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Audit Committee Update – Hywel Dda University Health Board

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Audit Committee Update

About this document

This document provides the Audit Committee with an update on current and planned Auditor General's work. Financial and performance audit work is considered, and information is also provided on the Auditor General's programme of national value-for-money examinations.

Outline of audit work and annual audit report

Area of work	Current status
Annual Audit Report 2018	January 2019 Public Board
Audit Plan 2019	February 2019 Audit Committee Revised plan April 2019 ARAC

Financial audit update

Area of work 2018-19	Current status
Accounts Audit – ISA 260 Report	Report issued 29 May 2019, Accounts certified 11 June 2019
Charitable Funds – ISA 260 Report	Draft accounts received 10 May, testing underway

Performance audit update

Work completed

Area of work	Considered by Audit Committee
Operational Quality and Safety Arrangements	June 2019
Clinical coding	April 2019
NHS Structured Assessment 2018	January 2019 (Board)
Primary Care	December 2018
Maternity Services – Progress update	August 2018
Operating Theatres – Progress update	August 2018
District Nursing – Progress update	June 2018
Follow-up Information Backup, Disaster Recovery and Business Continuity, and Data Quality: Update on Progress	April 2018

Work currently underway or planned

Topic	Focus of the work	Status	Considered by Audit Committee
Work in progress			
<p>Integrated Care Fund (ICF) review</p> <p>Executive lead: Jill Paterson, Director of Primary Care, Community and Long-Term Care</p>	<p>This crosscutting review will involve all public-sector bodies. The review will focus on whether the ICF is being effectively managed to deliver integrated and sustainable services to achieve better outcomes for services users. The work will focus at arrangements at both a national and regional level. Fieldwork is anticipated to take place between February and June 2018 to take account of the bid process for the 2018-19 ICF monies.</p>	<p>Report being finalised with Welsh Government</p>	<p>August 2019 (National report due to be published 4 July)</p>
<p>Orthopaedics: follow-up review</p> <p>Executive lead: Joe Teape, Director of Operations/Deputy Chief Executive Officer</p>	<p>This review will examine the progress made in orthopaedic services since the 2015 all-Wales review. The work will assess whether Health Boards have effectively responded to the recommendations and areas identified for improvement. It will also seek to determine whether health boards are developing arrangements to help manage the demand on, and supply of, orthopaedic services.</p>	<p>Briefing issued. Health Board data survey currently being completed</p>	<p>October 2019</p>
<p>Wellbeing Future Generations examination</p> <p>Executive lead: Sarah Jennings, Director of Partnerships and Communication</p>	<p>This work will consider the Health Board's overall corporate approach to applying the "Sustainable Development Principle" and "Five Ways of Working". It will seek to examine one of the Health Board's well-being objectives in more detail, reviewing the steps that have been taken to achieve that objective.</p>	<p>Fieldwork underway. Feedback workshop scheduled for 12 July</p>	<p>August 2019</p>

Topic	Focus of the work	Status	Considered by Audit Committee
Structured Assessment Executive lead: Jo Wilson, Board Secretary	Building on previous years' work, this work will seek to describe the progress that is being made in embedding sound arrangements for corporate governance and financial management, alongside other key processes such as strategic planning, workforce management, procurement and asset management.	Observations started	December 2019
Planned thematic review work not yet started			
Quality governance Executive lead: Mandy Rayani, Director of Nursing, Quality & Patient Experience	This work will examine the factors underpinning quality governance such as strategy, structures and processes, information flows and reporting. It will be designed in discussion with Healthcare Inspectorate Wales and will seek to build in an ability to compare approaches to quality governance across NHS bodies.	Not yet started Once scope is developed, the scope of the work within the Health Board will be tailored to take account of local work already undertaken	TBC
Local work: Review of clinical equipment arrangements	The focus of this work will be on the Health Board's arrangements for managing, procuring and maintaining its clinical equipment.	Not yet started	TBC

Good Practice events and products

Since the last Audit Committee, we have held the following Good Practice Exchange (GPX) events. Materials are available via the links below.

Event	Details
<u>Working in partnership to combat fraud</u> 7 May 2019 16 May 2019	The aim of this event was to share investigation techniques, intelligence and the use of data analytics in fraud prevention and detection. It is aimed at all public service officers and members who have counter fraud responsibilities or interests

During the period up to August 2019, we plan to hold the following GPX seminars.

Event	Details
Key issues for Regional Partnership Boards 8 July 2019 17 July 2019	These webinars will highlight solutions to issues relating to fund implementation and ways of working in multi-agency partnership. They will assist RPBs with making changes to improve the way they work. Experts will discuss the issues and offer advice and assistance for RPB members and their committees
Innovative approaches to public services in rural communities 9 July 2019 18 July 2019	This seminar will share innovative approaches to help understand how public services can meet the needs of rural communities in Wales.

NHS-related national studies and publications

Since the last Audit Committee, we have published the following national value-for-money reports and other products, which have relevance to the NHS in Wales.

Report	Details
Counter Fraud Arrangements in the Welsh Public Sector June 2019	This paper provides an overview for the National Assembly's Public Accounts Committee of the counter-fraud landscape across the Welsh public sector. The Committee has expressed an interest in this topic following the recent publication of the 2018 National Fraud Initiative report, which provides some insight into aspects of public sector fraud in Wales but does not provide a robust evaluation of the underlying arrangements for prevention and detection. This paper therefore describes the allocation of resources, collaboration between organisations, scrutiny arrangements and overall impact.
NHS Wales Finances Data Tool June 2019	This interactive data tool draws on data from Welsh Government budgets, NHS bodies' independently audited financial statements and from monthly financial data submissions from the NHS bodies to the Welsh Government.

Since the last Audit Committee, the Wales Audit Office has also published its [Annual Report](#) for 2018-19. The report is also available as an [interactive digital summary report](#).

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