

Audit & Risk Assurance Committee

Tue 24 August 2021, 09:30 - 13:00

Board Room, Ystwyth Building and via MS Teams

Agenda

09:30 - 09:35 **1. Introductions**

5 min

1.1. Apologies

Paul Newman

1.2. Declaration of Interests

All

09:35 - 10:00 **2. Governance**

25 min

2.1. Minutes of the Meetings held on 10 and 22 June 2021


Paul Newman

 Unapproved ARAC Minutes 10 June 2021.pdf (10 pages)

 Unapproved ARAC Minutes 22 June 2021.pdf (13 pages)

2.2. Table of Actions

Paul Newman

 Table Of Actions ARAC 10 & 22 June 2021.pdf (10 pages)

 Appendix 1 - Consultancy Spend.pdf (2 pages)

2.3. Matters Arising not on the Agenda

Paul Newman

2.4. Enhanced Monitoring Update

Steve Moore/Phil Kloer

 Enhanced Monitoring Update ARAC August 2021.pdf (3 pages)

 Appendix 1 - 2021-07-19 - AG to SM following end of year JET.pdf (6 pages)

2.5. Review of the Capital Governance Arrangements - Terms of Reference

Lee Davies

 Capital Governance Review - TORs ARAC August 2021.pdf (3 pages)

 Appendix 1 - Draft Scope TORs Capital Governance Review.pdf (2 pages)

10:00 - 10:30 **3. Financial Focus**

30 min

3.1. Financial Assurance Report

Huw Thomas

📄 Financial Assurance Report ARAC August 2021.pdf (13 pages)

3.2. Service Level Agreements - Third Sector Organisations

Andrew Carruthers/Huw Thomas

- 📄 Approval of Third Sector Provider Agreements ARAC August 2021.pdf (7 pages)
- 📄 Annex A - Palliative Care.pdf (5 pages)
- 📄 Annex B - SBAR to ET 21.7.21.pdf (7 pages)
- 📄 Annex B - Mind Pembs.pdf (9 pages)
- 📄 Annex B - Hafal.pdf (9 pages)
- 📄 Annex C - BRC.pdf (8 pages)

3.3. Post Payment Verification (PPV) End of Year Report

Amanda Legge

- 📄 PPV End of Year Report 2020-21.pdf (3 pages)
- 📄 Hywel Dda PPV Report Oct 2020 to Mar 2021 (anonymised).pdf (3 pages)

3.4. Primary Care PPV Update Report

Jill Paterson

- 📄 Primary Care PPV Report ARAC August 2021.pdf (4 pages)

3.5. NHS Pension Scheme Year End Processing 2021

Huw Thomas

- 📄 NHS Pension Scheme Year End Processing ARAC August 2021.pdf (3 pages)
- 📄 Appendix 1 - NHS Pensions 'Heat Map' Report.pdf (3 pages)

10:30 - 11:20
50 min

4. Audit Wales

4.1. Audit Wales Update Report

Audit Wales

- 📄 Audit Wales Update ARAC August 2021.pdf (10 pages)

4.2. Management Response to the Structured Assessment 2021: Phase1 - Operational Planning Arrangements

Audit Wales/Lee Davies

- 📄 SBAR Phase 1 SA2021 (Operational Planning) ARAC August 2021.pdf (3 pages)
- 📄 Appendix 1 - Hywel Dda UHB Structured Assessment (Phase 1) Management Response.pdf (5 pages)
- 📄 Appendix 2 - Hywel Dda UHB Structured Assessment Report (Phase 1).pdf (16 pages)

4.3. Welsh Health Specialised Services Committee (WHSSC) Governance Arrangements

Audit Wales/Steve Moore/Phil Kloer

- 📄 WHSSC Governance Arrangements (English).pdf (32 pages)
- 📄 WHSSC Governance Arrangements (Cymraeg).pdf (32 pages)
- 📄 2021-07-13 JC - WHSSC Management Response.pdf (16 pages)

4.4. MORNING BREAK

4.5. Orthopaedic Services Follow-up

Audit Wales/Andrew Carruthers

DEFERRED to 19 October 2021

4.6. Review of the Sustainable Use of RTT Monies

Audit Wales/Andrew Carruthers

DEFERRED to 19 October 2021

4.7. Quality Governance

Audit Wales/Mandy Rayani

DEFERRED to 19 October 2021

4.8. Supporting Staff Wellbeing during COVID-19

Audit Wales/Lisa Gostling

DEFERRED to 19 October 2021

11:20 - 12:30
70 min

5. NWSSP - Audit and Assurance Services - Internal Audit

5.1. Radiology Directorate Internal Audit Update


Andrew Carruthers

 Radiology Update ARAC August 2021.pdf (6 pages)

5.2. Internal Audit Plan Progress Report

James Johns

 SBAR IA Plan Progress Report ARAC August 2021.pdf (3 pages)

 IA Plan Progress Report ARAC August 2021.pdf (10 pages)


5.3. Field Hospital Decommissioning (Advisory Review)

James Johns/Huw Thomas/Andrew Carruthers

 Field Hospital Decommissioning Final IA Advisory Report.pdf (12 pages)

5.4. Welsh Language Standards (Limited Assurance)

James Johns/Steve Moore/Phil Kloer

 Welsh Language Standards Final IA Report.pdf (15 pages)

5.5. Human Tissue Act (HTA) Compliance (Reasonable Assurance)

James Johns/Dr Philip Kloer

 Human Tissue Act Compliance Final IA Report.pdf (15 pages)

5.6. Use of Consultancy (Limited Assurance)

James Johns/Huw Thomas

 Use of Consultancy Final IA Report.pdf (14 pages)

5.7. Single Tender Actions (Reasonable Assurance)

James Johns/Huw Thomas

 Single Tender Actions Final IA Report.pdf (16 pages)

5.8. Directorate Review: Women and Children's Services

James Johns/Andrew Carruthers

DEFERRED to 19 October 2021

5.9. Medical Staff Recruitment

James Johns/Andrew Carruthers

DEFERRED to 19 October 2021

12:30 - 12:40

10 min

6. Assurance and Risk

6.1. Health & Safety Assurance Committee Assurance Report around the Discharge of their Terms of Reference


Mandy Rayani

 HSAC Assurance Report ARAC August 2021.pdf (4 pages)

6.2. Audit Tracker

Joanne Wilson

 Audit Tracker ARAC August 2021.pdf (7 pages)

 Appendix 1 - Audit and Inspection Tracker August 2021.pdf (20 pages)

 Appendix 2 - Open and Closed Reports August 2021.pdf (3 pages)

12:40 - 12:45

5 min

7. Counter Fraud

7.1. Counter Fraud Update

Ben Rees

 SBAR Counter Fraud Update ARAC August 2021.pdf (2 pages)

 Counter Fraud Update ARAC August 2021.pdf (6 pages)

 Appendix 1 - Counter Fraud Newsletter Summer 2021.pdf (4 pages)

 Appendix 1 - Cylchlythr Atal Twyll Haf 2021.pdf (4 pages)

12:45 - 12:50

5 min

8. For Information

8.1. National Internal Audit Reports (Limited Assurance)

NONE TO REPORT

8.2. Audit & Risk Assurance Committee Work Programme 2021/22

 Audit Work Programme 2021-22.pdf (10 pages)

12:50 - 12:55

5 min

9. Any Other Business

All

12:55 - 13:00

5 min

10. Review of Meeting

10.1. Reflective Summary of the Meeting

Paul Newman/Joanne Wilson

13:00 - 13:00
0 min

11. Date and Time of Next Meeting

9.30am, 19 October 2021