

5.1

Monitoring Returns

Presenter: Huw Thomas

[5.1 Appendix 2a P06-21 WG MMR Commentary 2020-21.docx](#)

[5.1 Appendix 2b P06-21 WG MMR Tables 2020-21.pdf](#)

Executive Summary

	<p align="center">Health Board's agreed Financial Plan is to deliver a deficit of £25m, after savings of £34.2m</p> <p align="center">The impact of the COVID-19 pandemic presents an unprecedented significant risk to the financial position.</p>
Revenue	<ul style="list-style-type: none"> Month six variance to breakeven is a benefit of £19.2m (Month five, £6.5m overspent) following confirmation of additional WG funding which has allowed the Health Board to fund the YTD impact of the pandemic and deliver the YTD planned deficit. The additional costs incurred in Month six due to the impact of the COVID-19 pandemic is £6.9m, with underspends repurposed of £3.6m and WG funding drawn into the position to match YTD COVID-19 expenditure totalling £24.5m.
Projection	<ul style="list-style-type: none"> Following confirmation of additional funding from WG, the Health Board is currently forecasting to deliver the planned deficit of £25m. However, the Health Board is managing a number of risks in respect of Winter Planning, reinstating elective services and any unprecedented further impact of the pandemic; the Health Board is planning to utilise funding streams already available to mitigate these risks, however those funding streams shared with Local Authority partners represent a level of risk. £30.8m identified and as yet unidentified savings schemes included in the Financial Plan are also not expected to deliver due to the operational focus being diverted to respond to COVID-19, and where identified schemes are not supportive of the response needed. Discussions are on-going for additional funding to support the non-delivery of the Health Board's savings target on a recurrent basis. Should the Health Board progress with all the schemes identified to deal with implementing social distancing measures and other COVID-19 needs, and no further funding is available from Welsh Government, the potential over commitment against the CRL would be £14.8m. The risk of this against the CRL is being actively managed, however there is a more significant operational risk if these schemes do not progress. The projection includes the cost of maintaining the LTA block arrangements; as a net commissioner, the impact of this is estimated to be £15.7m compared to actual activity levels.
Savings	<ul style="list-style-type: none"> In-month delivery of £0.3m, which is slightly above plan due to timing, which is directly attributable to the COVID-19 pandemic. Green and Amber plans of £5.6m identified to Month six, against which the forecast delivery is uncertain given the impact of the COVID-19 pandemic. At this stage, with COVID-19 demand modelling indicating that the pandemic may impact the remainder of the financial year, it is assumed that delivery will be adversely affected for the full year.
Next Steps	<ul style="list-style-type: none"> Continue to work with Planning and Operational colleagues to ensure alignment of the financial forecast and operational plans ahead of the submission of the Operational Quarter 3 and 4 Plan on 19th October. Deep dive into savings and cost reduction opportunities. Further review of reserves to understand potential in year slippage. Clarity of VAT treatment of remainder of Field Hospital set-up costs. Clarity as to what current escalation measures can be safely and appropriately de-escalated/decommissioned and which ceased/deferred services/activities can be recommenced. Continue to work with Welsh Government to understand the level of future funding arrangements, as these remain uncertain.

Executive Summary

Summary of key financial targets

The Health Board's key targets are as follows:

- Revenue: to contain the overspend within the Health Board's planned deficit
- Savings: to deliver savings plans to enable the revenue budget to be achieved
- Capital: to contain expenditure within the agreed limit
- PSPP: to pay 95% of Non-NHS invoices within 30 days of receipt of a valid invoice
- Cash: While there is no prescribed limit for cash held at the end of the month, WG encourages this to be minimised and a rule of thumb of 5% of monthly expenditure is used. For the Health Board, this is broadly £4.0m.

Key target		Annual limit	YTD limit	Actual delivery	Forecast Risk
Revenue	£'m	25.0	12.5	12.5	High
Savings	£'m	34.2	17.1	1.2	
Capital	£'m	26.6	12.0	12.0	Medium
Non-NHS PSPP	%	95.0	95.0	94.7	Medium
Period end cash	£'m	4.0	4.0	1.9	Medium

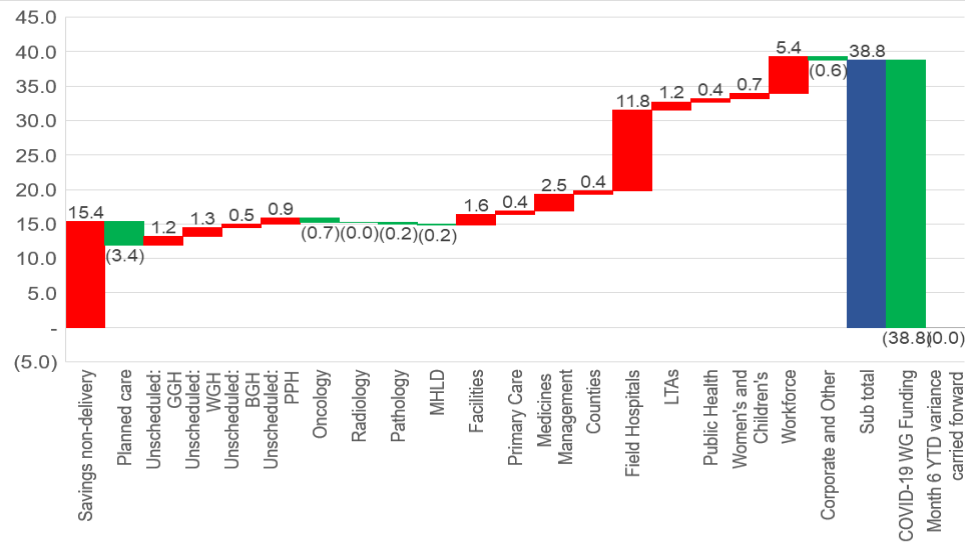
Following confirmation of additional funding from WG, the Health Board is currently forecasting to deliver the planned deficit of £25m. The risk has been amended from Black to High following confirmation of the additional WG funding, recognising the need for the Health Board to manage a number of risks in respect of Winter Planning, reinstating elective services and any unprecedented further impact of the pandemic. Discussions are also on-going regarding additional funding to support the non-delivery of the Health Board's savings target in respect of the recurrent impact from FY22 onwards.

Should the Health Board progress with all the schemes identified to deal with implementing social distancing measures and other COVID-19 needs, and no further funding is available from Welsh Government, the potential over commitment against the CRL would be £14.8m. The risk of this against the CRL is being actively managed, however there is a more significant operational risk if these schemes do not progress.

The Non-NHS PSPP risk has been rated Medium given the increase in volume of supplier payments due to the impact of COVID-19 and due to not achieving the PSPP target in September 2020. The main reason for failure was due to a number of staff self-isolating as a result of COVID-19 and systems not being in place to enable home working. An action plan has been developed to re-prioritise resource to clear the backlog by the end of October, however this will mean that the improvement in the PSPP target will not be evidenced until the November PSPP figures are produced.

Revenue Summary

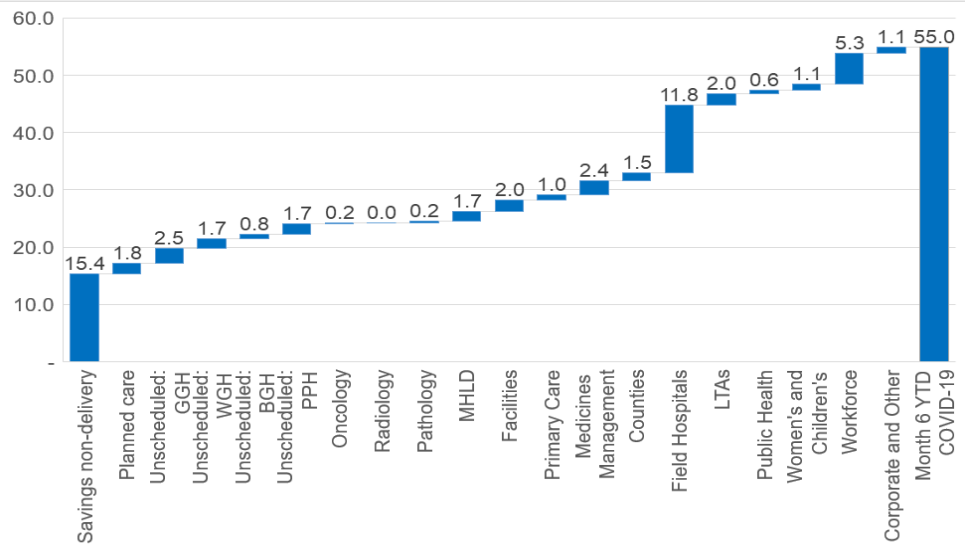
YTD variance by Directorate (against Plan)



Key drivers of YTD position:

- **Savings non-delivery (£15.4m):** As a direct consequence of COVID-19, directorates have been unable to execute or identify additional savings plans as efforts are re-purposed to respond to the pandemic.
- **Planned Care (-£3.4m):** Underspend primarily driven by a reduction in non-pay due to reduced activity in elective services.
- **Medicines Management (£2.5m):** Pressures continue due to continued increases in the cost per item for Category M and baseline drugs.
- **Field Hospitals (£11.8m):** The cost of setting up, de-commissioning and operating the various Field Hospitals with the Health Board's localities in response to the COVID-19 pandemic.
- **Workforce (£5.4m):** Fixed term staff recruited in response to the COVID-19 pandemic who have not yet been assigned to a directorate.
- **WG Funding (-£38.8m):** Funding has been received from WG to offset the cost of the Health Board's response to the COVID-19 pandemic.

YTD actual by Directorate (COVID-19 only)

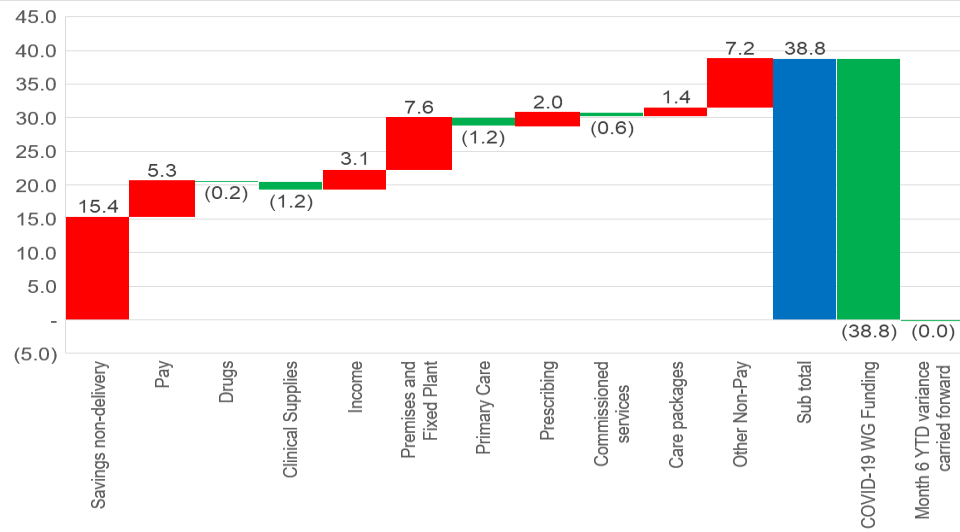


Key drivers of COVID-19 YTD position over and above what is reported above:

