

**CTMUHB Audit and Risk Committee – Part 2**  
**Assurance Report**

<b>Reporting Committee</b>	<b>CTMUHB Audit and Risk Committee – Part 2</b>
<b>Chaired by</b>	<b>Patsy Roseblade, Chair of CTMUHB Audit and Risk Committee</b>
<b>In attendance for WHSSC</b>	<b>Ian Wells, WHSSC IM – Audit Lead</b> <b>Stuart Davies, Director of Finance &amp; Information</b> <b>Jacqui Evans, Committee Secretary</b>
<b>Date of Meeting</b>	<b>4 October 2021</b>
<b>Report Author</b>	<b>Committee Secretary</b>

**Summary of key matters considered by the Committee and any related decisions made**

The CTMUHB Audit & Risk Committee (ARC) provide assurance to the Joint Committee of the effectiveness of its arrangements for handling reservations and delegations. The Memorandum of Agreement states that the Audit Lead will provide reports to the Joint Committee following the Host Audit & Risk Committee meetings. This assurance report sets out the key areas of discussion and decision.

**1.EASC Standing Orders and Standing Financial Instructions**

Stephen Harray, Board Director/Chief Ambulance Service Commissioner, Emergency Ambulance Services Committee (EASC) presented the updated Standing Orders (SO's) and Standing Financial Instructions (SFI's) for EASC.

The Committee **noted** the report.

**2.WHSSC Corporate Risk Assurance Framework (CRAF)**

Jacqui Evans (JE), WHSSC Committee Secretary gave a verbal update on the Corporate Risk and Assurance Framework (CRAF) and members noted that a risk management workshop was held with the Corporate Directors Group on the 16 September 2021, which reviewed the existing risks, reviewed the scoring and identified potential additional corporate and operational risks through discussion with each individual directorate.

The updated CRAF would be presented to the Joint Committee for review and approval on the 7 November and to the CTMUHB Audit and Risk Committee on the 7 December 2021.

The Committee **noted** the verbal update.

## **2.WHSSC Internal Audit Recommendations Tracker**

Stuart Davies (SD), Director of Finance & Information gave a progress report on the implementation of internal audit recommendations and members noted that since 2018 8 reports have been issued, 21 recommendations have been made, 19 recommendations have been achieved and 2 recommendations are outstanding, which have not yet reached their due date.

To ensure effective governance and reporting the tracker document had been updated to capture the 7 recommendations made in the Audit Wales report "Committee Governance Arrangements at WHSSC".

The Committee **noted** the report.

## **3.WHSSC Standing Financial Instructions Authorisation Limits**

SD gave an update regarding the need to amend the reference to healthcare contracts within the WHSSC Standing Financial Instructions (SFI's), as it had been discovered that a section within the standard LHB SFI's which lists the specific exemptions to the £1m Ministerial authorisation rule, had been omitted from the WHSSC model SFI's that had been approved by the Minister.

The Committee were assured that:

- The Welsh Government letter dated December 2020 reiterated that not all NHS contracts were covered in the requirement for Ministerial consent,
- WG have been made aware of the issue and following discussion the issue has been resolved,
- The model WHSSC SFI's will be updated to reflect the required amendment when they are next reviewed in their entirety in 2022.

The Committee:

- **Received assurance** that the concern regarding approval of healthcare contracts has been investigated with Welsh Government and resolved,
- **Noted** that WHSSC will consider the options for regularising this matter which will be presented to a future Audit and Risk committee, and
- **Noted** that the WHSSC SFI's will be updated to reflect the amendment when they are next updated in 2022.

## **4.EASC Risk Register**

SH gave an update on the EASC risk register and advised that it had been extensively reviewed and updated by the EASC Team in August 2021 and approved by the EAS Joint Committee on the 7 September 2021. There were two red risks which scored 15 and above.

The Committee **noted** the report.

**5.National Imaging Academy of Wales Verbal Update**

Phillip Wardle, Director, National Imaging Wales gave a verbal update on the work of the academy.

The Committee **noted** the verbal update.

**Matters referred to other Committees**

None

<b>Date of next scheduled meeting</b>	7 December 2021
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