



**PWYLLGOR ARCHWILIO A SICRWYDD RISG
AUDIT AND RISK ASSURANCE COMMITTEE**

DYDDIAD Y CYFARFOD: DATE OF MEETING:	25 June 2019
TEITL YR ADRODDIAD: TITLE OF REPORT:	University Partnership Board – Assurance Report
CYFARWYDDWR ARWEINIOL: LEAD DIRECTOR:	Sarah Jennings, Director of Partnerships & Corporate Services
SWYDDOG ADRODD: REPORTING OFFICER:	Elen Marks, PA to Director of Partnerships & Corporate Services/UPB Secretariat

Pwrpas yr Adroddiad (dewiswch fel yn addas)

Purpose of the Report (select as appropriate)

Er Sicrwydd/For Assurance

**ADRODDIAD SCAA
SBAR REPORT**

Sefyllfa / Situation

The External Governance Review undertaken during 2015 recommended that the Executive Lead of each Board level Committee attends the Audit & Risk Assurance Committee on an annual basis to allow the Committee the opportunity to scrutinise the controls and assurances on which it relies, agreeing actions where appropriate.

The purpose of this report is to provide assurance to the Audit & Risk Assurance Committee that the University Partnership Board's Terms of Reference, as set by the Board, are being appropriately discharged.

The Committee is asked to note the content of this report and comment on any issues in respect of the operation of the University Partnership Board going forward.

Cefndir / Background

The University Partnership Board, established in March 2015 to provide assurance to the University Health Board around its University status, is a formal partnership arrangement between the University Health Board and its University partners – Aberystwyth University, Swansea University and the University of Wales Trinity Saint David.

The University Partnership Board is underpinned by a formal Memorandum of Understanding and has developed a four way comprehensive strategic approach for future operational collaboration.

The purpose of the University Partnership Board as expressed in its Terms of Reference is:

- 1. To drive and monitor developments in agreed priority areas under the umbrella of the University Partnership Board Strategy.**
- 2. To monitor progress against the plans developed by the priority area leads and ensure that the University Health Board is meeting the criteria to maintain its University status against which it will be judged by Welsh Government.**
- 3. To assure the University Health Board that a plan is in place to continue to meet the criteria for University status.**

4. To assess the current position of the University Health Board, identify gaps and opportunities, and ensure links are made to maximise the effectiveness of developments to improve the health of its population and the quality and effectiveness of its services (including those delivered jointly with partners).
5. To advise the University Health Board to ensure it is sighted on major innovations with the guiding principles of a clear strategy; clear governance and performance management; and being mindful of budget constraints. Issues of relevance to the University Health Board will include rurality, frailty, links with partners, legislation and work with related initiatives.
6. To assure the UHB that the work of the University Partnership Board, through partnership working with the Universities, is leading to continual improvement in the quality of care being provided and patient outcomes
7. To assure the University Health Board that the organisation is compliant with research governance statutory requirements, and that the Board is meeting its contractual requirements with regard to research and development.
8. To receive the Research & Development (R&D) Annual Report for approval prior to submission to the Health and Care Research Wales.

Asesiad / Assessment

Governance

The University Partnership Board's Terms of Reference were initially approved by the Board in November 2015. As part of the annual governance cycle, they were reviewed in November 2016 and approved by the Board in January 2017, and more recently reviewed in February 2018 and approved by the Board on 29th March 2018. An annual review was held at the 29th May 2019 UPB meeting where it was agreed that any revisions to the Terms of Reference would be ratified at the next meeting.

The University Partnership Board's Terms of Reference clearly outline the duties of the University Partnership Board, and the agendas of its meetings are structured to reflect its key roles and responsibilities.

The University Partnership Board meets quarterly. During 2018/19, the University Partnership Board met on the following four occasions and was quorate at all except one:

- 16th May 2018
- 2nd August 2018
- 21st November 2018
- 12th February 2019

The University Partnership Board has clear reporting lines to the Health Board to ensure that it is notified of actions taken on its behalf, and a formal written report is prepared for the Board following each University Partnership Board meeting.

The University Partnership Board, in its duty to report formally to the Board, presents an annual report within 6 weeks of the end of the financial year. A draft annual report was presented at the meeting held on the 16th May 2018 and endorsed for submission for approval by the Board at its meeting on 30th May 2018.

