



## CYFARFOD O'R YMDDIRIEDOLWR CORFFORAETHOL MEETING OF THE CORPORATE TRUSTEE

<b>DYDDIAD Y CYFARFOD:</b> <b>DATE OF MEETING:</b>	27 January 2022
<b>TEITL YR ADRODDIAD:</b> <b>TITLE OF REPORT:</b>	Ratification of Charitable Funds Requests over £100,000 and Hywel Dda Health Charities Annual Report and Accounts 2020/21
<b>CYFARWYDDWR ARWEINIOL:</b> <b>LEAD DIRECTOR:</b>	Mandy Rayani, Director of Nursing, Quality and Patient Experience
<b>SWYDDOG ADRODD:</b> <b>REPORTING OFFICER:</b>	Nicola Llewelyn, Head of Hywel Dda Health Charities

**Pwrpas yr Adroddiad** (dewiswch fel yn addas)

**Purpose of the Report** (select as appropriate)

Ar Gyfer Penderfyniad/For Decision

### ADRODDIAD SCAA SBAR REPORT

#### Sefyllfa / Situation

The Board of Hywel Dda University Local Health Board (HDdUHB), in its role as corporate trustee of the charitable funds held by HDdUHB, is requested to ratify the approval of two charitable funds applications presented to the Charitable Funds Committee at its meeting on 30<sup>th</sup> November 2021.

#### Cefndir / Background

HDdUHB has been appointed as Corporate Trustee of the charitable funds by virtue of Statutory Instrument 2009 No. 778 (W.66) and that its Board serves as its agent in the administration of the charitable funds held by the University Health Board.

The Charitable Funds Committee (CFC) has been established as a Committee of HDdUHB and constituted from 22<sup>nd</sup> July 2010.

The purpose of the CFC is to:

- Make and monitor arrangements for the control and management of the Board's Charitable Funds, within the budget, priorities and spending criteria determined by the Board and consistent with the legislative framework.
- Provide assurance to the Board in its role as corporate trustees of the charitable funds held and administered by the University Health Board.
- Agree issues to be escalated to the Board with recommendations for action.

The CFC is responsible for approving all charitable expenditure above £50,000 in accordance with the Committee's terms of reference and agreed scheme of financial delegation. Expenditure over £100,000 requires the approval of the Corporate Trustee.

#### Asesiad / Assessment

- **Staff Welfare and Wellbeing: Creating Rest Areas for our Staff**

The report, attached at Appendix 1, was presented to CFC at its meeting on 30<sup>th</sup> November 2021 and, following discussion, the request for charitable funding received approval subject to ratification by the Corporate Trustee. The Corporate Trustee is requested to ratify the request for charitable funds expenditure of £180,941 to be spent on creating rest areas for staff across HDdUHB, in line with the recommendations of the 2021/22 Discovery Report Annual Plan and the two Planning Objectives that have been developed in relation to rest, recovery and recuperation of staff. The total sum amounts to £67,896 of stage 3 grant funding from NHS Charities Together and £113,045 from the Hywel Dda NHS COVID-19 Appeal.

- **Phase 2 of the Cancer Psychological Support (CaPS) Project: Psychological Support for People Affected by Cancer and the Cancer Workforce**

The report, attached at Appendix 2, was presented to CFC at its meeting on 30<sup>th</sup> November 2021 and, following discussion, the request for charitable funding received approval subject to ratification by the Corporate Trustee. The Corporate Trustee is requested to ratify the request for charitable funds expenditure of £228,000 over the next 3 financial years to support funding for Phase 2 of the CaPS Project.

- **Final Hywel Dda Health Charities Annual Report and Accounts 2020/21**

The final Hywel Dda Health Charities Annual Report and Accounts 2020/21, attached at Appendix 3, were presented to CFC at its meeting on 30<sup>th</sup> November 2021 and approved, prior to presentation to the Board at its Public meeting on 27th January 2022 for ratification in its role as Corporate Trustee.

### Argymhelliad / Recommendation

The Board of Hywel Dda University Local Health Board, in its role as Corporate Trustee of the charitable funds held by the UHB, is asked to **RATIFY**:

- the release of £180,941 from HDdUHB charitable funds to contribute to the creation of rest areas for staff across HDdUHB;
- the release of £228,000 over the next 3 financial years to support funding for Phase 2 of the CaPS Project;
- the final Hywel Dda Health Charities Annual Report and Accounts 2020/21.

### **Amcanion: (rhaid cwblhau)**

#### **Objectives: (must be completed)**

Cyfeirnod Cofrestr Risg Datix a Sgôr Cyfredol: Datix Risk Register Reference and Score:	Not applicable for this paper.
Safon(au) Gofal ac Iechyd: Health and Care Standard(s): <a href="#">Hyperlink to NHS Wales Health &amp; Care Standards</a>	Governance, Leadership and Accountability
Amcanion Strategol y BIP: UHB Strategic Objectives: <a href="#">Hyperlink to HDdUHB Strategic Objectives</a>	All Strategic Objectives are applicable

Amcanion Llesiant BIP: UHB Well-being Objectives: <a href="#">Hyperlink to HDdUHB Well-being Statement</a>	Improve Population Health through prevention and early intervention
<b>Gwybodaeth Ychwanegol: Further Information:</b>	
Ar sail tystiolaeth: Evidence Base:	Standing Orders / Standing Financial Instructions Charitable Funds Committee Terms of Reference Charity Commission guidance
Rhestr Termau: Glossary of Terms:	Included within the body of the report
Partion / Pwyllgorau â ymgynhorwyd ymlaen llaw y Cyfarfod Mewnol Pwyllgor Bwrdd Iechyd Prifysgol: Parties / Committees consulted prior to the In Committee University Health Board:	Charitable Funds Committee

<b>Effaith: (rhaid cwblhau) Impact: (must be completed)</b>	
<b>Ariannol / Gwerth am Arian: Financial / Service:</b>	Hywel Dda University Local Health Board holds the charitable funds linked to its NHS services in the capacity of a Corporate Trustee. The responsibility for the management and use of these charitable funds held on trust lies with the Board of Hywel Dda University Local Health Board acting as Corporate Trustee. The Charitable Funds Committee has been established as a Committee of the Hywel Dda University Local Health Board. The purpose of the Charitable Funds Committee is to make and monitor arrangements for the control and management of the UHB's charitable funds, within the budget, priorities and spending criteria determined by the Board and consistent with the legislative framework. The Committee is responsible for ensuring that all charitable expenditure is reasonable, clinically and ethically appropriate.
<b>Ansawdd / Gofal Claf: Quality / Patient Care:</b>	The Charitable Funds Committee must ensure that adequate controls and governance arrangements are in place to ensure that our charitable funds are used to support NHS services locally.
<b>Gweithlu: Workforce:</b>	The resources outlined in the paper will allow the work of the charity to be further developed. The charity has no employees but accesses staff time via the University Health Board.
<b>Risg: Risk:</b>	Breach of Standing Orders and/or Standing Financial Instructions if the Charitable Funds Committee's Terms of Reference are not adhered to.
<b>Cyfreithiol: Legal:</b>	The charity's financial reporting is in line with charity law and guidance.
<b>Enw Da: Reputational:</b>	No impact

<b>Gyfrinachedd: Privacy:</b>	No impact
<b>Cydraddoldeb: Equality:</b>	No EqlA is considered necessary for a paper of this type.





## PWYLLGOR CRONFA ELUSENNOL CHARITABLE FUNDS COMMITTEE

<b>DYDDIAD Y CYFARFOD: DATE OF MEETING:</b>	30 November 2021
<b>TEITL YR ADRODDIAD: TITLE OF REPORT:</b>	Staff Welfare and Wellbeing: Creating Rest Areas for our Staff
<b>CYFARWYDDWR ARWEINIOL: LEAD DIRECTOR:</b>	Lisa Gosling, Director of Workforce & Organisational Development
<b>SWYDDOG ADRODD: REPORTING OFFICER:</b>	Christine Davies, Assistant Director of Organisation Development & Elin Brock, Head of Research, Innovation & Improvement

**Pwrpas yr Adroddiad (dewiswch fel yn addas)**

**Purpose of the Report (select as appropriate)**

Ar Gyfer Penderfyniad/For Decision

### ADRODDIAD SCAA SBAR REPORT

#### Sefyllfa / Situation

The Discovery Report, which sought to capture staff experiences of working during a global pandemic to inform Hywel Dda University Health Board's (HDdUHB) recovery plan and culture going forward, was approved by the Board in September 2021. The report provided valuable insight into staff experiences and made recommendations for improving the working environment for staff.

The People, Organisational Development and Culture Committee (PODCC) is the Committee identified to receive assurance against the action plan developed from the recommendations. A key action is to create rest areas for staff who are suffering burn out and exhaustion, and for those who need time to rest and recover.

The Charitable Funds Committee (CFC) is requested to approve the charitable funds expenditure of £180,941 to be spent on creating rest areas for staff across HDdUHB.

#### Cefndir / Background

The 2021/22 Discovery Report Annual Plan and the two Planning Objectives that have been developed in relation to rest, recovery and recuperation of staff and charitable funds are as follows:

- Planning Objective 1H: By July 2021 conduct a second 'Discovery' phase of the pandemic learning to understand more about staff experience so that approaches to rest, recovery and recuperation can be shaped over the next 2 years including a 'thank you offering' to staff.
- Planning Objective 1I: Develop a set of plans for implementation from July 2021 for new or extended health and wellbeing programmes for our staff using charitable funds.

It is imperative that staff experiences and needs are heard, particularly in terms of managing the effects of the COVID-19 pandemic.

The Discovery Report has been captured and analysed under a number of themes and makes recommendations around a number of key areas. Those with an interest in the rest, recovery and recuperation of our staff can be summarised as follows:

- **Creating workplaces that promote staff well-being by meeting their core basic needs:** The request from staff is simple:

- *Give us the physical working environment and tools to do our job effectively*
- *Embed a system where the appropriate psychological support is available*
- *Build a culture of appreciation and value with visible and connected leadership.*

*Let's create a workplace which supports our staff to do their jobs as effectively as possible. Staff want us to get the basics right from a physical and also an emotional workspace perspective and it's imperative that we listen and respond.*

**Legitimising space and time for pause and reflect:** *Creating spaces for rest for staff was a priority for many interviewees. The Health Board needs to identify the areas where emotional and psychological support (e.g., respiratory, COVID-19 wards, Intensive Care Units) are needed most and target them specifically to allow them to pause and reflect. Only by doing this can we expect staff to recover and regenerate. There is also a need to review intense working arrangements, across clinical and administrative services, by proactively encourage breaks, taking time away from the ward / computer, and giving people the ability to review and action.*

### **Asesiad / Assessment**

The funding requested can be summarised as follows:

- **£67,896 of stage 3 grant funding from NHS Charities Together**

This funding was approved in February 2021 for the development of outdoor gyms at the four acute hospitals. However, since the approval of the stage 3 funding application there has been increased green health activity across HDdUHB with the establishment of a number of new green health groups. There are currently green health groups operating at each acute hospital site in addition to Amman Valley and South Pembrokeshire hospitals. The green health groups lead on green space improvements on sites to make the best use of existing outdoor areas for both staff and patients. This activity has led to a notable increase in interest and enquiries from colleagues across HDdUHB into funding opportunities for improvements to green spaces. For example, the installation of seating areas for breaks, sensory gardens for patients, and flowers and plants to improve the environment for patients, visitors and staff.

Due to this increased green health activity, the Staff Partnership Forum and the HDdUHB Green Health Co-ordinating Group discussed and agreed in October 2021 that this allocation of funding would have a greater impact if utilised in an alternative way to contribute to improvements to green areas and outdoor staff rest areas across the whole of HDdUHB.

- **£113,045 from Apêl Hywel Dda NHS COVID-19 Appeal**

The Apêl Hywel Dda NHS COVID-19 Appeal, launched in March 2020, raised £113,045 (£96,943 plus Gift Aid) from local communities wishing to show their appreciation for their local NHS caring for local communities at such unprecedented times.

The CFC approved expenditure from the appeal fund to fulfil staff welfare and wellbeing requests received to the small grant scheme. However, as the value of approved and processed eligible applications did not exceed the value of funds available from NHS Charities Together 's stage 1 'COVID-19 urgent response' grant, this funding was not utilised for this purpose.

In March 2021, the CFC supported a proposal that the Rest, Recovery and Recuperation Group (RRRG) make recommendations regarding the most appropriate use of the appeal funding when exploring approaches to further support staff wellbeing. Although the RRRG developed a number of initiatives to support staff wellbeing, the appeal funding was not utilised and is therefore available to support new and emerging staff welfare and wellbeing needs.

In October 2021, the Staff Partnership Forum endorsed the recommendation that members of the Operational Planning and Delivery Programme Meeting (under the chairmanship of the Director of Operations) assume the lead for engagement and prioritisation regarding the most appropriate needs to be met by this funding, in line with the spirit in which the donations were made to support the welfare and wellbeing of NHS staff and volunteers.

If funding is approved, the Organisational Development Team will consult with the Operational Planning and Delivery Programme Meeting to identify external and internal spaces that could be utilised to support staff to rest. Alternative approaches to creating rest areas taken by other health boards have been identified and research has been undertaken to seek viable options for HDdUHB.

Consultation with operational teams suggest that outdoor gyms are no longer needed on sites, and therefore it is recommended that this money is reallocated to spend on rest areas for staff. An analysis of the number of staff located at each site has also been undertaken to ensure a fair and equitable approach to requests. Discussions are also underway with the medical directorate to align funding and plans to create rest areas as this is also a priority area under the Wales Fatigue and Facilities Charter.

### **Allocation of Funds**

Should the release of this funding be approved, it is proposed that the apportionment of funds following engagement with the Operational Planning and Delivery Programme Meeting and other key stakeholders, be endorsed by the Director of Workforce and Organisational Development (Executive Lead for staff welfare and wellbeing). Progress and assurance reports will be submitted to both the PODCC and CFC via the Discovery Report Action Plan.

### **Argymhelliad / Recommendation**

The Committee is requested to approve:

- Charitable funds expenditure of £180,941 to be spent on creating rest areas for staff across HDdUHB.
- The apportionment of funds following engagement with the Operational Planning and Delivery Programme Meeting and other key stakeholders, be endorsed by the Director of Workforce and Organisational Development (Executive Lead for staff welfare and wellbeing).
- Progress and assurance reports will be submitted to the People, Organisational Development and Culture Committee and Charitable Funds Committee, via the Discovery Report Action Plan.

Amcanion: (rhaid cwblhau) Objectives: (must be completed)	
Committee ToR Reference: Cyfeirnod Cylch Gorchwyl y Pwyllgor:	4.20 The following thresholds are approved in the Charitable Funds Procedure: <i>"Expenditure less than £10,000 shall only need approval by the nominated fund manager. All expenditure in excess of £10,000 and up to £50,000 will require the approval of the Charitable Funds Sub-Committee. Expenditure in excess of £50,000 will require the approval of the Charitable Funds Committee. Expenditure over £100,000 will require the approval of the Corporate Trustee".</i>
Cyfeirnod Cofrestr Risg Datix a Sgôr Cyfredol: Datix Risk Register Reference and Score:	Not Applicable
Safon(au) Gofal ac Iechyd: Health and Care Standard(s):	6.3 Listening and Learning from Feedback 7. Staff and Resources 7.1 Workforce
Amcanion Strategol y BIP: UHB Strategic Objectives:	4. The best health and wellbeing for our individuals, families and communities 1. Putting people at the heart of everything we do 2. Working together to be the best we can be
Amcanion Llesiant BIP: UHB Well-being Objectives: <a href="#">Hyperlink to HDdUHB Well-being Objectives Annual Report 2018-2019</a>	2. Develop a skilled and flexible workforce to meet the changing needs of the modern NHS 5. Offer a diverse range of employment opportunities which support people to fulfill their potential 4. Improve Population Health through prevention and early intervention, supporting people to live happy and healthy lives

Gwybodaeth Ychwanegol: Further Information:	
Ar sail tystiolaeth: Evidence Base:	Discovery Report Staff Survey 2021
Rhestr Termiau: Glossary of Terms:	Contained within the report.
Partïon / Pwyllgorau â ymgynhorwyd ymlaen llaw y Pwyllgor Cronfa Elusennol: Parties / Committees consulted prior to Charitable Funds Committee:	Staff Partnership Forum Head of Hywel Dda Health Charities Green Health Coordinating Group

<b>Effaith: (rhaid cwblhau)</b> <b>Impact: (must be completed)</b>	
<b>Ariannol / Gwerth am Arian:</b> <b>Financial / Service:</b>	Ensuring appropriate use of funds donated / gifted or staff welfare and well-being
<b>Ansawdd / Gofal Claf:</b> <b>Quality / Patient Care:</b>	Investing in staff rest areas will have a positive impact on staff ability to provide excellent services
<b>Gweithlu:</b> <b>Workforce:</b>	Investing in staff rest areas will have a positive impact on staff ability to provide excellent services. It will be crucial to engage with our estates and operational teams to ensure ownership and buy-in
<b>Risg:</b> <b>Risk:</b>	Risk of funds not being utilised in a timely manner  Risk of not responding to the needs of our staff, as documented in the Discovery report, when funds have been specifically raised for this purpose
<b>Cyfreithiol:</b> <b>Legal:</b>	Not Applicable
<b>Enw Da:</b> <b>Reputational:</b>	Risk of not responding to the needs of staff, as documented in the Discovery report, when funds have been specifically raised for this purpose  Risk of funds not being utilised in a timely manner
<b>Gyfrinachedd:</b> <b>Privacy:</b>	Not Applicable
<b>Cydraddoldeb:</b> <b>Equality:</b>	Ensuring a fair and proportionate allocation of funding



## PWYLLGOR CRONFA ELUSENNOL CHARITABLE FUNDS COMMITTEE

<b>DYDDIAD Y CYFARFOD: DATE OF MEETING:</b>	30 November 2021
<b>TEITL YR ADRODDIAD: TITLE OF REPORT:</b>	Phase 2 of the Cancer Psychological Support (CaPS) Project: Psychological Support for People Affected by Cancer and the Cancer Workforce
<b>CYFARWYDDWR ARWEINIOL: LEAD DIRECTOR:</b>	Andrew Carruthers, Director of Operations
<b>SWYDDOG ADRODD: REPORTING OFFICER:</b>	Gina Beard, Lead Cancer Nurse

**Pwrpas yr Adroddiad (dewiswch fel yn addas)**

**Purpose of the Report (select as appropriate)**

Ar Gyfer Penderfyniad/For Decision

### ADRODDIAD SCAA SBAR REPORT

#### Sefyllfa / Situation

The purpose of this report is to request the Charitable Funds Committee to consider the release of cancer charitable monies to support the second phase of Cancer Psychological Support (CaPS). For the past two years, CaPS has provided a pilot service, fully funded by cancer charitable funds, delivering psychological support to people affected by cancer within the Health Board population, and the associated cancer workforce.

The Committee is requested to consider the allocation of £228,000 over the next 3 financial years to support funding for phase 2 of the CaPS Project.

#### Cefndir / Background

Prior to September 2019, health boards in Wales were supported in meeting the psychological needs of people affected by cancer by independent charities. This included Tenovus, one of the largest national cancer charities. Unfortunately, Tenovus withdrew this support with little notice, which left health boards with an urgent requirement to address the needs of their cancer population in the area of psychological support. Although Hywel Dda University Health Board (HDdUHB) had psychological support services in place for bereavement and specialist palliative care, there was no specific provision for those affected by a cancer diagnosis. While there were small local charities offering various levels of support for people affected by cancer, there was no equitable, accredited, psychological support in place across the three counties, and no governance relationships in place between these small charities and HDdUHB.

In March 2018, the Charitable Funds Committee approved an application from Cancer Services for a two year psychological support pilot project, which became known as the CaPS project.

Following some initial recruitment challenges, CaPS was launched in September 2019. The aim of the CaPS project was to pilot a service for people affected by cancer and the cancer workforce. The pilot ran alongside a scoping exercise of service needs, based on real world

data, to measure the existing need and the effectiveness of the interventions, to inform future service design and provision, and to support any commitment made by the Health Board towards an ongoing sustainable service.

The CaPS project has delivered and achieved the following main objectives embedded in HDDUHB's values:

- **Supporting people with cancer** and improving the patient experience by providing a robust counselling service to support the psychological needs of people with a cancer diagnosis – *putting people at the heart of everything we do*.
- **Educating cancer professionals** through training and support, enhancing psychological skills in daily encounters with cancer patients – *striving to deliver and develop excellent services*.
- **Empowering local cancer teams** by supporting the emotional burden of the cancer workforce through supportive supervision, one to one support, teamwork and innovative approaches that support resilience – *working together to be the best that we can be*.

In March 2020, six months into the pilot project, the COVID-19 global pandemic resulted in overnight adjustments to most health services, which impacted on the CaPS project. CaPS had to quickly evolve to meet the resultant increased vulnerability and isolation of the cancer population and the added pressures upon the cancer workforce. CaPS became a valuable support during this time, and phase 2 of the project will focus on lessons learnt, what recovery from the pandemic might involve, and what a permanent CaPS service should look like.

### Asesiad / Assessment

The psychological impact of cancer is well documented. Macmillan Cancer Support (2006) found that more than four in ten (45%) people with cancer felt that the emotional aspects of cancer are the most difficult to cope with, compared to the practical and physical effects. Nearly six in ten (58%) people with cancer felt their emotional needs are not catered for as much as their physical needs (source: *Worried Sick: The Emotional Impact of Cancer. Macmillan Cancer Support 2006*).

Studies have shown that patients with malignancies experience higher rates of distress, anxiety, and depression than the general population, and that the slower the course of treatment, the higher the distress would be (Pitman et al., 2018; Tsaras et al., 2018; Slimano et al., 2020).

### Impact of COVID-19

The global pandemic has resulted in specific stressors for cancer patients associated with higher rates of anxiety, depression, and insomnia (Massicotte et al., 2021). Access to Primary Care, delays and disruption of diagnostics, paused cancer screening, cancer surgery and cancer surveillance procedures in the first wave of COVID-19, and disruptions to Oncology services have all added to higher levels of anxiety and depression (Swainston et al., 2020). One study reported delay in cancer care was associated with a 4-fold increased rate of anxiety (Chen et al., 2021b). Loneliness and isolation related to the requirement to shield have also increased the anxiety levels in many people affected by cancer through the pandemic (Chen et al., 2020).

In addition to anxiety and depression, fear has also affected a significant number of cancer patients during the COVID-19 pandemic (Schellekens and van der Lee, 2020). Fear of disease progression or recurrence, fear around a weakened immune system during



chemotherapy, fear of delay or interruption of treatment schedules, fear of infection, fears around supporting family or others and receiving support from others. Guven found more than 90% of cancer patients had moderate to severe fear of COVID-19 (Guven et al., 2020).

Between March 31<sup>st</sup> 2020 and April 1<sup>st</sup> 2021, 2311 people were diagnosed with cancer within the population of HDdUHB.

