

# Audit & Risk Assurance Committee

Tue 22 February 2022, 09:30 - 12:35

Board Room, Ystwyth Building and via MS Teams

## Agenda

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### 09:30 - 09:35 **1. Introductions**

5 min

#### **1.1. Apologies**

*Paul Newman*

#### **1.2. Declaration of Interests**

*All*

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### 09:35 - 10:00 **2. Governance**

25 min

#### **2.1. Minutes of the Meeting held on 14 December 2021**


*Paul Newman*


 Unapproved ARAC Minutes 14 December 2021.pdf (19 pages)

#### **2.2. Table of Actions**

*Paul Newman*

 Table Of Actions ARAC 14 December 2021.pdf (10 pages)

 Appendix 1 - Quality Governance Management Response (updated February 2022).pdf (10 pages)

 Appendix 2 - Discharge Processes Management Response (updated February 2022).pdf (8 pages)

 Appendix 3 - Taking Care of the Carers Management Response (updated February 2022).pdf (8 pages)

#### **2.3. Matters Arising not on the Agenda**


*Paul Newman*

#### **2.4. Enhanced Monitoring/Joint Executive Team Update (verbal)**

*Steve Moore*

#### **2.5. All Wales NHS Audit Committee Chairs' Meeting Update**

*Paul Newman*

 All Wales Audit Committee Chairs' Meeting Update ARAC February 2022.pdf (5 pages)

#### **2.6. Counter Fraud Update**

*Ben Rees*

 SBAR Counter Fraud Update ARAC February 2022.pdf (2 pages)

 Counter Fraud Update ARAC February 2022.pdf (5 pages)

 Appendix 1 - Counter Fraud Newsletter Winter 2021-2022.pdf (4 pages)

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10:00 - 10:30  
30 min

## 3. Financial Focus


### 3.1. Financial Assurance Report

*Huw Thomas*

 Financial Assurance Report ARAC February 2022.pdf (17 pages)

### 3.2. Annual Statement of Financial Procedures


*Huw Thomas*

 Annual Statement of Financial Procedures ARAC February 2022.pdf (4 pages)

### 3.3. Post Payment Verification (PPV) Report

*Amanda Legge*

 Post Payment Verification (PPV) Report ARAC February 2022.pdf (4 pages)

 Hywel Dda UHB PPV Report Apr - Dec 2021 (anonymised).pdf (3 pages)

### 3.4. General Medical Services (GMS) Post Payment Verification Update

*Jill Paterson*

 GMS PPV Update ARAC February 2022.pdf (6 pages)

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10:30 - 10:50  
20 min

## 4. Audit Wales

### 4.1. Audit Wales Update Report

*Audit Wales*

 Audit Wales Update ARAC February 2022.pdf (10 pages)

### 4.2. Structured Assessment 2021: Phase Two - Corporate Governance and Financial Management Arrangements

*Audit Wales*

 Hywel Dda UHB Structured Assessment 2021 (Phase 2) Report.pdf (30 pages)

### 4.3. Audit Wales WHSSC Committee Governance Arrangements Update

*Audit Wales/Steve Moore*

 Audit Wales WHSSC Committee Governance Arrangements – Update.pdf (5 pages)

 Appendix 1 - Audit Wales WHSSC Governance Tracker.pdf (24 pages)

### 4.4. Care Home Commissioning for Older People

*Audit Wales*

 Care Home Commissioning - English.pdf (20 pages)

 Care Home Commissioning - Cymraeg.pdf (20 pages)

### 4.5. Audit Wales Annual Plan 2022

*Audit Wales*

DEFERRED to 19 April 2022

### 4.6. Orthopaedic Services Follow-up

*Audit Wales/Andrew Carruthers*

## 4.7. Review of the Sustainable Use of RTT Monies

*Audit Wales/Andrew Carruthers*

Audit under re-evaluation due to significant changes in context and landscape since review began

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## 10:50 - 11:00 5. BREAK 10 min

## 11:00 - 12:00 6. NWSSP - Audit and Assurance Services - Internal Audit 60 min

### 6.1. Internal Audit Plan Progress Report

*James Johns*

- 📄 SBAR IA Plan Progress Report ARAC February 2022.pdf (3 pages)
- 📄 IA Plan Progress Report ARAC February 2022.pdf (13 pages)