A self-assessment questionnaire on the University Partnership Board's effectiveness was introduced for the first time during 2016/17, repeated during 2017/18 and again during 2018/19. The questionnaire is used to assess the University Partnership Board's performance on an annual basis to provide assurance that it is operating in accordance with its terms of reference

and has been designed as a constructive means to:

- consider the functioning of the University Partnership Board as a whole;
- identify good practice;
- identify areas where the University Partnership Board could improve its understanding and effectiveness;
- stimulate open debate on the role and effectiveness of the University Partnership Board; and
- provide assurance to the Board.

The outcome from the self-assessment exercise was reported to the University Partnership Board meeting on 16th May 2018 and will be used to identify clear actions to support any required improvements in the University Partnership Board's working arrangements going forward.

Purpose and Key Responsibilities

In terms of meeting its purpose and key responsibilities during 2018/19, the University Partnership Board can demonstrate the following:

1. To drive and monitor developments in agreed priority areas under the umbrella of the University Partnership Board Strategy

The University Partnership Board Strategy received approval by the Health Board in September 2016 and covers the period 2016 – 2019 to coincide with the three year renewal process for University Health Board status.

For Year 1, the Strategy was action focused and evidenced delivery against the following three domains:

- Research Innovation and Translation
- Workforce and Organisational Development (OD)
- Collaborative Partnerships

Year 2 of the Strategy has built upon these three initial domains to provide a platform that empowers staff at all levels within the partner organisations to enter into meaningful collaborative working arrangements, allowing them to develop and exploit the full extent of these domains and create new activities around new themes.

It is through this work that an assurance can be provided that this responsibility from the University Partnership Board's Terms of Reference is being met.

2. To monitor progress against the plans developed by the priority area leads and ensure that the UHB is meeting the criteria to maintain its University status against which it will be judged by Welsh Government.

Year 2 of the Strategy has remained action focused however emphasised delivery through the lens of Welsh Government's feedback on their triennial review of University status, harnessing the meaning and sentiment behind the term 'University Health Board'.

Quarterly updates have been provided to the UPB on the collaborative working arrangements in place with University partners:

- To Explore the Benefits of, and Approaches to, Utilising Electronic Patient Centred Technology, Designed to Enable Proactive Self-Management of Chronic Conditions i.e.

COPD and Enable the Provision of Real Time Data for the Medical Practitioner.

Members were advised at the May 2018 UPB meeting that this study comprises a health care application allowing patients to track and manage their chronic obstructive pulmonary disease (COPD), and that following its review at a panel to consider sponsorship, focus groups would now be established. It was noted that UWTSD is undertaking a digital project that could also be linked into this project.

Further updates provided were the commencement of the Ethics Committee application to conduct focus-groups; feedback on clinician groups; to further develop the app for use and to prepare the MHRA application. Working groups had taken place with clinicians to agree the measures that should be included in the app and to agree time frames. There had been some delays due to the appointment of new employees at Bond Digital but this had been resumed.

Completion of the Bevan Exemplar programme was also noted and a final report was in progress.

It was noted at the February 2019 UPB meeting that there was no progress on the Welsh Government ETPP-funded My COPDPal project. A study was due to be submitted to the Ethics Committee for review.

- To Develop a Simulated Education Programme Framework Encompassing Initially Clinical Skills and then Broaden to Include all Aspects of an Individual's Care Pathway.

Members received regular updates on progress and informed that the Collaborative Institute for Learning and Development is in the early stages of progressing a simulated education programme framework, with an opportunity to link in with Swansea University's Health and Well Being Academy at the Institute of Life Sciences in Llanelli.

It was noted in the November 2018 UPB meeting that this work is now linked with the development of the Llanelli Wellness Village and is a long term strategic programme. The Llanelli Wellness Centre's Education, Skills and Training Group has been established and a development proposal for potential education facilities including simulation suites have been submitted.

Further updates from the ARCH and the Llanelli Wellness Village Education were received highlighting a number of significant milestones all of which will enable continued and detailed progression of education, simulation, skills and training provision.