### **Current Health Board Position Against the CaPS Project Objectives**

#### **Objective1: Supporting people with cancer**

*"I had fallen down a hole, struggling to climb back up – so grateful to have had 6 sessions to be able to talk and be listened to" (service user feedback)*

*"the [CaPS] service saved my life!! Thank you for everything CaPS did for me" (service user feedback)*

*"My counsellor is the 1<sup>st</sup> person who actually "got me". Brilliant too that the service is extended now to family members (they suffer just as much)" (service user feedback)*

- CaPS currently provides a specialist level 3 counselling support service available to people affected by cancer:
  - Currently employing 60 hours of Band 5 Specialist Cancer Counsellors across the 3 counties
  - During 2020, CaPS caseload averaged 20 patients per month who were actively receiving counselling
  - During 2021, CaPS caseload is currently averaging 36 patients per month who are actively receiving counselling (January to October averages).
  - No waiting list – referrals are received, assessed and contacted within 2 weeks
  - Counselling sessions moved to virtual options during first wave of COVID-19 - CaPS now offers a range of virtual or risk assessed face-to-face options.
  - Need identified for service to offer more than six core sessions per referral, with additional needs assessed on an individual service user basis.
  - During March 2020, the need for the service to extend to (cancer related) carer psychological support was identified through patient feedback. This was initially offered opportunistically; however it has been included within core service since September 2020 (carer psychological support represents 23% of total referrals since offered as a core service).
  - Carer support extended to parents of children with cancer – referrals received from Health Board Paediatric Oncology Outreach Nurse Specialists (POONS), Latch (Welsh Children's Charity), and CLIC Sargent (Young Lives Vs Cancer).
  - Counselling extended to young people with cancer from age 16.
- CaPS collaborates with existing services to provide psychological support from cancer diagnosis through to survivorship or end of life, including pre-bereavement and bereavement.
  - CaPS service sits within HDdUHB's current existing infrastructure and professional governance that provides a counselling service to the bereaved.
  - Caseload management provided by 7.5 hours Band 7
  - Supervision of counsellors provided by 15 hours Band 6
  - Administration of service 7.5 hours Band 4
  - Service lead 7.5 hours Band 8a
  - This model supports a strong clinical supervision model and accessible line management throughout the working week (Bands 6, 7 and 8a form part of both services)



- Opportunities have been identified through the CaPS project to support seamless care, e.g. CaPS counsellors offering carers, who have accessed CaPS before their bereavement, counselling after a cancer bereavement.
- CaPS service has identified a need for advanced care planning (ACP) for the cancer population who may have incurable but stable disease, as opposed to having reached end of life. Counsellor supported ACP within CaPS is also being considered.

## **Objective 2: Educating Cancer Professionals**

*“A refreshing day away – interactive, informal, invaluable and provided much food for thought” (CaPS Away Day delegate evaluation)*

- CaPS provide a resource of specialist cancer knowledge, advice and education in psychological aspects of care, promoting positive coping skills for the person affected by cancer and the cancer workforce.
  - CaPS Team is accessed by the cancer workforce for advice on psychological aspects of a patient’s care, even when a full CaPS referral is not required.
  - CaPS has been identified in cancer workforce Personal Appraisal Development Reviews (PADR) as “something that has gone well”.
  - Cognitive Behaviour Therapy (CBT) Project – CaPS funded 6 cancer Clinical Nurse Specialists (CNS) to be trained to deliver CBT workshops to support women with cancer treatment induced menopause. The first cohort has concluded with excellent feedback received from participants. The CNS team presented at the Hywel Dda Breast Cancer Away Day 2021.
- CaPS provide and participate in the organisation and delivery of appropriate education and training programmes for the cancer workforce, linked to psychological support (enhancing levels 1-2 psychological support).
  - 38 cancer professionals accessed the CaPS Away Days in 2019, which focused on psychological skills in daily frontline interventions, identifying the patient need for a higher level of support, referral processes for CaPS, workforce wellbeing and work life balance.
  - Further Away Days were prevented by COVID-19 but will resume when risks around the pandemic allow.
  - CaPS have planned virtual training for the Cancer workforce on psychological skills in terms of breaking bad news. This will focus both on the patient intervention and the coping skills for the cancer professional.

## **Objective 3: Empowering local cancer teams**

- Enhance the skills of the cancer workforce to provide collegial, peer based psychological support in the everyday, and promote innovations that build reflective practice, clinical supervision and support into clinical practice.
  - CaPS offers clinical supervision to members of the cancer workforce.
  - This has been accessed by small numbers of the cancer workforce.
  - Supervision has been available virtually since the COVID-19 pandemic.
- Provide higher level clinical supervision to members of the cancer workforce who have encountered clinical events that have been particularly distressing.
  - CaPS referrals shifted to telephone referrals during the pandemic to provide opportunities for the CaPS Team to check in on the cancer workforce who were contacting the service. This has provided excellent, ad hoc, opportunities to support the cancer workforce.

### **Future Plans, Sustainability/Exit Strategy**

CaPS referrals dropped during the first wave of COVID-19. This was in line with the wider picture of cancer activity within the Health Board and the nation and has since returned to pre-COVID referral rates. This lull in referrals provided the project with an extra 6 month funded service from the predicted 2 year timeline, which would have concluded in September 2021. Current CaPS funding will take the service up to the end of the current financial year.

The original report that secured funding for CaPS outlined the following exit strategy considerations for the end of the initial charitably funded period:

- A repeat application to the Charitable Funds Committee should the project demonstrate that an extension on the scoping period would be beneficial.
- An application to other cancer charities for initial funding of the service need that the project demonstrates.
- Application for, and consideration of, mainstream HDdUHB funded provision of services, validated by project data and outcomes.
- Potential that the service will cease at the end of the 12 month period – should this be the outcome, a decision to freeze new referrals to the psychological support service should be made early enough for current commitments to patients in the system to be honoured (3 months prior to the end of funded period).

### **Availability of Cancer Charitable Funds November 2021:**

#### **Fund code Fund name**

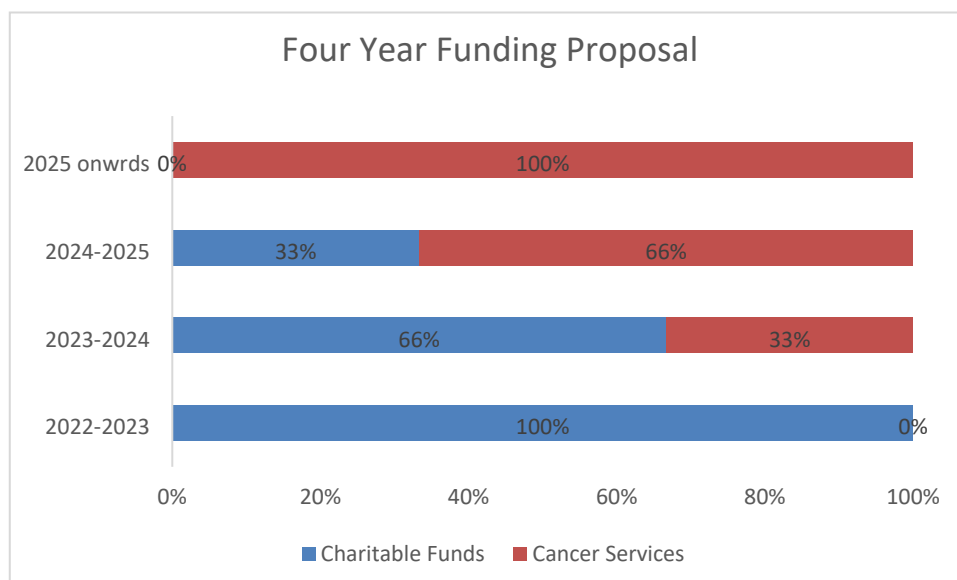
T075	CAR - Carmarthen Oncology Fund	94,562.98
T091	CAR – PPH Cancer Fund	89.50
T440	PDT - WGH Cancer Day Unit	13,190.98
T705	GGH Oncology	367,167.45
T720	PPH Oncology	126,211.16
T865	Ceredigion - Cancer Services	75,334.26
T905	Cancer Services - Pembs	88,510.18
	Total	<b>765,066.51</b>

### **Annual Cost of Phase 2 of CaPS Project based on current model**

Staffing	Hrs	WTE	Estimated Cost £ p.a
Band 5	60.00	1.60	62,560
Band 4	7.50	0.20	6,115
Band 6	15.00	0.40	19,482
Band 7	7.50	0.20	10,534
Band 8a	7.50	0.20	13,379
Non Pay - Travel			2,000
<b>Total Est Costs</b>	<b>97.50</b>	<b>2.60</b>	<b>114,070</b>

It is recommended that a phased approach be applied to the ongoing CaPS funding as outlined in the graph below, with charitable funds continuing to fully fund phase 2 of the pilot from April 1<sup>st</sup> 2022, with phased incremental mainstream funding from the Health Board cancer services

budget from 2023 (Year 4 of the project). During this year the CaPS Team, supported by the Value Based Healthcare Team, will capture patient recorded outcome measures (PROMs) to strengthen the evidence base in support of mainstream investment from the Health Board.



Recommended allocation of charitable funds for Phase 2 £114 K per annum as follows:

**Year 3: 2022-2023**

T865	Ceredigion Cancer Services	£5,000 per annum
T905	Pembrokeshire Cancer Services	£5,000 per annum
T705	GGH Oncology	£52,000 per annum
T720	PPH Oncology	£52,000 per annum

**Year 4: 2023-2024**

T865	Ceredigion Cancer Services	£3,000 per annum
T905	Pembrokeshire Cancer Services	£3,000 per annum
T705	GGH Oncology	£35,000 per annum
T720	PPH Oncology	£35,000 per annum
Cancer Services budget		£38,000 per annum

**Year 5: 2024-2025**

T865	Ceredigion Cancer Services	£3,000 per annum
T905	Pembrokeshire Cancer Services	£3,000 per annum
T705	GGH Oncology	£16,000 per annum
T720	PPH Oncology	£16,000 per annum
Cancer Services budget		£76,000 per annum

**Year 6: 2025 onwards**

Cancer Services budget	£114,000 per annum
------------------------	--------------------

This allocation recognises that the greater portion of cancer activity in the Health Board is undertaken in Carmarthenshire and considers the reduced availability of charitable funds in Ceredigion and Pembrokeshire in the light of recent and ongoing capital projects at these sites.

The Cancer Services Team have the four year phased funding proposal in the Directorate's Integrated Medium Term Plan (IMTP), and full funding for 2025 onwards. A final decision on this is not expected until the new year. If this is not approved, the CaPS team would need to

revisit the Health Board's charitable funds contribution, explore external charitable funding, and/or revise the project capacity.

These projected costs do not accommodate the educational element of CaPS project. During Phase 2, the CaPS team will apply for funding for eligible educational events and/or workforce welfare initiatives from charitable funds through the usual approval procedures.

### **Argymhelliad / Recommendation**

This report requests that the Charitable Funds Committee supports Phase 2 of the CaPS Project by providing funding for the next financial year, with phased funding over consecutive years. This recommendation recognises that the current two year funded period has provided a service which has proved valuable to those affected by cancer. However, there are opportunities for further learning through an extension of the pilot period. This would allow the CaPS team to gain an understanding of the post COVID-19 cancer landscape and consider this in future service design. It also allows for mainstreaming the future service into the Cancer Services core budget and further development of the cancer workforce element of the CaPS Project, supporting the cancer team as it emerges from the challenges of the COVID-19 pandemic.

### **Amcanion: (rhaid cwblhau)**

#### **Objectives: (must be completed)**

Committee ToR Reference: Cyfeirnod Cylch Gorchwyl y Pwyllgor:	11.11 The following thresholds are approved in the Charitable Funds Procedure: <i>Expenditure in excess of £50,000 will require the approval of the Charitable Funds Committee</i> ".
Cyfeirnod Cofrestr Risg Datix a Sgôr Cyfredol: Datix Risk Register Reference and Score:	A Risk Register is in place and managed by the Cancer Psychological Support Project Group
Safon(au) Gofal ac Iechyd: Health and Care Standard(s):	3.2 Communicating Effectively 3.3 Quality Improvement, Research and Innovation 1.1 Health Promotion, Protection and Improvement 7.1 Workforce
Amcanion Strategol y BIP: UHB Strategic Objectives:	1. Putting people at the heart of everything we do 2. Working together to be the best we can be 3. Striving to deliver and develop excellent services All Strategic Objectives are applicable
Amcanion Llesiant BIP: UHB Well-being Objectives: <a href="#">Hyperlink to HDdUHB Well-being Objectives Annual Report 2018-2019</a>	2. Develop a skilled and flexible workforce to meet the changing needs of the modern NHS 4. Improve Population Health through prevention and early intervention, supporting people to live happy and healthy lives

### **Gwybodaeth Ychwanegol: Further Information:**

Ar sail tystiolaeth:  
Evidence Base:

Chen, G., Wu, Q., Jiang, H., Zhang, H., Peng, J., Hu, J., et al. (2020). Fear of disease progression and psychological stress in cancer patients under the outbreak of COVID-19. ***Psychooncology* 29, 1395–1398. doi: 10.1002/pon.5451**

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Guven, D. C., Sahin, T. K., Aktepe, O. H., Yildirim, H. C., Aksoy, S., and Kilickap, S. (2020). Perspectives, knowledge, and fears of cancer patients about COVID-19. ***Front. Oncol.* 10:1553. doi: 10.3389/fonc.2020.01553**

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Swainston, J., Chapman, B., Grunfeld, E. A., and Derakshan, N. (2020). COVID-19 lockdown and its adverse impact on psychological health in breast cancer. ***Front. Psychol.* 11:2033. doi: 10.3389/fpsyg.2020.02033**

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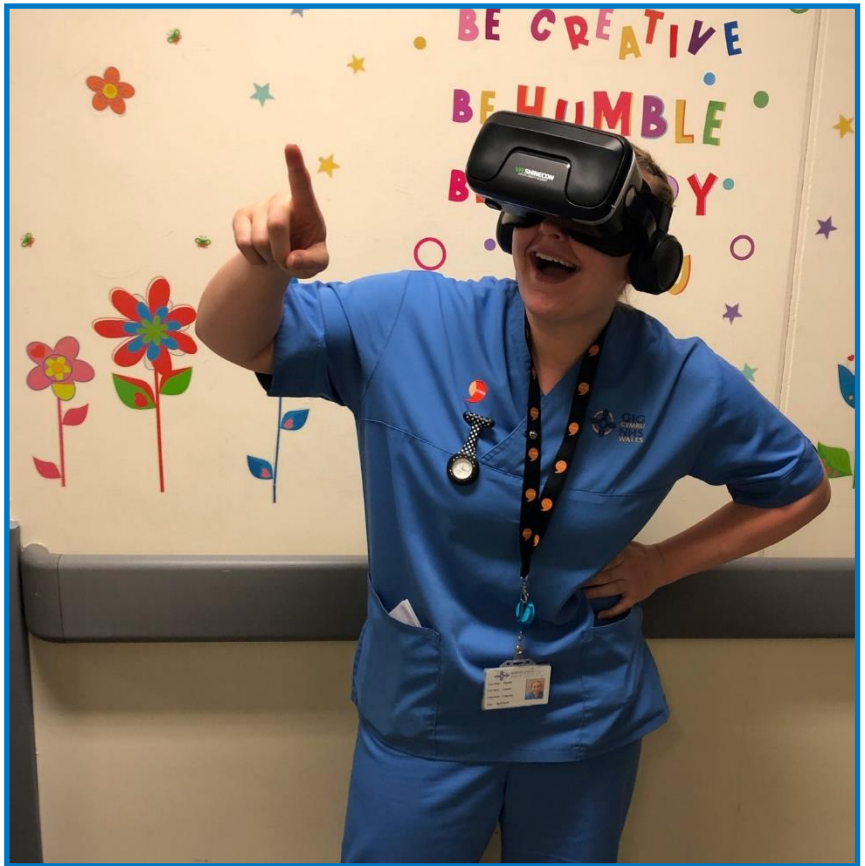
Schellekens, M. P. J., and van der Lee, M. L. (2020). Loneliness and belonging: Exploring experiences with the COVID-19 pandemic in psycho-oncology. ***Psychooncology.* 29, 1399–1401. doi: 10.1002/pon.5459**

Tsaras, K., Papathanasiou, I. V., Mitsi, D., Veneti, A., Kelesi, M., Zyga, S., et al. (2018). Assessment of depression and anxiety in breast cancer patients:

	prevalence and associated factors. <b><u>Asian Pacific J Cancer Prevent. 19, 1661–1669. doi: 10.22034/APJCP.2018.19.6.1661</u></b>  <b><u>Worried Sick: The Emotional Impact of Cancer.</u></b> <i>Macmillan Cancer Support 2006.</i>
Rhestr Termiau: Glossary of Terms:	Included within the body of the report.
Partïon / Pwyllgorau â ymgynhorwyd ymlaen llaw y Pwyllgor Cronfa Elusennol: Parties / Committees consulted prior to Charitable Funds Committee:	Head of Hywel Dda Health Charities

<b>Effaith: (rhaid cwblhau)</b> <b>Impact: (must be completed)</b>	
<b>Ariannol / Gwerth am Arian:</b> <b>Financial / Service:</b>	Included within the body of the report.
<b>Ansawdd / Gofal Claf:</b> <b>Quality / Patient Care:</b>	Patient experience will be enhanced as a result of the service and their overall care improved.
<b>Gweithlu:</b> <b>Workforce:</b>	The project is expected to assist with recruitment by reducing pressure on the existing workforce and providing support to the cancer workforce.
<b>Risg:</b> <b>Risk:</b>	Initial risks have been identified as: funding, recruitment and retention of staff Risks are documented via the Project Risk Register.
<b>Cyfreithiol:</b> <b>Legal:</b>	Not Applicable
<b>Enw Da:</b> <b>Reputational:</b>	Not Applicable - as the service directly benefits patients and NHS staff there is no likelihood of public/patient opposition.
<b>Gyfrinachedd:</b> <b>Privacy:</b>	A Privacy Impact Assessment is in place
<b>Cydraddoldeb:</b> <b>Equality:</b>	Equality impact assessment has been undertaken and referred to Equality and Diversity Advisor. The Equality Impact Assessment will be monitored by the Cancer Psychological Support Project Group.





# Annual Report and Accounts

2020-2021



Elusennau Iechyd  
**HYWEL DDA**  
Health Charities

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If you require this publication in printed or alternative formats and/or languages, please contact us:

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Registered charity number 1147863



HywelDdaHealthCharities



@HywelDdaCharity



# Welcome

from Delyth Raynsford,  
Chair of the Charitable  
Funds Committee



I am pleased to present the 2020-2021 annual report and accounts for Hywel Dda Health Charities in what has been an extremely challenging time for the NHS during the COVID-19 pandemic.

This has been a year which, more than any other, demonstrates the impact of our charity in making a positive difference to the health, wellbeing and experience of patients, service users and NHS staff across Carmarthenshire, Ceredigion and Pembrokeshire.

The pandemic has had a significant impact on staff and services across Hywel Dda University Local Health Board over the financial year and is continuing to do so.

But our hard-working staff across the health board have risen to the immense challenge of COVID-19 and each and every one of our colleagues have joined forces to give the best care and support possible to our local communities across Carmarthenshire, Ceredigion and Pembrokeshire.

It has also been humbling and inspiring to witness the outpouring of support for the NHS from within our local communities in response to the pandemic. I am proud of the role our charity has played in being part of the response efforts of our local NHS and for the amazing support we have received which has enabled us to do this.

During 2020-2021, the generosity of our communities has once again enabled us to provide invaluable support to Hywel Dda University Local Health Board, its patients, service users and staff, above and beyond core NHS expenditure.

We are extremely grateful for every donation we receive from patients, their families and the wider community and I would like to take this opportunity to once again thank everyone who has supported our charity throughout the year.

Diolch, thank you for helping us to #makeadifference

***‘It has been humbling and inspiring to witness the outpouring of support’***

# 1. Our purpose

Hywel Dda Health Charities is the official charity of Hywel Dda University Local Health Board, registered charity number 1147863. We exist to make a positive difference to the health, wellbeing and experience of NHS patients, service users and staff across Carmarthenshire, Ceredigion and Pembrokeshire.

Our aim is to raise and distribute funds to enhance local NHS services. Every penny we receive from patients, their families and our local communities is spent on enhancing NHS services, above and beyond what NHS funding allows.

## What we do



**Provide the most up-to-date medical equipment for diagnosis and treatment**



**Offer additional patient comforts to make time in hospital more comfortable**



**Create more welcoming surroundings for patients, families and staff**



**Support staff learning, development and wellbeing**



**Enhance care in our local communities**



**Fund research projects to improve our understanding of new treatments**



**Deliver healthy living and health promotion initiatives**

## How we help

We work closely with Hywel Dda University Health Board to ensure that our charity allocates funding to the areas where it can make the biggest impact to the treatment and care of patients across Carmarthenshire, Ceredigion and Pembrokeshire.

We do not replace NHS funding but use the generous donations we receive from patients, their families and our local communities to support the University Health Board to provide services and activities above and beyond what the NHS can provide.

Hywel Dda University Health Board is the corporate trustee of Hywel Dda Health Charities. The charity is managed independently of the University Health Board through a separate scheme of delegation to its Charitable Funds Committee.

We carry out our aims through the provision of grants to support and enhance the NHS services provided by the University Health Board and its partners.

Hywel Dda University Health Board plans and provides NHS healthcare services for Carmarthenshire, Ceredigion, Pembrokeshire and its bordering counties.

12,476  
members  
of staff  
provide:



This is done in partnership with three local authorities and public, private and third sector colleagues, including volunteers, for a population of 387,300, through:

- **Four main hospitals** - Bronglais General in Aberystwyth, Glangwili General in Carmarthen, Prince Philip in Llanelli and Withybush General in Haverfordwest
- **Five community hospitals** - Amman Valley and Llandovery in Carmarthenshire; Tregaron in Ceredigion; and Tenby and South Pembrokeshire Hospital Health and Social Care Resource Centre in Pembrokeshire
- **Two integrated care centres** - Aberaeron and Cardigan in Ceredigion
- **48 general practices** (four of which are Health Board-managed practices); **49 dental practices** (including three orthodontic); **98 community pharmacies**; **44 general ophthalmic practices** (44 providing Eye Health Examination Wales and 30 providing low vision services); **domiciliary-only providers and health centres**
- **Mental health and learning disability services** at numerous locations
- **Highly specialised services** commissioned by Welsh Health Specialised Services Committee



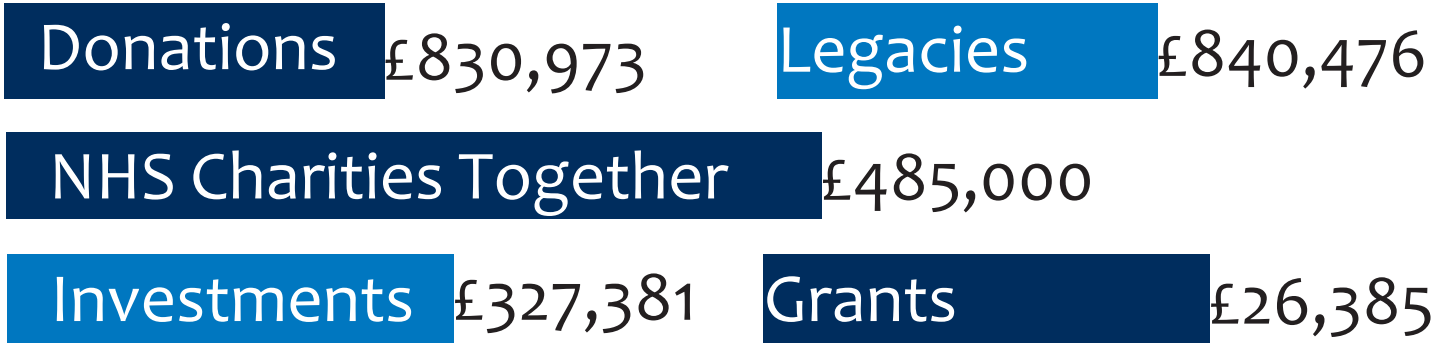
# 2. The difference we made

This year, the continued generosity of our patients, their families and our local communities has enabled us to direct our charitable donations to support a wide range of services and activities for the benefit of patients cared for by Hywel Dda University Health Board.