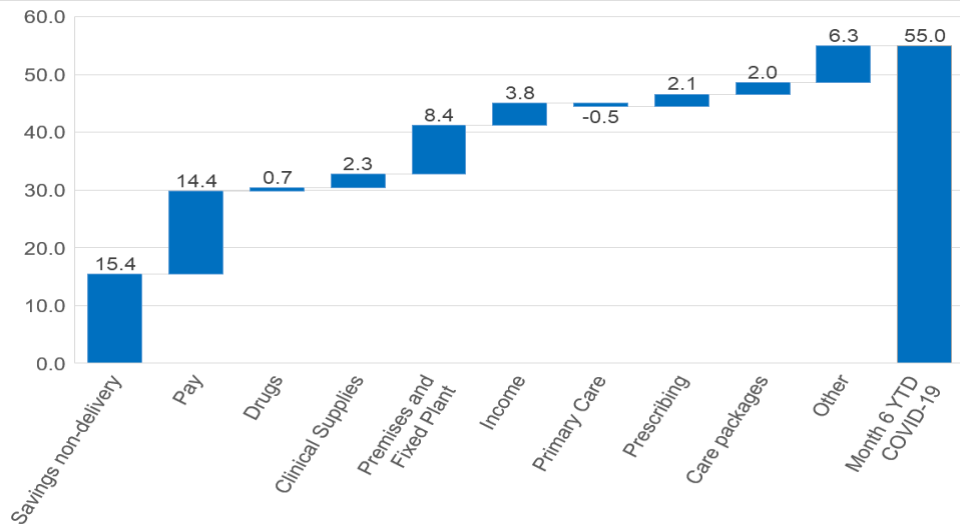
- **LTA's (£2.0m):** Loss in Non Contract Activity (NCA) income as lockdown restrictions have impacted the tourism industry which in turn has reduced visitors outside of Hywel Dda accessing services.
- **Facilities (£2.0m):** Additional Porters and Domestics recruited in response to the pandemic. Work and maintenance carried out to adapt sites, additional laundry costs and lost revenue.
- **Unscheduled Care (All sites) (£6.7m):** Increase in variable pay for Medical, Nursing and HCA staff, Medical pay enhancements and PPE expenditure.

Revenue Summary

YTD variance by Subjective (against Plan)



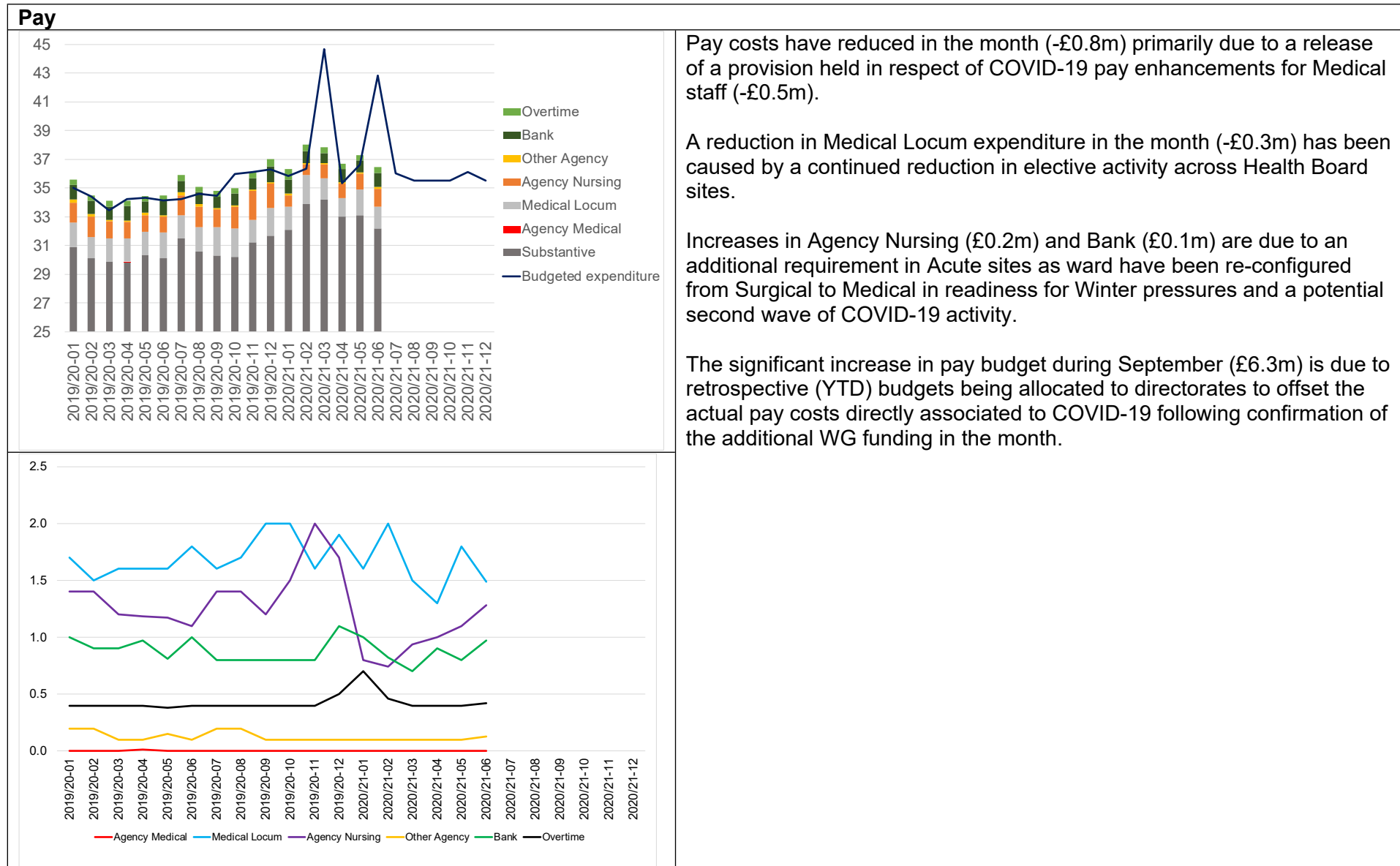
YTD actual by Subjective (COVID-19 only)



Key drivers of YTD position:

- **Savings non-delivery (£15.4m):** As a direct consequence of COVID-19, directorates have been unable to execute or identify additional savings plans as efforts are re-purposed to respond to the pandemic.
- **Pay (£5.3m):** See detailed analysis in key subjective summary slides.
- **Drugs & Clinical supplies (-£1.4m):** Underspend is primarily driven by reduced activity in elective services within Planned Care and Podiatry.
- **Income (£3.1m):** As referenced in the previous slide, income generated from NCA activity has been impacted by the lockdown restrictions; we have also seen a deterioration in income within Hospital sites due to lower patient numbers accessing commercial and hospitality facilities.
- **Premises costs (£8.1m):** Primarily driven by the cost of setting up, de-commissioning and operating the various Field Hospitals with the Health Board's localities.
- **Prescribing (£2.0m):** See detailed analysis in key subjective summary slides.
- **Care packages (£1.4m):** Additional costs have been incurred due to the expedited discharge of CHC Patients and patients being placed in out of area accommodation.
- **WG Funding (-£38.8m):** Funding has been received from WG to offset the cost of the Health Board's response to the COVID-19 pandemic.

Key Subjective Summary



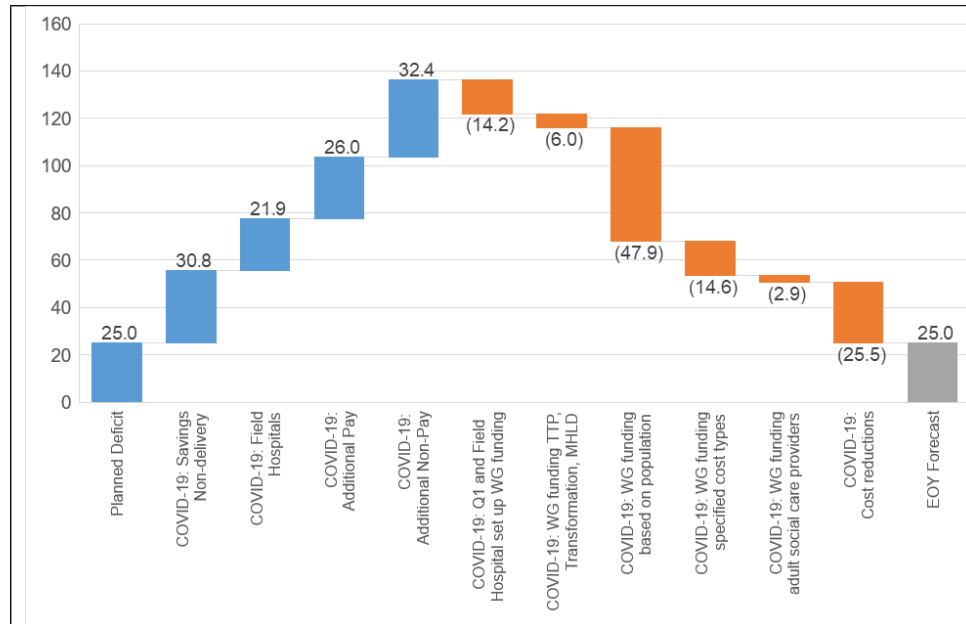
Key Subjective Summary

CHC	
<p>Actual expenditure Budgeted expenditure</p>	<p>Continuing Health Care expenditure has remained relatively flat in September at £4.1m.</p> <p>An increase of 20 patients has been seen in Community CHC packages but this has been offset by a reduction of 1 patient in Adult Mental Health and 1 patient in Paediatrics.</p> <p>In terms of quantum, the costs of the community beds have been largely offset by the savings on the high cost packages.</p> <p>The significant increase in budget during September (£1.9m) is due to retrospective (YTD) budgets being allocated to directorates to offset the actual costs directly associated to COVID-19 following confirmation of the additional WG funding in the month.</p>
Secondary Care Drugs	
<p>Actual expenditure Budgeted expenditure</p>	<p>Drug expenditure has increased this month by £0.6m, primarily due to activity returning to normal levels within the Oncology directorate. Increased drug spend has also occurred within the Unscheduled Care departments across the Health Board Acute sites as activity has been higher than previous months.</p> <p>A continued increase in the number of Home care drugs being prescribed (at a premium to those issued in the acute setting) in response to COVID-19 continues to be observed as Clinicians attempt to keep patients out of Hospital where possible.</p> <p>The significant increase in budget during September (£1.3m) is due to retrospective budgets being given to directorates to offset the actual YTD costs directly associated to COVID-19.</p>

Key Subjective Summary

Clinical Supplies and Services	
<p>Actual expenditure</p> <p>Budgeted expenditure</p>	<p>The increase in supplies and services of £0.2m is a result of additional PPE, CPAP equipment and general stores items being purchased in preparation for the anticipated second wave of COVID-19.</p> <p>The significant increase in budget during September (£2.4m) is due to retrospective budgets being given to directorates to offset the actual YTD costs directly associated to COVID-19.</p>
Primary Care Prescribing	
<p>Actual expenditure</p> <p>Budgeted expenditure</p>	<p>An increase of £0.7m in month has been driven by an increase of 3p per item in the baseline cost of items prescribed in month by Primary Care contractors and the Health Board. Category M costs have also increased by 4p per item in month which has further impacted the position.</p> <p>The significant increase in budget during September (£3.0m) is due to retrospective budgets being given to directorates to offset the actual YTD costs directly associated to COVID-19.</p>

Financial Projection



Key Assumptions

- Funding for the balance of the additional WG allocation of £47.9m is assumed to be fully utilised in future months to offset the impact of COVID-19; funding to match the forecast cost of specific items (as defined by WG) has also been assumed in future months;
- Field Hospital profiling is based on local modelling as a most 'realistic' assessment;
- Field Hospital staffing has been modelled on a substantive costs basis – no premium for Agency workers is included;
- Existing Services modelling is profiled to March 2021 and assumes an increasing level of reinstated elective services;
- There are significant risks and opportunities which could materially affect the forecast depending on the evolving COVID-19 situation.