Planning and Design

- In January 2019, the Village's outline planning application was unanimously approved by the Planning Committee
- Arup have been appointed to progress the design development of phase 1, defined as the Wellness Hub, Community Health Hub and primary infrastructure
- Concept Designs (RIBA Stage 2) were completed in May. An innovative 'street' configuration is proposed to house all the services and functions for phase 1, as shown below. This innovative design will promote service interconnectivity and joint working between organisations and sectors, including health and academia.
- RIBA Stage 2 work has also included the development of an area schedule. 5,000 m² of education and training space is proposed, along with 650m² of conference space, a 250m² simulation suite with potential for expansion on development of detailed specification, and 530m² of clinical research space, including a 4 bed ward area
- The education and training space comprises consulting rooms enhanced for student

learning, teaching ward, lecture theatre, library and I.T suite and multipurpose seminar rooms. High speed and capacity digital connectivity throughout capable of transmitting high resolution scans and real-time imaging

- Simulation Training
 - Inclusion of laboratory simulation space and associated digital conferencing facilities
 - live and interactive audio-visual link to theatres
 - Wet and dry laboratories and central seminar rooms within the simulation unit
 - simulation for healthcare improvement
 - Simulation-Based Research (SBR)
- RIBA Stage 3 (Detailed Design) brief prepared and proposed to commence in June
- The current project programme anticipates construction commencing in Q2 2020, with the training facility operationalised in Q4 2021.

The Full Business Case (FBC) was revised to reflect a new delivery model following the termination of the Collaboration Agreement between Carmarthenshire County Council (CCC), Swansea University and a private sector partner. The FBC process of resubmission will commence in July 2019.

A Memorandum of Understanding had been developed to establish a framework for collaborative working between CCC and health and academic partners.

- To Create a Comprehensive Framework Enabling Evidence-Based Decision Making to Influence the Approval and Introduction of New Clinical Interventions.

Members were informed that progress was being made and following discussions at a recent Effective Clinical Practice Sub-Committee (ECPSC) meeting, it had been agreed for a workshop to take place to establish reporting lines up to Quality, Safety & Experience Assurance Committee (QSEAC) and that the Sub-Committee Terms of Reference (ToRs) would be revised to reflect this.

This workshop took place on 14th September 2018 and from this it was concluded that:

- ECPSC should remain process focused, providing assurance on safe, effective, evidence-based clinical practice. Monitoring and management of ineffective practice should be a function of operational risk management.
- Some areas currently overseen by ECPSC should be reallocated elsewhere (this requires discussion by Executive Team, particularly to agree the appropriate governance).
- To support ECPSC work areas, University representatives offered to investigate establishing a virtual evidence review panel drawing on expertise both within and outside the UPB.
- In order that ECPSC management of process (clinical guidelines) can promote improved outcomes, co-working is essential with other Committees and bodies where outcomes are monitored.

Terms of Reference had been amended to reflect the formation of the NICE and these were again discussed at the ECPSC meeting on the 16th November 2018, and formally approved by QSEAC.

The NNGRG has not yet met, but it is planned to commence meetings of this group in July 2019. Membership has been agreed and confirmed in the Terms of Reference, and representatives are currently being sought.

It was also noted that the Medical Directorate is currently in the early stages of the reconfiguration of roles and responsibilities. The new structure will strengthen the medical representation on the groups of ECPSC and help to promote further efficiency and progress.

- To Test a Model of Remote Pulmonary Rehabilitation, and Analyse Benefits of Current Model and Scaling up of Provision.

Members received regular updates advising that by utilising tele-medicine, two hub and spoke site rehabilitation programmes for pulmonary rehabilitation have been completed and initial results have been analysed from patients attending either the hub or spoke site. Members welcomed the positive initial feedback from patients and the next stage of the project will be the utilisation of community venues as opposed to Health Board locations.

Two hub and spoke sites for pulmonary rehabilitation have been completed with initial results analysed from patients attending either the hub or spoke site. Location of the hub site was Glangwili General Hospital (GGH) and the initial spoke site was Tregaron Community Hall.

Results had proved that the concept that Virtual Pulmonary Rehabilitation (VIPAR) is safe and feasible. Going forward, the plan would be to continue with testing VIPAR with a hub and 2 spoke model.

It was noted that VIPAR has won three awards and a business case has been put to finance; as a result a sustainable, plan for delivering this model had been agreed. A stakeholders group has been established to ensure value based healthcare is delivered using its model

- To Work With University and Health Board Partners to Develop an Evaluation Tool to Enable Piloted Primary Care Initiatives to be Assessed; Enabling The Evidencing Of Impact, Underpinning Research and Efficiency, and Provision for Questioning Suitability for Wider Utilisation and Adoption.