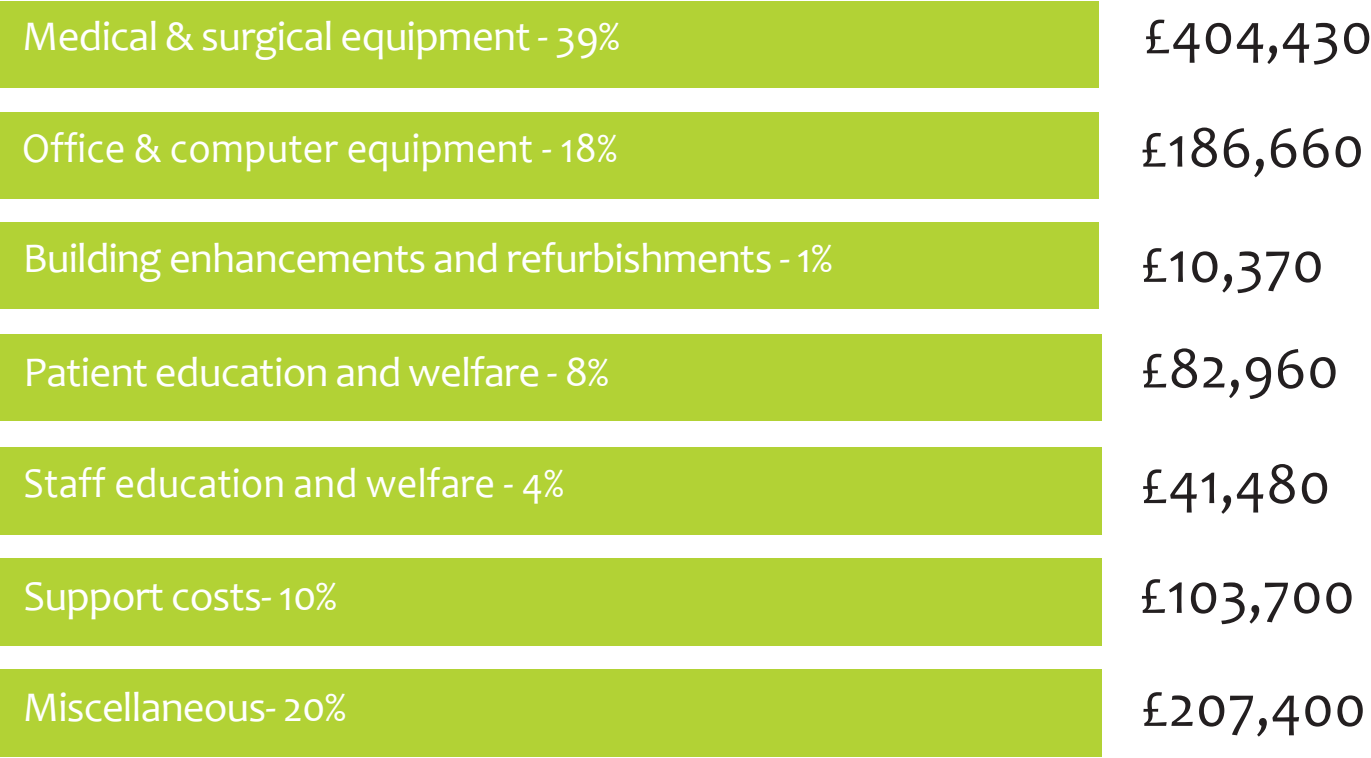
Our charitable donations are independent of, and are managed separately to the exchequer funds (government funds) of Hywel Dda University Health Board. The Charity Commission has regulatory responsibility for ensuring the proper management of our charitable funds. It is our job to make sure that our donations are spent carefully and for the purposes for which they were given.

We are extremely grateful for the support we receive each year which allows us to support expenditure over and above that provided by the NHS.

Thanks to the generosity of our communities, along with legacies, grants, funds from NHS Charities Together and investments, our income for 2020-2021 was £2.51 million.



Our expenditure on charitable activities during 2020-21 was £1.037 million:



This has enabled us to make a positive difference to the health, wellbeing and experience of NHS patients, service users and staff across Carmarthenshire, Ceredigion and Pembrokeshire.

# How we helped

In the last financial year, the charity spent £1.037 million on making things better. Here are some examples of how we helped to make things better for NHS patients, service users and staff across Carmarthenshire, Ceredigion and Pembrokeshire:

## Winter packs for our community colleagues



We are pleased to have been able to purchase over 1,000 winter packs for our NHS colleagues working across our community services, to support their welfare and wellbeing. The packs contain ice and snow shoe grips, an insulated hot/cold water bottle, a high visibility vest and a torch and have been well-received by health workers.

## £50,000 worth of packages to help older patients



Over £50,000 worth of equipment was purchased to help older patients, especially those with dementia and other cognitive difficulties. RITA memory therapy software encourages social interaction and has been shown to reduce falls; improve the patient experience; help with sleep for dementia patients; improve quality of patient-centred care; alleviate boredom; and improve interaction between carers and patient, and relatives and patient. The packages include classic TV and music programmes, old films, games and puzzles, karaoke, bingo and other programmes to help older patients in particular feel more relaxed. It is now being used on inpatient wards across Carmarthenshire, Ceredigion and Pembrokeshire. The investment was possible thanks to grant funding, a contribution from our Leagues of Friends as well as our charitable donations.

## £38,000 lung function machine for Withybush Hospital

A new lung function machine, costing more than £38,000, has been purchased for the Cardio-Respiratory department at Withybush Hospital in Haverfordwest. With demand on the cardio-respiratory service ever increasing, the upgraded equipment is helping with patient diagnosis and treatment times and improving the patient flow through the hospital. The machine also enables electronic transfer of reports to consultants across Hywel Dda's sites in Carmarthenshire, Ceredigion and Pembrokeshire, which reduces the need for additional hospital appointments.





## Audiology equipment for Ceredigion



We have purchased new diagnostic equipment for use by audiologists at ear, nose and throat clinics held at Bronglais Hospital in Aberystwyth and Aberaeron Integrated Care Centre. The tympanometer evaluates the functioning of the middle ear and the ear drum and can identify a variety of conditions including glue ear, otosclerosis and Menieres disease.

## Examination couch for the Elizabeth Williams Clinic



We have purchased a new examination couch for children and young people attending the Elizabeth Williams Clinic in Llanelli. The outpatients' clinic caters for children up to schoolleaving age and the new couch is being used for initial assessments by both nursing and health care staff. This new piece of equipment is adjustable which means it can be lowered to enable a child to get up onto it themselves, rather than a doctor or parent having to lift them up.

## Cerebral function monitor for Glangwili Hospital



A new bedside monitor has been purchased for the Special Care Baby Unit at Glangwili Hospital. Costing more than £14,000, the cerebral function monitor records brain activity continuously and is used to help new-born babies who are unwell. The monitor helps to show if a baby is having abnormal electrical activity such as a seizure. It assists with decision-making, to assess whether the baby can remain in Glangwili Hospital or would need to be transferred to a neonatal intensive care unit for more intensive treatment.

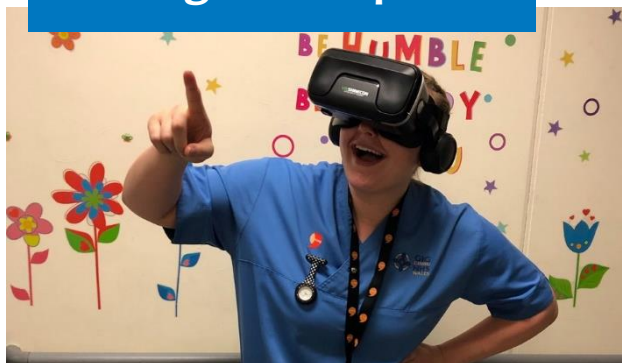
## Video conferencing equipment for Ty Bryngwyn



Video conferencing equipment has been purchased to enable the specialist Ty Bryngwyn palliative care team at Prince Philip Hospital to link up with patients and also with medical specialists all over the UK. The system enables consultants and clinical staff to talk to patients in their own homes, when the nursing teams are visiting them. The equipment is also used for meetings and consultations between Hywel Dda teams across Carmarthenshire, Ceredigion and Pembrokeshire, to enhance services for the patients.



## 3D goggles for children at Glangwili Hospital



We have purchased 3D goggles for children and young people on Cilgerran ward at Glangwili Hospital, which have been a real hit. The goggles act as a great distraction for when children are undergoing procedures such as blood tests and having cannulas fitted. Linked to free apps on a mobile phone, the goggles enable children and young people to be in space, watching planets going past; to be on a virtual rollercoaster ride; in lots of different scenarios or watching moving pictures.

## A monitor for the children's ward at Bronglais Hospital



A new patient monitor has been provided for the children's ward at Bronglais Hospital. The IntelliVue MX450 monitor is a rapid, painless and non-invasive method of diagnosis, measuring heart rate and cardiac output and is of great benefit to the child, as it enables closer monitoring to take place.

## Items for the Special Care Baby Unit at Glangwili Hospital

We have purchased new items for our premature babies at Glangwili Hospital. The Special Care Baby Unit has received 30 supporting nests for premature babies, aiding muscle tone, brain development, weight gain and growth. Also provided have been 24 Frederick T. Frog positioning aids, which help with positioning babies, allowing them to feel contained, soothed and enhancing sleep.



## Standing frames for physiotherapy services

Two specialist standing frames have been provided for the Children and Young People's Physiotherapy Service to be used across Carmarthenshire, Ceredigion and Pembrokeshire. These frames are for children and young people undergoing physiotherapy, to help them develop their ability to stand up and to develop their overall strength.



## Monitors for the Acute Response Team



Mandy Daniels works as a Health Care Support Worker for the health board's Acute Response Team in Carmarthenshire. When her husband Steve sadly died of pancreatic cancer in November 2020, at the age of 54, Mandy wanted to support the team in her husband's memory to say thank you to her colleagues who helped care for Steve at home in Tumble in his final days. A total of £900 was donated by family and friends, including funeral donations and a collection at a quarry where Steve used to pick up aggregate deliveries. At Mandy's request, we purchased two Oxygen Saturation Monitors for use in the community. Mandy saw first-hand the difficulties of taking saturation levels via a finger. The new monitors allow measurement of saturation levels via the ear lobe, which can be more effective in palliative patients. The monitors will be used by the 65 members of the team, who carry out acute nursing, out-of-hours district nursing and palliative care. Mandy said: "If it were not for the Acute Response Team, Steve would not have been able to spend his final days at home, which was his wish. "Steve wanted to make a donation to the team and I'm pleased we have been able to purchase monitors to help patients."

## Comfort cushions for cancer patients at Withybush Hospital



Cancer patients and the Breast Cancer Services Team at Withybush Hospital say comfort cushions we have purchased have made a big difference to recovery. The 14 heart-shaped cushions promote comfort in the recovery period and patients say they have found them really valuable. Tessa Phillips, Breast Care Clinical Nurse Specialist said: "Patients say that these cushions are a God-send post-surgery. We are pleased to be able to provide this service which is so welcomed by our patients."

## Chemotherapy training

Trainee Macmillan Acute Oncology Nurse Rachel Bran came to the charity for help with her training and we were able to fund a distance learning course on chemotherapy for her.

Rachel works within the Acute Oncology Team but also supports the Chemotherapy Day Unit at Bronglais Hospital in Aberystwyth. She has recently undertaken a distance learning module at Newcastle University, focusing

on treatment and the administering of chemotherapy.

"I am delighted to have been given the opportunity to take this course in chemotherapy, which will enable me to further strengthen oncology services in Bronglais Hospital in a new role of Trainee Acute Oncology Nurse," said Rachel.

"There is an amazing team in the Chemotherapy Day Unit and I am happy to be able to support the service there when needed."





## Bladder scanner for Prince Philip Hospital



An extra bladder scanner has been purchased for Prince Philip Hospital in Llanelli. This scanner will be used across the hospital, where needed, to help the medical teams with timely, initial diagnoses.

Clinical Lead Nurse Gareth Phillips said: "This is a very important piece of equipment and is highly-valued. It gives quick access to diagnostics to help us provide the best treatment possible."

"We now have a scanner available for all wards, day or night, a 24-hour service provision, thanks to donations from our local communities."

## Manikins for training at Glangwili Hospital



We have been able to purchase two manikins to support the training of junior obstetricians. One manikin supports training on assisted deliveries and helps with training on six different foetal positions. The other manikin focuses on determining the lie of the foetus.

Dr Roopam Goel, Consultant Obstetrician and Gynaecologist at Glangwili Hospital, said: "These manikins are very effective for use in training sessions held within the department."

"They enable lifelike scenarios for teaching purposes and help us to maintain enhanced clinical skills for obstetricians."

Consultant Obstetrician and Gynaecologist Letchuman Shankar said: "Simulations have proved to work well in training our doctors. These manikins will certainly benefit our maternity services."

## Community midwife bags



We have supported the purchase of Community Midwife Bags, so every midwife across Carmarthenshire, Ceredigion and Pembrokeshire will have their own bag, with all the equipment needed to support birthing mothers and their babies.

Community Midwife Lisa Rose got to experience the benefit of the bag first-hand when she gave birth to

baby, Isaac, at home in Aberystwyth. Supported by her partner Graham and colleagues, Lisa was delighted to be able to give birth at home, including time in a birthing pool.

Lisa said, "As a community midwife I have always found the bag really helpful at every home birth I have attended, and felt reassured knowing midwives attending my birth had this excellent kit with them."

# Our COVID-19 response

As the NHS faced the biggest challenge of its lifetime, we have been overwhelmed by the phenomenal support from our local communities, through our public appeals and grants from NHS Charities Together.

At the start of the COVID-19 pandemic, we launched our Hywel Dda COVID-19 appeal, which raised an amazing £96,000 from those eager to show their support to NHS staff and volunteers.

We were also overwhelmed by donations of gifts, including the hundreds of items received through our patient appeal, as well as over 2,000 items to provide care packs for patients unexpectedly admitted to hospital.

This generosity has enabled us to support a wide range of expenditure to make a positive difference to patients, service users and staff.

## Items on our Patient Wishlist

Our Patient Wishlist launched at the height of the pandemic to provide essentials for patients when visiting was not possible.

Over £20,000 worth of items were donated and distributed to the patients that needed them the most.

We provided care packs to help make our patients more comfortable during their stay when they were unable to receive visitors, which included toiletries, eye masks, ear plugs and headphones, and we also supplied nightclothes and other essentials.



## New furniture for staff rest rooms



NHS staff deserve calming places to take rest breaks in now more than ever.

We are pleased to have been able to fund many new items for staff rest areas.

Purchases for staff rest rooms and outdoor relaxation areas included comfy chairs and tables; electrical items such as microwaves, fridges, kettles and televisions; cutlery and crockery; and picnic tables and benches. Artwork, artificial plants and other decorative items have also been bought to brighten up rest and relaxation areas, indoors and out.

Items for staff rest rooms included new furniture for the staff room in Glangwili Hospital's Pathology Department. The staff room has been equipped with 10 new easy chairs, two arm chairs, eight cushioned dining chairs and two dining tables.

Catrina Richards, Blood Science Locality Lead for Glangwili Hospital, said it had been a challenging year for the NHS and the new furniture for the Pathology staff room had been a "real brightener".

"To have new furniture in the staff room in the pathology building has made a real difference to staff comfort and wellbeing," Catrina added.

"Staff are very grateful for the support that has enabled this improvement in their staff room surroundings."



## Electronic items and patient distraction items



We have been able to buy thousands of items to make life better for hospital patients in Carmarthenshire, Ceredigion and Pembrokeshire during the COVID-19 pandemic.

From games, activities, arts and crafts and

welcome packs to gardening items, toys and exercise equipment, we have been able to make a real difference.

We are also pleased to have been able to provide electronic equipment to help our patients keep in touch with their families, along with radios, TVs and DVD players to alleviate boredom during hospital stays and washer-dryers for patients who could not receive clean clothes from relatives.

We worked closely with the health board's Patient Experience Team to make sure items got to patients that need those most.



## Ethnic minorities outreach team

Thanks to a £75,000 grant awarded by NHS Charities Together, we have been able to fund a project which is seeing a team of experienced community workers reach out to ethnic minority people living in Carmarthenshire, Ceredigion and Pembrokeshire to help them during the COVID-19 pandemic.

The three-strong outreach team is engaging with communities that have been adversely affected by Coronavirus and the disproportionate impact that COVID-19 has had on people from minority ethnic communities. The team is led by Stepheni Kays, Community Development Outreach Manager (pictured).

The team will be reaching out to the 10,000-plus people from minority ethnic communities living in the three counties which make up the Hywel Dda University Health Board area.



## Medical equipment for community care



We purchased a range of medical equipment and devices to facilitate discharge from hospital and to enable patients to be cared for in their own homes, including blood pressure monitors, weighing scales and exercise equipment. We also provided equipment for over 100 midwives across Carmarthenshire, Ceredigion and Pembrokeshire, to help with advice for new mums and mums-to-be.

## New health and wellbeing programmes for staff

We successfully applied for grant funding of £242,000 from NHS Charities Together for a range of projects to support staff health and wellbeing, both physically and psychologically.

It is more important than ever to give staff permission to rest to enable their recovery and the restoration of their wellbeing.

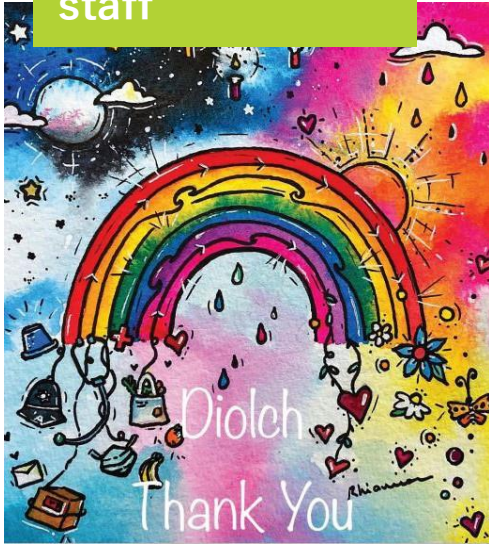
Projects will include nature-based eco-therapy, a health and wellbeing champion's network, bereavement support and training, arts in health and wellbeing activities, along with grants for staff to learn a new skill beyond their professional work requirement.

Supported by

**NHS CHARITIES  
TOGETHER**



## Thank you card for staff



We are pleased to have played a part in helping to send a special keepsake to Hywel Dda NHS staff to thank them for their incredible hard work and support during the most difficult of years.

In the autumn of 2020, a thank you card, featuring an image generously donated by Welsh artist Rhiannon Art, was sent to all NHS staff across Carmarthenshire, Ceredigion and Pembrokeshire, thanks to funding received from NHS Charities Together.

It features a bilingual message from Hywel Dda University Health Board Chair Maria Battle and Chief Executive Steve Moore, thanking staff for their strength, compassion and kindness.

Staff were told: "Thank you from the bottom of our hearts for the sacrifices you have made in working for and supporting your local NHS during this historic and most challenging time."

The health board said it wanted to recognise, thank and support every single member of staff in this way. "We considered this to be more than a piece of communication, but a gift, or keepsake, that our staff could proudly display to their friends and family and keep for many years to come," said Maria and Steve.



## Wellbeing packs for staff

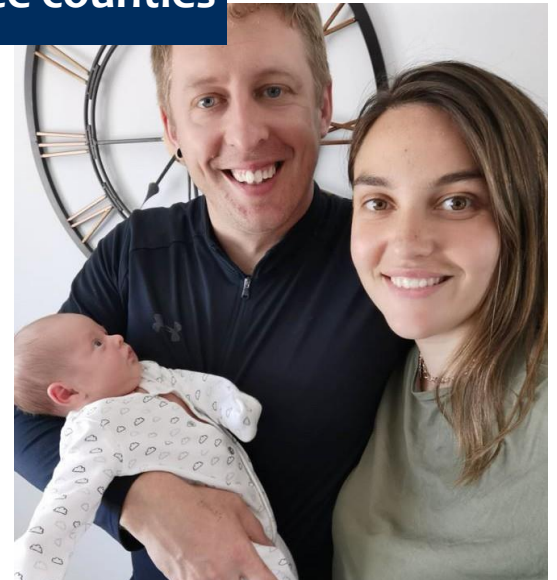


We provided hundreds of staff wellbeing packs to help with nutrition, hydration and toiletries. Provisions included everyday items such as porridge, tea or hand cream, wash kits and overnight stay kits reusable water bottles and clothing for their immediate needs during the pandemic.

Staff were invited to request the items that would make the biggest difference to support their welfare and wellbeing. That way we were able to prioritise where we could help the most.

Our NHS colleagues told us that these purchases really helped to make a difference and they were grateful for the support and to know they are appreciated.

## DadPads for new fathers across our three counties



We have been able to purchase special guides for new fathers to help with the best start for families across the Hywel Dda University Health Board area.

Staff in the health board's Perinatal Mental Health Services are using the 38-page, wipe-clean DadPads® to help new dads to develop the necessary mindset and practical skills, help them to gain confidence and give them the tools to provide the best support for baby. With fewer face-to-face clinics and meetings as a result of the pandemic, the DadPads® have been a valued resource.

New dad Shane Earp, pictured with his wife Emma and new baby Brody, said having the DadPad® had been a great support.

"Being able to read the DadPad® has made me feel calmer. There is lots of advice. It is really inspiring."



# 3. Our supporters

We have been overwhelmed by the generosity of our local communities and the eagerness of our public to fundraise for the NHS in new and innovative ways.

We saw new and unique fundraising ideas from children as young as four to those in their eighties and nineties. Many chose to support us because family and friends are working on the front line or in key services. Others wanted to support the NHS to say thank you. Sportspeople ran, walked and cycled tens of thousands of miles. Charity videos were released and there were lots of virtual quizzes and head shaves. So many children got involved – cycling, walking, roller-skating and decorating pebbles. Here are some of our fundraisers in 2020-2021:

## Vindya Prabhu, Carmarthenshire



The daughter of a Hywel Dda hospital consultant spent 10 days climbing Snowdon on her stairs to raise money for charity. Vindya Prabhu, aged 14, climbed the two flights of stairs at her home 20 times a day to reach the 3,560-foot summit. Vindya was raising money for Hywel Dda’s Holistic Acute Recovery Programme. HARP supports head and neck cancer patients across Carmarthenshire, Ceredigion and Pembrokeshire. The cause is close to her heart, because her dad, Vinod Prabhu, is Consultant Head and Neck Surgeon for the health board. Vindya said: “I am stuck at home anyway, so I thought why not be stuck at home and raise money for a good cause!” Dad Vinod said that he, mum Sumitha, and 10-year-old brother Vismith are all very proud of Vindya, who attends St Michael’s School, in Llanelli. “She’s always been an inspiration to the whole family,” he said. “She is only counting the steps on the way up, not on the way back, making it more of a challenge. “Before the 10 days were up, we put up a tent in the garden, so Vindya could spend a night sleeping out to get a feel of what the real climb of Snowdon would be like.”

## Rhythwyn Evans, Ceredigion



Rhythwyn Evans did 91 laps around his bungalow on his 91st birthday in April 2020 and raised a phenomenal £51,300 for his local NHS charity. When grandfather-of-eight Rhythwyn embarked on his challenge, he set himself the modest target of £500! But his story captured the heart of communities, locally and as far afield as America and New Zealand, and donations flooded in. The retired farmer, from Silian, near Lampeter, said he was so pleased to have raised such a large amount for the NHS.” I’m lucky I’m in pretty good health, fairly strong and I eat like a horse, so I was able to do the 91 laps around the bungalow without any real problems on my birthday,” Rhythwyn said.





## Martin Lewis, Pembrokeshire



Financial adviser Martin Lewis feels he is lucky to be alive after spending eight weeks in intensive care and four months having rehabilitation in hospital.

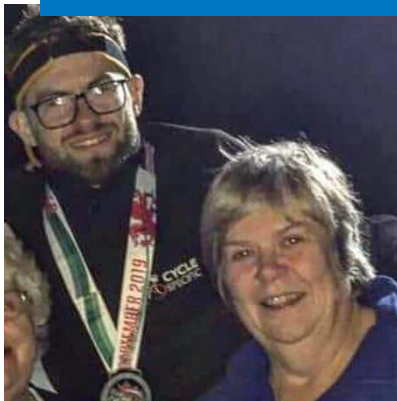
The 51-year-old, of Pembroke, was rushed into intensive care at Glangwili Hospital with an infection and, after five operations, he finally started his recovery. This involved spending four months in South Pembrokeshire Hospital, as a rehabilitation patient on Sunderland ward.