Assurance

- The Health Board's Accountability statements in relation to the Budget for 2020/21 were replaced with a Delegations and Finance Delivery letter, issued in May 2020. These clarify the continuation of existing financial control principles and the importance of existing governance processes and frameworks, and state the significance of decision-making in response to, and the accurate recording of the financial impact of, COVID-19.
- Performance monitored monthly through System Engagement meetings for the highest risk Directorates.

Concerns

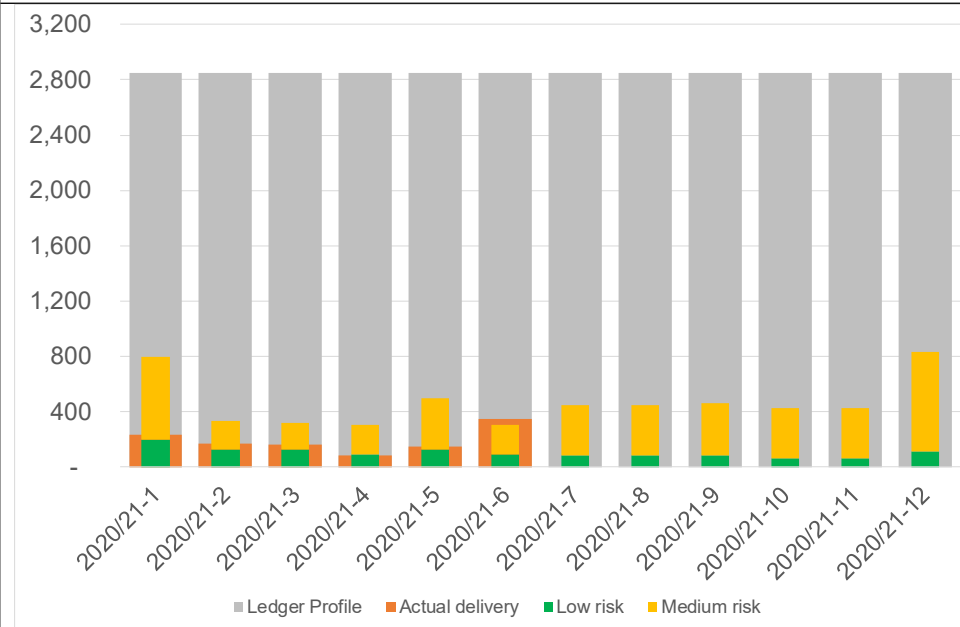
- Following confirmation of additional funding from WG, the Health Board is currently forecasting to deliver the planned deficit of £25m. However, the Health Board is managing a number of risks in respect of Winter Planning, reinstating elective services and any unprecedented further impact of the pandemic; the Health Board is planning to utilise funding streams already available to mitigate these risks, however those funding streams shared with Local Authority partners represent a level of risk.
- Both identified and as yet unidentified savings schemes included in the Financial Plan are also at risk of non-delivery due to both the operational focus being diverted to respond to COVID-19 and where identified schemes are not supportive of the response needed (e.g. bed closures). Discussions are on-going for additional funding to support the non-delivery of the Health Board's savings target on a recurrent basis.

Next Steps

- Clarity as to what current escalation measures can be safely and appropriately de-escalated/decommissioned and which ceased/deferred services/activities can be recommenced.
- Continue to work with Welsh Government to understand the level of additional revenue and capital funding available.
- Deep dive into savings and cost reduction opportunities.

Savings and turnaround actions

Risk-assessed directorate savings profile, delivery and forecast



Assurance

- Green and Amber plans of £5.6m identified to Month 6, against which the forecast delivery is uncertain given the impact of the COVID-19 pandemic. At this stage, with COVID-19 demand modelling indicating that the pandemic may impact the remainder of the financial year, it is assumed that delivery will be adversely affected for the full year.
- In-month delivery of £0.3m, which is slightly above plan due to timing, which is directly attributable to the COVID-19 pandemic.
- The Opportunities Framework is being refreshed to identify alternative ways of working in response to COVID-19 that may result in cost reductions/formal savings schemes identified.

Concerns

- The unprecedented circumstances mean that operational focus is diverted to the organisation's response to COVID-19, and therefore not on the delivery or identification of further savings schemes that are not supportive of the response to the pandemic.

Next Steps

- The Value for Money Framework, alongside existing financial governance arrangements, is to be further developed and embedded into the organisation's decision-making processes.
- Deep dive assessment of identified schemes not delivering.

Appendix 1: Monitoring return tables

Table	Commentary																																
Board Governance Arrangements	<p>In the absence of the Director of Finance, the Deputy Director of Finance, Mr Andrew Spratt, is authorised to approve and sign this report. In the absence of the Chief Executive, the Director of Operations, Mr Andrew Carruthers, is authorised to approve and sign this report.</p> <p>This body of this report (excluding Appendix 1 and 2) will be presented to the next Finance Committee meeting, to be held on 22nd October 2020. All Tables will be appended as agreed at the September meeting.</p>																																
Table A: Movement	<p>Opening section of Table A reflects the latest IMTP submission.</p> <p>Line 1 does not reflect the Month 12 reported underlying position from 2019/20 of £46.109m; the IMTP submission is a more accurate reflection of the opening underlying position, as the Month 12 submission included the FYE of a number of savings schemes that will now not deliver a 'step up' from 2019/20 due to the impact of COVID-19.</p> <p>The WG funding (Line 4) of £33.745m is taken from the IMTP submission. A breakdown is provided below:</p> <table border="1"> <thead> <tr> <th>Item</th> <th>£'m</th> </tr> </thead> <tbody> <tr> <td>Core Uplift</td> <td>12.9</td> </tr> <tr> <td>Recurrent Adjustment</td> <td>10.0</td> </tr> <tr> <td>A4C</td> <td>5.3</td> </tr> <tr> <td>Strategic Support for Core Team</td> <td>1.6</td> </tr> <tr> <td>Additional Cluster Funding</td> <td>1.3</td> </tr> <tr> <td>DEL depreciation</td> <td>1.0</td> </tr> <tr> <td>Prevention and Early Years</td> <td>0.5</td> </tr> <tr> <td>Delivery Plan</td> <td>0.4</td> </tr> <tr> <td>Precision Medicine</td> <td>0.2</td> </tr> <tr> <td>Paramedic banding</td> <td>0.2</td> </tr> <tr> <td>Dental Innovation</td> <td>0.1</td> </tr> <tr> <td>Critical Care</td> <td>0.1</td> </tr> <tr> <td>Gender Identity</td> <td>0.1</td> </tr> <tr> <td>Other</td> <td>0.05</td> </tr> <tr> <td>Total</td> <td>33.75</td> </tr> </tbody> </table>	Item	£'m	Core Uplift	12.9	Recurrent Adjustment	10.0	A4C	5.3	Strategic Support for Core Team	1.6	Additional Cluster Funding	1.3	DEL depreciation	1.0	Prevention and Early Years	0.5	Delivery Plan	0.4	Precision Medicine	0.2	Paramedic banding	0.2	Dental Innovation	0.1	Critical Care	0.1	Gender Identity	0.1	Other	0.05	Total	33.75
Item	£'m																																
Core Uplift	12.9																																
Recurrent Adjustment	10.0																																
A4C	5.3																																
Strategic Support for Core Team	1.6																																
Additional Cluster Funding	1.3																																
DEL depreciation	1.0																																
Prevention and Early Years	0.5																																
Delivery Plan	0.4																																
Precision Medicine	0.2																																
Paramedic banding	0.2																																
Dental Innovation	0.1																																
Critical Care	0.1																																
Gender Identity	0.1																																
Other	0.05																																
Total	33.75																																

Appendix 1: Monitoring return tables

Table	Commentary
	<p>The FYE of Savings yet to be identified has been set equal to the IMTP submission as at this stage it is not known whether the impact of COVID-19 will affect 2021/22.</p> <p>On line 14, the FYE is less than the in-year due to the impact of COVID-19 being more significant on the delivery of in-year recurring savings schemes than has been assumed on the FYE of recurring savings schemes. This is largely due to the assumption that the in-year delivery will fall into the later part of the financial year due to COVID-19; it is then assumed that next financial year would benefit from a full year's delivery.</p> <p>Directorate projections have been adjusted to ensure that there is no double-count of the projected non-delivery of savings. Line 27 reports the only item previously >£1m within the operational variance to plan, being Category M, NCSO, NOACs in Primary Care Prescribing – the YTD pressure has been offset utilising identified slippage in the Health Board's Reserves. This is therefore a £nil pressure YTD and EOY as at Month 6.</p> <p>The phasing of the cost pressures has been aligned with the deficit profile in Table B – please refer to Section Table B for the basis of this calculation.</p> <p>Line 22 reports the:</p> <ul style="list-style-type: none"> • Q1 COVID-19 funding received from WG in relation to Pay, Primary Care DES and Field Hospital Set Up Costs (for Ceredigion and Pembrokeshire). As requested, the Pay funding not utilised in Month 3 of £47k has been phased into Month 4. • Month 5 Field Hospital set up costs of £3.4m is recognised in Month 5 to match the costs accrued; these figures are provisional and potentially subject to minor refinement once invoices are received from the Local Authority. Following confirmation of the 'specific' funding streams available, the balance of future set up costs has been assumed to be funded based on the current forecast. • Contact Tracing of £4.1m is phased in line with the Month 6 TTP submission. Following confirmation of the 'specific' funding streams available, the balance of antigen and antibody testing (£1.2m) has been assumed to be funded based on the current forecast. • Mental Health Improvement Fund for Q1 and Q2 of £0.4m is phased into Month 6. • Transformation Optimise Flow and Outcomes of £1.4m: the Health Board is working with the Regional Partnership Board to finalise plans; it is currently assumed that the phasing of expenditure will fall in the final 6 months of the year, however this is subject to refinement. As plans have not yet been ratified, we are unable to

Appendix 1: Monitoring return tables

Table	Commentary
	<p>split these costs into the relevant lines of Table B3; therefore this has been recognised on line 94 in totality for the Month 6 submission.</p> <ul style="list-style-type: none"> • We have reviewed the split of consequential losses and rent for the Month 6 return using the following methodology: <ul style="list-style-type: none"> ○ Cost Per Square Foot = Annual Rental Income (what a property of that size would be worth as a commercial income); ○ Actual Cost Per Month minus Annual Rental Income ; ○ Consequential Losses = residual difference between Actual Cost Per Month - Annual Rental Income • Following confirmation of the 'specific' funding streams available, the consequential losses (£6.4m) are assumed to be funded based on the current forecast. • Following confirmation of the 'specific' funding streams available, the all Field Hospital decommissioning costs (£4.3m) are assumed to be funded based on the current forecast. • Following confirmation of the 'specific' funding streams available, the PPE costs of £1m are assumed to be funded based on the current forecast. • Following confirmation of the 'specific' funding streams available, Flu immunisations costs of £1.4m are assumed to be funded based on the current forecast. The associated costs are disclosed on Line 108 of Table B3 in total, but represent drugs, primary care DES and pay costs in Section A. • Additional WG funding of £47.9m to mitigate the impact of COVID-19 has been recognised. • Support for Adult Social Care Providers (£2.9m): this has now been included in Line 22, and associated costs also included in Table B3 within the CHC line. The YTD costs (£1.7m) have been phased into Month 7 as this is when the I&E impact and funding will be recognised. The balance is phased into Month 9 and 12, however this is subject to refinement as it will depend on the timing of providers seeking support. <p>As operational planning is refined, we expect greater clarity on trends and our expected cost base as services resume in their revised structure.</p> <p>The operational variation YTD in Line 26 has been reclassified to Table B3, Section D, as the cost reductions are the result of lower levels of activity directly or indirectly attributable to the COVID-19 pandemic.</p> <p>An error has been identified within the reported position, whereby the YTD COVID-19 position in Table B3 is £0.2m less than the YTD COVID-19 funding recognised in Line 22. This will be corrected in the Month 7 submission.</p>

