

Audit & Risk Assurance Committee

Wed 05 May 2021, 09:30 - 12:00

via MS Teams

Agenda

09:30 - 09:30 **1. Introductions**
0 min

1.1. Apologies

Paul Newman

1.2. Declaration of Interests


All

09:30 - 10:30 **2. NWSSP - Audit and Assurance Services - Internal Audit**
60 min

2.1. Internal Audit Plan Progress Report

James Johns

 SBAR IA Plan Progress Report ARAC May 2021.pdf (3 pages)

 IA Plan Progress Report ARAC May 2021.pdf (10 pages)

2.2. Women & Children's Phase 2 (Limited Assurance)

Eifion Jones/Andrew Carruthers

 Women and Children's Ph II Final IA Report.pdf (45 pages)

2.3. WGH Palliative Care, Oncology & Haematology Inpatient Facility (Wards 9 & 10) (Non-opinion Review)

Eifion Jones/Andrew Carruthers

DEFERRED to 10 June 2021

2.4. Health & Safety (Reasonable Assurance)

James Johns/Mandy Rayani

 Health & Safety Final IA Report.pdf (20 pages)


2.5. COVID-19 Governance Update (Advisory Review)

James Johns/Joanne Wilson

DEFERRED to 10 June 2021

2.6. Digital Modelling (Substantial Assurance)

James Johns/Huw Thomas

 Digital Modelling Final IA Report.pdf (17 pages)

2.7. Specific Brexit Risks

10:30 - 11:30 **3. Governance**

60 min

3.1. Review of HDdUHB Standing Orders and Standing Financial Instructions

Joanne Wilson

- 📄 Review of HDdUHB SOs SFIs ARAC May 2021.pdf (7 pages)
- 📄 Appendix 1 - HDdUHB Standing Orders Reservation and Delegation of Powers for ARAC May 2021.pdf (72 pages)
- 📄 Appendix 2 - Table of Amendments Model Standing Orders.pdf (13 pages)
- 📄 Appendix 3 - HDdUHB Standing Financial Instructions for ARAC May 2021.pdf (81 pages)
- 📄 Grants v Procurement Toolkit.pdf (4 pages)
- 📄 Appendix 4 - Table of Amendments Model SFIs.pdf (7 pages)

3.2. Year-end Processes:

3.2.1. Compliance with Ministerial Directions

Joanne Wilson

- 📄 Ministerial Directions 2020-21 ARAC May 2021.pdf (8 pages)

3.2.2. Compliance with Welsh Health Circulars

Joanne Wilson

- 📄 Welsh Health Circulars 2020-21 ARAC May 2021.pdf (4 pages)
- 📄 Appendix 1 - Outstanding WHCs ARAC May 2021.pdf (9 pages)

3.3. Draft Audit & Risk Assurance Committee Annual Report 2020/21

Paul Newman

- 📄 SBAR ARAC Annual Report 2020-21.pdf (3 pages)
- 📄 ARAC Annual Report 2020-21 Draft for ARAC May 2021.pdf (24 pages)

3.4. MORNING BREAK

3.5. Draft Head of Internal Audit Opinion & Annual Report 2020/21

James Johns

- 📄 SBAR HoIA Annual Report & Opinion 2020-21 ARAC May 2021.pdf (3 pages)
- 📄 HoIA Annual Report & Opinion 2020-21 Draft for ARAC May 2021.pdf (39 pages)

3.6. Assurance Report on Board Effectiveness

Joanne Wilson

- 📄 Board Effectiveness Report 2020-21 ARAC 5 May 2021.pdf (6 pages)

3.7. Audit Enquiries to those Charged with Governance and Management - Draft Response

Huw Thomas

- 📄 SBAR Audit Enquiries Letter 2020-21 ARAC May 2021.pdf (3 pages)
- 📄 Appendix 1 - HD Audit Enquiries Letter 2020-21 Draft Response.pdf (20 pages)

3.8. Draft Accountability Report

Joanne Wilson

- 📄 SBAR Draft Accountability Report 2020-21 ARAC May 2021.pdf (4 pages)
- 📄 Draft Accountability Report 2020-21 for ARAC May 2021.pdf (128 pages)

3.9. Draft Performance Overview

Joanne Wilson/Huw Thomas

- 📄 SBAR Draft Performance Report 2020-21 ARAC May 2021.pdf (3 pages)
- 📄 Chapter 1 - Draft Performance Report - ARAC May 2021.pdf (77 pages)

11:30 - 12:00
30 min

4. Financial Focus

4.1. Draft Annual Accounts 2020/21

Huw Thomas

- 📄 SBAR Draft Annual Accounts ARAC May 2021.pdf (4 pages)
- 📄 HDUHB Draft Accounts 2020-21.pdf (79 pages)
- 📄 Presentation of Draft Accounts - ARAC May 2021.pdf (15 pages)

12:00 - 12:00
0 min

5. Any Other Business

All

12:00 - 12:00
0 min

6. Date and Time of Next Meeting

- 9.30am, 10 June 2021 (Sign-off Annual Accounts)
- 9.30am, 22 June 2021 (Routine Meeting)