Martin said: "My illness was caused by an infected wisdom tooth, of all things. I will be eternally grateful to the staff at the Intensive Care Unit in Glangwili and the staff at Sunderland ward."

After coming home, Martin asked friends for donations instead of birthday gifts, raising £230 for Sunderland ward.



## Gwyndaf Lewis, Carmarthenshire



When Gwyndaf Lewis of Efailwen lost his mam to coronavirus in April 2020, at the age of 59, he said it was a shock for the family.

Undeg was well known in the locality. She worked in a local shop and was clerk of the community council, as well as being secretary of the local paper Y Cardi Bach.

The family were so grateful to the intensive care staff at Glangwili Hospital, who Gwyndaf said showed his mam amazing dedication and care. Gwyndaf decided to run 50km in a day to raise money for the ICU. He completed the challenge in five hours and 45 minutes, cheered on by his dad Tudur, who cycled half the distance with him; his brother Rhodri, who also did the 50km, part running and part cycling; and his sister Nia. Originally 26-year-old Gwyndaf set himself a target of raising £500, but that was reached in half an hour! In total he raised £37,000.



## Dewi James Butchers, Ceredigion

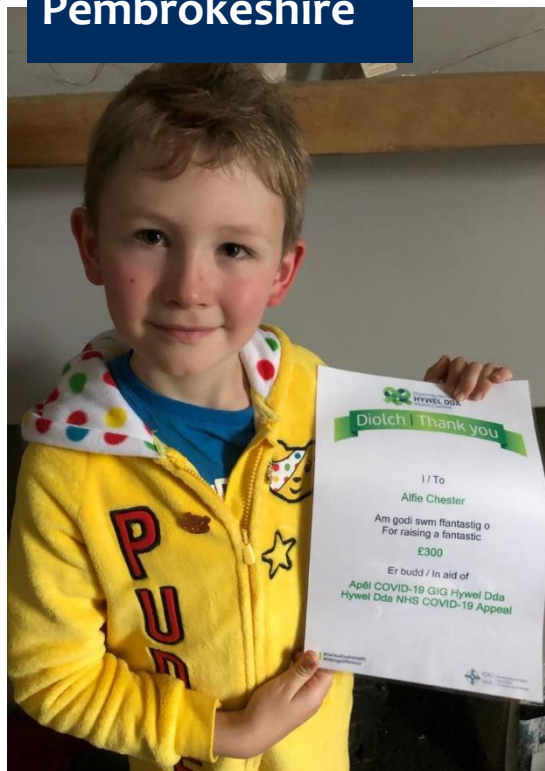
A third-generation butcher produced a fantastic charity cookbook to raise money for the local NHS.

Dafydd Davies, of Dewi James a'i Gwmni in Cardigan, wanted to do something to say thank you to local health workers in the Hywel Dda area. So, after a suggestion from a customer, he put together 'Chefs in Isolation', a cookbook featuring family recipes from the butchers' shop and recipes from chefs at restaurants and cafés supplied with their meat. The first print run of 250 books sold out very quickly.



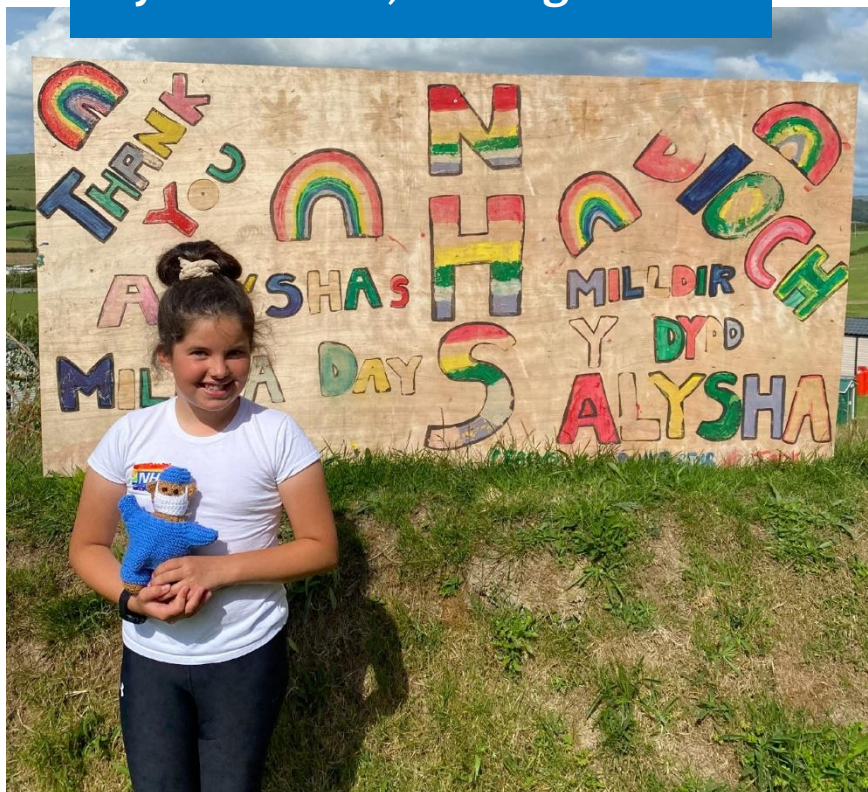


## Alfie Chester, Pembrokeshire



What a great effort by six-year-old Alfie Chester, who cycled 60 miles in a month and raised a fantastic £300 for our charity. Alfie, from Milford Haven, said he wanted to say thank you to everyone in the NHS working so hard. So, he decided to cycle 10 miles for each year of his life. He was supported by mum Sarah Eynon and dad Chris Chester, who are both very proud that Alfie wanted to make a difference. Alfie cycled three miles at a time on a pathway near his home.

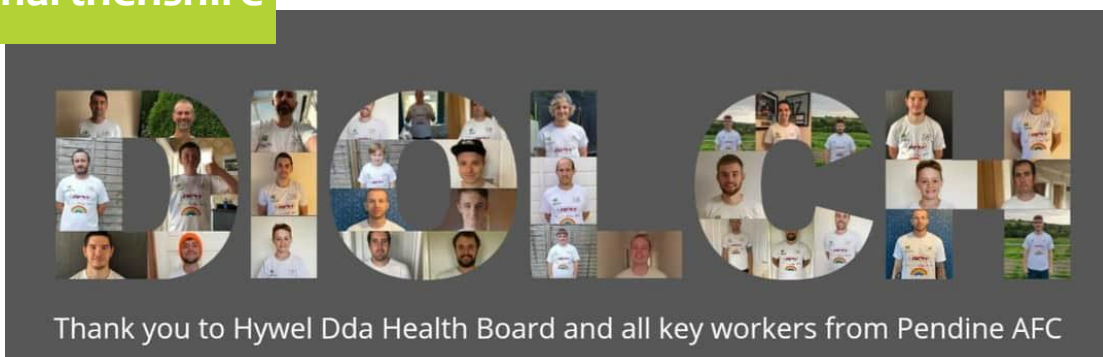
## Alysha Scarrot, Ceredigion



Alysha Scarrott may be only 10 but ran a mile a day for 65 days and raised a fantastic £8,500 for our charity. Alysha lives with her parents James and Victoria, five-year-old brother James and sister Sienna, aged three, at Clarach Bay Holiday Village, which the family run. In 2020, she pledged to run a mile a day during lockdown and kept it up for over two months. Originally she set herself a target of £1,000, then £2,000 – but Alysha has been such an inspiration that the money has just kept pouring in. Alysha said: “I wanted to do my best every single day, just like all the doctors, nurses, care workers and all other NHS workers do.” As well as donations, Alysha received lots of cards and messages of support, and people joined in virtually with a fancy dress Whacky Wednesday.

## Pendine AFC, Carmarthenshire

What a fantastic achievement by Pendine AFC, whose Road to Euros challenge raised a brilliant £4,141. Team members originally planned to run and cycle 10,000 miles between them in 32 days, to cover the distance from their ground around all the 12 host cities of the UEFA Euros over the period the tournament should have been played. They smashed that target in just 18 days but decided to keep going for the whole 32 days – and travelled 16,252 miles in total. The club more than doubled their original fundraising target of £2,020, with some of the players notching up more than 1,000 miles each. Player secretary Julian Blewitt, 32, said: “We wanted to do our bit to help the local NHS staff who are doing so much in this pandemic.”



Thank you to Hywel Dda Health Board and all key workers from Pendine AFC



## Eunice O'Hara, Pembrokeshire



What an achievement by 79-year-old Eunice O'Hara, who did 100 laps of her street and raised over £2,000.

Eunice, who lives in Fishguard, is a retired Hospital Reserve member and has a number of neighbours who work for the NHS, so she said she knows what a strenuous job it is. She wanted to do something to support them, so decided to do 100 laps of her street where she has lived for 77 years – down the front of the houses and then round the back lane.

Eunice, who has been a volunteer and fundraiser for several charities over 60 years, said: "I wanted to do something to help so decided to do 100 laps on my own around our street.

Eunice's fundraising has even won her an award from the local Round Table.

## Niamh McCarthy, Carmarthenshire

What an amazing achievement by eight-year-old Niamh McCarthy, who climbed Everest on her stairs at home and raised a fantastic £3,151. Plucky Niamh of Carmarthen had to do 58,000 steps – the equivalent of 3,871 flights of her stairs. As if that does not sound hard enough, Niamh only counted when she went upstairs, not downstairs, so it was double the effort!



## Melanie Phillips, Pembrokeshire



What an entertaining idea from Pembroke town councillor Melanie Phillips, who wrote a book about her lockdown experiences and raised over £1,000 for the intensive care unit at Withybush Hospital. The retired teacher wanted to keep busy during lockdown, so decided to put pen to paper and write a daily journal of her life. Her book, called Under House Arrest, catalogues her thoughts and experiences, living through the pandemic with only herself for company.

## Dic Evans, Ceredigion

Retired head teacher Richard (Dic) Evans ran a whopping 1,000 miles to raise money for Bronglais Hospital's Chemotherapy Day Unit after his partner was diagnosed with ovarian cancer. He may be 73, but Dic, who lives in Abermagwr, in the Ystwyth Valley, averaged 10 miles a day to reach his target in 100 days. A keen runner most of his life, Dic has for the last 17 years organised an annual trail race, The Red Kite Challenge, to raise money for Bronglais Hospital. Dic said: "I have seen how much care the staff of the Bronglais Chemotherapy Day Unit give to patients after my partner Liz Hughes was diagnosed with ovarian cancer last summer. They are so good, amazing. I wanted to help them. The running was tough some days. At 73 everything takes longer. But I was determined to finish."





## Bow Street Football Club, Ceredigion



What a great effort by Bow Street Football Club, who raised £1,750 by going around the world in 70 days - outdoing Phileas Fogg who took 80 days!

Around 60 players, committee members and local supporters from the village just north of Aberystwyth took part in the epic feat, which involved cycling, running and walking the equivalent of the earth's circumference – a whopping 24,860 miles. A huge age range was involved – from children as young as five up to those in their seventies.

Bow Street FC chairman Wyn Lewis said: "We all admire our frontline NHS workers, especially so during this crisis, so we wanted to do our bit to help. It was a terrific effort and brilliant to see so many local people get involved."

## Llys y Fran YFC, Pembrokeshire



Look at this fantastic new mural outside the emergency department at Withybush Hospital which has been created thanks to a donation from Llys y Fran Young Farmers' Club. The mural was painted by Lloyd the Graffiti to brighten up the seating area at the hospital. During lockdown, the young farmers decided to raise money for Withybush and South Pembrokeshire hospitals. As well as funding the mural, they also purchased a new starter kit for patients using the Cleddau River Day Unit at South Pembrokeshire Hospital, and a kettle and toaster for a new staff rest room at Withybush Hospital.

## Mike Lanfear, Carmarthenshire



Mike Lanfear, who rode 150 miles in a day over August bank holiday weekend, raised a fantastic £3,322 for the breast cancer and chemotherapy units at Prince Philip hospital in Llanelli.

Mike, who is 51, and a father-of-four, did a solo bike ride from Wrexham to Llanelli, which included riding over Devil's Staircase, which has a 25 per cent hill climb.

Mike has been completing cycling events over the last year to help the two cancer units after his sister was diagnosed with breast cancer.





## Scarecrow Festival, Carmarthenshire



Wow, what a great tribute to key workers.

Nearly 90 scarecrows popped up in the village of Abergwili, near Carmarthen, to raise money for the local NHS. There were doctors, nurses, carers, shop workers, police, farmers and construction workers. There was even one of Captain Tom Moore and a few of Prime Minister Boris Johnson!

Organiser Rachel Moyles said that the village, just outside Carmarthen, wanted to pay tribute to key workers and also to raise money for the intensive care unit at Glangwili Hospital.

"It has been a bit of fun and brought some colour to the village, while getting children involved during lockdown," said Rachel.

"We have all been out clapping every week and thought we could lend our support in a different way, to say thank you to all the key workers.

"Most of the community has been involved."

Scarecrow Festivals were also held elsewhere in Carmarthenshire, and in Pembrokeshire, as people wanted to pay tribute to the local NHS during the pandemic.

## Ron Tapping, Pembrokeshire

Harry Potter actor and entertainer Ron Tapping walked 70km on his 70th birthday to raise money for Withybush Hospital.

Ron, who lives in Milford Haven, walked a circuitous route to Withybush Hospital and back, starting at 2am and with a late-afternoon finish.

He has had an interesting career, starring as a Death Eater in the Harry Potter films and having walk-on roles, including in *Half Light* with Demi Moore. Ron has also been a singer and musician on cruise ships.

He said he wanted to raise funds for Withybush Hospital because of the great work they do every day.

"I'm a fit 69-year-old and wanted to set myself a bit of a challenge,"

said Ron, who is also an advanced open water diver.

"Celebrating my 70th birthday by walking 70km that day was an

amazing achievement for me and made it a birthday to remember!"



## Ben Williams, Carmarthenshire



Ben Williams of Llanpumsaint, near Carmarthen, was diagnosed with function neurological disorder in 2020 after collapsing in his kitchen. He has made huge progress after being left initially with little use of his right limbs and is so grateful to the Gwenllian Ward staff, who had "the best positive attitude to recovery you could wish for".

Ben said: "My life took an unexpected hiccup, which you don't expect when you are 26 with a small baby and a beautiful wife and your whole life ahead of you.

"At first doctors thought I had had a mini stroke. But scans ruled this out and then FND was diagnosed, for which there is no cure."

Ben decided to raise money for the Stroke Unit to say thank you for the care he received after his shock diagnosis.





## Cai Jones, Carmarthenshire



When Cai Jones was diagnosed with a rare disease, he had to undergo a six-hour operation, at the age of just 12.

Cai, of Penygroes, near Cross Hands, was diagnosed with Cholesteatoma, a benign cyst in the ear which only affects one in 10,000 people and can be fatal if left untreated.

The disease was removed and the roof of Cai's ear drum was replaced with a new one made from his own cartilage.

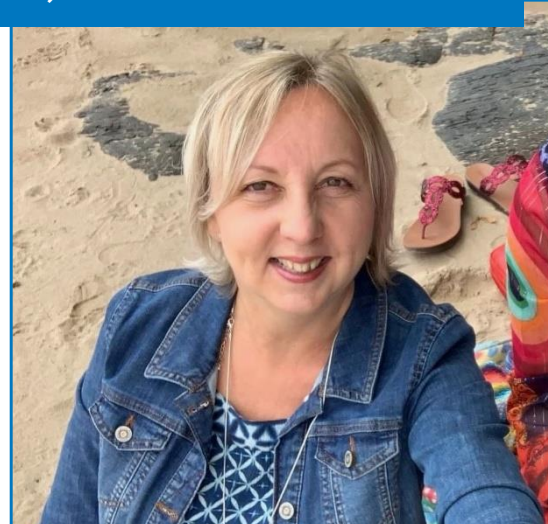
Cai says: "I bought a new headset for my Xbox. I put it to my left ear to hear my friends speak, but I couldn't hear them.

"I told my dad the headset didn't work, but dad could hear my friends speaking from halfway across the room."

Cai was referred to the Ear Nose and Throat Department at Glangwili Hospital and saw ear consultant Dr Anthony Howarth, who is now his "hero".

To say thank you Cai pledged to walk, run and cycle a total of 130 miles in a month, to raise money for the ENT department.

## Sara Hicks, Pembrokeshire



After being taken very ill in August 2020, with a burst appendix, Sara Hicks of Saundersfoot says she owes her life to the NHS.

Sara, 51, an office manager, who lives in Saundersfoot, said: "I dialed 999 in the early hours in excruciating pain. Paramedics soon arrived and off I went to Glangwili Hospital, with them pulling over only to give me some morphine, as I really wasn't coping well with the potholes!

"I was cared for by a team of nurses and doctors who were all so kind and compassionate and I was in surgery by that afternoon, even though it was a Sunday.

"I was in for around a week and experienced quality of care which was second to none, and during a time of COVID challenges. The nurses and doctors were all simply amazing. Support and cherish your NHS. They saved my life and will continue to save many more every day."

Sara decided to raise money to say thank you to the staff at Glangwili Hospital. She asked friends and family to donate money instead of buying her flowers.

## Emlyn Evans, Ceredigion

Just before his fourth birthday, Emlyn Evans was very ill and was in Bronglais Hospital, in Aberystwyth, for nearly a week.

He had chicken pox and this progressed to Grade A Strep. Two years later, when the COVID-19 pandemic hit, Emlyn decided he wanted to raise money for the local NHS after hearing from his mum Louisa about how hard staff in Hywel Dda's hospitals were working, looking after patients. So the now seven-year-old decided to have his head shaved and raised a fantastic £1,769.

Emlyn's shave was carried out by Louisa, 39, with support from dad Deiniol, 37, and Emlyn's five-year-old sister Lois.

Louisa, of Llanrhystud, said: "Although only six, Emlyn really wanted to raise money for the NHS when he realised how much they were doing looking after people with coronavirus.

"It was also a chance for us to thank the staff at Bronglais Hospital for what they did for Emlyn in 2018."





## West Wales Freemasons

The West Wales Freemasons delivered £10,000 worth of goods for patients in our hospitals. Their purchases of much-needed pyjamas, loungewear, dressing gowns and underwear – nearly 1,000 items in total - helped patients at our hospitals across Carmarthenshire, Ceredigion and Pembrokeshire.



## Church of Latter Day Saints



A thousand welcome packs were provided for patients in Hywel Dda hospitals. The South West and Mid Wales branch of the Church of Jesus Christ of Latter-day Saints' £3,000-plus donation paid for zipped bags, containing toothpaste, hand cream, hand wipes, and roll-on deodorant. Hywel Dda Health Charities topped the bags up with toothbrushes, combs, ear plugs, ear phones, lip salves and eye masks.

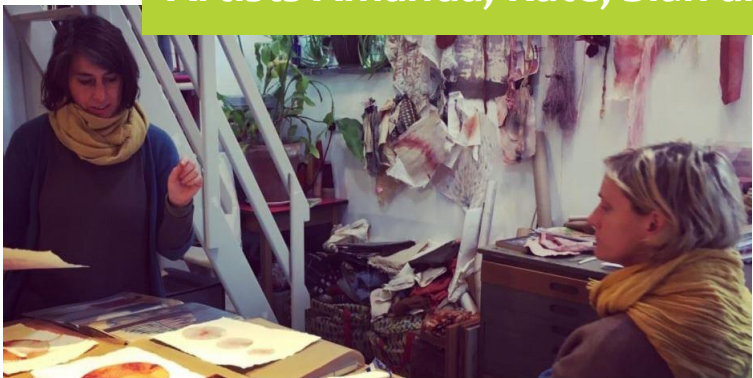
## Barclays

Barclays donated 20 iPads for use by NHS hospital patients and staff. The tablets have enabled patients to keep in touch with family, while receiving visitors was restricted. The iPads have also aided communication in outpatient



appointments where family members are unable to attend to support, and helped with the delivery of virtual groups within mental health and learning disabilities services. In addition, the iPads have been used to deliver virtual support to patients with long-term or chronic conditions.

## Artists Amanda, Kate, Siân and Sarah



This fantastic artwork was donated to Withybush Hospital. The pieces are the work of four artists - Amanda Blake, Kate Kekwick, Siân Lester and Sarah Poland (pictured) – who wanted to say thank you to the hospital for all its work.



The framed, abstract works on paper of local flora and fauna are hanging in staff areas in the hospital.



# Fundraising by our NHS staff

## Maria Davies



Running 60 miles each for our 60 in September event in 2020 was the challenge taken on by Maria Davies and her husband Adrian of Haverfordwest.

Maria works in the Patient Experience Team at Withybush Hospital and Adrian is a work coach at Pembroke Dock Job Centre. Maria, 33, said she enjoyed her job, helping patients to stay connected with their families, while they are in hospital. She said working for the team has made her realise how even a small donation can help so much in improving the care and wellbeing of patients, especially those who do not have immediate relatives to get them essential items while they are in hospital.

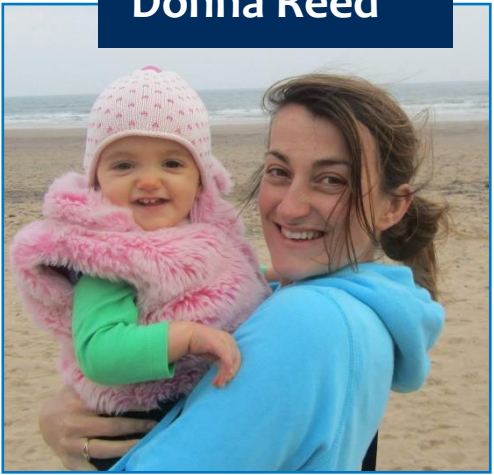
“My intention in the 60 in September challenge is to raise some money to pay for comfort packs and basic toiletries for our patients who don’t have access to them,” Maria said. Both Maria and Adrian, 52, have done some running before, but wanted to push themselves by running further.

## Trio of lung cancer doctors



A trio of lung cancer doctors cycled over 200 miles to raise funds and to raise awareness of the illness and its symptoms. They passed all 11 hospitals and health centres in the Hywel Dda area in one day on 25 July 2020 – starting at Prince Philip, then Amman Valley, Llandovery, Tregaron, Bronglais, Aberaeron, Cardigan, Withybush, South Pembrokeshire, Tenby and finally Glangwili. For the three lung consultants – Dr Gareth Collier MBE, Dr Mark Andrews and Dr Jonathan Fisher-Black (pictured in 2019) - there was an ascent of 17,500 feet to overcome, the same as climbing to base camp Everest! Dr Collier said: “Our aim was to increase awareness of the symptoms of lung cancer, stress the importance of going to see your GP if you think you have symptoms, and raise money for equipment to aid the early diagnosis of lung cancer in the Hywel Dda area.”

## Donna Reed



Donna Reed from Pembroke Dock has raised over £1,550 in a sponsored challenge with her husband Edd, having been inspired by the support their young niece received as a baby at Glangwili Hospital’s Special Care Baby Unit. Donna works in the Communications Team at Hywel Dda University Health Board and wanted to do her bit to say thanks to everyone who nursed Layla and supported the family for several weeks when she arrived very early in 2012.





# Fundraising by our NHS staff

## Jamie Evans



Radiographer and lecturer Jamie Evans cycled 100 miles in a day on his turbo trainer in memory of his mum.

Jamie, 36, complete the challenge in six hours to raise money for the Chemotherapy Day Unit at Glangwili Hospital in Carmarthen and also for the X-ray departments in Glangwili and Prince Philip hospitals, where he trains students.

The dad-of-one from Bronwydd, Carmarthen had been in training for the Carten 100 cycling event, but when that was postponed because of COVID-19, he decided to do a charity event instead.