Appendix 1: Monitoring return tables

Table	Commentary
	<p>The Welsh Risk Pool risk share is understood to be assessed as a potential £1.3m. There is £1.5m held in Reserves in line with the guidance provided during the Financial Planning process. No costs or corresponding release of Reserves has been recognised in the YTD Month 6 position given that this is an initial assessment and it has not been confirmed that these costs will be charged to the Health Board. See Appendix 1, Table B commentary for details of phasing assumptions as at Month 6.</p> <p>The forecasting framework for the Health Board is a key objective for the Finance function in 2020/21 and this will aim to deliver forecasting by Directorate with a split between recurrent and non-recurrent items. For Month 6, it has been assumed that all cost pressures are non-recurrent given the unprecedented situation.</p>
Table A1: Underlying Position	<p>Table A1 has been completed based on the IMTP submission Tables for 2020/21.</p>
Table A2: Risks	<p>Risks</p> <p>Given the current unprecedented situation, the assessment of risks reported in Table A2 could be materially affected by the COVID-19 pandemic.</p> <ul style="list-style-type: none"> • Field Hospitals (-£12.7m): The current forecast assumes COVID-19 activity to remain relatively flat until the end of March 2021. In the event that the R rate reaches 1.1, the current model indicates that the Health Board would require 501 additional beds to meet the increased demand in activity. There is a risk that workforce/recruitment plans would not be fulfilled to drive this level of expenditure. • Agency premium in Field Hospitals (-£2.1m): The above risk includes the assumption that the Health Board can recruit Nurses on a fixed term basis to service the demands in the Field Hospitals. If these Nurses are unable to be recruited, an additional cost would be incurred as Agency Nurses would have to be utilised. • Increased elective activity (-£1.2m): The Month six forecast for scheduled care is based on a small increase in routine activity as COVID-19 cases remain low in the locality. If numbers continue to remain low or reduce further, activity in the “Green sites” will continue to increase in an effort to catch up on delayed operations. This residual risk has not been included in the forecast as it is expected Winter demands on capacity would not allow this level of non-urgent elective activity. • Asylum seekers health needs (£-0.25m): As a result of the Home Office directive of utilising the Penally army base as a site for the placement of asylum seekers, the Health Board is anticipating a range of health costs

Appendix 1: Monitoring return tables

Table	Commentary
	<p>associated with this. A number of costs will be committed, including the cost of primary care (particularly within dental), translation and immunisations; it is also anticipated that in addition there will be other imminent health interactions around the condition of the patients, yet to be determined. This is not yet in the forecast position as it is unclear what the in-year financial impact will be; £0.25m has been included as a risk as a high level best estimate at this stage.</p> <ul style="list-style-type: none"> • COVID-19 vaccination programme (-£2.6m): Following the announcement of vaccinations being offered to patients, the Public Health directorate are anticipating a minimum of 100,000 patients being vaccinated. We will continue to work to refine this model as further information becomes available. • Benefit in Kind liability for staff accommodation during COVID-19 covered by the HB (-£0.5m): in line with a number of other Health Boards in Wales, it is expected that the Health Board would opt to cover any benefit-in-kind liability arising from the provision of accommodation to staff resulting from measures to address the COVID-19 pandemic which would otherwise be a liability to individual staff members. The estimate of £0.5m is based on analysis of the accommodation provided to the end of September extrapolated, and is therefore subject to further refinement. <p>There is a further risk that the forecast does not include a significant increase in 'RTT' expenditure based on current levels of capacity.</p> <p>Opportunities The focus continues to be on the development of the Opportunities Framework, which is currently undergoing a review and refresh exercise to identify and progress alternative ways of working in response to COVID-19 which may result in a reduction to costs without impacting on the quality of the service.</p> <ul style="list-style-type: none"> • Welsh Risk Pool liability (£0.2m): The latest forecast provided by NWSSP of the Health Board's contribution towards the WRP will result in slippage on the reserve of £0.2m.
<p>Table B: Monthly position</p>	<p>The Health Board's actual monthly result is £27m lower than that forecast at Month 5. Key drivers in month include:</p> <ul style="list-style-type: none"> • RRL (-£23.0m): Additional Welsh funding received in month to offset YTD COVID-19 expenditure; • Primary Care Contractor (-£0.8m): In month release of prior year accruals not realised for Dental under performance and creditor. There was also a change in the payment mechanism to Ophthalmology contractors where the block contract that has been paid to date has ended and is now based on activity – this was not factored into the forecast.

Appendix 1: Monitoring return tables

Table	Commentary
	<ul style="list-style-type: none"> • Pay (-£0.8m): Correction of a Medical & Dental roster enhancement provision as a result of COVID-19 following a review of rosters. • Healthcare: Other NHS (-£0.7m): Reduction in High Cost Drug spend in LTA with Swansea Bay UHB. An improvement to the Health Board’s forecast spend with WHSSC has also been recognised in month. • Test, Trace, Protect (-£0.5m): YTD correction in month following a delay in receiving actual expenditure information from Local Authorities based on staff costs. Costs have been re-allocated to future months. • Field Hospitals Minor works (-£0.4m): Actual costs lower than forecast expenditure for minor works carried out at the Bluestone site. <p><u>Committed Reserves & Contingencies</u></p> <p>Outstanding reserves were again assessed by the Senior Finance team as part of the month end review process with the outcome documented in Table B (Sub section E), which has led to an increase in Section D of Table B3. Please note for some of the material reserves, the following assumptions have been made:</p> <ul style="list-style-type: none"> • CHC: The YTD adjustment for “Support or Adult Social Care Providers” of £1.7m is assumed to be recognised in P07-21. Additional funding will be required from January 2021 to support the transition as CHC services start returning to normal levels of activity alongside inflationary uplifts. • Cluster funding and IMTP Plans: Due to delays in implementing IMTP plans as Service Managers’ attention was focused on Directorate’s response plans to COVID-19, allocations will start to be used for the majority of projects from the start of Q3 as we continue to plan for a return to normal activity in Q4. • ICF: Due to COVID-19, plans are still to be finalised by the Regional Partnership Board so it is assumed that the majority of spend will take place from the start of Q3 as we continue to plan for a return to normal activity in Q4; the majority of the funds relate to Local Authority plans and therefore there may also be a delay in invoicing. • Secondary Care drugs: These have been phased in evenly as the Health Board have already been affected by the impact of increased activity in Acute sites as some services have re-started. • RPB Winter pressure funding: This money has been assumed to be spent on a straight line basis from October as the Health Board begins to enter the winter period. Winter Plans for the Health Board are currently being refined and finalised; this fund will form part of the prioritisation process. • Variable pay: This reserve has been focused on the period November 2020 to February 2021 in line with previous year’s trends of surge activity in Unscheduled Care.

Appendix 1: Monitoring return tables

Table	Commentary
	<ul style="list-style-type: none"> • Medical Wage award: It has been confirmed by Shared Services that a YTD retrospective payment will be made in October 2020. We have then assumed a flat phasing from November 2020 to March 2021. • Welsh Risk Pool: It has been assumed that this will be phased over Q4 as guidance has yet to be received with regards to the treatment of the additional cost for FY2020/21 • COVID-19 reserves: These reserves will be used to offset COVID-19 ‘specific’ and ‘non-specific expenditure throughout the rest of the year. <p>The forecast has been calculated using Directorate projections of both the “non-COVID-19” and COVID-19 profiled positions as a basis.</p> <p><u>“Non-COVID-19” projections</u></p> <p>Directorates do not forecast at a level of detail to allow the split of income and expenditure into the Table B headings. However, as the best proxy, we have taken the appropriate ‘run rate’ from Months 1 - 6 and assumed that this continues in the same proportions and applied this to the future month forecasted Actuals; we have then overlaid one-off adjustments included in the projections and classified these into the relevant Table B heading.</p> <p>As the level of COVID-19 additional expenditure going forward each month is expected to exceed cost reductions resulting from COVID-19, the expectation is that the additional WG COVID-19 funding will be utilised to report a position in line with the YTD planned deficit for Months 7-9. There is a risk that the Winter Pressures may drive over-spends in January and February and mitigating actions required in March.</p>
Table B2: Pay and agency	<p>See Key Subjective Summary Section.</p> <p>The same methodology as Table B has been applied for the split of Pay into type and use of Agency.</p>
Table B3: COVID-19	<p>The forecast figures have been refined in Month 6 to reflect our best estimate of a realistic scenario given YTD activity and costs incurred. The key drivers of the change in forecast are detailed below.</p> <p><u>Section A</u></p> <p>The Reporting and Forecasting Principles Paper developed internally, based on the WG guidance, has been applied consistently to identify and quantify the additionality of costs incurred in response to the COVID-19 pandemic. A draft</p>

Appendix 1: Monitoring return tables

Table	Commentary
	<p>of this document has been shared with FDU for feedback, and has been shared in the Deputy Directors of Finance Forum. This Paper outlines the process henceforth in terms of the decision-making framework for Gold Strategic Group and the flow of decisions/information from that forum to inform financial forecasting. This will include a regular review of the use of Reserves funds and the use of funds where there is no committed obligation.</p> <p>The actual Month 6 costs saw a reduction against forecast of £2.0m. Key drivers to this underspend are documented below:</p> <ul style="list-style-type: none"> • Medical and Dental (-£0.7m): Correction of a Medical & Dental roster enhancement provision as a result of COVID-19 following a review of rosters. Reduced variable pay spend against forecast as COVID-19 activity was lower than anticipated. small • Nursing & Midwifery (-£0.2m): Delay in the opening of Cleddau ward in Pembrokeshire and Tregaron in Ceredigion based on relatively low COVID-19 activity in the areas. • Test, Trace, Protect (-£0.5m): YTD correction in month following a delay in receiving actual expenditure information from Local Authorities based on staff costs. Costs have been re-allocated to future months • Field Hospitals minor works (-£0.4m): Actual costs were lower than forecast expenditure for minor works carried out at the Bluestone site. • Decommissioning costs (-£0.2m): Costs have been moved to P07-21 following discussions with Local Authority colleagues. <p>In respect of the GDS contract, the YTD loss of income to the end of Month 6 is £1.3m; the projected loss for the fully year is £2.737m.</p> <p>Costs as a result of lost income relate to reduced sales at Acute sites' Canteen's, shops and revenue received from commercial providers operating within Hospital sites. The projected full year loss on income is forecast to be £0.4m</p> <p>The forecast for COVID-19 has reduced by £0.5m in Month 6 compared to what was reported in Month 5. Below are the key drivers of the movement between Month 5 and Month 6 forecasts:</p> <ul style="list-style-type: none"> • Medical & Dental (-£1.5m): Reduction in forecast due to the Month 6 correction to Medical and Dental rosters. Locum and agency requirement across all directorates has also been reduced in future months based on recent activity and assumed planning assumptions.