Members received regular updates on the progress of this project, noting the importance of the work of the Primary Care Clusters and establishing adequate funding, whilst recognising that every Health Board in Wales as well as Public Health Wales has encountered the same issue in developing tools for use.

In regard to a further item, "*Strengthen the link between academic understanding and delivery of patient care*" in the May 2018 meeting, it was agreed to receive updates on the research presented at the UPB Innovation and Practice Conference July 2017 to represent a way forward on this particular item.

At the November 2018 UPB meeting, a brief discussion was held on the evaluation process of innovative models and it was noted that this would be a fundamental part of the UPB workshop in February 2019. During this workshop, attendees were given a brief presentation on the aims of the workshop followed by facilitated group work. It gave colleagues the opportunity to work together on the development of tangible and clear plans for the next three years to support and deliver elements of the 20 year Health Strategy that was recently approved. There were four tables provided for the group work, and four topics for the session:

- Education and Training
- Research and Evaluation
- Innovation/New Models of Care

- Prevention and Population Health

The session was broken down into two sections, the first section provided the group with an opportunity to consider the questions in the context of the group they were in and to summarise their key points. The second session gave each group the opportunity to share their perspectives on the remaining three topics, based on the summaries developed in the previous session. UPB members were thanked for energising their colleagues and giving the UPB fresh faces and names for the workshop and future work.

In the May 2019 UPB meeting, standardised reporting templates have been developed by Welsh Government for the reporting of Cluster, Pacesetter and Delivery Agreement Projects.

Since the original paper submitted by Elaine Lorton on the 6th February 2018, work has been carried out by Professor Ceri Phillips to understand the needs of Primary Care in terms of evaluation. An evaluation framework document was developed with an evaluation tool to support projects in developing a strong and robust strategy.

This tool has been circulated within Cluster teams but feedback suggested that there needed to be greater understanding of how the tool should be used and how it could be adapted to fit the needs of the different projects. As a result an Evaluation workshop has been arranged and will take place on 20th June 2019 for Locality Leads, Locality Development Managers and Pacesetter staff so that they can understand how the tool works and how this can be used in practice.

- Reduction in the prevalence of pre-diabetes following one-to-one consultation delivered through general practice

The project was noted as complete and the final report has been sent to the Senior Primary Care Locality Development Manager (North Ceredigion) on 24th October 2018.

3. To assure the University Health Board that a plan is in place to continue to meet the criteria for University status

Following Welsh Government's triennial review of university status held during 2016/17, their recommendations on Hywel Dda University Health Board's submission included the following specific comments:

- Encourage greater alignment of University activities to areas of need and opportunity which are of specific relevance to the health board, in particular: rural and remote medicine; telehealth and technologies;
- Encourage a stronger strategic leadership role, linked to university activity, in joint working arrangements such as the Mid Wales Health Collaborative, Centre for Excellence in Rural Health and Social Care, ARCH Wellness Village;
- Continue to promote the value of university designation within the organisation and maintain leadership commitment;
- Work with partners to develop new education and training designed to meet future workforce needs, ensuring that work integrates with and strengthens the all-Wales approach.

The University Partnership Board Strategy which covers the period 2016 – 2019 and coincides with the three year renewal process for University Health Board status, will be the vehicle by which the criteria for maintaining University status will be achieved.

4. To assess the current position of the University Health Board, identify gaps and opportunities, and ensure links are made to maximise the effectiveness of developments to improve the health of its population and the quality and effectiveness of its services (including those delivered jointly with partners).

During 2018/19, updates on the progress of the University Health Board's Transformation Programme have been presented to the University Partnership Board where it has been acknowledged that a key element to its success will be the achievement of effective partnership working with the University Health Board's partner Universities and other organisations.

Transforming Clinical Services presentations have also been given where University Partnership Board Members were introduced to the main TCS proposals, each one underpinned by a community service model. It was noted in the May 2018 meeting that the Consultation Institute has been involved in all stages of the TCS programme prior to it reaching consultation phase which commenced on 19th April and closed on 12th July 2018. A workshop followed the presentation to allow University partners the opportunity to provide their opinions on the proposals. It became evident during the workshop discussions that working together with partner Universities would be pivotal in establishing the workforce required by the Health Board moving forward. It was therefore agreed that the Transformation Team would look to co-ordinate a separate event for University partners where clear aims would be established. During the November 2018 meeting, an informative presentation was given on the Transforming Clinical Services Strategy Consultation's Closing Report. Rural area hubs were discussed and it was noted that these currently work well within other organisations. Members were reminded of the Golden Threads and that these had guided the Strategy's development.