Jamie cycled on his drive, cheered on by his wife Maria, who works in recruitment for Hywel Dda. And he built a wooden stand, so that four-year-old son Archie could pedal alongside him during the feat. Jamie took on the challenge in memory of his mum Gillian, who lost her battle with cancer in December 2017, at the age of 75.

Jamie said: "During her treatment mum had multiple sessions of chemotherapy at Glangwili. The unit and its staff are simply outstanding."

## Staff Christmas Jumper Day

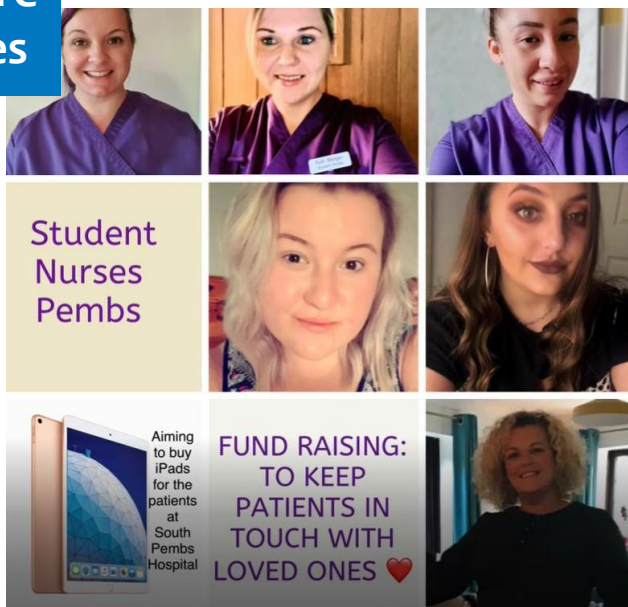


Health Board staff got into the spirit with our Christmas Jumper Day and helped us to raise money for mental health patients across our three counties. It was wonderful to see the creative efforts and socially distanced photos. They made us smile and raised a fantastic amount to help bring Christmas cheer to mental health patients this year, with the purchase of resources, crafts, and other goodies.

## Pembrokeshire student nurses

What a lovely gesture by eight student nurses, who raised money to pay for iPads so patients at South Pembrokeshire Hospital could keep in touch with loved ones while they were unable to receive visitors. The nursing team - Ruth Morgan, Anna Griffiths, Chloe Thomas, Aneesah

Tamlin, Shanice Riley, Dominika Szwab, Lisa Prest and Shannon John – launched a JustGiving page and also organised a Christmas raffle. Ruth who, along with Anna, is based at South Pembrokeshire Hospital, said they really wanted to help patients speak to family and friends when it was not possible to receive visitors. "Most of the patients at South Pembrokeshire Hospital are there for rehabilitation, so are in hospital for quite some time," 40-year-old Ruth, who lives in Pembroke, said.



# 4. How to support us

Our charity is made up of more than 270 individual funds

each with a specific purpose – from individual hospital wards or departments to research and community care. All donations received are allocated to these funds.

You can choose to support a specific area or donate to our ‘Response Fund’ which allows us to use your gift where it is needed the most.

This fund allows our clinical teams to react quickly to the needs of our patients and allocate our resources in the most effective ways possible. Each of our funds is managed by a named fund-holder with in-depth knowledge of the particular field related to the fund.

Your support, big or small, can help us to make huge improvements in the care we offer our local communities.



Our Fundraising Team would love to hear from you to discuss how you can support Hywel Dda Health Charities:

Telephone: 01267 239815

Email: [Fundraising.HywelDda@wales.nhs.uk](mailto:Fundraising.HywelDda@wales.nhs.uk)

[www.hywelddahealthcharities.org.uk](http://www.hywelddahealthcharities.org.uk)

Hywel Dda Health Charities

Ystwyth, Hafan Derwen, St David's Park

Jobswell Road, Carmarthen, SA31 3BB



HywelDdaHealthCharities



@HywelDdaCharity



# 5. Our plans

**The next year will be a crucial time for the charity as we move forward from an extraordinary year having received such unprecedented support from our local communities to say thank you to the NHS when it faced one of the biggest challenges of our lifetime.**

We will use what we have learnt from our experiences of the past year to inform our future grant-making priorities to make things even better for our patients, communities and staff.

A priority will be to support staff health and wellbeing. We will work closely with Workforce and Organisational Development colleagues to identify ways that the charity can support the rest, recovery and rehabilitation of Hywel Dda University Health Board staff, focusing on the areas of greatest need.

The next year will also involve the charity reviewing the impact of the pandemic on our income to ensure that we are able to focus our fundraising efforts on areas that will have the most impact.

We will also continue to focus on the objectives of our three-year plan, approved by the Charitable Funds Committee in March 2020, which provide a clear direction for the charity so that we can continue to make a positive difference to the health, wellbeing and experience of patients, service users and staff across Hywel Dda University Health Board:

## **Income:**

Increase our income levels by 10% on an annual basis from April 2020 to March 2023

## **Expenditure:**

Increase charitable expenditure by 15% on an annual basis from April 2020 to March 2023

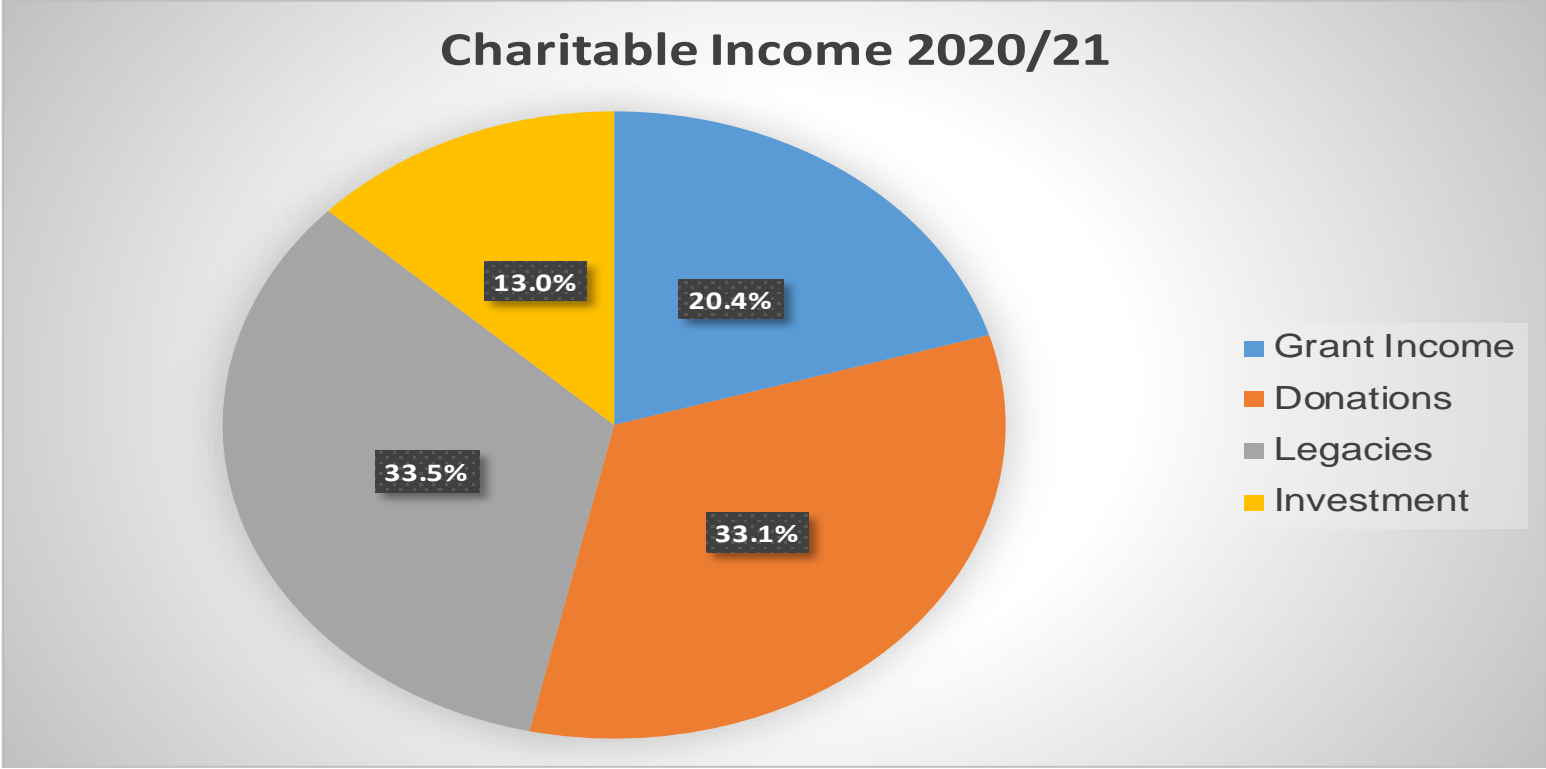
## **Communications:**

Increase our charity's profile and raise awareness of the positive difference we make

# 6. Financial review

## Incoming Resources

In 2020-21 incoming resources for the year totaled £2,510,216. The income streams of our charity were as follows:



### Donations (£830,973)

Many donations were received from patients and their families wanting to show their appreciation for care received. The charity is extremely grateful for all donations received to help us realise our aims. Some donations were received in memory of a loved one and we are proud to know that the treatment and support received has been so valued.

At the start of the COVID-19 pandemic, due to the generosity of the public there was a significant increase in donations together with donated gifts received for both staff and patients. The estimated value of donated gifts was £70,258.

### Legacies (£840,476)

The charity was also the grateful beneficiary of a number of legacies totalling £840,476, for funds mainly located at our acute hospitals. Considerable legacies received during the year included:

- A legacy of £238,393 to Ty Bryngwyn Hospice, Llanelli.
- A legacy of £121,782 to Meddygfa Minafon, Kidwelly.

**Grants (£511,386)**

Grants were received from the Co-Op Community Fund (£2,840), Groundwork UK (£14,000), Macmillan (£2,700), National Lottery (£4,746), NHS Charities Together (£485,000) and Starbucks (£2,100)

**Investment income (£327,381)**

Investment income in the form of dividends and interest from investment and cash balances held by the charity was received totalling £327,381.

**Expenditure**

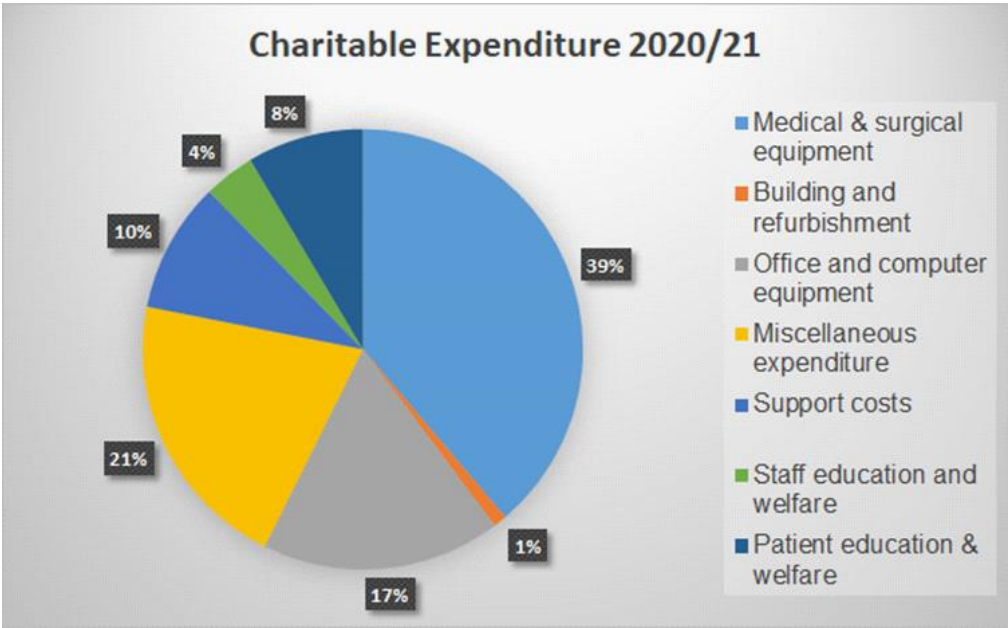
The charity is principally a grant making body, providing grants to Hywel Dda University Health Board as a contribution to the cost of the provision of healthcare beyond what the NHS provides. During 2020/21 the charity has continued to support a wide range of charitable and health related activities across Hywel Dda University Health Board.

Our key aim is to serve the NHS patients of Hywel Dda University Health Board for the public benefit. By working closely with the University Health Board, we are able to use our generous donations to provide invaluable support on patient focused expenditure.

Our grant making policy ensures that all expenditure of our charitable funds is in accordance with the charitable purpose agreed for the fund and in the spirit of the donor’s wishes. All charitable expenditure is made with consideration given to the public benefit of improved healthcare.

**Analysis of expenditure**

In 2020/21 expenditure on charitable activities totalled £1,037,086. The expenditure categories were as follows:



- Medical and surgical equipment – Equipment for patient benefit.
- Office and computer equipment – Equipment, which supports the provision of healthcare thereby benefiting patient care.
- Miscellaneous – All other expenditure.
- Support costs – Direct administration costs in support of the charity's objectives.
- Staff education and welfare – Includes training above that which is mandatory to improve patient care
- Patient education and welfare – Expenditure on improving patient education and welfare

## **Management and administration costs: 1st April 2020**

The total management and administration costs for 2020-21 were £320,778 which includes £220,785 fundraising costs and £99,993 support costs. This represents 4.1% of the opening fund balances held as at 1st April 2020.

## **Investments and performance**

The charity retains the services of investment advisors to manage its investment portfolios. Policy is set by the charity trustee in its instruction to their advisor. The advisors have been instructed to manage the portfolios to produce both income and capital returns, and manage those funds within the value they retain on the accounts. The charity also holds funds in short term investments that are not managed by the investment advisor.

During 2020-21, there was realised gain of £1,480,000 due to an increase in the market value of investments held during the year. This combined with investment income of £327,381 gave a total in year gain of 17.28% for investments held by the charity.

Sarasin & Partners LLP provided the services of investment advisor for 2020-21. These investments are managed in accordance with the Trustee Act 2000.

The Charity Trustee has challenged its investment advisers to demonstrate that the decisions they make on behalf of the Charity are aligned with its ethical policy. Sarasin has provided examples of how they use Environmental, Social and Governance (ESG) criteria to measure the sustainable and ethical impact of businesses when making investments on behalf of the Charity. These include –

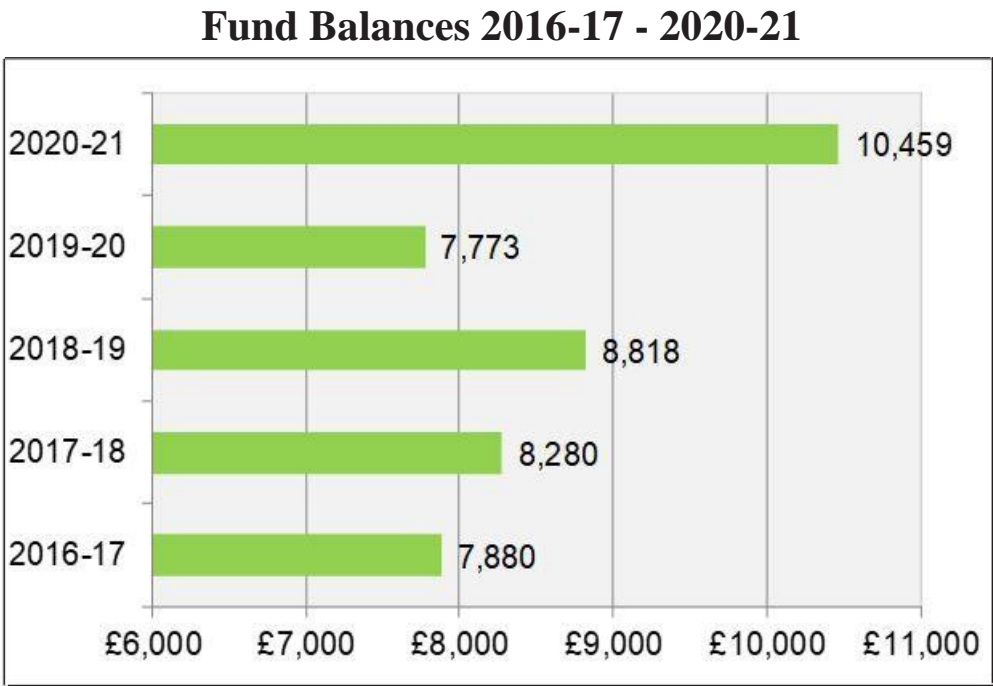
- DSM, the Dutch science-based company who deliver and manufacture nutrition products are a great example within our portfolio of a purpose-led company. They have recently participated in two innovations – CleanCow, a feed additive designed to reduce methane emissions from dairy and beef cattle by up to 30%, and Veramaris, a JV with Evonik to create algae-based Omega-3 rich feed for aquaculture.
- Bazalgette Finance is an example of a green bond held within the Charity portfolio which is helping to design, build and maintain the Thames Tideway Tunnel. The tunnel will intercept Bazalgette's Victorian sewerage network, significantly reducing discharges into the Thames – a major feat – which has been awarded the highest green evaluation rating by S&P covering transparency, governance and environmental impact.

- Motability Operations Group is a Not-For-Profit specialising in car leasing and held within the portfolio. Their Motability Scheme provides recipients of the Disability Living Allowance (DLA) with access to new vehicles (cars, accessible vehicles, scooters and powered wheelchairs) on competitive contract hire terms.
- Home REIT plc is held within our portfolio and invests in a diversified portfolio of assets across the UK to provide good quality accommodation to the homeless. As of May 2021, they acquired 597 properties and were able to provide homes for up to 3,274 residents.
- Octopus Renewable Infrastructure Trust is another example of a holding in our portfolio which has been at the heart of accelerating the transition to net zero. They invest in renewable energy sources with a particular focus on onshore wind farms and solar parks.

### **Overall financial position: 31st March 2021**

The value of the charity as at 31st March 2021 has increased from the previous year by £2,686,000. This is largely due to growth in investments in year for both General & Cardiology Fund. Furthermore, cash balances held at year-end were higher than normal due to higher than average donations & grants received during latter part of year.

The graph below indicates the level of funds held as at the end of the year in the last five financial years:



**Reserves policy**

The charity has a reserves policy and has defined reserves to be the element of funds that are unrestricted and uncommitted. The charity shall hold reserves of 10% of the value of its fixed asset investments and £500,000, and shall only fall below to cover losses in value of those investments. In order to maintain the reserves as low as possible it is important that expenditure plans are developed for all funds. Reserves are needed where there may be insufficient balances in individual restricted and designated funds to meet the objectives of those funds. There is also a need for reserves where there may be a requirement to incur expenditure which is exclusively charitable, and cannot be funded from revenue, for which there is not a relevant fund. The reserves held by the charity, as at 31st March 2021, is made up as follows:

**Fund Balances**

£000's  
3,731,831 Charity Unrestricted Funds  
4,154,910 Charity Restricted Funds  
2,572,649 Endowment Funds  
-----  
10,459,390



# 7. Structure, governance and management

## Constitution

Hywel Dda University Local Health Board holds charitable funds as sole corporate trustee and the board members of the University Health Board (whilst not “trustees” in their own right) are jointly responsible for the management of those charitable funds. The membership of the board was as follows at the time the annual report was approved:

Ms Maria Battle, Chair

Mrs Judith Hardisty, Vice Chair

Ms Anna Lewis, Independent Member

Professor John Gammon, Independent Member

Mr Owen Burt, Independent Member

Mr Maynard Davies, Independent Member

Cllr Simon Hancock, Independent Member (Until 31st March 2021)

Ms Delyth Raynsford, Independent Member (Until 31st March 2021)

Ms Ann Murphy, Independent Member

Mr Mike Lewis, Independent Member (Until 31st March 2021)

Mr Paul Newman, Independent Member

Mr Steve Moore, Chief Executive

Mrs Karen Miles, Executive Director of Planning, Performance and Commissioning (Until 11th October 2020)

Mr Huw Thomas, Executive Director of Finance

Mrs Mandy Rayani, Executive Director of Nursing, Quality & Patient Experience

Ms Alison Shakeshaft, Executive Director of Therapies and Health Science

Mrs Lisa Gostling, Executive Director of Workforce and Organisational Development

Mrs Ros Jervis, Executive Director of Public Health

Dr Phil Kloer, Executive Medical Director and Deputy Chief Executive

Mr Andrew Carruthers, Executive Director of Operations

Mrs Joanne Wilson, Board Secretary

Ms Jill Paterson, Director of Primary, Community and Long Term Care

Ms Sarah Jennings, Director of Partnerships and Corporate Services (Until 4th September 2020)

The Director of Nursing, Quality & Patient Experience is the Executive Director with lead responsibility for the charity.

The charitable funds linked to the University Health Board are independent of the ‘exchequer’ (Government funded NHS) funds of the University Health Board and must be managed separately, even though they are mainly to be used to support the work and services of the NHS body.

The Charity Commission has regulatory responsibility for ensuring the proper management of these charitable funds.

The Charitable Funds Committee is appointed by the Board from the Independent Members who act as ‘agents’ of the Board as sole corporate trustee. The Committee is required to:

- control, manage and monitor the use of the fund’s resources;
- provide support, guidance and encouragement for all its fundraising activities whilst managing and monitoring receipt of all income;
- ensure that best practice is followed in the conduct of all its affairs fulfilling all of its legal responsibilities;
- monitor the performance of the appointed investment advisor whilst being aware of ethical considerations;
- keep the University Health Board fully informed on the activity, performance and risks of the charity; appoint the investment advisors to the charity.

## Objects of the charity

Hywel Dda Health Charities has registered the following objects with the Charity Commission:

“The trustee shall hold the trust fund upon trust to apply the income, and at its discretion, so far as may be permissible, the capital, for all or any charitable purpose or purposes relating to the National Health Service.”

## Charity independence

The charity needs to be independent from, yet supportive of, the University Health Board. The charity therefore focuses on the following charitable purposes, recognised in law (Charities Act 2011):

- The advancement of health or the saving of lives

And to a lesser degree

- The advancement of education
- The relief of those in need, by reason of youth, age, ill-health, disability, financial hardship or other disadvantage

The charity raises monies, holds those monies and commits expenditure through more than 275 local, general and special purpose funds.

The current list of these funds is given at appendix A. Whilst almost all funds are designated for a particular purpose (as described by the donor’s wishes and the local funds title); some funds are restricted by the charitable purpose imposed by the donor.

Within expenditure authorisation limits local fund holders decide on and commit expenditure for the benefit of the area concerned.

## **Grant making policy**

To achieve its objectives the charity makes grant payments to Hywel Dda University Health Board in accordance with charity law, our constitution and the wishes and direction of donors.

In making grants, we endeavour to reflect the wishes of patients and staff by directing funds towards areas they tell us are most in need.

Hywel Dda Health Charities makes grants from both its unrestricted and restricted funds. Within the unrestricted funds, grants are made from general funds, designated (earmarked) funds. Income generated from endowment funds are held as restricted income and applied within the restricted purpose of that endowment.

In the awarding of our grants, consideration is given to the Charity Commission's guidance on public benefit. To ensure public benefit and value for money when authorising charitable expenditure, applications for charitable funds include questions on the purpose of the application to the charity, why the expenditure is required and how the expenditure meets the aims of the charity.

## **Subsidiary charity**

There is one special purpose subsidiary charity within the Hywel Dda Health Charities – Cardiology Equipment Withybush General Hospital.

## **Address**

The principal address of the charity is Hywel Dda Health Charities, Ystwyth, Hafan Derwen, St David's Park, Jobswell Road, Carmarthen, SA31 3BB.

## **Our advisors**

### **Bankers**

The charity has retained the banking services during the year from Barclays Bank, 9-10 Guildhall Square, Carmarthen, SA31 1PW.

### **External auditors**

The charity's auditors during the year was Audit Wales, 24 Cathedral Road, Cardiff, CF11 9LJ.