Appendix 1: Monitoring return tables

Table	Commentary
	<ul style="list-style-type: none"> • Nursing & Midwifery (-£0.9m): Delay in the opening of Cleddau ward in Pembrokeshire and Tregaron in Ceredigion based on relatively low activity in the areas. Reductions in Agency support across Acute sites also recognised in month. • Additional costs in Private Sector (-£1.6m): Following confirmation of the ‘specific’ funding streams available, the cost of Q4 for the use of Werndale Hospital has been removed from the forecast as it is assumed to be funded directly by WG. • Continuing Health Care (£2.8m): Costs associated with the “Support for Adult Social Care providers” recognised within CHC line. • Drugs including Medical gases (£1.5m): This mostly relates to the costs associated with the Flu campaign recognised this month. <p><u>Section A1</u> Field Hospitals represent the only significant change in capacity in response to the pandemic. Bed numbers have been reviewed based on current local demand modelling.</p> <p>The Table shows Field Hospital forecasts from a County perspective, with the corresponding bed capacity as per the revised demand model assumed for Month six.</p> <p>A schedule of expenditure has also been included for the GMS Extended Hours DES, Flu immunisation spend and Test, Trace and Protect.</p> <p><u>Section B</u> The unprecedented circumstances mean that operational focus is diverted to the organisation’s response to COVID-19, and therefore not on the delivery or identification of further savings schemes that are not supportive of the response to the pandemic. A review is currently in progress to identify schemes for prioritisation should the demands of COVID-19 allow.</p> <p><u>Section C</u> Cost reductions have been identified for the full year, however have been phased down as the year progresses given the expectation that a number of services will be gradually reinstated. These assumptions will continue to be refined.</p>

Appendix 1: Monitoring return tables

Table	Commentary
	<p>The cost reductions have now been reduced in relation to Planned Care based on the assumption of considerable increases in elective care activity.</p> <p><u>Section D</u> Part of a number of Reserve items has been included in the forecast to offset the impact of COVID-19 following additional scrutiny in Month 6; this will continue to be regularly reviewed and refined.</p> <p>Further decisions will be taken by the Executive Team and Gold Strategic Group as the year progresses and plans can be made with more certainty.</p> <p><u>Field Hospital figures included in Section A and separate templates</u> The Field Hospital demand model has been revised from the local model based on Rt of 1.1, being the most 'reasonable worst case scenario' to a local model which has been adjusted to reflect a more 'realistic' scenario.</p> <p>All decommissioning costs are assumed to fall into the current financial year. VAT on set-up costs has been recognised for Bluestone and Parc Y Scarlets Barn, following the latest guidance.</p> <p>The bed capacity profile has been provided by Field Hospital sites and can be observed in table B3 (Section A1) of the presentation.</p>
<p>Table C3: Savings Tracker</p>	<p>The Table has been completed based on current green and amber schemes.</p> <p>Any negative values reported in the in-month Actuals column relates to a correction of previous month actuals, meaning that the YTD figure is correct.</p> <p>The date to 'go Green' for all Amber schemes has been set as 1 January 2021, however this will be dependent on the continuing impact of the pandemic.</p> <p>The Risk to delivery on Amber Schemes has been set at £nil where the in-year delivery is forecast to be £nil. The remaining Amber schemes have not been assessed given the level of non-delivery already forecast due to the impact of COVID-19.</p>

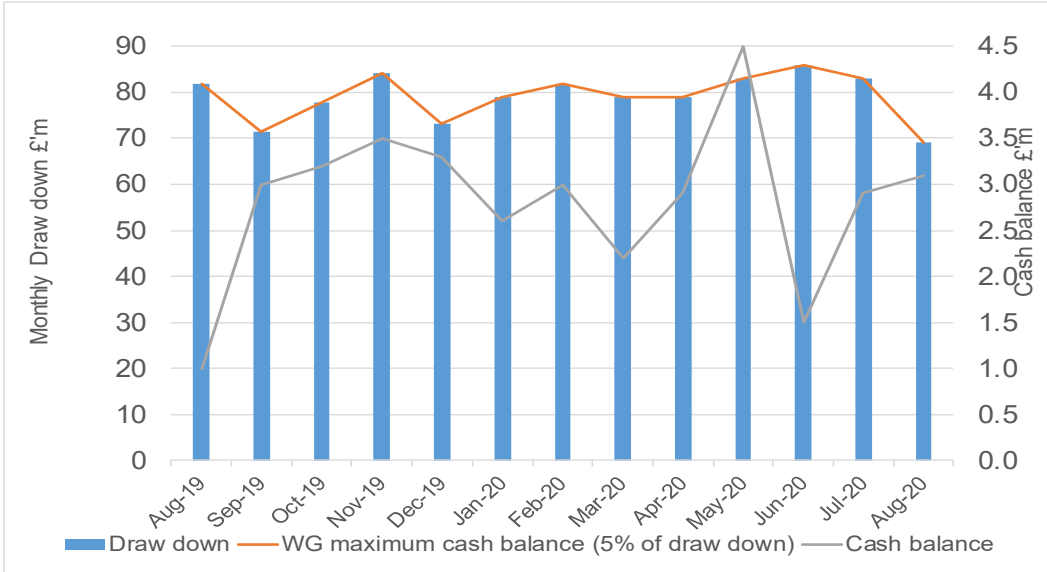
Appendix 1: Monitoring return tables

Table	Commentary																																																																												
Table D: Welsh NHS Assumptions	We agreed and signed all income and expenditure contracts with Welsh Health Board colleagues within the national deadline (end of March). All agreements are within expected limits and indicative finance and activity plans were detailed within each contract.																																																																												
Table E: Resource limits	<p>The Health Board's planning assumptions are that it will receive resource allocation income of £978.9m from Welsh Government in the 2020/21 financial year. This comprises of notified allocation of £892.3m and allocations pending of £86.6m.</p> <p>Pending allocations in relation to specific COVID-19 costs (PPE, Field Hospital set-up, decommissioning and consequential losses, Antigen and Antibody testing and Flu Immunisations) are based on the Month 6 Directorate forecast.</p>																																																																												
Table G: Cash flow	<p>Working capital management</p> <table border="1"> <thead> <tr> <th></th> <th>2020-21 Opening balance £m</th> <th>30 Sep 2020 £'m</th> <th>Movement £'m</th> </tr> </thead> <tbody> <tr> <td>Non Current assets</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Fixed Assets</td> <td>280</td> <td>277</td> <td>-3</td> </tr> <tr> <td>Other non current assets</td> <td>58</td> <td>47</td> <td>-11</td> </tr> <tr> <td></td> <td>338</td> <td>324</td> <td>-14</td> </tr> <tr> <td>Current Assets</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Inventories</td> <td>9</td> <td>9</td> <td>0</td> </tr> <tr> <td>Trade and other Receivables</td> <td>69</td> <td>61</td> <td>-8</td> </tr> <tr> <td>Cash</td> <td>2</td> <td>2</td> <td>0</td> </tr> <tr> <td>Total Assets</td> <td>418</td> <td>396</td> <td>-22</td> </tr> <tr> <td>Liabilities</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Trade and other Payables</td> <td>-120</td> <td>-111</td> <td>9</td> </tr> <tr> <td>Provisions</td> <td>-98</td> <td>-78</td> <td>20</td> </tr> <tr> <td>Total Liabilities</td> <td>-218</td> <td>-189</td> <td>29</td> </tr> <tr> <td>Net Assets less Liabilities</td> <td>201</td> <td>207</td> <td>6</td> </tr> <tr> <td>Financed by:</td> <td></td> <td></td> <td></td> </tr> <tr> <td>General Fund</td> <td>173</td> <td>173</td> <td>0</td> </tr> <tr> <td>Revaluation Reserve</td> <td>28</td> <td>34</td> <td>6</td> </tr> <tr> <td>Total Funding</td> <td>201</td> <td>207</td> <td>6</td> </tr> </tbody> </table>		2020-21 Opening balance £m	30 Sep 2020 £'m	Movement £'m	Non Current assets				Fixed Assets	280	277	-3	Other non current assets	58	47	-11		338	324	-14	Current Assets				Inventories	9	9	0	Trade and other Receivables	69	61	-8	Cash	2	2	0	Total Assets	418	396	-22	Liabilities				Trade and other Payables	-120	-111	9	Provisions	-98	-78	20	Total Liabilities	-218	-189	29	Net Assets less Liabilities	201	207	6	Financed by:				General Fund	173	173	0	Revaluation Reserve	28	34	6	Total Funding	201	207	6
	2020-21 Opening balance £m	30 Sep 2020 £'m	Movement £'m																																																																										
Non Current assets																																																																													
Fixed Assets	280	277	-3																																																																										
Other non current assets	58	47	-11																																																																										
	338	324	-14																																																																										
Current Assets																																																																													
Inventories	9	9	0																																																																										
Trade and other Receivables	69	61	-8																																																																										
Cash	2	2	0																																																																										
Total Assets	418	396	-22																																																																										
Liabilities																																																																													
Trade and other Payables	-120	-111	9																																																																										
Provisions	-98	-78	20																																																																										
Total Liabilities	-218	-189	29																																																																										
Net Assets less Liabilities	201	207	6																																																																										
Financed by:																																																																													
General Fund	173	173	0																																																																										
Revaluation Reserve	28	34	6																																																																										
Total Funding	201	207	6																																																																										