Discussions were held at the February 2019 workshop on clinical recommendations and the emerging strategy. A number of agenda items planned for this particular meeting were stood down as the workshop was crucial to ensure partners helped to co-produce the strategy. The contribution Universities have to make to the next steps of the Strategy were acknowledged.

The University Partnership Board also works with another collaboration - Rural Health & Care Wales (RHCW) – with updates received at each meeting. This update includes the work undertaken and progress made by RHCW on research, innovation and academic implications for University partners.

In the meeting on 2nd August, 2018 Members were informed that the RHCW Conference would take place at the Royal Welsh showground in Builth Wells on 13th November 2018. Meetings were held with Health Education Improvement in Wales and Aberystwyth University to discuss the research centre of excellence.

A verbal update was given at the February 2019 meeting, noting that the Health Boards would continue to fund RHCW for the first 12 months until the Alliance had been established.

5. To advise the University Health Board to ensure it is sighted on major innovations

Building on the successful 'Inspiring Research and Practice Innovation Conference' held on 13th July 2017, the University Partnership Board had agreed that for 2018, the event would take the form of a workshop to build upon and access further research opportunities for individuals and University Partnership Board partner organisations, enabling students, staff and researchers to take their research, service development and innovation work forward, and enable them to make appropriate connections between clinicians and academics. The

University Partnership Board also agreed that this workshop event would be led by the University Health Board's Research and Development Department who will link closely with the Health Board's Transformation and Improvement Team and Innovation Hub.

The workshop was held in July 2017 and at the 2nd August 2018 UPB meeting, it was noted that this had been beneficial in highlighting the work each University partner is undertaking.

At the February 2019 UPB meeting, it was noted that an appointment had been made to the post of Deputy Director of Research and Innovation; Mr Leighton Phillips was appointed to this role under Dr Kloer's leadership. This was seen as an important appointment for the Health Board particularly in terms of supporting the research agenda and the innovation agenda, and in terms of how the UPB can capitalise on these with its University partners.

6. To assure the University Health Board that the work of the University Partnership Board, through partnership working with the Universities, is leading to continual improvement in the quality of care being provided and patient outcomes

The University Partnership Board seeks not only to utilise the expertise within its partner Universities, but also to support the Universities in their aspirations and academic objectives by providing the opportunities for practice-based transformational and innovative research as part of a symbiotic relationship. This will support and contribute to each University's Research Excellence Framework (REF).

Focus during 2018/19 has moved from how University partners can assist the Health Board in terms of service delivery, to a more seamless partnership and reciprocal relationship. To this end, academic developments which require or would benefit from Health Board input are now routinely included on the University Partnership Board agenda, placing a responsibility on University partners to make the University Partnership Board aware of such items for discussion.

Members were informed of the Hwyl Innovation Hub, launched on 6th July 2018, with the intention that this will become a focused, creative space for individual ideas to come together and be supported. The Hub is based in the Beacon Centre for Enterprise in Llanelli, and discussions have been taking place to source a similar base in Aberystwyth.

Further to this, in the meeting held in February 2019, it was noted that Mr Davies, Hub Administrator would join the UPB as a member and attend future meetings. This would bring more synergy in connecting the work that the Hub is undertaking with the Universities whilst also looking at future opportunities.

Members received an overview of the Health Board's links on the Health, Wealth & Well-Being System being undertaken with A Regional Collaboration for Health (ARCH). In addition, further collaborative working was suggested with the ACCELERATE project in partnership with Swansea School of Medicine, Cardiff School of Medicine and Life Science Hub Wales.

Following the outcome from the Transforming Clinical Services programme in November 2018, it was noted that a strategy would be produced with an emphasis on a population health and social care approach. It was suggested that once the Health Board's Clinical Strategy is published, the Board will be more demanding of its University partners to help in driving its agenda forward.

In respect of student placements, the Committee acknowledged that all medical and nursing student placements from University partners would be fundamental to the Health Board in

terms of implementing the new models of care for TCS. It was noted that a new MPharm degree at Swansea University Medical School is due to begin in 2020, which has been developed in response to the regional, national and UK-wide needs of a rapidly changing profession. A Task & Finish Group will be established to oversee this and will include representation from University partners.

