

Agenda Audit & Risk Assurance Committee

Date 23/06/2020
Time 9:00 - 12:30
Location Ystwyth Building - Board Room
Chair Paul Newman

Description

1 Introductions

9:00

1.1 Apologies

Presenter: Chair

1.2 Declaration of Interests

All

2 Governance

9:05

2.1 Minutes of the Meeting held on 27th May 2020

Presenter: Chair

2.2 Table of Actions

Presenter: Chair

2.3 Matters Arising not on the Agenda

Presenter: Chair

2.4 Report on the Adequacy of Arrangements for Declaring, Registering and Handing interests, Gifts, Hospitality, Honoraria and Sponsorship

Presenter: Joanne Wilson

3 Financial Focus

9:20

3.1 Summary of Single Tender Actions 2019/20

Presenter: Huw Thomas

4 Audit Wales

9:30

4.1 Audit Wales Update

Presenter: Audit Wales

4.2 Structured Assessment 2019 - Progress to Date

Presenter: Audit Wales/Joanne Wilson

- 5** **NWSSP - Audit and Assurance Services - Internal Audit**
9:45
- 5.1** **Internal Audit Plan Progress Report**
Presenter: James Johns
- 5.2** **Internal Audit Plan 2020/21**
Presenter: James Johns
- 5.3** **Glangwili Hospital, Women & Children's Development Phase 2
(Reasonable Assurance)**
Presenter: Eifion Jones
- 5.4** **Mortality Rates (Reasonable Assurance)**
Presenter: James Johns
- 5.5** **IM&T Assurance Follow-up (Reasonable Assurance)**
Presenter: James Johns
- 5.6** **Morning Break**
10:20
- 5.7** **Health & Safety (Reasonable Assurance)**
10:30
Presenter: James Johns
- 5.8** **Variable Pay (Reasonable Assurance)**
Presenter: James Johns
- 5.9** **Business Continuity**
Presenter: James Johns
DEFERRED to 25th August 2020
- 5.10** **Capital Assurance Follow-up (Reasonable Assurance)**
Presenter: Eifion Jones
- 5.11** **Estates Assurance Follow-up (Substantial Assurance)**
Presenter: Eifion Jones
- 5.12** **National Standards for Cleaning Follow-up (Reasonable Assurance)**
Presenter: James Johns
- 5.13** **Standards of Behaviour**
Presenter: James Johns
DEFERRED to 25th August 2020
- 6** **Assurance and Risk**
11:00

- 6.1 Finance Committee Assurance Report around the Discharge of their Terms of Reference**
Presenter: Huw Thomas
- 6.2 Charitable Funds Committee Assurance Report around the Discharge of their Terms of Reference**
Presenter: Sarah Jennings
- 6.3 Audit Tracker**
Presenter: Joanne Wilson
- 7 Year End Governance**
11:15
- 7.1 Head of Internal Audit Opinion & Annual Report 2019/20**
Presenter: James Johns
- 7.2 Overview and Performance Report (Section of HDdUHB Annual Report)**
Presenter: Karen Miles
- 7.3 Accountability Report**
Presenter: Steve Moore
- 7.4 Audit Enquiries to Those Charged with Governance and Management - Response**
Presenter: Huw Thomas
- 7.5 Audit Wales ISA 260 and Letter of Representation**
Presenters: Ann-Marie Harkin/Jeremy Saunders
- 7.6 Final Accounts for 2019/20**
Presenter: Huw Thomas
- 8 Counter Fraud**
12:00
- 8.1 Counter Fraud Update**
Presenters: Matthew Evans/Benjamin Rees
- 9 For Information**
12:10
- 9.1 Audit Wales Structured Assessment 2020 - Briefing Note**
- 9.2 Audit & Risk Assurance Committee Work Programme 2020/21**
- 10 Any Other Business**
12:15
All

11 Review of Meeting

12:20

11.1 Reflective Summary of the Meeting

Presenter: Chair/Joanne Wilson

12 Date & Time of Next Meeting

9:30am, 25th August 2020, Boardroom, Corporate Offices, Ystwyth Building, St David's Park, Carmarthen