Appendix 1: Monitoring return tables

Table	Commentary																																																																																				
	<p>The movement since the end of 2019/20 in non-current assets is £14m. This is mainly due to a decrease of £11m in other assets, attributable to a decrease in the Welsh Risk Pool debtor as a result of medical negligence claims.</p> <p>The movement since the end of 2019/20 in current assets is £8m. This is due to a decrease of £8m in trade and other receivables. This is attributable to a decrease in the Welsh Risk Pool debtor as a result of medical negligence claims.</p> <p>The movement since the end of 2019/20 in liabilities is £29m. This is due to a decrease of £9m in trade and other payables and a decrease of £20m in provisions. The decrease in trade and other payables is due to a decrease in Trade payables ££4.2m, Non NHS accruals £11.8m (year end included an amount of £8.1m for a medical negligence accrual), offset by increases in Statutory pay deductions £5m (due to a timing difference) and Other Payables £1.5m. The decrease in provision is due to medical negligence cases based on information provided by the Welsh Risk Pool.</p> <p>The movement since the end of 2019/20 in the Revaluation Reserve is £6m. This is due to Indexation in this financial year.</p> <div data-bbox="734 786 1742 1383" data-label="Figure"> <table border="1"> <caption>Estimated Data from Receivables and Cash Chart</caption> <thead> <tr> <th>Month</th> <th>Under 30 days (Green)</th> <th>30-60 days (Yellow)</th> <th>60-90 days (Orange)</th> <th>Over 90 days (Red)</th> <th>Cash (Blue Line)</th> </tr> </thead> <tbody> <tr><td>Sep-19</td><td>8,000</td><td>1,000</td><td>1,000</td><td>1,000</td><td>3,000</td></tr> <tr><td>Oct-19</td><td>7,000</td><td>1,000</td><td>1,000</td><td>1,000</td><td>3,000</td></tr> <tr><td>Nov-19</td><td>9,000</td><td>1,000</td><td>1,000</td><td>1,000</td><td>4,000</td></tr> <tr><td>Dec-19</td><td>7,000</td><td>1,000</td><td>1,000</td><td>1,000</td><td>3,500</td></tr> <tr><td>Jan-20</td><td>7,000</td><td>1,000</td><td>1,000</td><td>1,000</td><td>3,500</td></tr> <tr><td>Feb-20</td><td>7,000</td><td>1,000</td><td>1,000</td><td>1,000</td><td>3,500</td></tr> <tr><td>Mar-20</td><td>10,000</td><td>1,000</td><td>1,000</td><td>1,000</td><td>2,500</td></tr> <tr><td>Apr-20</td><td>10,000</td><td>1,000</td><td>1,000</td><td>1,000</td><td>4,000</td></tr> <tr><td>May-20</td><td>10,000</td><td>1,000</td><td>1,000</td><td>1,000</td><td>4,500</td></tr> <tr><td>Jun-20</td><td>7,000</td><td>1,000</td><td>1,000</td><td>1,000</td><td>2,500</td></tr> <tr><td>Jul-20</td><td>7,000</td><td>1,000</td><td>1,000</td><td>1,000</td><td>3,000</td></tr> <tr><td>Aug-20</td><td>7,000</td><td>1,000</td><td>1,000</td><td>1,000</td><td>3,000</td></tr> <tr><td>Sep-20</td><td>8,000</td><td>1,000</td><td>1,000</td><td>1,000</td><td>2,500</td></tr> </tbody> </table> </div>	Month	Under 30 days (Green)	30-60 days (Yellow)	60-90 days (Orange)	Over 90 days (Red)	Cash (Blue Line)	Sep-19	8,000	1,000	1,000	1,000	3,000	Oct-19	7,000	1,000	1,000	1,000	3,000	Nov-19	9,000	1,000	1,000	1,000	4,000	Dec-19	7,000	1,000	1,000	1,000	3,500	Jan-20	7,000	1,000	1,000	1,000	3,500	Feb-20	7,000	1,000	1,000	1,000	3,500	Mar-20	10,000	1,000	1,000	1,000	2,500	Apr-20	10,000	1,000	1,000	1,000	4,000	May-20	10,000	1,000	1,000	1,000	4,500	Jun-20	7,000	1,000	1,000	1,000	2,500	Jul-20	7,000	1,000	1,000	1,000	3,000	Aug-20	7,000	1,000	1,000	1,000	3,000	Sep-20	8,000	1,000	1,000	1,000	2,500
Month	Under 30 days (Green)	30-60 days (Yellow)	60-90 days (Orange)	Over 90 days (Red)	Cash (Blue Line)																																																																																
Sep-19	8,000	1,000	1,000	1,000	3,000																																																																																
Oct-19	7,000	1,000	1,000	1,000	3,000																																																																																
Nov-19	9,000	1,000	1,000	1,000	4,000																																																																																
Dec-19	7,000	1,000	1,000	1,000	3,500																																																																																
Jan-20	7,000	1,000	1,000	1,000	3,500																																																																																
Feb-20	7,000	1,000	1,000	1,000	3,500																																																																																
Mar-20	10,000	1,000	1,000	1,000	2,500																																																																																
Apr-20	10,000	1,000	1,000	1,000	4,000																																																																																
May-20	10,000	1,000	1,000	1,000	4,500																																																																																
Jun-20	7,000	1,000	1,000	1,000	2,500																																																																																
Jul-20	7,000	1,000	1,000	1,000	3,000																																																																																
Aug-20	7,000	1,000	1,000	1,000	3,000																																																																																
Sep-20	8,000	1,000	1,000	1,000	2,500																																																																																

Appendix 1: Monitoring return tables

Table	Commentary
	<ul style="list-style-type: none"> Income collected from sources other than Welsh Government is collected through the invoicing process. It is imperative that this is collected promptly to reduce reliance on cash support from WG. Balances owed to the Health Board are £4.6m in Month 6. It is also important that the Health Board pays its suppliers promptly. At the end of Month 6, £11.1m was owed to suppliers, of which £9.1m are less than 30 days old. <p>Cash</p>  <p>The full year forecast for cash reflects the forecast deficit for the Health Board.</p> <ul style="list-style-type: none"> The closing balance of £1.9m did not exceed 5% of the total monthly draw down from Welsh Government. Emergency cash of £2.5m was requested due to a significant medical negligent payment.

Appendix 1: Monitoring return tables

Table	Commentary
	<ul style="list-style-type: none"> <li data-bbox="450 236 2047 268">The Health Board has an approved cash limit of £903.5m split between revenue £876.9m and capital £26.6m. <p data-bbox="400 309 2007 341">Cumulative cash draw down to Month 6 is £489.96m, this includes the advance paid to Pharmacists in April 2020.</p>
<p data-bbox="154 387 286 451">Table H: PSPP</p>	<p data-bbox="400 387 2069 451">The Health Board did not achieve its PSPP target of paying 95% of its non-NHS invoices within 30 days in September 2020 which has meant a cumulative position of 94.7%.</p> <p data-bbox="400 499 2069 746">The main reason for failure was due to staffing issues in PPH pharmacy with a number of staff in the Homecare team affected during the Covid-19 pandemic having to self-isolate and systems not in place to enable home working. An urgent meeting took place on the 6th October 2020 with representatives from the Finance team, Head of Medicines Management and other pharmacy staff members and NWSSP – Accounts Payable to agree an action plan. The Head of Medicines Management has re-prioritised staff workloads to clear the backlog by the end of October. This will however mean the improvement in the PSPP target will not be evidenced until the November PSPP figures are produced.</p> <p data-bbox="400 794 1966 858">A task and finish group, led by TAG and NHS Wales P2P group, is looking at ways to ensure NHS invoices are processed more efficiently and to ensure NHS PSPP target is achieved across Wales.</p>
<p data-bbox="154 908 344 971">Table I: Capital RLM</p>	<p data-bbox="400 908 2069 1003">An estimate of the future forecast of COVID-19 spend for 2020/21 has been reflected within the Month 6 return. If all the expenditure plans are delivered in year and no additional funding is available this will lead to a shortfall of £14.75m against the 2020/21 Capital Resource Limit.</p> <p data-bbox="400 1051 2069 1123">The reported capital expenditure on COVID-19 for M6 includes the equipping and oxygen costs for the Field Hospitals, but excludes the design, build and restoration costs and estimates.</p> <p data-bbox="400 1171 2047 1235">Any in-year slippage of capital schemes was be communicated to Welsh Government by the 14th September. Further review will be undertaken and reported by the end of October 2020</p> <p data-bbox="400 1283 2069 1378">Variances between AWCP / DCP schemes plan and forecast is attributable to scheme paybacks between 2019/20 and 2020/21. Schemes where this can be seen are Women & Children’s Scheme, Imaging Equipment, Bronglais MRI scheme and the Cardigan Scheme</p>

Appendix 1: Monitoring return tables

Table	Commentary
Table J: Capital In Year Schemes	The sale of the old Cardigan Hospital site was completed in May 2020 for £0.450m, part of the Full Business Case approval conditions was that the proceeds of the sale were to be returned to Welsh Government. As part of ongoing discussions during the monthly Capital Review Meetings Welsh Government have indicated that they are prepared to consider a request by the Health Board to retain the proceeds. A letter has been sent and is with WG for consideration.
Table K: Capital disposals	The Health Board has disposed of Cardigan Hospital in May 2020.
Table M: Aged debtors	One invoice is reported on Table M; Cwm Taf Morgannwg ULHB for £11,319.96 which was paid 07/10/20.
Table N: GMS	<p>The Table has been completed for Quarter 2.</p> <p>The following principles have been followed in preparing the Table:</p> <ul style="list-style-type: none"> • All expenditure has been included in the YTD and forecast columns in the tables irrespective of whether it has been classified as COVID-19 or “non-COVID-19”; • Expenditure in the GMS Table that has been categorised as COVID-19 relates to the Easter Bank Holiday Enhanced Service and amounts to £0.2m.
Table O: Dental	<p>The Table has been completed for Quarter 2.</p> <p>The following principles have been followed in preparing the Table:</p> <ul style="list-style-type: none"> • All expenditure has been included in the YTD and forecast columns in the tables irrespective of whether it has been classified as COVID-19 or “non-COVID-19”; • The Table contains expenditure relating to the net loss of PCR income due to COVID-19 in the current year. This amounts to £0.5m in the YTD with a full year projected outturn of £1.1m

Appendix 2: Monitoring return reply letter

Reply Letter Action – Month 5 2020/21	Health Board Response
<p>I note that you are reporting a forecast year end deficit of £94.883m, representing Covid-19 pressures of £68.293m and Operational pressures of £26.590m. I acknowledge the change in methodology this month to re-categorise the Month 4 spend reductions from Operational, to Covid. In addition, there was an overall decrease of £13.884m compared to Month 4, which your narrative confirms mainly relates to a revised methodology (i.e. demand led) of costing Field Hospitals and additional funding support for private hospital expenditure.</p> <p>The Health Board is reminded that they are expected to manage in-year operational pressures to ensure that the agreed deficit of £25.0m is not exceeded. (Action Point 5.1)</p>	<p>The revised forecast in Month 6 is the planned deficit of £25m following confirmation of the WG additional funding, a further review of opportunities within Reserves and reductions in the Primary Care forecast in relation to Dental, Ophthalmology and GMS LES.</p>
<p><u>Movement of Opening Financial Plan to Forecast (Table A)</u> Your Table A currently reports that the year to date operational deficit position is being reduced by an YTD surplus of 2.302m on (line 26). Please ensure your narrative explains the areas generating this surplus, which is forecast to continue into September. Please also explain what the new cost pressures relate to from October onwards, which gradually reduced this surplus to £0.362m at year end. (Action Point 5.2)</p>	<p>This has been reviewed in Month 6 and reclassified on a YTD basis to COVID-19.</p>
<p>Please include the 'Support for Adult Social Care Providers – Covid-19' funding of £2.890m as a direct Covid-19 allocation on Line 22, with the corresponding spend being reported via Table B3. This is currently causing issues with the all Wales consolidation, therefore please action for Month 6. (Action Point 5.3)</p>	<p>The schedules have been updated to include these figures for Month 6.</p>
<p>With reference to the £0.200m operational flu vaccination pressures reported on Table A (line 27), I note your response regarding the methodology for assessing if increases in vaccinations are as a consequence of Covid-19 and</p>	<p>Flu expenditure will increase as a direct consequence of COVID-19 and has been reported in Table B3 as the increased uptake, increased cohort and associated</p>

Appendix 2: Monitoring return reply letter

Reply Letter Action – Month 5 2020/21	Health Board Response
<p>your plans to discuss this approach with FDU and Welsh Government (assume policy) colleagues. Given the high likelihood that an increase in uptake of the over 65s is due to the pandemic and the national advice for vulnerable groups to be vaccinated, it is reasonable for this additional cost to be included within the Covid Table. It is not suggested that this is specifically assigned to the extended flu programme line (108), although your discussions should confirm the correct assignment. Within your month 6 narrative, please confirm the outcome of these discussions and any adjustment made if applicable. (Action Point 4.19)</p>	<p>primary care DES will be as a direct result of the pandemic.</p>
<p>Please review the main body of your narrative to ensure that key statements are consistent to the corresponding financial analysis reported via Table A. For example, page 1 states ‘Month five operational variance is £4.4m to plan’, whilst Table A reports an £1.287m surplus against planned deficit of £10.418m. (Action Point 5.4)</p>	<p>In the body of the narrative, the Operational variance reported by HD is defined as the overall variance less the deficit plan (In this example, Table B reported £6.5m as a net deficit less deficit plan of £2.1m).</p> <p>A simplified Executive Summary is currently being developed following feedback from WG and from the Finance Committee. This should provide additional clarity to our reported position.</p>
<p>Monthly Positions (Table B) Please provide a supporting explanation for the projected increase (c. £1.500m) in monthly CHC/FNC (Line 15) costs specifically within the final quarter of this financial year. (Action Point 5.5)</p>	<p>The anticipated increase to CHC expenditure is due to the assumed retrospective payments to providers for inflationary uplifts in CHC packages.</p>
<p>The reported DEL non cash charges reported in Section C do not align with those included within the August non cash submission. Please review and if necessary provide a breakdown of the items which are contributing to any movements (baseline and strategic) from your August non cash submission. (Action Point 5.6)</p>	<p>Noted and amended for Month 6 return.</p>