The University Partnership Board was also notified of the opportunities for working in partnership with Institut Bonanova in Spain. Four Health Board Executives and three Directors of Social Services had been on a recent trip to Bilbao to look at the model of health and social care service in Spain and to bring back ideas and learning to implement in Hywel Dda. One specific opportunity that had emerged was a health and care provider academy (Institut Bonanova FP Sanitaria) who had expressed an interest in some of their students being able to conduct their placements in Wales with NHS and LA partners via an Erasmus programme. It was added that there are opportunities for University partners to support and facilitate these placements and to build strong links with the Institut.

An update on student placements was given by Ms Sarah Jennings at the February 2019 meeting where it was noted that there had been progress with UWTSD & Swansea colleagues with whom she had met in order to discuss their ability to accept Erasmus students. A follow-up discussion would be needed but Ms Jennings advised that perhaps it would be best to take a pause due to Brexit discussions on-going which may affect EU student placements in the longer term.

Whilst the academy has many courses, they had identified three (Domiciliary Care, Health Care Support Worker and Administrator (coding, data analysis and validation) with 2-4 students being placed per course area. Members were in agreement that Mrs Lisa Gostling take the Executive Lead role for this as it is a workforce development. Members were also in agreement that Mrs Karen Miles would take the lead on opportunities to learn from Spanish IT and digital systems.

In the meeting held on 2nd August 2018, the UPB was advised that an additional 20 medical student places have been approved from 2019. It was noted that the aim will be to increase the number of Welsh students onto these courses and the Health Board will play an important role in providing placements for them.

At the November 2018 UPB meeting, a brief discussion was held on supporting placements via the Universities and raising the Health Board's student footprint. Recruiting locally and preparing people to be community physicians in rural communities was also noted as highly important.

Welsh student placements provisions were also discussed and the possibility of Welsh Language placements explored.

Also at the November 2018 UPB meeting, a very well contextualised report was presented by University of Wales Trinity Saint David on their response to academic developments.

Sub-Committees

There are currently two Sub-Committees reporting to the University Partnership Board - the Collaborative Institute Sub-Committee and the Research & Development Sub-Committee. The Sub-Committees meet quarterly and produce a written update for the University Partnership Board following each Sub-Committee meeting. These update reports detail the key actions, issues and risks discussed, and are the subject of debate and discussion at each University Partnership Board meeting.

Collaborative Institute Sub-Committee

The key objectives of the Collaborative Institute Sub-Committee are:

- To identify ways to maximise resources, that promote innovation in skills and workforce development aligned to the priorities of each organisation.
- To develop and foster the delivery of collaborative learning environments that promotes interdisciplinary practice, knowledge and understanding.
- To challenge assumptions about how health and social care is delivered, who delivers it and where it takes place.
- To establish processes and where appropriate policies, that facilitate strategic collaborative recruitment and support innovative and creative schemes that develop new, advanced and extended roles.
- To further develop existing simulation and clinical skills facilities to underpin the drive for multi-professional education and training and provide an environment for talent and skills development.
- To initiate and evaluate creative skills training schemes for the unregistered health care workforce.
- To develop integrated education schemes for advanced and changing professional roles which are responsive to service changes, that enable health and social care provision to be both sustainable and prudent.
- To support the delivery of the Health Board Education Strategy.
- To propose models that will facilitate 'collaborative practice development' working across professions, promoting team based education and training and inter professional dependence.

A key principle of the Collaborative Institute is to maximise upon the opportunities to collaborate, as a virtual institute with virtual and physical outcomes.

Most meetings of the Sub-Committee had been deferred during 2018/19 as membership had dwindled to the point that not many of the representatives that were agreed initially were attending the meetings. Having said that, an update report was provided from the Sub-Committee meeting held on 20th April 2018 that highlighted the progress made. The UPB was advised in August 2018 that discussions had taken place on the progress of the Sub-Committee with a proposal that a regional approach is required.

In the May 2019 UPB meeting, Members noted the closure report brought to the UPB and approved the proposal for the cessation of the Sub-Committee. Mrs Lisa Gostling proposed bringing the Collaborative Institute Sub-Committee back to the table in a completely different form which was welcomed by Members.

7. To assure the University Health Board that the organisation is compliant with research governance statutory requirements, and that the Board is meeting its contractual requirements with regard to research and development.

