ARAC June 2022.pdf (5 pages)

 Draft SoD 2022 v2.pdf (42 pages)

#### 2.2. Annual Review of the Committee's Terms of Reference & Membership

*Paul Newman*

 SBAR ARAC TORs June 2022.pdf (3 pages)

 ARAC Terms of Reference v14 for approval June 2022.pdf (10 pages)

#### 2.3. All Wales NHS Audit Committee Chairs' Meeting Update

*Paul Newman*

 All Wales Audit Committee Chairs' Meeting Update ARAC June 2022.pdf (5 pages)

#### 2.4. Counter Fraud Update (incl NHS Counter Fraud Authority SRT Return)

*Ben Rees*

 SBAR Counter Fraud Update ARAC June 2022.pdf (3 pages)

 Counter Fraud Update June 2022.pdf (4 pages)

 Appendix 1 - Counter Fraud Newsletter (converted to PDF).pdf (5 pages)

 Appendix 2 - Counter Fraud SRT (Final version).pdf (21 pages)


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### 09:55 - 10:10 3. Financial Focus

15 min

#### 3.1. Financial Assurance Report

*Huw Thomas*

 Financial Assurance Report ARAC June 2022.pdf (31 pages)

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## 10:10 - 10:30 4. Audit Wales

20 min


### 4.1. Audit Wales Update Report

*Audit Wales*

 Audit Wales Update ARAC June 2022.pdf (10 pages)

### 4.2. Phase 1 Structured Assessment - Operational Planning (Update)

*Audit Wales/Lee Davies*

 Phase 1 SA2021 (Operational Planning) Update ARAC June 2022.pdf (3 pages)

 Structured Assessment 2021 (Phase 1) Mgmt Resp Updated June 2022 with tracked chgs.pdf (6 pages)

 Structured Assessment 2021 (Phase 1) Mgmt Resp Updated June 2022.pdf (6 pages)

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## 10:30 - 10:50 5. NWSSP - Audit and Assurance Services - Internal Audit

20 min

### 5.1. Internal Audit Plan Progress Report

*James Johns*

 SBAR IA Plan Progress Report ARAC 21 June 2022.pdf (4 pages)

 IA Plan Progress Report ARAC 21 June 2022.pdf (9 pages)

### 5.2. RCP Medical Records Keeping Standards Internal Audit Update

*Philip Kloer*

 RCP Medical Records Keeping Standards Update ARAC June 2022.pdf (7 pages)

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## 10:50 - 11:00 6. BREAK

10 min

## 11:00 - 11:30 7. Assurance and Risk

30 min

### 7.1. External Validation Update

*Andrew Carruthers*

 External Validation Update ARAC 21 June 2022.pdf (5 pages)

### 7.2. Risk Management Framework

*Charlotte Beare*


 Risk Management Framework ARAC 21 June 2022.pdf (4 pages)

 Appendix 1 - 608 - Risk Management Framework v2.pdf (25 pages)

### 7.3. Audit Tracker

*Joanne Wilson*

 Audit Tracker ARAC June 2022.pdf (10 pages)

 Appendix 1 - Audit and Inspection Tracker June 2022.pdf (32 pages)

 Appendix 2 - Open and Closed Reports June 2022.pdf (4 pages)

 Appendix 3 - 'NK' Recommendations.pdf (4 pages)

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11:30 - 11:45  
15 min

## 8. Clinical Audit

### 8.1. Clinical Audit Update

*Mandy Rayani*

 Clinical Audit Update ARAC June 2022.pdf (6 pages)

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11:45 - 11:50  
5 min

## 9. For Information

### 9.1. National Internal Audit Reports

NONE TO REPORT

### 9.2. Audit & Risk Assurance Committee Work Programme 2022/23

 Audit Work Programme 2022-23.pdf (10 pages)

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11:50 - 11:55  
5 min

## 10. Any Other Business

*All*

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11:55 - 12:00  
5 min

## 11. Review of Meeting

### 11.1. Reflective Summary of the Meeting

*Paul Newman/Joanne Wilson*

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12:00 - 12:00  
0 min

## 12. Date and Time of Next Meeting

9.30am, 16 August 2022