



WALES AUDIT OFFICE  
SWYDDFA ARCHWILIO CYMRU

Archwilydd Cyffredinol Cymru  
Auditor General for Wales

# Audit Committee Update – Hywel Dda University Health Board

Date issued: February 2020

Document reference: 1725A2020-21



This document has been prepared for the internal use of Hywel Dda University Health Board as part of work performed / to be performed in accordance with statutory functions.

No responsibility is taken by the Auditor General, the staff of the Wales Audit Office or, where applicable, the appointed auditor in relation to any member, director, officer or other employee in their individual capacity, or to any third party.

In the event of receiving a request for information to which this document may be relevant, attention is drawn to the Code of Practice issued under section 45 of the Freedom of Information Act 2000. The section 45 Code sets out the practice in the handling of requests that is expected of public authorities, including consultation with relevant third parties. In relation to this document, the Auditor General for Wales, the Wales Audit Office and, where applicable, the appointed auditor are relevant third parties.

Any enquiries regarding disclosure or re-use of this document should be sent to the Wales Audit Office at [info.officer@audit.wales](mailto:info.officer@audit.wales).

# Contents

## Audit Committee Update

About this document	4
Outline of audit work and annual audit report	4
Financial audit update	4
Performance audit update	4
Good Practice events and products	6
NHS-related national studies and publications	6

# Audit Committee Update

## About this document

This document provides the Audit Committee with an update on current and planned Auditor General's work. Financial and performance audit work is considered, and information is also provided on the Auditor General's programme of national value-for-money examinations.

## Outline of audit work and annual audit report

Area of work	Current status
Annual Audit Report 2019	January 2020 Public Board
Audit Plan 2020	February 2020 Audit Committee

## Financial audit update

Area of work 2019-20	Current status
Accounts Audit – ISA 260 Report	Planning and interim testing underway
Charitable Funds – ISA 260 Report	Planning and interim testing underway

## Performance audit update

### Work completed

Area of work	Considered by Audit Committee
Structured Assessment	December 2019 (Board)
<a href="#">Wellbeing of Future Generations</a>	October 2019
<a href="#">Integrated Care Fund</a>	August 2019
<a href="#">Review of operational quality and safety arrangements</a>	June 2019
<a href="#">Clinical coding</a>	April 2019
<a href="#">NHS Structured Assessment 2018</a>	January 2019 (Board)
<a href="#">Primary Care</a>	December 2018
<a href="#">Maternity Services – Progress update</a>	August 2018
<a href="#">Operating Theatres – Progress update</a>	August 2018

## Work currently underway or planned

Topic	Focus of the work	Status	Considered by Audit Committee
<b>Work in progress</b>			
Orthopaedics: follow-up review  Executive lead: Andrew Carruthers, Director of Operations	This review will examine the progress made in orthopaedic services since the 2015 all-Wales review. The work will assess whether Health Boards have effectively responded to the recommendations and areas identified for improvement. It will also seek to determine whether health boards are developing arrangements to help manage the demand on, and supply of, orthopaedic services.	Reporting	April 2020
Counter Fraud Phase 2  Executive lead: Huw Thomas, Director of Finance	Earlier in 2019, we set out the counter fraud landscape for the public sector. This work will inform Phase 2 of that review which aims to examine how effective counter-fraud arrangements are in practice across the public sector and to make recommendations for improvement.	Reporting	April 2020
Quality governance  Executive lead: Mandy Rayani, Director of Nursing, Quality & Patient Experience	This work will examine the factors underpinning quality governance such as strategy, structures and processes, information flows and reporting. It will be designed in discussion with Healthcare Inspectorate Wales and will seek to build in an ability to compare approaches to quality governance across NHS bodies.	Briefing to be issued February 2020	August 2020
Local work: Review of the sustainable use of RTT monies	The focus of this work will examine whether the Health Board's use of RTT funding in 2019-20 has resulted in sustainable solutions for reducing waiting times in the future.	Set up	June 2020
<b>Planned thematic review work not yet started or cancelled</b>			
Local work: Review of clinical equipment arrangements	The focus of this work will be on the Health Board's arrangements for managing, procuring and maintaining its clinical equipment.	Cancelled and replaced by review of the sustainable use of RTT monies	-

## Good Practice events and products

Since the last Audit Committee, we have held the following Good Practice Exchange (GPX) events. Materials are available via the links below.

Event	Details
<a href="#">Unearth the value in your data</a> January 2020	This webinar was designed to help organisations that want to transform the way they collect, analyse and use data, at all levels.
<a href="#">Accountability and governance in partnership services</a> 13 February 2020 27 February 2020	This seminar focused on how to achieve effective governance and accountability in partnership working to deliver efficient public services. This event was based around a scenario and provided a practical walk through of how public services can successfully work together to achieve a common goal – to identify and reduce vulnerability within local communities.

During the period up to April 2020, we plan to hold the following GPX seminars.

Event	Details
<a href="#">Adverse Childhood Experiences – alternative delivery models</a> 19 March 2020 26 March 2020	This seminar will be an opportunity for conversations about recognising and addressing the challenges, and how to provide ACE aware services. This event follows on from <a href="#">ACE Support Hub's</a> work in raising awareness of ACEs, including the <a href="#">#aceawaresowhat [opens in new window]</a> campaign. This event will provide an opportunity for delegates to evaluate where we are now and what needs to happen next. We will celebrate the successes to date and focus on moving the conversation forward to enable trauma informed services to be the norm.

## NHS-related national studies and publications

Since the last Audit Committee, we have not published any national value-for-money reports and other products, which have relevance to the NHS in Wales.

The Auditor General for Wales has however published his [Fee Scheme](#) for 2020-21.



Wales Audit Office  
24 Cathedral Road  
Cardiff CF11 9LJ

Tel: 029 2032 0500  
Fax: 029 2032 0600  
Text phone.: 029 2032 0660

E-mail: [info@audit.wales](mailto:info@audit.wales)  
Website: [www.audit.wales](http://www.audit.wales)

Swyddfa Archwilio Cymru  
24 Heol y Gadeirlan  
Caerdydd CF11 9LJ

Ffôn: 029 2032 0500  
Ffacs: 029 2032 0600  
Ffôn testun: 029 2032 0660

E-bost: [post@archwilio.cymru](mailto:post@archwilio.cymru)  
Gwefan: [www.archwilio.cymru](http://www.archwilio.cymru)

We welcome correspondence and telephone calls in Welsh and English.  
Rydym yn croesawu gohebiaeth a galwadau ffôn yn Gymraeg a Saesneg.