Appendix 2: Monitoring return reply letter

Reply Letter Action – Month 5 2020/21	Health Board Response
<p>Your response to 4.6, in relation to Reserves is noted. There is a concern that spend which does not occur in month and is re-profiled to future months, may indicate that there is flexibility to manage and mitigate your additional in year pressures or could be released to reduce the impact of Covid (B3). It would be of additional benefit, if you could review those reserves with the reference 'IMTP', to confirm that the expenditure is still planned to be incurred this year. (Action Point 4.6)</p>	<p>A review has been completed in Month 6, with additional reserves identified as slippage to offset COVID-19; this will continue to be a monthly process.</p>
<p><u>Pay Expenditure Analysis (Table B2)</u> Please provide a supporting explanation for the projected increase in pay spend of c. £2.000m per month (not attributable to Covid-19) from October onwards. (Action Point 5.7)</p>	<p>This relates to surge and Winter pressures not attributable to COVID-19. The Winter Plan is currently being finalised and increased clarity is anticipated from Month 7.</p>
<p><u>Covid-19 Analysis (Table B3)</u> The reported WHSSC slippage (Line 120) value of £0.681m is £0.098m higher than the corresponding amount reported by WHSSC. Please review this value for consistency prior to your Month 6 submission. (Action Point 5.8)</p>	<p>A YTD correction has been entered in Month 6 to align with the Month 6 figures provided by WHSSC.</p>
<p><u>Savings (Table C, C1, C2 & C3)</u> Please expand the 'Savings' section of Appendix 1 to discuss future month savings delivery assumptions (e.g. c£0.200m step up in savings from September). (Action Point 5.9)</p>	<p>This will be provided by separate return.</p>
<p><u>Income/Expenditure Assumptions (Table D)</u> As per the Month 5 income and expenditure reconciliation, there is a £0.096m expenditure variance with WHSSC. I trust that this variance has since been reviewed and resolved. (Action Point 5.10)</p>	<p>This has been reviewed with WHSSC and corrected.</p>
<p><u>Anticipated Income (Table E)</u> In terms of the anticipated Delivery Plan funding of £0.388m, I have been</p>	<p>This has been corrected in Month 6.</p>

Appendix 2: Monitoring return reply letter

Reply Letter Action – Month 5 2020/21	Health Board Response
<p>informed by policy colleagues that all associated funding is with the NHS Collaborative who will then agree with the applicable Implementation Groups on how this funding will be utilised. Therefore, please ensure this WG anticipated income item is removed from Month 6. (Action Point 5.11)</p>	
<p><u>20/21 Capital Resource Limit (Table I)</u> I note that you are currently forecasting to overspend against the reported CRL by £16.149m, details of the attributable items/schemes have been shared with my capital colleagues.</p>	<p>Current forecast following latest allocation received is included in the Executive Summary.</p>
<p><u>Movement of Opening Financial Plan to Forecast Outturn (Table A)</u> Thank you for providing a breakdown of the items included within line 22 'Additional In Year Welsh Government Funding Due To Covid-19'. In future submissions, it would be beneficial if you could extend this to include the phasing by item (a YTD value and future monthly profile will suffice), as this will support the profile you have used in Table A. (Action Point 4.3)</p>	<p>This will be provided by separate return.</p>
<p><u>Monthly Positions (Table B)</u> Please report the SocNE Line reference against each item listed in Section E to highlight where it is being profiled within the SoCNE (Section A). (Action Point 5.12)</p>	<p>This has been corrected in Month 6.</p>
<p><u>Covid 19 - Analysis (Table B3)</u> As per Action Point 4.19, please only use Line 108 (within the Major Projects section) to report projected costs associated with extending the Flu - 4 - Vaccination programme. Therefore, please report 'GMS Extended Hours DES Cost' on another free text line within Section A1, or consider removing this from the 'Major Projects' section if you have limited space. (Action Point 4.19)</p>	<p>This has been completed in Month 6.</p>

Appendix 2: Monitoring return reply letter

Reply Letter Action – Month 5 2020/21	Health Board Response
<p>All organisations are being requested this month, to please use free text Line 107 (within the Major Projects section) to report all projected costs associated with a planned mass Covid-19 vaccination programme. Please also confirm in your narrative which lines, within Section A, these associated cost have been reported. (Action Point 5.13)</p>	<p>The COVID-19 vaccination programme costs are reported as a risk and are not currently included in the forecast; therefore this line is blank for Month 6.</p>
<p>Please provide an explanation as to why there are periods within the financial year where there are no field hospital beds. For example, Carmarthenshire Field Hospital has beds from June onwards with the exception of August and December. (Action Point 5.14)</p>	<p>The month 5 forecast figures are derived from the bed modelling figures based on realistic mean scenario for actual average COVID-19 and non COVID-19 demand predications. Month 5 forecast also includes the revisions for the FH status positions which is as follows</p> <p>Month 5 position:</p> <ul style="list-style-type: none"> • PYS Barn – Continue to keep with weekly rental cost • PYS Stadium – Decommissioned from 21st September • Selwyn Samuel – Continue to keep • Llanelli LC - Decommissioned from 5st September • Carms LC - Decommissioned from 5st September (Retaining use of Judo hall only) • Bluestone – Continue to keep • Cardigan LC – As of month 5 current discussions in place to use of Cardigan LC - could be used as Test Trace and mass vaccinations. Sunk costs only forecast • Penweddig School - decommissioned since 31st July • Plas Crug – Continue to keep

Appendix 2: Monitoring return reply letter

Reply Letter Action – Month 5 2020/21	Health Board Response
<p><u>Resource Limits (Table E)</u> Please ensure the AME non cash funding values reported in Table E correspond to the exact AME category charges reported within Table B Section C. (Action Point 5.15)</p>	<p>This has been completed in Month 6.</p>

Table A - Movement of Opening Financial Plan to Forecast Outturn

	In Year Effect	Non Recurring	Recurring	FYE of Recurring
	£'000	£'000	£'000	£'000
1 Underlying Position b/fwd from Previous Year - as per 3 year plan (Surplus - Positive Value / Deficit - Negative Value)	-47,498	0	-47,498	-47,498
2 New Cost Pressures - as per 3 year plan (Negative Value)	-45,865	0	-45,865	-45,865
3 Opening Cost Pressures	-93,363	0	-93,363	-93,363
4 Welsh Government Funding (Positive Value)	33,745	0	33,745	33,745
5 Identified Savings Plan (Positive Value)	5,592	2,320	3,272	3,350
6 Planned Net Income Generated (Positive Value)	450	0	450	450
7 Planned Accountancy Gains (Positive Value)	0	0	0	0
8 Planned Profit / (Loss) on Disposal of Assets	0	0	0	0
9 Planned Release of Uncommitted Contingencies & Reserves (Positive Value)	0	0		
10	0	0		
11 Planning Assumptions still to be finalised at Month 1	28,576	0	28,576	30,818
12 IMTP / Annual Operating Plan	-25,000	2,320	-27,320	-25,000
13 Reversal of Planning Assumptions still to be finalised at Month 1	-28,576	0	-28,576	-30,818
14 Month 1 Planned Savings - Forecast Underachievement Due to Covid-19	-2,259	-543	-1,716	-1,204
15 Month 1 Planned Savings - Other Forecast (Underachievement) / Overachievement	19	37	-18	0
16 Additional In Year Identified Savings - Forecast (Positive Value)	0	0	0	0
17 Additional In Year & Variance from Planned Net Income Generated (Positive Value)	0	0	0	0
18 Additional In Year & Variance from Planned Accountancy Gains (Positive Value)	0	0	0	0
19 Additional In Year & Variance from Planned Profit / (Loss) on Disposal of Assets	0	0		
20 Release of Previously Committed Contingencies & Reserves (Positive Value)	0	0		
21 Additional In Year Welsh Government Funding (Positive Value)	0	0		
22 Additional In Year Welsh Government Funding Due To Covid-19 (Positive Value)	85,586	85,586		
23 Operational Expenditure Cost Increase Due To Covid-19 (Negative Value)	-80,298	-80,298		
24 Planned Operational Expenditure Cost Reduction Due To Covid-19 (Positive Value)	18,331	18,331		
25 Slippage on Planned Investments/Repurposing of Developmental Initiatives Due To Covid-19 (Positive Value)	7,215	7,215		
26 Net In Year Operational Variance to IMTP/AOP (material gross amounts to be listed separately)	-19	-19		
27 Category M, NCSO, NOACs in Primary Care Prescribing	0	0		
28	0	0		
29	0	0		
30	0	0		
31	0	0		
32	0	0		
33	0	0		
34	0	0		
35	0	0		
36	0	0		
37	0	0		
38	0	0		
39	0	0		
40 Forecast Outturn (- Deficit / + Surplus)	-25,000	32,630	-57,630	-57,022

	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	YTD	In Year Effect
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
1	-3,958	-3,958	-3,958	-3,958	-3,958	-3,958	-3,958	-3,958	-3,958	-3,958	-3,958	-3,958	-23,749	-47,498
2	-3,822	-3,822	-3,822	-3,822	-3,822	-3,822	-3,822	-3,822	-3,822	-3,822	-3,822	-3,822	-22,933	-45,865
3	-7,780	-7,780	-7,780	-7,780	-7,780	-7,780	-7,780	-7,780	-7,780	-7,780	-7,780	-7,780	-46,682	-93,363
4	2,812	2,812	2,812	2,812	2,812	2,812	2,812	2,812	2,812	2,812	2,812	2,812	16,873	33,745
5	798	330	320	304	493	304	449	450	460	428	428	828	2,550	5,592
6	38	38	38	38	38	38	38	38	38	38	38	38	225	450
7	0	0	0	0	0	0	0	0	0	0	0	0	0	0
8													0	0
9													0	0
10													0	0
11	2,049	2,517	2,527	2,543	2,354	2,543	2,399	2,398	2,388	2,420	2,419	2,019	14,533	28,576
12	-2,084	-2,084	-2,083	-2,084	-2,083	-2,083	-2,083	-2,083	-2,083	-2,083	-2,084	-2,083	-12,501	-25,000
13	-2,049	-2,517	-2,527	-2,543	-2,354	-2,543	-2,399	-2,398	-2,388	-2,420	-2,419	-2,019	-14,533	-28,576
14	-566	-124	-133	-22	-99	-129	-193	-194	-199	-103	-103	-394	-1,073	-2,259
15	0	0	0	-196	-249	170	62	62	62	36	36	36	-274	19
16	0	0	0	0	0	0	0	0	0	0	0	0	0	0
17	0	0	0	0	0	0	0	0	0	0	0	0	0	0
18	0	0	0	0	0	0	0	0	0	0	0	0	0	0
19													0	0
20													0	0
21													0	0
22	0	0	10,737	47	3,686	25,538	7,607	6,823	7,525	6,164	6,170	11,288	40,008	85,586
23	-4,190	-7,486	-9,374	-6,541	-8,974	-4,548	-7,370	-5,436	-6,124	-5,020	-5,124	-10,111	-41,112	-80,298
24	2,197	3,366	2,412	1,516	2,759	1,837	1,470	459	442	607	622	645	14,087	18,331
25	0	0	0	0	140	2,716	883	683	683	683	683	742	2,856	7,215
26	569	701	-42	405	669	-2,302	-19						0	-19
27	-166	-302	-484	402	-21	571							0	0
28													0	0
29													0	0
30													0	0
31													0	0
32													0	0
33													0	0
34													0	0
35													0	0
36													0	0
37													0	0
38													0	0
39													0	0
40	-6,289	-8,445	-1,494	-9,015	-6,526	19,227	-2,042	-2,084	-2,083	-2,135	-2,218	-1,896	-12,542	-25,000