## **Our trustees**

New members of the Charitable Funds Committee are provided with an induction pack consisting of the charity's governing documents and policies and procedures as well as previous annual reports and accounts and Charity Commission guidance.

Members of the Charitable Funds Committee also receive regular informal training and briefings on current charity issues at quarterly committee meetings. As the charity is a member of the Association of NHS Charities, committee members also receive regular briefings on areas of interest and details of relevant training opportunities to enhance their skills.

## Investments

The trustees hold the Pembrokeshire Cardiology Equipment fund as a separate investment portfolio, which is managed with the advice of Sarasin & Partners with an objective of the fund to achieve long-term capital and income growth.

The trustees have approved a total returns policy for this fund. Income is credited back to the charity bank account and held as restricted income.

Other investments of Hywel Dda Health Charities are also managed with the advice of Sarasin & Partners with an objective of the fund to achieve long-term capital and income growth. Both portfolios are structured to permit a range of investments intended to yield a competitive rate of return in current market conditions.

The investment advisor actively manages the assets and has delegated authority to purchase and sell when market opportunities arise. The trustees have decided not to invest in companies who are involved in the production of tobacco and will also avoid investment in companies that have more than 10% of their turnover in Alcohol manufacture due to the link with poor health which could make the investments contrary to our charitable aims.

The Charitable Fund Committee on a quarterly basis monitors investment performance.

## Fundraising activities

During 2020/21, the University Health Board employed four members of staff (4.4 full time equivalent) to support the fundraising and communications functions of the charity.

The fundraising support team is responsible for increasing the charity's income to support Hywel Dda University Health Board to provide services and activities above and beyond what the NHS can provide.

The team is responsible for promoting fundraising for and giving to the charity and provides support, advice and guidance to fundraisers to ensure that all fundraising is carried out in line with regulation and best practice.

The team also assists many charitable groups and associations that provide both financial and non-financial support for healthcare services across our three counties.



# 8. Risk management

## Exposure to risk

The Chief Executive of Hywel Dda University Local Health Board, together with the other directors, is responsible for ensuring that an effective system of financial control is maintained. The Chief Executive and other directors are also responsible for reviewing the effectiveness of this system and have confirmed that the minimum control standards laid down by the Welsh Government have been in existence throughout the financial year. The minimum control standards apply equally to the management of the charity by officers of the University Local Health Board as to the exchequer funds of the University Local Health Board. In this way, the major risks to which the charity is exposed have been reviewed and systems have been established to mitigate those risks.

## Financial control

A financial procedure, expenditure guideline, governance framework and strategy have been developed to ensure that there are sufficient management controls in place to:

- ensure that spending is in accordance with objects and priorities agreed by the Charitable Funds Committee;
- ensure the criteria for spending charitable monies are fully met;
- ensure that the accounting records are maintained;
- ensure devolved decision-making is within specified parameters. Internal Audit also undertake annual reviews to evaluate the adequacy of procedures and controls, to ensure compliance, and to provide reasonable assurance over:
- achievement of management objectives for the systems;
- use of resources in accordance with donors' requirements;
- compliance with policies and procedures;
- safeguarding assets. The Internal Audit reports are presented to both the Charitable Funds and Audit Committee, and this is a key measure of control.

## Investment risk

The risk is minimised by agreeing an investment policy with the nominated investment managers. This policy includes ethical consideration where investments in the production, manufacturing or supply of tobacco or alcoholic beverages conflict with the charitable objects.

In assessing companies' involvement in these sectors the following limits have been set:

- investment in the production or manufacture of tobacco products at any level;
- investment in the production, manufacture of alcoholic beverages where more than 10% is derived from this source.

Further to the above, the investment manager's performance is reviewed in each Charitable Fund Committee meeting and compared to charitable investment benchmarks.

# Appendix A: List of designated funds

## CORPORATE - HYWEL DDA WIDE

Fund Cost Centre	Fund Name	Fund Type
T556	Macmillan Support - Palliative Care	Open
T560	NHS Charities Together	Open
T561	NHS Charities Together (Stage 1 Second Wave)	Open
T562	NHS Charities Together (Stage 2)	Open
T563	NHS Charities Together (Stage 3)	Open
T600	Support for Life Response Fund	Open
T601	Hywel Dda Volunteering for Health	Open
T603	Mental Health & LD Services Corporate	Open
T604	Hywel Dda Chiropody Fund	Open
T605	Charity of the Year 14/15 Surf Tonic	Open
T606	Charity of the Year 15/16 (Paeds Play Srv)	Open
T608	Charity of the Year 17/18 HARP	Open
T609	HD Brain Injury & Complex Neurological Therapy	Open
T614	Hywel Dda Colorectal Fund	Open
T615	Hywel Dda Upper GI	Open
T616	Hywel Dda Audiology	Open
T617	Hywel Dda Paediatric Diabetes	Open

## CARMARTHENSHIRE – ACUTE

T001	Ceri Ward	Legacy
T002	Cleddau Ward	Legacy
T003	Urology Cancer Fund	Legacy
T006	Preseli Ward	Legacy
T008	Teifi Ward	Legacy
T022	Endoscopy Fund	Legacy
T025	Osteoporosis Fund	Legacy
T039	Prince Philip Hospital Breast Centre	Legacy
T043	Prince Philip Hospital Wrd 6	Legacy
T050	Steffan Ward	Legacy
T059	Cardiac	Legacy
T061	Cardiac Rehabilitation	Legacy
T062	Diabetic Education	Legacy
T067	Dialysis Patients' Amenities	Legacy
T075	Carmarthen Oncology Fund	Legacy
T091	Prince Phillip Hospital Cancer Fund	Legacy
T093	Prince Phillip Hospital Cardiac Fund	Legacy
T129	Prince Phillip Hospital Rheumatology Fund	Legacy
T131	Carmarthenshire Lung Cancer Fund	Legacy
T134	Special Care Baby Unit	Legacy
T156	Intensive Care Unit	Legacy

<b>Fund Cost Centre</b>	<b>Fund Name</b>	<b>Fund Type</b>
T156	Intensive Care Unit	Legacy
T191	Haematology Fund	Legacy
T196	Out Patient Staff	Legacy
T213	Carm Park Dis Serv Dev Fnd	Legacy
T253	Mynydd Mawr General Purposes	Legacy
T267	Eliz Williams Children's Clinic	Legacy
T289	Glangwili General Hospital General Purpose	Legacy
T300	Prince Phillip Hospital General Purposes	Legacy
T318	Prince Phillip Hospital Nurses Legacy Fund	Legacy
T587	Minafon Surgery (R)	Legacy
T688	Carmarthenshire Liver	Open
T700	Carmarthenshire Pharmacy Fund	Open
T701	Glangwili General Hospital General Purposes	Open
T702	Glangwili General Hospital Rehabilitation Fund	Open
T703	Glangwili General Hospital Cardiology Fund	Open
T704	Glangwili General Hospital Dermatology Fund	Open
T705	Glangwili General Hospital Oncology	Open
T706	Carmarthenshire Gastroenterology	Open
T707	Glangwili General Hospital General Medicine	Open
T708	Glangwili General Hospital General Surgery	Open
T709	Carmarthenshire Urology	Open
T710	Glangwili General Hospital Orthopaedics	Open
T711	Glangwili General Hospital ENT	Open
T712	Carmarthenshire Ophthalmology	Open
T713	Glangwili General Hospital Obstetrics & Gynaecology	Open
T714	Glangwili General Hospital Anaesthetics	Open
T715	Glangwili General Hospital A&E	Open
T716	Prince Philip Hospital General Purposes	Open
T717	Prince Philip Hospital Pharmacy	Open
T718	Prince Philip Hospital Rehabilitation	Open
T719	Prince Philip Hospital Cardiology	Open
T720	Prince Philip Hospital Oncology	Open
T721	Prince Philip Hospital Rheumatology	Open
T722	Prince Philip Hospital General Medicine	Open
T723	Prince Philip Hospital Surgical	Open
T724	Prince Philip Hospital Orthopaedics	Open
T725	Prince Philip Hospital Anaesthetics	Open
T726	Prince Philip Hospital Minor Injuries Unit	Open
T727	Carmarthenshire/Ceredigion Breast Care	Open
T728	Prince Philip Hospital AMAU	Open
T742	Mynydd Mawr General Purposes	Open
T754	Carmarthenshire Community Midwifery	Open



T762	Carmarthenshire Clinical Support Outpatients	Open
T763	Carmarthenshire Clinical Support Histopathology	Open
T764	Carmarthenshire Clinical Support Radiology	Open
T765	Carmarthenshire Infectious Diseases	Open
T766	Carmarthenshire Clinical Support Haematology	Open
T767	Carms Clinical Support Audiology	Open
T772	Carmarthenshire Clinical Support Neurophysiology	Open
T780	Carmarthenshire Childrens Services General Purposes	Open
T781	Carmarthenshire Childrens Services Paediatric Oncology	Open
T782	Carmarthenshire Children Services Neonatal	Open
T784	Carmarthenshire Sexual Health Services	Open
T785	Carmarthenshire Dental Services Fund	Open
T791	Carmarthenshire Respiratory Research	Open
T792	Prince Philip Hospital Respiratory Services	Open
T793	Carmarthenshire Diabetic Services Fund	Open
T794	Minafon Surgery	Open
T796	Meddygfa Sarn	Open

## CARMARTHENSHIRE - COMMUNITY

T206	Physiotherapy & Gymnasium	Legacy
T214	Llandovery General Purpose	Legacy
T216	Palliative Care Nurses	Legacy
T219	Cross Hands Comm Nurses	Legacy
T247	AV General Purposes	Legacy
T274	Ty Bryngwyn Fund	Legacy
T285	Amman Valley OPD + Physio	Legacy
T288	Acute Response Services Base Carmarthenshire	Legacy
T602	Chronic Disease Management	Open
T740	Llandovery General Purposes	Open
T741	Amman Valley General Purposes	Open
T743	Continuing Care Team Carmarthenshire	Open
T744	Acute Response Team Carmarthenshire	Open
T746	Carmarthenshire Community Ty Cymorth Hospice	Open
T747	Carmarthenshire Community Ty Bryngwyn	Open
T748	Carmarthenshire Community Palliative Care	Open
T749	Carmarthenshire Community Ty Bryngwyn Inpatient	Open
T750	Carmarthenshire Community General Community	Open
T751	Carmarthenshire Comm District Llanelli Team	Open
T752	Carmarthenshire Comm District Amman/Gwendraeth	Open
T753	Carmarthenshire Comm District Carmarthen Team	Open
T768	Carmarthenshire Clinical Support Chiropody	Open

T769	Carmarthenshire Clinical Support Physiotherapy	Open
T770	Carmarthenshire Clinical Support Dietetics	Open
T771	Carmarthenshire Clinical Support Occupational Therapy	Open
T773	Carmarthenshire Clinical Support Speech and Language	Open

## CEREDIGION – ACUTE

T323	FE Smith Leg Income (Ceredig Ward)	Legacy
T330	Bronglais General Hospital (G)	Legacy
T333	Pathology (S)	Legacy
T336	Endoscopy Fund	Legacy
T338	XRay Equipment (S)	Legacy
T342	I.C.U (S)	Legacy
T343	Ophthalmology (S)	Legacy
T345	Ceredig Ward (OG)	Legacy
T346	Ceredig Ward (LI)	Legacy
T349	Meurig Ward (S)	Legacy
T352	Cardiac Rehabilitation	Legacy
T367	Accident & Emergency (S)	Legacy
T370	Diabetic Care Fund	Legacy
T376	Leri Day Hospital	Legacy
T377	The Osteoporosis Fund	Legacy
T392	BGH Chemotherapy Day Unit Appeal	Legacy
T800	BA Jenkins Bronglais	Open
T802	FE Smith Legacy (Capital in Perpetuity)	Open
T804	Ceredigion Staff Training	Open
T805	Ceredigion Postgraduate Funds	Open
T807	Ceredigion Childrens Services General	Open
T808	Ceredigion Clinical Support Haematology	Open
T809	Ceredigion Clinical Support Biochemistry	Open
T810	Ceredigion Clinical Support Radiology	Open
T815	Ceredigion Clinical Support Outpatients	Open
T818	Ceredigion Medicines Management	Open
T819	Rees Eirwyn Evans Capital Fund	Open
T820	Rees Eirwyn Evans Inc (Meurig Ward)	Open
T851	Bronglais Hospital General Fund	Open
T856	Ceredigion Theatres & Critical Care	Open
T857	Ceredigion General Surgery	Open
T858	Ceredigion Ophthalmology	Open
T859	Ceredigion Endoscopy	Open
T860	Ceredigion Orthopaedic & Rheumatology	Open
T861	Ceredigion Emergency Care	Open
T862	Ceredigion General Medicine	Open
T863	Ceredigion Diabetes Services	Open
T864	Ceredigion Renal Services	Open

T865	Ceredigion Cancer Services	Open
T866	Ceredigion Cardiovascular Services	Open
T867	Ceredigion – Obstetrics & Gynaecology	Open
T868	Ceredigion Maternity Services	Open

## CEREDIGION - COMMUNITY

T321	BA Jenkins Aberaeron	Legacy
T322	BA Jenkins BGH	Legacy
T357	Physiotherapy Dept	Legacy
T380	Aberaeron Hosp (G)	Legacy
T381	Cardigan Hosp (G)	Legacy
T382	Tregaron Hospital (G)	Legacy
T559	Ty Geraint Palliative Care	Legacy
T801	BA Jenkins Aberaeron	Open
T803	Home Ground (Big Lottery)	Open
T811	Ceredigion Clinical Support Physiotherapy	Open
T812	Ceredigion Clinical Support OT	Open
T813	Ceredigion Clinical Support Speech and Lang	Open
T814	Ceredigion Clinical Support Chiropody	Open
T817	Ceredigion Clinical Support Dietetics	Open
T852	Aberaeron Hospital General Fund	Open
T853	Cardigan Hospital General Fund	Open
T854	Tregaron Hospital General Fund	Open
T855	Ceredigion County Community Services	Open
T870	Ceredigion Palliative Care	Open
T878	Ceredigion CRT and ART Fund	Open

## PEMBROKESHIRE - ACUTE

T431	Withybush General Hospital A&E Fund	Legacy
T440	Withybush General Hospital Cancer Day Unit	Legacy
T442	Withybush General Hospital Cardiac Unit Fund	Legacy
T445	Withybush General Hospital Chest Unit Fund	Legacy
T450	Withybush General Hospital Diabetic Unit Fund	Legacy
T456	Withybush General Hospital Outpatient Equipment	Legacy
T458	Withybush General Hospital General Purpose Fund	Legacy
T460	Cardiology Equip Income Fund	Legacy
T467	Withybush General Hospital ICU Fund	Legacy
T470	Withybush General Hospital JI Page	Legacy
T473	Withybush General Hospital Renal Fund	Legacy
T482	Withybush General Hospital Ophthalmology Fund	Legacy
T493	Withybush General Hospital Rheumatology	Legacy
T498	Withybush General Hospital Surgical DH Fund	Legacy



T505	Withybush General Hospital Ward1 General Purpose	Legacy
T507	Withybush General Hospital Ward 3 Fund	Legacy
T509	Withybush General Hospital Ward 4 Equipment Fund	Legacy
T511	Withybush General Hospital Ward 7 Fund	Legacy
T514	Withybush General Hospital Coronary Care Fund	Legacy
T516	Withybush General Hospital Ward 9 Fund	Legacy
T517	Withybush General Hospital Ward 10 Fund	Legacy
T518	Withybush General Hospital Ward 10 Refurbishment Scheme	Legacy
T519	Withybush General Hospital Ward 11 Fund	Legacy
T521	Withybush General Hospital Ward 12 Fund	Legacy
T558	NOF Cardiac Rehabilitation	Legacy
T588	Goodwick Surgery (R)	Legacy
T900	Withybush Hospital Fund	Legacy
T902	Cardiac/ Respiratory Fund Pembs	Open
T903	Cardiology Equipment Withybush General Hospital (Capital in Perpetuity)	Open
T904	Surgical Services Fund Pembs	Open
T905	Cancer Services Pembs	Open
T906	Medical Services Fund Pembs	Open
T912	Pembrokeshire Clinical Support Radiology	Open
T914	Pembrokeshire Clinical Support Biochemistry	Open
T915	Pembrokeshire Clinical Support Haematology	Open
T916	Pembrokeshire Clinical Support Microbiology	Open
T917	Pembrokeshire Clinical Support Outpatients	Open
T921	Pembrokeshire Childrens Services General	Open
T922	Pembrokeshire Childrens Services Childrens Wards	Open
T924	Pembrokeshire Medicines Management	Open
T925	Pembrokeshire Maternity & Gynaecology	Open
T926	Pembrokeshire Renal Fund	Open
T928	Elly's Ward 10 Flag Appeal	Open

## PEMBROKESHIRE - COMMUNITY

T528	South Pembrokeshire Hospital General Purpose Fund	Legacy
T535	Tenby Cottage Hospital General Purpose Fund	Legacy
T545	Pembs Community General Purposes	Legacy
T557	NOF Palliative Care Data Base	Legacy
T901	Pembrokeshire Community Services	Open
T910	Pembrokeshire Clinical Support Physiotherapy	Open
T911	Pembrokeshire Clinical Support OT	Open
T918	Pembrokeshire Clinical Support Chiropody	Open
T919	Pembrokeshire Clinical Support Dietetics	Open
T920	Pembrokeshire Clinical Support Speech Therapy	Open
T927	Fishguard Health Care Centre Fund	Open
T930	Pembs Care at Home Team	Open

# MENTAL HEALTH & LEARNING DISABILITIES

T397	Enlli Ward	Legacy
T403	St Non's	Legacy
T407	Cwm Seren Ward Fund	Legacy
T415	Wellfield RC Fund	Legacy
T419	Bryngofal Ward Fund	Legacy
T427	Brynmair Fund (R)	Legacy
T429	Bryngolau Fund	Legacy
T549	Bro Cerwyn DH Fund	Legacy
T552	Childrens Services - Child and Adolescent Psychiatry	Legacy
T586	Low Secure Unit, MH & LD	Legacy
T612	Integrated Psychological Therapies Services	Open
T613	Crisis Resolution & Home Treatment	Open
T691	Bryngolau Ward Prince Philip Hospital	Open
T692	Swn Y Gwynt Adult Mental Health Fund	Open
T693	Brynmair Adult Mental Health Fund	Open
T694	North Carmarthenshire Older Adult Mental Health Services	Open
T695	Bryngofal Ward Prince Philip Hospital	Open
T697	South Carmarthenshire OAMH	Open
T698	Ty Bryn Learning Disabilities Unit Fund	Open
T699	Morlais Ward Glangwili General Hospital	Open
T783	Childrens Services Child and Adolescent Psychiatry	Open
T790	Mental Health Services Carmarthenshire	Open
T795	Low Secure Unit, MH & LD	Open
T797	Carmarthenshire Community Substance Misuse	Open
T798	Carmarthenshire Community Learning Disabilities Team	Open
T799	Cwm Seren Psychiatric Intensive Care Unit	Open
T869	Mental Health Services Ceredigion	Open
T871	Enlli Ward BGH Fund	Open
T872	Ceredigion Older Adult Mental Health Services	Open
T873	Gorwelion Adult Mental Health Fund	Open
T874	Ceredigion Primary Mental Health Support Service	Open
T907	Mental Health Services Pems	Open
T923	Pembrokeshire Childrens Services Sandy Bear Fund	Open
T931	St Non Ward Fund	Open
T935	Pembrokeshire Community Learning Disabilities Team	Open
T937	Bro Cerwyn Adult Mental Health Fund	Open

## Key

### ‘Open’ Funds

Funds, which are open for new donations for a designated purpose. They mainly comprise ‘unrestricted’ donations but may also in some cases include ‘restricted’ monies by virtue of the terms of a donation or legacy.

### ‘Legacy’ Funds

Funds, which are maintained to manage significant Legacies, usually restricted monies for a particular purpose and may include ‘Capital in Perpetuity’ funds where only the investment income can be spent. Not used for new donations.

**Appendix B: ANNUAL ACCOUNTS 2020-2021**

**HYWEL DDA HEALTH CHARITIES ACCOUNTS  
FOR THE YEAR ENDED 31st MARCH 2021**

**FOREWORD**

The accounts (financial statements) have been prepared in accordance with the Statement of Recommended Practice: Accounting and Reporting by Charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) issued on 16 July 2014 and the Charities Act 2011 and UK Generally Accepted Practice as it applies from 1 January 2015

**STATUTORY BACKGROUND**

The Hywel Dda University Local Health Board is the corporate trustee of the charity under paragraph 16c of Schedule 2 of the NHS and Community Care Act 1990.

The Trustee has been appointed under s11 of the NHS and Community Care Act 1990.

**MAIN PURPOSE OF THE FUNDS HELD ON TRUST**

The main purpose of the charity is to apply income for any charitable purposes relating to the National Health Service wholly or mainly for the services provided by the Hywel Dda University Local Health Board.



**Hywel Dda Health Charities**  
**Statement of Financial Activities for the year ended 31 March 2021**

	Note	Unrestricted funds £000	Restricted Income funds £000	Endowment funds £000	Total Funds 2020-21 £000
<b>Incoming resources from generated funds:</b>					
Donations and legacies	3	817	1,366	0	2,183
Investments	5	127	116	84	327
<b>Total incoming resources</b>		<b>944</b>	<b>1,482</b>	<b>84</b>	<b>2,510</b>
<b>Expenditure on:</b>					
Raising Funds	6	126	121	20	267
Charitable activities	7	519	491	27	1,037
<b>Total expenditure</b>		<b>645</b>	<b>612</b>	<b>47</b>	<b>1,304</b>
Net gain on investments	13	569	516	395	1,480
<b>Net income / (expenditure)</b>		<b>868</b>	<b>1,386</b>	<b>432</b>	<b>2,686</b>
Transfer between funds	18	(97)	71	26	0
<b>Net movement in funds</b>		<b>771</b>	<b>1,457</b>	<b>458</b>	<b>2,686</b>
<b>Reconciliation of Funds</b>					
Total Funds brought forward	19	2,961	2,698	2,114	7,773
<b>Total Funds carried forward</b>		<b>3,732</b>	<b>4,155</b>	<b>2,572</b>	<b>10,459</b>

**Hywel Dda Health Charities**  
**Statement of Financial Activities for the year ended 31 March 2020**

	Unrestricted funds £000	Restricted Income funds £000	Endowment funds £000	Total Funds 2019-20 £000
<b>Incoming resources from generated funds:</b>				
Donations and legacies	619	1,189	0	1,808
Investments	138	110	83	331
<b>Total incoming resources</b>	<b>757</b>	<b>1,299</b>	<b>83</b>	<b>2,139</b>
<b>Expenditure on:</b>				
Raising Funds	126	102	12	240
Charitable activities	1,154	1,435	(4)	2,585
<b>Total expenditure</b>	<b>1,280</b>	<b>1,537</b>	<b>8</b>	<b>2,825</b>
Net loss on investments	(145)	(114)	(100)	(359)
<b>Net income / (expenditure)</b>	<b>(668)</b>	<b>(352)</b>	<b>(25)</b>	<b>(1,045)</b>
<b>Reconciliation of Funds</b>				
Total Funds brought forward	3,629	3,050	2,139	8,818
<b>Total Funds carried forward</b>	<b>2,961</b>	<b>2,698</b>	<b>2,114</b>	<b>7,773</b>

**Hywel Dda Health Charities**  
**Hywel Dda Health Charities Balance Sheet as at 31 March 2021**

		Unrestricted	Restricted	Endowment	Total	Total
	Note	funds	Income	funds	2020-21	2019-20
		£000	funds	£000	£000	£000
			£000			
<b>Fixed assets:</b>						
Investments	13	3,636	2,748	2,263	8,647	7,155
<b>Total fixed assets</b>		<b>3,636</b>	<b>2,748</b>	<b>2,263</b>	<b>8,647</b>	<b>7,155</b>
<b>Current assets:</b>						
Debtors	14	7	32	0	39	47
Cash at bank and in hand	15	725	1,903	309	2,937	1,693
<b>Total current assets</b>		<b>732</b>	<b>1,935</b>	<b>309</b>	<b>2,976</b>	<b>1,740</b>
<b>Liabilities:</b>						
Creditors: Amounts falling due within one year	16	636	528	0	1,164	1,122
<b>Net current assets / (liabilities)</b>		<b>96</b>	<b>1,407</b>	<b>309</b>	<b>1,812</b>	<b>618</b>
<b>Total net assets</b>		<b>3,732</b>	<b>4,155</b>	<b>2,572</b>	<b>10,459</b>	<b>7,773</b>
<b>The funds of the charity:</b>						
Endowment Funds	19	0	0	2,572	2,572	2,114
Restricted income funds	19	0	4,155	0	4,155	2,698
Unrestricted income funds	19	3,732	0	0	3,732	2,961
<b>Total funds</b>		<b>3,732</b>	<b>4,155</b>	<b>2,572</b>	<b>10,459</b>	<b>7,773</b>

The notes on pages 44-64 form part of these accounts.

