



WALES AUDIT OFFICE
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Auditor General for Wales

Audit Committee Update – Hywel Dda University Health Board

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Audit Committee Update

About this document

This document provides the Audit Committee with an update on current and planned Auditor General's work. Financial and performance audit work is considered, and information is also provided on the Auditor General's programme of national value-for-money examinations.

Outline of audit work and annual audit report

Area of work	Current status
Annual Audit Report 2018	January 2019 Public Board
Audit Plan 2019	February 2019 Audit Committee Revised plan April 2019 ARAC

Financial audit update

Area of work 2018-19	Current status
Accounts Audit – ISA 260 Report	Report issued 29 May 2019, Accounts certified 11 June 2019.
Charitable Funds – ISA 260 Report	Accounts audited and approved by Charitable Funds Committee on 20th September 2019 ready for signing by the AGW.

Performance audit update

Work completed

Area of work	Considered by Audit Committee
Wellbeing of Future Generations	October 2019
Integrated Care Fund	August 2019
Review of operational quality and safety arrangements	June 2019
Clinical coding	April 2019
NHS Structured Assessment 2018	January 2019 (Board)
Primary Care	December 2018
Maternity Services – Progress update	August 2018
Operating Theatres – Progress update	August 2018
District Nursing – Progress update	June 2018

Work currently underway or planned

Topic	Focus of the work	Status	Considered by Audit Committee
Work in progress			
<p>Orthopaedics: follow-up review</p> <p>Executive lead: Joe Teape, Director of Operations/Deputy Chief Executive Officer</p>	<p>This review will examine the progress made in orthopaedic services since the 2015 all-Wales review. The work will assess whether Health Boards have effectively responded to the recommendations and areas identified for improvement. It will also seek to determine whether health boards are developing arrangements to help manage the demand on, and supply of, orthopaedic services.</p>	<p>Fieldwork underway although delays experienced in setting up onsite visits.</p>	<p>December 2019</p>
<p>Structured Assessment</p> <p>Executive lead: Jo Wilson, Board Secretary</p>	<p>Building on previous years' work, this work will seek to describe the progress that is being made in embedding sound arrangements for corporate governance and financial management, alongside other key processes such as strategic planning, workforce management, procurement and asset management.</p>	<p>Report being drafted</p>	<p>December 2019 (Board development session – 12 December)</p>
Planned thematic review work not yet started			
<p>Quality governance</p> <p>Executive lead: Mandy Rayani, Director of Nursing, Quality & Patient Experience</p>	<p>This work will examine the factors underpinning quality governance such as strategy, structures and processes, information flows and reporting. It will be designed in discussion with Healthcare Inspectorate Wales and will seek to build in an ability to compare approaches to quality governance across NHS bodies.</p>	<p>Not yet started</p> <p>Once scope is developed, the scope of the work within the Health Board will be tailored to take account of local work already undertaken</p>	<p>TBC</p>
<p>Local work: Review of clinical equipment arrangements</p>	<p>The focus of this work will be on the Health Board's arrangements for managing, procuring and maintaining its clinical equipment.</p>	<p>Not yet started</p>	<p>TBC</p>

Good Practice events and products

Since the last Audit Committee, we have held the following Good Practice Exchange (GPX) events. Materials are available via the links below.

Event	Details
Future proofing public services September 2019	This webinar identified practical examples of services doing things differently to plan for the future and optimising benefits across public services in Wales. It looked at how we can recalibrate and think outside of our sector boundaries to achieve collective long-term change. We discussed how strategic and operational decision makers must balance the needs of today with the needs of tomorrow.
Making an Equal Wales a reality September 2019	This seminar looked at what public services are doing to contribute to a More Equal Wales. It was the starting point of knowledge sharing and knowledge gathering around this topic over the next two years for the Wales Audit Office. An all Wales study, the focus of which is yet to be determined, will follow in early spring, reporting in 2021 with a follow up event.

During the period up to December 2019, we plan to hold the following GPX seminars.

Event	Details
How technology is enabling collaborative working across public services 17 October 24 October	The possibilities that digital technologies can provide are endless. This seminar will provide better understanding of tools available and how they can improve collaboration and help deliver smarter and higher quality services. This seminar will also share examples of organisations that are maximising the use of digital technology, enabling them to deliver services that promote independence (including through housing services), combat social isolation, promote carbon reduction and community wellbeing.

NHS-related national studies and publications

Since the last Audit Committee, we have published the following national value-for-money reports and other products, which have relevance to the NHS in Wales.

Report	Details
The Front Door to Adult Social Care September 2019	The focus of our work has been to judge the effectiveness of this new 'front door' to social care, looking specifically at services for adults. Based on the findings of this audit, the Auditor General has concluded that councils are preventing social-care demand, but information, advice and assistance are not consistently effective

Report	Details
<p data-bbox="124 432 416 495">The Wellbeing of Young People</p> <p data-bbox="124 499 328 533">September 2019</p>	<p data-bbox="467 432 1295 562">We looked at the Welsh Government’s work to support the well-being of young people in Wales. Well-being is a broad concept, so we have focussed our work on five topics: youth homelessness; young adult carers; young parents; mental health; and skills and employability.</p> <p data-bbox="467 566 1295 822">We found that those working with young people are often frustrated they can’t provide support in the ways they want to. At times staff feel they have to make decisions that are not in the young person’s interests because of a lack of appropriate provision. Vulnerable young people find themselves having to join together the threads of fragmented services. We tried to understand why this is happening and found some common issues across different policy areas. Our reports on individual topic areas provide more detail.</p>

Since the last Audit Committee, the Wales Audit Office has confirmed its plans to undertake a Phase 2 review across a range of Welsh public sector bodies to examine how effective counter-fraud arrangements are in practice and to make recommendations for improvement.

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