

Audit & Risk Assurance Committee

Tue 22 June 2021, 09:30 - 12:00

Board Room, Ystwyth Building and via MS Teams

Agenda

09:30 - 09:35 **1. Introductions**
5 min

1.1. Apologies

Paul Newman

1.2. Declaration of Interests

All

09:35 - 10:00 **2. Governance**
25 min

2.1. Matters Arising not on the Agenda

Paul Newman

2.2. Enhanced Monitoring Update (verbal)

Steve Moore

DEFERRED to 24 August 2021 (WG JET meeting postponed until 7 July 2021)

2.3. Annual Review of the Committee's Self-Assessment of Effectiveness - Analysis of Findings

Paul Newman

 ARAC Self-Assessment - Analysis of Findings.pdf (9 pages)

2.4. Report on the Adequacy of Arrangements for Declaring, Registering and Handing interests, Gifts, Hospitality, Honoraria and Sponsorship


Joanne Wilson

 Declarations, Gifts, etc ARAC June 2021.pdf (8 pages)

 Appendix 1 - Register of Members Interests 2020-21.pdf (5 pages)

 Appendix 2 - Register of Staffs Interests.pdf (115 pages)

 Appendix 3 - Register of Gifts.pdf (6 pages)

 Appendix 4 - Register of Hospitality, Sponsorship, Honoraria.pdf (4 pages)

10:00 - 10:10 **3. Financial Focus**
10 min

3.1. Financial Assurance Report

Huw Thomas

 Financial Assurance Report ARAC June 2021.pdf (15 pages)

10:10 - 10:50
40 min

4. Audit Wales

4.1. Audit Wales Update Report

Audit Wales

 Audit Wales Update ARAC June 2021.pdf (10 pages)

4.2. Structured Assessment 2021: Phase 1 Operational Planning Arrangements


Audit Wales/Joanne Wilson/Lee Davies

The attached report does not currently include a management response. The completed management response will be presented to ARAC's next meeting, on 24 August 2021

 Hywel Dda UHB Structured Assessment Report (Phase 1).pdf (16 pages)

4.3. Procuring and Supplying PPE for the COVID-19 Pandemic

Audit Wales/Mandy Rayani/Huw Thomas

 Procuring and Supplying PPE for the COVID-19 Pandemic.pdf (64 pages)

4.4. COVID-19 Vaccination Roll-out

Audit Wales/Ros Jervis

 Rollout of the COVID-19 Vaccination Programme in Wales.pdf (30 pages)

4.5. Welsh Health Specialised Services Committee (WHSSC) Governance Arrangements

Audit Wales/Steve Moore

DEFERRED to 24 August 2021

10:50 - 11:00
10 min

5. MORNING BREAK

11:00 - 11:10
10 min

6. NWSSP - Audit and Assurance Services - Internal Audit

6.1. Internal Audit Plan Progress Report

James Johns

 SBAR IA Plan Progress Report ARAC June 2021.pdf (3 pages)

 IA Plan Progress Report ARAC June 2021.pdf (8 pages)

11:10 - 11:30
20 min

7. Assurance and Risk

7.1. People, Planning & Performance Assurance Committee (PPPAC) Assurance Report around the Discharge of their Terms of Reference

Lisa Gostling/Huw Thomas

 PPPAC Assurance Report ARAC June 2021.pdf (16 pages)

7.2. Audit Tracker

Joanne Wilson

 Audit Tracker ARAC June 2021.pdf (8 pages)

- Appendix 1 - High Priority Recommendations June 2021.pdf (11 pages)
 - Appendix 2 - In Progress and Overdue Recommendations June 2021.pdf (15 pages)
 - Appendix 3 - Open and Closed Reports June 2021.pdf (2 pages)
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11:30 - 11:40
10 min

8. Counter Fraud

8.1. Counter Fraud Update

Ben Rees

- SBAR Counter Fraud Update ARAC June 2021.pdf (2 pages)
 - Counter Fraud Update ARAC June 2021.pdf (5 pages)
 - Appendix 1 - Counter Fraud Newsletter Spring 2021.pdf (4 pages)
 - Appendix 2 - Preventing Procurement Fraud - HDdUHB Organisation Specific Feedback.pdf (2 pages)
 - Appendix 3 - HDUHB Review of NHS Requirements Government Functional Standards.pdf (15 pages)
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11:40 - 11:50
10 min

9. For Information

9.1. An overview of Quality Governance Arrangements at Cwm Taf Morgannwg University Health Board: A summary of progress made against recommendations

- An overview of Quality Governance Arrangements at Cwm Taf Morgannwg University Health Board - A summary of progress made against recommendations (English).pdf (32 pages)

9.2. Healthcare Inspectorate Wales Inspection Information Leaflet: Frontline Services

- HIW Inspection Information Leaflet - Frontline Services.pdf (2 pages)

9.3. Audit & Risk Assurance Committee Work Programme 2021/22

- Audit Work Programme 2021-22.pdf (10 pages)
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11:50 - 11:55
5 min

10. Any Other Business

All

11:55 - 12:00
5 min

11. Review of Meeting

11.1. Reflective Summary of the Meeting

Paul Newman/Joanne Wilson

12:00 - 12:00
0 min

12. Date and Time of Next Meeting

9.30am, 24 August 2021