Signed: .....

Name: Miss Maria Battle (Chair of the Corporate Trustee)

Date:

**Hywel Dda Health Charities**  
**Statement of Cash Flows for the year ending 31 March 2021**

	<b>Note</b>	<b>Total Funds 2020-21 £000</b>	<b>Total Funds 2019-20 £000</b>
<b>Cash flows from operating activities:</b>			
<b>Net cash used in/(provided by) operating activities</b>	17	<b>929</b>	<b>(18)</b>
<b>Cash flows from investing activities:</b>			
Dividend, interest and rents from investments	5	327	331
Proceeds/(loss) from the sale of investments	13	3	2
Purchase of investments	13	(15)	(14)
<b>Net cash provided by investing activities</b>		<b>315</b>	<b>319</b>
<b>Change in cash and cash equivalents in the reporting period</b>		<b>1,244</b>	<b>301</b>
<b>Cash and cash equivalents at the beginning of the reporting period</b>	15	1,693	1,392
<b>Cash and cash equivalents at the end of the reporting period</b>	15	<b>2,937</b>	<b>1,693</b>



## (a) Basis of preparation

The financial statements have been prepared under the historic cost convention, with the exception of investments which are included at fair value.

The accounts (financial statements) have been prepared in accordance with the Statement of Recommended Practice: Accounting and Reporting by Charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) issued on 16 July 2014 and the Charities Act 2011 and UK Generally Accepted Practice as it applies from 1 January 2015.

The accounts (financial statements) have been prepared to give a 'true and fair' view and have departed from the Charities (Accounts and Reports) Regulations 2008 only to the extent required to provide a 'true and fair view'. This departure has involved following Accounting and Reporting by Charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) issued on 16 July 2014 rather than the Accounting and Reporting by Charities: Statement of Recommended Practice effective from 1 April 2005 which has since been withdrawn.

The Trustee considers that there are no material uncertainties about the Charity's ability to continue as a going concern. There are no material uncertainties affecting the current year's accounts.

In future years, the key risks to the Charity are a fall in income from donations or investment income but the Trustee has arrangements in place to mitigate those risks (see the risk management and reserves sections of the annual report for more information).

The Charity meets the definition of a public benefit entity under FRS 102.

## (b) Funds structure

Where there is a legal restriction on the purpose to which a fund may be put, the fund is classified either as:

- A restricted fund or
- An endowment fund.

Restricted funds are those where the donor has provided for the donation to be spent in furtherance of a specified charitable purpose. The Charity's restricted funds tend to result from appeals or legacies for specified purposes.

Endowment funds arise when the donor has expressly provided that the gift is to be invested and only the income of the fund may be spent. These funds are sub analysed between those where the Trustee has the discretion to spend the capital (expendable endowment) and those where there is no discretion to expend the capital (permanent endowment).

The charity has one permanent endowment fund, the Pembrokeshire Cardiology Equipment Fund. This fund is managed on a total returns basis. This means that the funds are invested to maximise the return on investment without regard to whether that return is in the form of income from dividends or interest or capital appreciation (where the market value of the investment increases). Although a total returns approach has been approved by the Trustee, no funds have been released as at the balance sheet date.

Those funds which are neither endowment nor restricted income funds, are unrestricted income funds which are sub analysed between designated (earmarked) funds where the Trustee have set aside amounts to be used for specific purposes or which reflect the non-binding wishes of donors and unrestricted funds which are at the Trustee's discretion, including the general fund which represents the charity's reserves. The major funds held in each of these categories are disclosed in note 19.

### (c) Incoming resources

Income consists of donations, grants, legacies, income from charitable activities and investment income.

Donations are accounted for when received by the charity. All other income is recognised once the charity has entitlement to the resources, it is probable (more likely than not) that the resources will be received and the monetary value of incoming resources can be measured with sufficient reliability.

Where there are terms or conditions attached to incoming resources, particularly grants, then these terms or conditions must be met before the income is recognised as the entitlement condition will not be satisfied until that point. Where terms or conditions have not been met or uncertainty exists as to whether they can be met then the relevant income is not recognised in the year but deferred and shown on the balance sheet as deferred income.

### (d) Incoming resources from legacies

Legacies are accounted for as incoming resources either upon receipt or where the receipt of the legacy is probable, whichever falls sooner.

Receipt is probable when:

- Confirmation has been received from the representatives of the estate(s) that probate has been granted
- The executors have established that there are sufficient assets in the estate to pay the legacy and
- All conditions attached to the legacy have been fulfilled or are within the charity's control.

If there is uncertainty as to the amount of the legacy and it cannot be reliably estimated then the legacy is shown as a contingent asset until all of the conditions for income recognition are met.

### (e) Incoming resources from endowment funds

The incoming resources received from the invested endowment fund are wholly restricted.

### (f) Resources expended and irrecoverable VAT

All expenditure is accounted for on an accruals basis and has been classified under headings that aggregate all costs related to each category of expense shown in the Statement of Financial Activities. Expenditure is recognised when the following criteria are met:

- There is a present legal or constructive obligation resulting from a past event
- It is more likely than not that a transfer of benefits (usually a cash payment) will be required in settlement
- The amount of the obligation can be measured or estimated reliably.

Irrecoverable VAT is charged against the category of resources expended for which it was incurred.



#### **(g) Recognition of expenditure and associated liabilities as a result of grants**

Grants payable are payments made to linked, related party or third party NHS bodies and non NHS bodies, in furtherance of the charitable objectives of the funds held on trust, primarily relief of those who are sick.

Grant payments are recognised as expenditure when the conditions for their payment have been met or where there is a constructive obligation to make a payment.

A constructive obligation arises when:

- We have communicated our intention to award a grant to a recipient who then has a reasonable expectation that they will receive a grant
- We have made a public announcement about a commitment which is specific enough for the recipient to have a reasonable expectation that they will receive a grant
- There is an established pattern of practice which indicates to the recipient that we will honour our commitment.

The Trustee has control over the amount and timing of grant payments and consequently where approval has been given by the Trustee and any of the above criteria have been met then a liability is recognised. Grants are not usually awarded with conditions attached. However, when they are then those conditions have to be met before the liability is recognised.

Where an intention has not been communicated, then no expenditure is recognised but an appropriate designation is made in the appropriate fund. If a grant has been offered but there is uncertainty as to whether it will be accepted or whether conditions will be met then no liability is recognised but a contingent liability is disclosed.

#### **(h) Allocation of support costs**

Support costs are those costs which do not relate directly to a single activity. These include staff costs, costs of administration, internal and external audit costs. Support costs have been apportioned between fundraising costs and charitable activities on an appropriate basis. The analysis of support costs and the bases of apportionment applied are shown in note 10.

#### **(i) Fundraising costs**

The costs of generating funds are those costs attributable to generating income for the charity, other than those costs incurred in undertaking charitable activities or the costs incurred in undertaking trading activities in furtherance of the charity's objects. The costs of generating funds represent fundraising costs together with investment management fees. Fundraising costs include expenses for fundraising activities and a fee paid to a related party, the Health Board, under a fundraising agreement. The fee is used to pay the salaries and overhead costs of the Health Board's fundraising office.

#### **(j) Charitable activities**

Costs of charitable activities comprise all costs incurred in the pursuit of the charitable objects of the charity. These costs, where not wholly attributable, are apportioned between the categories of charitable expenditure in addition to the direct costs. The total costs of each category of charitable expenditure include an apportionment of support costs as shown in note 7.

#### **(k) Debtors**

Debtors are amounts owed to the charity. They are measured on the basis of their recoverable amount.



## **(l) Fixed Asset Investments**

Investments are a form of basic financial instrument. Fixed Asset investments are initially recognised at their transaction value and are subsequently measured at their fair value (market value) at the balance sheet date. The Statement of Financial Activities includes the net gains and losses arising on revaluation and disposal throughout the year. Quoted stocks and shares are included in the Balance Sheet at the current mid price market value quoted by the investment analyst, excluding dividend. The SORP recommends that the bid price market price be used in valuing stocks and shares, although the difference between the bid and mid market price is not material. Other investments are included at the Trustee's best estimate of market value.

The main form of financial risk faced by the charity is that of volatility in equity markets and investment markets due to the wider economic conditions, the attitude of investors to investment risk and changes in sentiment concerning equities and within particular sectors or sub sectors. Further information on the charity's investments can be found in note 13.

## **(m) Cash and cash equivalents**

Cash at bank and in hand is held to meet the day to day running costs of the charity as they fall due. Cash equivalents are short term, highly liquid investments, usually in 90 day notice interest bearing savings accounts.

## **(n) Creditors**

Creditors are amounts owed by the charity. They are measured at the amount that the charity expects to have to pay to settle the debt.

Amounts which are owed in more than a year are shown as long term creditors.

## **(o) Realised gains and losses**

All gains and losses are taken to the Statement of Financial Activities as they arise. Realised gains and losses on investments are calculated as the difference between sales proceeds and opening carrying value (purchase date if later). Unrealised gains and losses are calculated as the difference between the market value at the year end and opening carrying value (or purchase date if later).

2. Related party transactions

During the year none of the Trustee's Representatives or members of the key management staff or parties related to them have undertaken any material transactions with the Hywel Dda Health Charities.

Board Members (and other senior staff) take decisions both on Charity and Exchequer matters and controls are in place to ensure the interests of each are kept discrete and also to ensure they do not personally benefit from such decisions. Declarations of personal interest have been made in both capacities and are available for public inspection.

During 2020-21 the Charity has made grant payments of £867,094 to Hywel Dda University Health Board. As at 31 March 2021 the total owed to the Health Board was £39,239, and owed by the Health Board was £0.

Member Name	Details of Positions Held During The Financial Year	Details Of Interest Declared
Ann Murphy	Independent Member	Member of Royal College of Nursing (RCN)
Anna Lewis	Independent Member	Visiting Senior Lecturer in Swansea University  Consultancy work undertaken in Betsi Cadwaladr University Health Board Consultancy work undertaken in Cwm Taf Morgannwg Health Board
Huw Thomas	Director of Finance	Partner working in Ceredigion County Council
Maria Battle	Chair	Board Member, Social Care Wales
Mike Lewis	Independent Member	Independent Member, City & County of Swansea Standards Committee Close family member working at Velindre NHS Trust
Owen Burt	Independent Member	Close Family Member working in University of Wales Trinity St David
Simon Hancock	Independent Member	Member of Pembrokeshire Mencap Chair of Pembrokeshire County Council Member of Court of Swansea University Part time employee of Bluestone Ltd

Total value of transactions are with entities at which Board members and key senior staff have influential interests in 2020-21:

	Expenditure with related party £000	Amounts owed to related party £000	Income from related party £000	Amounts owed by related party £000
Swansea University			25	

3. Income from donations and legacies

	Unrestricted funds £000	Restricted Income funds £000	Endowment funds £000	Total 2020-21 £000	Total 2019-20 £000
Donations	817	14	0	831	853
Legacies	0	841	0	841	942
Grants	0	511	0	511	13
	817	1,366	0	2,183	1,808

Donated gifts received to the value of £70,258 are included as notional income within Unrestricted Donations.

#### 4. Role of volunteers

Hywel Dda Health Charities is extremely fortunate to have the support of so many wonderful people who give their time, energy and dedication to raise funds for the charity on a voluntary basis year after year. Our supporters fundraise for us for many different reasons. Many are former patients and their families wanting to show their appreciation for care received while many wish to support the development of the latest healthcare technologies in their local community.

We are also extremely fortunate to have the support of League of Friends groups who raise money for our hospitals and healthcare in their local communities. In addition to our hospital and community League of Friends, we have many local voluntary groups who support and actively fundraise for their local healthcare services.

In accordance with the SORP, due to the absence of any reliable measurement basis, the contribution of these volunteers is not recognised in the accounts.

#### 5. Gross investment income

	<b>Unrestricted funds £000</b>	<b>Restricted Income funds £000</b>	<b>Endowment Funds £000</b>	<b>Total 2020-21 £000</b>	<b>Total 2019-20 £000</b>
Fixed asset equity and similar investments	127	116	84	327	331
	<b>127</b>	<b>116</b>	<b>84</b>	<b>327</b>	<b>331</b>



## 6. Analysis of expenditure on raising funds

	Unrestricted funds £000	Restricted Income funds £000	Endowment Funds £000	Total 2020-21 £000	Total 2019-20 £000
Fundraising office	109	105	8	222	196
Investment management	17	16	12	45	44
	<b>126</b>	<b>121</b>	<b>20</b>	<b>267</b>	<b>240</b>

## 7. Analysis of charitable activity

	Grant funded activity £000	Support costs £000	Total 2020-21 £000	Total 2019-20 £000
Purchase of medical and surgical equipment	404	43	447	499
Purchase of office and computer equipment	183	20	203	301
Building and refurbishment	6	1	7	1,446
Staff education and welfare	39	4	43	93
Patient education and welfare	89	9	98	88
Other	216	23	239	158
	<b>937</b>	<b>100</b>	<b>1,037</b>	<b>2,585</b>

Donated gifts received to the value of £70,258 are included as notional expenditure. This is split between Patient (£49,705) and Staff Welfare (£20,553).

## 8. Analysis of grants

The Charity does not make grants to individuals. All grants are made to the Health Board to provide for the care of NHS patients in furtherance of our charitable aims. The total cost of making grants, including support costs, is disclosed on the face of the Statement of Financial Activities and the actual funds spent on each category of charitable activity, is disclosed in note 7.

The Trustee operates a scheme of delegation for the majority of the charitable funds, under which fund managers manage the day to day disbursements on their delegated funds in accordance with the directions set out in the Health Board's standing orders and standing financial instructions. Funds managed under the scheme of delegation represent ongoing activities and it is not possible to segment these activities into discrete individual grant awards. The Trustee does make individual grant awards based on invited applications from the Health Board.

## 9. Movements in funding commitments

	Current liabilities £000	Total 2020-21 £000	Total 2019-20 £000
Opening balance at 1 April (see note 16)	995	995	23
Movement in liabilities	141	141	972
<b>Closing balance at 31 March (see note 16)</b>	<b>1,136</b>	<b>1,136</b>	<b>995</b>

As described in notes 7 and 8, the charity awards a number of grants in the year. Many grants are awarded and paid out in the same financial year. However, some grants, especially those relating to research and development or for funding a specific posts are multi-year grants paid over a longer period.

## 10. Allocation of support costs

Support and overhead costs are allocated between fundraising activities and charitable activities. Governance costs are those support costs which relate to the strategic and day to day management of a charity.

	Charitable activities £000	Total 2020-21 £000	Total 2019-20 £000	Basis
<b>Governance Costs</b>				
External audit	9	9	9	Average fund balance
Finance and administration	31	31	38	Average fund balance
<b>Total governance</b>	<b>40</b>	<b>40</b>	<b>47</b>	
<b>Support Costs</b>				
Finance and administration	60	60	50	Average fund balance
	<b>100</b>	<b>100</b>	<b>97</b>	

	Unrestricted funds £000	Restricted Income funds £000	Endowment Funds £000	Total Funds 2020-21 £000	Total Funds 2019-20 £000
Charitable activities	50	47	3	100	97
	<b>50</b>	<b>47</b>	<b>3</b>	<b>100</b>	<b>97</b>

## 11. Trustee's remuneration, benefits and expenses

The Charity does not make any payments for remuneration nor to reimburse expenses to members of the Corporate Trustee of the Charity for their work undertaken as trustee.

## 12. Auditors remuneration

The auditors remuneration of £9,000 (2019-20: £9,000) related solely to the audit of the statutory annual report and accounts.

## 13. Fixed asset investments

### Movement in fixed assets investments

	Total 2020-21 £000	Total 2019-20 £000
Market value brought forward	7,155	7,502
Add: additions to investments at cost	15	14
Less disposals at carrying value	0	0
Increase/(decrease) on cash awaiting investment	(3)	(2)
Add net gain / (loss) on revaluation	1,480	(359)
<b>Market value as at 31st March</b>	<b>8,647</b>	<b>7,155</b>

### Fixed Asset Investments by type

	2020-21 £000	2019-20 £000
Property	393	410
Fixed Interests	944	954
UK Equities	1,655	1,231
Overseas/Global Equities	4,441	3,456
Alternatives	681	691
<b>Total Listed Intestments</b>	<b>8,114</b>	<b>6,742</b>
Cash on interest bearing deposit	533	413
<b>Total</b>	<b>8,647</b>	<b>7,155</b>

All investments are carried at their fair value.

The significance of financial instruments to the ongoing financial sustainability of Hywel Dda Health Charities is considered in the risk management section of the trustees' annual report.

The main risk from financial instruments lies in the combination of uncertain investment markets and volatility in yield.

Hywel Dda Health Charities' investments are mainly traded in markets with good liquidity and high trading volumes. Hywel Dda Health Charities have no material investment holdings in markets subject to exchange controls or trading restrictions.

Hywel Dda Health Charities manages these investment risks by retaining expert advisors and operating an investment policy that provides for a high degree of diversification of holdings within investment asset classes. Investments consisted of companies listed on both UK stock exchange and in global equities (£4.441m).



#### 14. Analysis of current debtors

Debtors under 1 year	Total 2020-21	Total 2019-20
	£000	£000
Accrued income	39	47
	<u>39</u>	<u>47</u>

#### 15. Analysis of cash and cash equivalents

	Total 2020-21	Total 2019-20
	£000	£000
Cash in hand	2,937	1,693
	<u>2,937</u>	<u>1,693</u>

The notice deposits are sums held on interest bearing deposit with Barclays Bank and represent restricted appeals to fund specific equipment or funds held to facilitate cash flow and the fulfilment of obligations to make grant payments. The funds are held on a 90 day notice account and are therefore classified as cash and cash equivalents.

No cash or cash equivalents or current asset investments were held in non-cash investments or outside of the UK.

All of the amounts held on interest bearing deposits are available to spend on charity activities.

#### 16. Analysis of liabilities

Creditors under 1 year	Total 2020-21	Total 2019-20
	£000	£000
Trade creditors	28	114
Other creditors	0	13
Accruals (Note 9)	1,136	995
<b>Total creditors</b>	<u>1,164</u>	<u>1,122</u>

## 17. Reconciliation of net income / expenditure to net cash flow from operating activities

	Total 2020-21 £000	Total 2019-20 £000
<b>Net income / (expenditure) (per Statement of Financial Activities)</b>	<b>2,686</b>	<b>(1,045)</b>
<b>Adjustment for:</b>		
(Gains) / losses on investments	(1,480)	359
Dividends, interest and rents from investments	(327)	(331)
Decrease / (Increase) in debtors	8	(3)
(Decrease) / Increase in creditors	42	1,002
<b>Net cash (used in)/provided by operating activities</b>	<b>929</b>	<b>(18)</b>

## 18. Transfer between funds

The opening balance of Cardiology Equipment Income Fund £26,410 was transferred from Restricted Funds to Endowment Funds due to the transactions within this account relating to the interest earned from the Pembrokeshire Cardiology Equipment Endowment Fund.

£97,364 was transferred from Ceredigion Cancer Service (Unrestricted) to BGH Chemotherapy Day Unit Appeal (Restricted) following the creation of a new fund.

## 19. Analysis of funds

### a. Analysis of endowment fund movements

	Balance 1 April 2020 £000	Transfer	Income £000	Expenditure £000	Gain £000	Balance 31 March 2021 £000
FE Smith Legacy	79	0	0	0	0	79
Rees Eirwyn Evans	22	0	0	0	0	22
Pembrokeshire Cardiology Equipment	2,013	(161)	0	0	395	2,247
Pembrokeshire Cardiology Equipment Income Fund	0	187	84	(47)	0	224
	<b>2,114</b>	<b>26</b>	<b>84</b>	<b>(47)</b>	<b>395</b>	<b>2,572</b>

The objects of the permanent endowment funds are as follows:

FE Smith Legacy Fund objects are to apply the income generated from the permanent endowed gift for the benefit of the Owain Glyndwr Ward in Bronglais General Hospital.

Rees Eirwyn Evans Fund objects are to apply the income generated from the permanent endowed gift for the purchase of equipment for Meurig Ward in Bronglais General Hospital.

Pembrokeshire Cardiology Equipment Income Fund objects are for the purchase of cardiology equipment in the county of Pembrokeshire.

## b. Analysis of restricted fund movements

	Balance 1 April 2020 £000	Transfer	Income £000	Expenditure £000	Gain £000	Balance 31 March 2021 £000
Hywel Dda General Funds	0	0	494	(172)	0	322
Carmarthenshire Locality Funds	744	0	718	(233)	152	1,381
Ceredigion Locality Funds	1,658	97	199	(124)	316	2,146
Pembrokeshire Locality Funds	270	0	71	(83)	48	306
Pembrokeshire Cardiology Equipment Income Fund	26	(26)	0	0	0	0
	<u>2,698</u>	<u>71</u>	<u>1,482</u>	<u>(612)</u>	<u>516</u>	<u>4,155</u>

The locality funds is a collection of restricted funds which have distinct restrictions imposed on the charity. Within Carmarthenshire locality there are 44 restricted funds, in Ceredigion there are 24 restricted funds and in Pembrokeshire there are 29 restricted funds.

## c. Analysis of unrestricted and material designated fund movements

	Balance 1 April 2020 £000	Transfer £000	Income £000	Expenditure £000	Gain £000	Balance 31 March 2021 £000
Hywel Dda General Funds	131	0	447	(278)	569	869
Carmarthenshire Locality Funds	1,689	0	269	(199)	0	1,759
Ceredigion Locality Funds	687	(97)	148	(115)	0	623
Pembrokeshire Locality Funds	454	0	80	(53)	0	481
	<u>2,961</u>	<u>(97)</u>	<u>944</u>	<u>(645)</u>	<u>569</u>	<u>3,732</u>

The Charity does not hold material funds for the general purpose of the whole Charity. Most unrestricted funds have been earmarked and designated for a specific purpose within the Charity area.

For presentation the designations have been grouped and reported per locality.

Within the charity there are 86 Carmarthenshire designated funds, 49 Ceredigion designated funds and 37 Pembrokeshire designated funds and 17 Hywel Dda designated funds.



## 20. Total return on investment

On 4 March 2014, the Corporate Trustee made a resolution under section 104A(2) of the Charities Act 2011 to adopt a total return on investment approach to the Pembrokeshire Cardiology Equipment fund and the FE Smith Legacy fund. The Corporate Trustee identified the value of the gifts of permanent endowment received since the fund was established to that date. This set the baseline value of the gift component of the endowment to which any subsequent gifts of endowment are added. The difference between the total of endowment funds as at 31 March 2015 and the value of the gift component represented the opening balance of unapplied total return.

The power of total return permits the Corporate Trustee to invest permanently endowed funds to maximise total return and to apply an appropriate portion of the unapplied total return to income each year.