Research & Development Sub-Committee

The principal duties of the Research & Development Sub-Committee are to:

- Ensure R&D is appropriately resourced and that resources are channelled to local and national R&D priorities in the health community.
- Report to relevant agencies such as Health and Care Research Wales, Welsh Government, through the approval of the R&D Annual Return, Mid-Year Return, Annual Plan and Spending Plan.
- Receive and comment on financial, performance management and data reports submitted to Health and Care Research Wales.

- Review new research applications pertaining to a member's specialist field / management responsibilities when requested by the R&D Manager.
- Promote increased staff involvement in research activity, including facilitating access to relevant training to enhance research capacity and capability.
- Encourage multi-disciplinary and multi-agency R&D, including patient/public involvement where appropriate.
- Report on R&D activity to relevant health community committees and the Health Board via the R&D Director or their nominated person.

Research & Development Sub-Committee meetings are held quarterly and during 2018/19 the Sub-Committee met on the following occasions:

- 21st May 2018
- 13th August 2018
- 12th November 2018
- 11th February 2019

Brief updates were received by the UPB on the Implementation of the UK NHS R&D Finance Policy, the proposal to develop the Sponsorship Review Panel. Key Performance Indicator updates were also provided as well as other research developments.

At the University Partnership Board meeting held on the 16th May 2018 the Research and Development Sub-Committee Annual Report 2017/18 was approved.

At its meeting on 2nd August 2018, the UPB received an update from the R&D Sub-Committee meeting held on 21st May 2018. The R&D Sub Committee Terms of Reference were presented for approval and given that the UPB meeting was not quorate, it was agreed to ratify these via Chair's Action once all University partners' views had been canvassed.

Following completion of the consultation process within HDdUHB, Members approved the Intellectual Property (IP) Policy at the meeting held in May 2018.

An urgent risk was noted at the November 2018 meeting in terms of the loss of a number of active Research Clinicians to which there may well be a productivity risk.

8. To receive the R&D Annual Report for approval prior to submission to the Health and Care Research Wales.

At its meeting held on 16th May 2018, the UPB approved the R&D Narrative Annual Plan Return for 2017-18.

Argymhelliad / Recommendation

The Audit & Risk Assurance Committee is asked to note the content of this report and take assurance that the University Partnership Board has been operating effectively during 2018/19.

Amcanion: (rhaid cwblhau)

Objectives: (must be completed)

Committee ToR Reference

Cyfeirnod Cylch Gorchwyl y Pwyllgor

5.8 Invite Lead Directors of Board Level Committees to attend the Audit & Risk Assurance Committee at least annually to receive assurance that they are effectively discharging their Terms of Reference.

Cyfeirnod Cofrestr Risg Datix a Sgôr Cyfredol: Datix Risk Register Reference and Score:	Not Applicable.
Safon(au) Gofal ac Iechyd: Health and Care Standard(s):	Governance, Leadership and Accountability
Amcanion Strategol y BIP: UHB Strategic Objectives:	9. To improve the productivity and quality of our services using the principles of prudent health care and the opportunities to innovate and work with partners.
Amcanion Llesiant BIP: UHB Well-being Objectives: Hyperlink to HDdUHB Well-being Statement	Not Applicable

Gwybodaeth Ychwanegol: Further Information:	
Ar sail tystiolaeth: Evidence Base:	University Partnership Board Terms of Reference University Partnership Board Strategy University Health Board Revalidation Submission
Rhestr Termau: Glossary of Terms:	Included within the body of the report
Partion / Pwyllgorau â ymgynhorwyd ymlaen llaw y Pwyllgor Archwilio a Sicrwydd Risg: Parties / Committees consulted prior to Audit and Risk Assurance Committee:	Not Applicable.

Effaith: (rhaid cwblhau) Impact: (must be completed)	
Ariannol / Gwerth am Ariannol: Financial / Service:	Not Applicable.
Ansawdd / Gofal Claf: Quality / Patient Care:	Implicit within the report.
Gweithlu: Workforce:	Implicit within the report.
Risg: Risk:	Not Applicable.
Cyfreithiol: Legal:	Not Applicable.
Enw Da: Reputational:	Not Applicable.
Gyfrinachedd: Privacy:	Not Applicable.
Cydraddoldeb: Equality:	Not Applicable.