### 6.2. Nurse Bank Overpayments: Briefing Paper

*James Johns/Huw Thomas*

- 📄 Nurse Bank Overpayments - Briefing Paper.pdf (6 pages)

### 6.3. Deployment of WPAS into MHLD Follow-up (Reasonable Assurance)

*James Johns/Huw Thomas/Liz Carroll*

- 📄 Deployment of WPAS into MHLD Final IA Report.pdf (17 pages)
- 📄 Deployment of WPAS into MHLD (Follow-Up) Final IA Report.pdf (15 pages)

### 6.4. Use of Consultancy Follow-up (Reasonable Assurance)

*James Johns/Huw Thomas*

- 📄 Use of Consultancy (Follow-Up) Final IA Report.pdf (9 pages)

### 6.5. Waste Management (Reasonable Assurance)

*James Johns/Andrew Carruthers*

- 📄 Waste Management Final IA Report.pdf (17 pages)

### 6.6. Records Management Briefing Paper

*James Johns/Andrew Carruthers*

- 📄 Records Management - Briefing Paper.pdf (12 pages)

### 6.7. Field Hospital Lessons Learned

*Andrew Carruthers*

- 📄 SBAR Field Hospital Lessons Learned ARAC February 2022.pdf (4 pages)
- 📄 HDdUHB Response to Internal Audit Field Hospital Decommissioning Final Advisory Report.pdf (22 pages)
- 📄 Annex 1 - Leadership across Field Hospitals in Response to the Pandemic in Rural West Wales - BMJ.pdf (1 pages)

### 6.8. TriTech

*James Johns/Philip Kloer*

DEFERRED

## **6.9. Non-clinical Temporary Staff/Agency Spend**

*James Johns/Lisa Gostling*

DEFERRED

## **6.10. Workforce Planning**

*James Johns/Lisa Gostling*

DEFERRED

## **6.11. Quality & Safety Governance Framework**

*James Johns/Mandy Rayani*

DEFERRED

## **6.12. Clinical Audit**

*James Johns/Mandy Rayani*

DEFERRED

## **6.13. Falls**

*James Johns/Mandy Rayani*

DEFERRED

## **6.14. Performance Reporting and Monitoring**

*James Johns/Huw Thomas*

DEFERRED

## **6.15. Commissioning**

*James Johns/Huw Thomas*

DEFERRED

## **6.16. Primary Care Clusters**

*James Johns/Jill Paterson*

DEFERRED

## **6.17. IT Infrastructure**

*James Johns/Huw Thomas*

DEFERRED to 2022/23

## **6.18. Continuing Health Care/Long Term Care Pathway**

*James Johns/Jill Paterson*

DEFERRED to 2022/23

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12:00 - 12:20  
20 min

## **7. Assurance and Risk**

### **7.1. External Validation Update**

*Andrew Carruthers*

 External Validation Update ARAC February 2022.pdf (4 pages)

External Validation Update IIA.pdf (5 pages)

## 7.2. Mental Health Legislation Committee Assurance Report around the Discharge of their Terms of Reference

*Andrew Carruthers*

MHLC Assurance Report ARAC February 2022.pdf (7 pages)

## 7.3. Audit Tracker

*Joanne Wilson*

Audit Tracker ARAC February 2022.pdf (12 pages)

Appendix 1 - Audit and Inspection Tracker February 2022.pdf (26 pages)

Appendix 2 - Open and Closed Reports February 2022.pdf (3 pages)

## 7.4. Planning Objectives Update

*Paul Newman/Joanne Wilson*

Planning Objectives Update ARAC February 2022.pdf (5 pages)

Appendix 1 - PO Update ARAC February 2022.pdf (2 pages)

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## 12:20 - 12:25 5 min 8. For Information

### 8.1. National Internal Audit Reports

NONE TO REPORT

### 8.2. Healthcare Inspectorate Wales (HIW) Activity Update

2021.12.15 HIW to Hwyl Dda UHB - HIW Activity Update.pdf (2 pages)

### 8.3. Audit & Risk Assurance Committee Work Programme 2021/22

Audit Work Programme 2021-22.pdf (11 pages)

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## 12:25 - 12:30 5 min 9. Any Other Business

*All*

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## 12:30 - 12:35 5 min 10. Review of Meeting

### 10.1. Reflective Summary of the Meeting

*Paul Newman/Joanne Wilson*

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## 12:35 - 12:35 0 min 11. Date and Time of Next Meeting

9.30am, 19 April 2022