Until the power is exercised to transfer a portion of unapplied total return to income, the unapplied total return remains invested as part of the permanent endowment. The power allows the trustees to decide in each year how much of the unapplied total return is transferred to income funds and so available for expenditure. As at the balance sheet date the Corporate Trustee had not made any resolutions to pass any part of the unapplied total return to income funds to be spent.

	Trust for Investment £000	Unapplied total return £000	Total endowment £000
<b>At beginning of the reporting period</b>			
Gift component of the permanent endowment	1,505	0	1,505
Unapplied total return	0	587	587
<b>Total</b>	<b>1,505</b>	<b>587</b>	<b>2,092</b>
<b>Movements in the reporting period</b>			
Investment return: realised and unrealised (losses)	0	478	478
Less: Investment management costs	0	(12)	(12)
<b>Net movement in the reporting period</b>	<b>0</b>	<b>466</b>	<b>466</b>
<b>At end of the reporting period</b>			
Gift component of the permanent endowment	1,505	0	1,505
Unapplied total return	0	1,053	1,053
<b>Total</b>	<b>1,505</b>	<b>1,053</b>	<b>2,558</b>

**Note** - Rees Eirwyn Evans endowment doesn't form part of the Return on Investment calculation above

## 21. Post Balance Sheet Events

There are no Post Balance Sheet Events

## 22. Other Items for Information

### Impact of COVID 19

Donated gifts received to the value of £70,258 by the Fundraising Team during the COVID-19 pandemic are included as notional income and expenditure within note 3 and note 7. The basis of measurement for such was the market value of the item donated. Perishable items have not been recognised in the accounts due to materiality and the absence of any reliable measurement basis.

Hywel Dda Health Charities received 'COVID-19 urgent response' grants from NHS Charities Together totalling £484,500. These grants focused on urgent and immediate needs that enhanced the well-being of NHS staff, volunteers and patients impacted by COVID-19. As per the terms and conditions of the grant award, a new restricted charitable fund was opened for the purpose of the funding received.

## **STATEMENT OF TRUSTEE'S RESPONSIBILITIES IN RESPECT OF THE ACCOUNTS**

The law applicable to charities in England and Wales requires the trustee(s) to prepare financial statements for each financial year which give a true and fair view of the charity's financial activities during the year and of its financial position at the end of the year. In preparing financial statements giving a true and fair view, the Corporate Trustee should follow best practice and:

- select suitable accounting policies and then apply them consistently;
- make judgements and estimates that are reasonable and prudent;
- state whether applicable accounting standards and statements of recommended practice have been followed, subject to any departures disclosed and explained in the financial statements; and
- prepare the financial statements on the going concern basis unless it is inappropriate to presume that the charity will continue in operation.

The Corporate Trustee is responsible for keeping accounting records which disclose with reasonable accuracy financial position of the charity and which enable them to ascertain the financial position of the charity and enable them to ensure that the financial statements comply with the Charities Act 2011, the Charity (Accounts and Reports) Regulations and the provisions of the trust deed. The Corporate Trustee is responsible for safeguarding the assets of the charity and hence for taking reasonable steps for the prevention and detection of fraud and other irregularities.

**By order of the trustees**

**Signed:**

**Chair of the Corporate Trustee**

**Ms Maria Battle**

**Financial Trustee Representative**

**Mr Huw Thomas**

# **The independent auditor's report of the Auditor General for Wales to the members of Hywel Dda Health Charities**

## **Opinion on financial statements**

I have audited the financial statements of Hywel Dda Health Charities for the year ended 31 March 2021 under the Charities Act 2011. These comprise the Statement of Financial Activities, the Balance Sheet, the Statement of Cash Flows and related notes, including a summary of significant accounting policies. The financial reporting framework that has been applied in their preparation is applicable law and United Kingdom Accounting Standards, including Financial Reporting Standard 102 The Financial Reporting Standard applicable in the UK and Republic of Ireland (United Kingdom Generally Accepted Accounting Practice).

In my opinion the financial statements:

- give a true and fair view of the state of affairs of the charity as at 31 March 2021 and of its incoming resources and application of resources for the year then ended;
- have been properly prepared in accordance with United Kingdom Generally Accepted Accounting Practice; and
- have been prepared in accordance with the Charities Act 2011.

## **Basis of opinion**

I conducted my audit in accordance with applicable law and International Standards on Auditing in the UK (ISAs (UK)) and Practice Note 10 'Audit of Financial Statements of Public Sector Entities in the United Kingdom'. My responsibilities under those standards are further described in the auditor's responsibilities for the audit of the financial statements section of my report. I am independent of the charity in accordance with the ethical requirements that are relevant to my audit of the financial statements in the UK including the Financial Reporting Council's Ethical Standard, and I have fulfilled my other ethical responsibilities in accordance with these requirements. I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my opinion.

## **Conclusions relating to going concern**

In auditing the financial statements, I have concluded that the use of the going concern basis of accounting in the preparation of the financial statements is appropriate.

Based on the work I have performed, I have not identified any material uncertainties relating to events or conditions that, individually or collectively, may cast significant doubt on the body's ability to continue to adopt the going concern basis of accounting for a period of at least twelve months from when the financial statements are authorised for issue.

My responsibilities and the responsibilities of the trustees with respect to going concern are described in the relevant sections of this report.

## **Other Information**

The other information comprises the information included in the annual report other than the financial statements and my auditor's report thereon. The trustees are responsible for the other information contained within the annual report. My opinion on the financial statements does not cover the other information and, except to the extent otherwise explicitly stated in my report, I do not express any form of assurance conclusion thereon. My responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements or knowledge obtained in the course of the audit, or otherwise appears to be materially misstated. If I identify such material inconsistencies or apparent material misstatements, I am required to determine whether this gives rise to a material misstatement in the financial statements themselves. If, based on the work I have performed, I conclude that there is a material misstatement of this other information, I am required to report that fact.

I have nothing to report in this regard.



## **Report on other requirements**

### **Matters on which I report by exception**

I have nothing to report in respect of the following matters in relation to which the Charities (Accounts and Reports) Regulations 2008 require me to report to you if, in my opinion:

- the information given in the financial statements is inconsistent in any material respect with the trustee's report;
- sufficient accounting records have not been kept, or returns adequate for my audit have not been received from branches not visited by my team;
- the financial statements are not in agreement with the accounting records and returns; or
- I have not received all of the information and explanations I require for my audit.

## **Responsibilities**

### **Responsibilities of the trustees for the financial statements**

As explained more fully in the statement of trustee's responsibilities set out on page 61 the trustees are responsible for preparing the financial statements in accordance with the Charities Act 2011, for being satisfied that they give a true and fair view, and for such internal control as the trustees determine is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, the trustees are responsible for assessing the charity's ability to continue as a going concern, disclosing as applicable, matters related to going concern and using the going concern basis of accounting unless deemed inappropriate.

### **Auditor's responsibilities for the audit of the financial statements**

I have been appointed as auditor under 150 of the Charities Act 2011 and report in accordance with regulations made under section 154 of that Act.

My objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes my opinion. Reasonable assurance is a high level of assurance but is not a guarantee that an audit conducted in accordance with ISAs (UK) will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

Irregularities, including fraud, are instances of non-compliance with laws and regulations. I design procedures in line with my responsibilities, outlined above, to detect material misstatements in respect of irregularities, including fraud.

My procedures included the following:

- Enquiring of management, the Hywel Dda Health Charities head of internal audit and those charged with governance, including obtaining and reviewing supporting documentation relating to its policies and procedures concerned with:
  - identifying, evaluating and complying with laws and regulations and whether they were aware of any instances of non-compliance;
  - detecting and responding to the risks of fraud and whether they have knowledge of any actual, suspected or alleged fraud; and
  - the internal controls established to mitigate risks related to fraud or non-compliance with laws and regulations.

- Considering as an audit team how and where fraud might occur in the financial statements and any potential indicators of fraud. As part of this discussion, I identified potential for fraud in the following areas: revenue recognition and posting of unusual journals.
- Obtaining an understanding of Hywel Dda Health Charities framework of authority as well as other legal and regulatory frameworks that the Hywel Dda Health Charities operates in, focusing on those laws and regulations that had a direct effect on the financial statements or that had a fundamental effect on the operations of Hywel Dda Health Charities.

In addition to the above, my procedures to respond to identified risks included the following:

- reviewing the financial statement disclosures and testing to supporting documentation to assess compliance with relevant laws and regulations discussed above;
- enquiring of management, the Charitable Funds Committee and legal advisors about actual and potential litigation and claims;
- reading minutes of meetings of those charged with governance
- in addressing the risk of fraud through management override of controls, testing the appropriateness of journal entries and other adjustments; assessing whether the judgements made in making accounting estimates are indicative of a potential bias; and evaluating the business rationale of any significant transactions that are unusual or outside the normal course of business.

I also communicated relevant identified laws and regulations and potential fraud risks to all audit team and remained alert to any indications of fraud or non-compliance with laws and regulations throughout the audit.

The extent to which my procedures are capable of detecting irregularities, including fraud, is affected by the inherent difficulty in detecting irregularities, the effectiveness of the controls of Hywel Dda Health Charities, and the nature, timing and extent of the audit procedures performed.

A further description of the auditor's responsibilities for the audit of the financial statements is located on the Financial Reporting Council's website [www.frc.org.uk/auditorsresponsibilities](http://www.frc.org.uk/auditorsresponsibilities). This description forms part of my auditor's report.

Adrian Crompton  
24 Cathedral Road  
Auditor General for Wales  
Cardiff  
CF11 9LJ  
2 December 2021

## Audit of Accounts Report – Hywel Dda Health Charities

Audit year: 2020-21

Date issued: November 2021

Document reference: 2731A2021-22



This document has been prepared as part of work performed in accordance with statutory functions.

In the event of receiving a request for information to which this document may be relevant, attention is drawn to the Code of Practice issued under section 45 of the Freedom of Information Act 2000.

The section 45 code sets out the practice in the handling of requests that is expected of public authorities, including consultation with relevant third parties. In relation to this document, the Auditor General for Wales and the Wales Audit Office are relevant third parties. Any enquiries regarding disclosure or re-use of this document should be sent to the Wales Audit Office at [infoofficer@audit.wales](mailto:infoofficer@audit.wales).

We welcome correspondence and telephone calls in Welsh and English. Corresponding in Welsh will not lead to delay. Rydym yn croesawu gohebiaeth a galwadau ffôn yn Gymraeg a Saesneg. Ni fydd gohebu yn Gymraeg yn arwain at oedi.

# Contents

We intend to issue an unqualified audit report on your Accounts. There are some issues to report to you prior to their approval.

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# Audit of Accounts Report

## Introduction

- 1 We summarise the main findings from our audit of your 2020-21 accounts in this report.
- 2 We have already discussed these issues with the senior officers of the Hywel Dda Finance Team.
- 3 Auditors can never give complete assurance that accounts are correctly stated. Instead, we work to a level of 'materiality'. This level of materiality is set to try to identify and correct misstatements that might otherwise cause a user of the accounts into being misled.
- 4 We set this level at £26,000 for this year's audit.
- 5 There are some areas of the accounts that may be of more importance to the reader, and we have set a lower materiality level for these, as follows:
  - Related Parties Individuals £10,000
  - Related Parties Other Bodies £20,000
- 6 We have now substantially completed this year's audit.
- 7 In our professional view, we have complied with the ethical standards that apply to our work; remain independent of yourselves; and our objectivity has not been compromised in any way. There are no relationships between ourselves and yourselves that we believe could undermine our objectivity and independence.

## Impact of COVID-19 on this year's audit

- 8 The COVID-19 pandemic has had a significant impact on all aspects of our society and continues to do so. You are required by law to prepare accounts and it is of considerable testament to the commitment of your accounts team that you have succeeded in doing so this year in the face of the challenges posed by this pandemic. We are extremely grateful to the professionalism of the team in supporting us to complete our audit in such difficult circumstances.
- 9 The pandemic has unsurprisingly affected our audit and we summarise in **Exhibit 1** the main impacts. Other than where we specifically make recommendations, the detail in **Exhibit 1** is provided for information purposes only to help you understand the impact of the COVID-19 pandemic on this year's audit process.



## Exhibit 1 – impact of COVID-19 on this year’s audit

<b>Timetable</b>	<ul style="list-style-type: none"><li>• COVID-19 did not significantly impact on the timetable for this year’s audit of the Funds Held on Trust accounts and the timetable is broadly in line with previous years.</li><li>• We received the draft accounts on 7 October 2021.</li><li>• We expect your audit report to be signed on 2 December 2021.</li></ul>
<b>Electronic signatures</b>	If still necessary at the time of approval and signing, we will accept electronic signatures and electronic transfer of files.
<b>Audit evidence</b>	As in previous years, we received most of the audit evidence in electronic format. We have used various techniques to ensure its validity. Where we have been unable to obtain access to paper documents, we have devised alternative audit methodologies to obtain sufficient audit evidence.
<b>Other</b>	Officers were available by video conferencing for discussions which enabled the audit team to correspond effectively with officers throughout the audit.

- 10 We will be reviewing what we have learned for our audit process from the COVID-19 pandemic and whether there are innovative practices that we might adopt in the future to enhance that process.

## Proposed audit opinion

- 11 We intend to issue an unqualified audit opinion on this year’s accounts once you have provided us with a Letter of Representation based on that set out in **Appendix 1**.
- 12 We issue a ‘qualified’ audit opinion where we have material concerns about some aspects of your accounts; otherwise we issue an unqualified opinion.
- 13 The Letter of Representation contains certain confirmations we are required to obtain from you under auditing standards along with confirmation of other specific information you have provided to us during our audit.
- 14 Our proposed audit report is set out in **Appendix 2**.

## Significant issues arising from the audit

### Uncorrected misstatements

- 15 There are no misstatements identified with the accounts, which remain uncorrected.

### Corrected misstatements

- 16 There were initially minor presentational misstatements in the accounts that have now been corrected by management. These have no impact on Hywel Dda Health Charities' reported net expenditure. However, we believe that these should be drawn to your attention and they are set out below:

- Note 22 'Other information': the narrative was expanded to disclose all amounts received relating to COVID-19 funding increasing the value from £155,000 to £484,500. This was a narrative issue only and there is no impact on the income disclosed in the Statement of Financial Activities.
- A number of minor presentational issues were amended to ensure consistency and clarity.

### Other significant issues arising from the audit

- 17 In the course of the audit, we consider a number of matters relating to the accounts and report any significant issues arising to you. There were no significant issues arising.

### Other recommendations

- 18 We have identified some minor points for further improvement, and will discuss these with Management along with any learning arising from our post project review discussions. None are significant enough to report to you.

# Appendix 1

## Final Letter of Representation

Auditor General for Wales  
Audit Wales  
24 Cathedral Road  
Cardiff  
CF11 9LJ

November 2021

## Representations regarding the 2020-21 financial statements

This letter is provided in connection with your audit of the financial statements of Hywel Dda Health Charities for the year ended 31 March 2021 for the purpose of expressing an opinion on their truth and fairness and their proper preparation.

We confirm that to the best of our knowledge and belief, having made enquiries as we consider sufficient, we can make the following representations to you.

## Management representations

### Responsibilities

We have fulfilled our responsibilities for:

- the preparation of the financial statements in accordance with legislative requirements and the Charities Act 2011 and the 2019 Charities SoRP (FRS102); in particular, the financial statements give a true and fair view in accordance therewith; and
- the design, implementation, maintenance and review of internal control to prevent and detect fraud and error.

## Information provided

We have provided you with:

- full access to:
  - all information of which we are aware that is relevant to the preparation of the financial statements such as books of account and supporting documentation, minutes of meetings and other matters;
  - additional information that you have requested from us for the purpose of the audit; and
  - unrestricted access to staff from whom you determined it necessary to obtain audit evidence;



- the results of our assessment of the risk that the financial statements may be materially misstated as a result of fraud.
- our knowledge of fraud or suspected fraud that we are aware of and that affects the Charity and involves:
  - management;
  - employees who have significant roles in internal control; or
  - others where the fraud could have a material effect on the financial statements;
- our knowledge of any allegations of fraud, or suspected fraud, affecting the financial statements communicated by employees, former employees, regulators or others.
- our knowledge of all known instances of non-compliance or suspected non-compliance with laws and regulations whose effects should be considered when preparing the financial statements.
- the identity of all related parties and all the related party relationships and transactions of which we are aware.

## Financial statement representations

All transactions, assets and liabilities have been recorded in the accounting records and are reflected in the financial statements.

The methods, the data and the significant assumptions used in making accounting estimates, and their related disclosures are appropriate to achieve recognition, measurement or disclosure that is reasonable in the context of the applicable financial reporting framework.

Related party relationships and transactions have been appropriately accounted for and disclosed.

All events occurring subsequent to the reporting date which require adjustment or disclosure have been adjusted for or disclosed.

All known actual or possible litigation and claims whose effects should be considered when preparing the financial statements have been disclosed to the auditor and accounted for and disclosed in accordance with the applicable financial reporting framework.

The financial statements are free of material misstatements, including omissions. There are no uncorrected misstatements in the financial statements.

**Representations by those charged with governance**

We acknowledge that the representations made by management, above, have been discussed with us.

We acknowledge our responsibility for the preparation of true and fair financial statements in accordance with the applicable financial reporting framework. The financial statements were approved by the Hywel Dda Health Charities on 30 November 2021.

We confirm that we have taken all the steps that we ought to have taken, in order to make ourselves aware of any relevant audit information and to establish that it has been communicated to you. We confirm that, as far as we are aware, there is no relevant audit information of which you are unaware.

Signed by:

Signed by:

Officer who signs on behalf of management

Chairman of trustees on behalf of those charged with governance

**Date:**

**Date:**

# Appendix 2

## Proposed audit report

### The independent auditor's report of the Auditor General for Wales to the trustees of Hywel Dda Health Charities

#### Opinion on the financial statements

I have audited the financial statements of Hywel Dda Health Charities for the year ended 31 March 2021 under the Charities Act 2011. These comprise the Statement of Financial Activities, the Balance Sheet, the Statement of Cash Flows and related notes, including a summary of significant accounting policies. The financial reporting framework that has been applied in their preparation is applicable law and United Kingdom Accounting Standards, including Financial Reporting Standard 102 The Financial Reporting Standard applicable in the UK and Republic of Ireland (United Kingdom Generally Accepted Accounting Practice).

In my opinion the financial statements:

- give a true and fair view of the state of affairs of the charity as at 31 March 2021 and of its incoming resources and application of resources for the year then ended;
- have been properly prepared in accordance with United Kingdom Generally Accepted Accounting Practice; and
- have been prepared in accordance with the Charities Act 2011.

#### Basis of opinion

I conducted my audit in accordance with applicable law and International Standards on Auditing in the UK (ISAs (UK)) and Practice Note 10 'Audit of Financial Statements of Public Sector Entities in the United Kingdom'. My responsibilities under those standards are further described in the auditor's responsibilities for the audit of the financial statements section of my report. I am independent of the charity in accordance with the ethical requirements that are relevant to my audit of the financial statements in the UK including the Financial Reporting Council's Ethical Standard, and I have fulfilled my other ethical responsibilities in accordance with these requirements. I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my opinion.

#### Conclusions relating to going concern

In auditing the financial statements, I have concluded that the use of the going concern basis of accounting in the preparation of the financial statements is appropriate.

Based on the work I have performed, I have not identified any material uncertainties relating to events or conditions that, individually or collectively, may cast significant doubt on the body's ability to continue to adopt the going concern basis of accounting for a period of at least 12 months from when the financial statements are authorised for issue.



My responsibilities and the responsibilities of the trustees with respect to going concern are described in the relevant sections of this report.

## Other information

The other information comprises the information included in the annual report other than the financial statements and my auditor's report thereon. The trustees are responsible for the other information contained within the annual report. My opinion on the financial statements does not cover the other information and, except to the extent otherwise explicitly stated in my report, I do not express any form of assurance conclusion thereon. My responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements or knowledge obtained in the course of the audit, or otherwise appears to be materially misstated. If I identify such material inconsistencies or apparent material misstatements, I am required to determine whether this gives rise to a material misstatement in the financial statements themselves. If, based on the work I have performed, I conclude that there is a material misstatement of this other information, I am required to report that fact.

I have nothing to report in this regard.

## Report on other requirements

### Matters on which I report by exception

I have nothing to report in respect of the following matters in relation to which the Charities (Accounts and Reports) Regulations 2008 require me to report to you if, in my opinion:

- the information given in the financial statements is inconsistent in any material respect with the trustee's report;
- sufficient accounting records have not been kept, or returns adequate for my audit have not been received from branches not visited by my team;
- the financial statements are not in agreement with the accounting records and returns; or
- I have not received all of the information and explanations I require for my audit.

## Responsibilities

### Responsibilities of the trustees for the financial statements

As explained more fully in the statement of trustee's responsibilities set out on page 61, the trustees are responsible for preparing the financial statements in accordance with the Charities Act 2011, for being satisfied that they give a true and fair view, and for such internal control as the trustees determine is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, the trustees are responsible for assessing the charity's ability to continue as a going concern, disclosing as applicable, matters related to going concern and using the going concern basis of accounting unless deemed inappropriate.

## **Auditor's responsibilities for the audit of the financial statements**

I have been appointed as auditor under 150 of the Charities Act 2011 and report in accordance with regulations made under section 154 of that Act.

My objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes my opinion. Reasonable assurance is a high level of assurance but is not a guarantee that an audit conducted in accordance with ISAs (UK) will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

Irregularities, including fraud, are instances of non-compliance with laws and regulations. I design procedures in line with my responsibilities, outlined above, to detect material misstatements in respect of irregularities, including fraud.

My procedures included the following:

- enquiring of management, the Hywel Dda Health Charities' head of internal audit and those charged with governance, including obtaining and reviewing supporting documentation relating to its policies and procedures concerned with:
  - identifying, evaluating and complying with laws and regulations and whether they were aware of any instances of non-compliance;
  - detecting and responding to the risks of fraud and whether they have knowledge of any actual, suspected or alleged fraud; and
  - the internal controls established to mitigate risks related to fraud or non-compliance with laws and regulations.
- considering as an audit team how and where fraud might occur in the financial statements and any potential indicators of fraud. As part of this discussion, I identified potential for fraud in the following areas: revenue recognition and posting of unusual journals.
- obtaining an understanding of Hywel Dda Health Charities' framework of authority as well as other legal and regulatory frameworks that the Hywel Dda Health Charities operates in, focusing on those laws and regulations that had a direct effect on the financial statements or that had a fundamental effect on the operations of Hywel Dda Health Charities.

In addition to the above, my procedures to respond to identified risks included the following:

- reviewing the financial statement disclosures and testing to supporting documentation to assess compliance with relevant laws and regulations discussed above;
- enquiring of management, the Charitable Funds Committee and legal advisors about actual and potential litigation and claims;
- reading minutes of meetings of those charged with governance; and
- in addressing the risk of fraud through management override of controls, testing the appropriateness of journal entries and other adjustments; assessing whether the judgements made in making accounting estimates are indicative of a potential bias; and evaluating the business rationale of any significant transactions that are unusual or outside the normal course of business.

I also communicated relevant identified laws and regulations and potential fraud risks to all the audit team and remained alert to any indications of fraud or non-compliance with laws and regulations throughout the audit.

The extent to which my procedures are capable of detecting irregularities, including fraud, is affected by the inherent difficulty in detecting irregularities, the effectiveness of the controls of Hywel Dda Health Charities, and the nature, timing and extent of the audit procedures performed.

A further description of the auditor's responsibilities for the audit of the financial statements is located on the Financial Reporting Council's website [www.frc.org.uk/auditorsresponsibilities](http://www.frc.org.uk/auditorsresponsibilities). This description forms part of my auditor's report.

Adrian Crompton  
24 Cathedral Road  
Auditor General for Wales  
Cardiff  
CF11 9LJ

2 December 2021





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We welcome correspondence and  
telephone calls in Welsh and English.  
Rydym yn croesawu gohebiaeth a  
galwadau ffôn yn Gymraeg a Saesneg.