Table A1 - Underlying Position

	Section A - By Spend Area	IMTP		Full Year Effect of Actions		Subtotal
		Underlying Position b/f	Recurring Savings (+ve)	Recurring Allocations / Income (+ve)		
		£'000	£'000	£'000	£'000	
1	Pay - Administrative, Clerical & Board Members	(964)			(964)	
2	Pay - Medical & Dental	(10,496)			(10,496)	
3	Pay - Nursing & Midwifery Registered	(5,302)			(5,302)	
4	Pay - Prof Scientific & Technical	(1,414)			(1,414)	
5	Pay - Additional Clinical Services	(3,918)			(3,918)	
6	Pay - Allied Health Professionals	1,874			1,874	
7	Pay - Healthcare Scientists	99			99	
8	Pay - Estates & Ancillary	25			25	
9	Pay - Students	0			0	
10	Non Pay - Supplies and services - clinical	(1,939)			(1,939)	
11	Non Pay - Supplies and services - general	(711)			(711)	
12	Non Pay - Consultancy Services	(632)			(632)	
13	Non Pay - Establishment	(2,065)			(2,065)	
14	Non Pay - Transport	(129)			(129)	
15	Non Pay - Premises	(2,515)			(2,515)	
16	Non Pay - External Contractors	(1,424)			(1,424)	
17	Health Care Provided by other Orgs – Welsh LHBs	(2,139)			(2,139)	
18	Health Care Provided by other Orgs – Welsh Trusts	(1,644)			(1,644)	
19	Health Care Provided by other Orgs – WHSSC	(5,386)			(5,386)	
20	Health Care Provided by other Orgs – English	0			0	
21	Health Care Provided by other Orgs – Private / Other	(8,820)			(8,820)	
22	Total	(47,498)	0	0	(47,498)	

	New, Recurring, Full Year Effect of Unmitigated £'000	IMTP Underlying Position c/f £'000
		(964)
		(10,496)
		(5,302)
		(1,414)
		(3,918)
		1,874
		99
		25
		0
	(2,630)	(4,569)
	(685)	(1,396)
		(632)
		(2,065)
		(129)
		(2,515)
	(1,500)	(2,924)
		(2,139)
		(1,644)
	(4,709)	(10,095)
		0
		(8,820)
	(9,524)	(57,022)

	Section B - By Directorate	IMTP		Full Year Effect of Actions		Subtotal
		Underlying Position b/f	Recurring Savings (+ve)	Recurring Allocations / Income (+ve)		
		£'000	£'000	£'000	£'000	
1	Primary Care	(1,839)			(1,839)	
2	Mental Health	1,694			1,694	
3	Continuing HealthCare	(2,763)			(2,763)	
4	Commissioned Services	(2,811)			(2,811)	
5	Scheduled Care	(9,737)			(9,737)	
6	Unscheduled Care	(24,597)			(24,597)	
7	Children & Women's	(5,408)			(5,408)	
8	Community Services	766			766	
9	Specialised Services	(437)			(437)	
10	Executive / Corporate Areas	(1,842)			(1,842)	
11	Support Services (inc. Estates & Facilities)	(524)			(524)	
12	Total	(47,498)	0	0	(47,498)	

	New, Recurring, Full Year Effect of Unmitigated Pressures (-) £'000	IMTP Underlying Position c/f £'000
	(750)	(2,589)
		1,694
	(685)	(3,448)
	(4,709)	(7,520)
		(9,737)
		(24,597)
		(5,408)
		766
	(1,880)	(2,317)
	(1,500)	(3,342)
		(524)
	(9,524)	(57,022)

Table A2 - Overview Of Key Risks & Opportunities		FORECAST YEAR END	
		£'000	Likelihood
Opportunities to achieve IMTP/AOP (positive values)			
1	Red Pipeline schemes (inc AG & IG)		
2	Potential Cost Reduction		
3	Total Opportunities to achieve IMTP/AOP	0	
Risks (negative values)			
4	Under delivery of Amber Schemes included in Outturn via Tracker		
5	Continuing Healthcare		
6	Prescribing		
7	Pharmacy Contract		
8	WHSSC Performance		
9	Other Contract Performance		
10	GMS Ring Fenced Allocation Underspend Potential Claw back		
11	Dental Ring Fenced Allocation Underspend Potential Claw back		
12	Reasonable worst case scenario' for R rate of 1.1 - Field Hospitals	(12,703)	Low
13	Agency premium in Field Hospitals if staff cannot be recruited or deployed to Field Hospitals	(2,100)	Medium
14	Increased elective activity December to March based on operational plans	(1,200)	Medium
15	Asylum seekers health needs	(250)	High
16	COVID-19 Vaccination programme	(2,600)	High
17	Benefit in Kind liability for staff accommodation during COVID-19 covered by HB	(500)	High
18			
19			
20			
21			
22			
23			
24			
25			
26	Total Risks	(19,353)	
Further Opportunities (positive values)			
27			
28	Welsh Risk Pool Reserve not utilised based on Month 5 assessment	175	Medium
29			
30			
31			
32			
33			
34	Total Further Opportunities	175	
35	Current Reported Forecast Outturn	(25,000)	
36	IMTP / AOP Outturn Scenario	(25,000)	
37	Worst Case Outturn Scenario	(44,178)	
38	Best Case Outturn Scenario	(24,825)	

Table B - Monthly Positions

A. Monthly Summarised Statement of Comprehensive Net Expenditure / Statement of Comprehensive Net Income		1	2	3	4	5	6	7	8	9	10	11	12	Total YTD	Forecast year-end position	
		Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar			
		£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000			£'000
1	Revenue Resource Limit	Actual/F'cast	70,825	70,074	77,037	76,682	77,478	97,213	86,106	83,615	84,071	84,289	84,207	87,292	469,309	978,889
2	Capital Donation / Government Grant Income	Actual/F'cast	0	0	0	20	0	0	93	0	0	170	0	170	20	453
3	Welsh NHS Local Health Boards & Trusts Income	Actual/F'cast	1,859	2,379	1,297	1,997	2,533	2,451	2,086	2,086	2,086	2,086	2,086	2,086	12,516	25,032
4	WHSSC Income	Actual/F'cast	190	174	204	213	219	201	200	200	200	200	200	200	1,201	2,402
5	Welsh Government Income (Non RRL)	Actual/F'cast	122	158	112	141	147	181	144	144	144	144	144	144	861	1,722
6	Other Income	Actual/F'cast	1,985	1,449	1,744	1,588	1,764	1,810	1,723	1,723	1,723	1,723	1,723	1,723	10,340	20,680
7	Income Total		74,981	74,234	80,394	80,641	82,141	101,856	90,352	87,768	88,224	88,612	88,360	91,615	494,247	1,029,178
8	Primary Care Contractor (excluding drugs, including non resource limited expenditure)	Actual/F'cast	10,051	9,679	10,102	10,268	9,908	9,606	10,426	10,562	10,401	10,317	10,305	10,281	59,614	121,905
9	Primary Care - Drugs & Appliances	Actual/F'cast	6,368	6,418	6,668	6,535	5,917	6,585	6,957	6,471	6,882	6,353	6,048	6,851	38,491	78,053
10	Provided Services - Pay	Actual/F'cast	36,319	38,066	37,790	36,664	37,343	36,446	39,256	38,731	38,934	38,785	38,904	38,072	222,628	455,309
11	Provider Services - Non Pay (excluding drugs & depreciation)	Actual/F'cast	6,400	6,445	9,645	6,994	9,824	7,540	10,177	10,257	10,234	10,586	10,605	13,558	46,848	112,265
12	Secondary Care - Drugs	Actual/F'cast	3,199	2,883	3,387	3,803	3,312	3,853	3,821	3,823	3,843	3,826	3,837	3,854	20,437	43,443
13	Healthcare Services Provided by Other NHS Bodies	Actual/F'cast	12,433	12,867	12,329	12,995	12,788	12,575	13,346	13,302	13,302	13,302	13,302	13,302	75,987	155,844
14	Non Healthcare Services Provided by Other NHS Bodies	Actual/F'cast	8	8	8	8	8	1	7	7	7	7	7	7	41	82
15	Continuing Care and Funded Nursing Care	Actual/F'cast	4,729	4,432	4,255	4,330	4,120	4,110	6,208	4,503	4,508	5,314	5,314	5,314	25,976	57,138
16	Other Private & Voluntary Sector	Actual/F'cast	43	113	116	84	90	105	102	102	102	102	102	102	551	1,162
17	Joint Financing and Other	Actual/F'cast	48	131	104	37	102	57	94	94	94	94	94	94	479	1,042
18	Losses, Special Payments and Irrecoverable Debts	Actual/F'cast	158	135	135	148	175	156	143	143	143	143	143	143	907	1,765
19	Exceptional (Income) / Costs - (Trust Only)	Actual/F'cast	0	0	0	0	0	0	0	0	0	0	0	0	0	0
20	Total Interest Receivable - (Trust Only)	Actual/F'cast	0	0	0	0	0	0	0	0	0	0	0	0	0	0
21	Total Interest Payable - (Trust Only)	Actual/F'cast	0	0	0	0	0	0	0	0	0	0	0	0	0	0
22	DEL Depreciation\Accelerated Depreciation\Impairments	Actual/F'cast	1,458	1,458	1,458	1,605	1,539	1,539	1,800	1,800	1,800	1,860	1,860	1,864	9,057	20,041
23	AME Donated Depreciation\Impairments	Actual/F'cast	55	45	(4,109)	6,185	3,541	56	57	57	57	57	58	69	5,773	6,128
24	Uncommitted Reserves & Contingencies	Actual/F'cast	0	0	0	0	0	0	0	0	0	0	0	0	0	0
25	Profit/Loss Disposal of Assets	Actual/F'cast	0	0	0	0	0	0	0	0	0	0	0	0	0	0
26	Cost - Total	Actual/F'cast	81,269	82,680	81,888	89,656	88,667	82,629	92,394	89,852	90,307	90,746	90,579	93,511	506,789	1,054,177
27	Net surplus/ (deficit)	Actual/F'cast	(6,288)	(8,446)	(1,494)	(9,015)	(6,526)	19,227	(2,042)	(2,084)	(2,083)	(2,135)	(2,219)	(1,896)	(12,542)	(25,000)

B. Assessment of Financial Forecast Positions

Year-to-date (YTD)	£'000	
28. Actual YTD surplus/ (deficit)	(12,542)	
29. Actual YTD surplus/ (deficit)	(31,769)	
30. Current month actual surplus	19,227	
31. Average monthly surplus/ (deficit)	(2,090)	▲ Trend
32. YTD /remaining months	(2,090)	

Full-year surplus/ (deficit) scenarios	£'000
33. Extrapolated Scenario	102,823
34. Year to Date Trend Scenario	(25,083)

E. Committed Reserves & Contingencies

List of all Committed Reserves & Contingencies inc above in Section A. Please specify Row number in description.		1	2	3	4	5	6	7	8	9	10	11	12	Total YTD	Forecast year-end position
		Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar		
		£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000		
46	Passthrough to Commissioners: Healthcare Services	Forecast Only						559	559	559	559	559	559	0	3,356
47	CHC: Continuing Healthcare	Forecast Only						1,700			985	985	985	0	4,655
48	Cluster funding: Non Pay	Forecast Only							128	128	128	128	128	0	640
49	Commissioning IMTP Plans: Healthcare Services	Forecast Only						80	80	80	80	80	80	0	482
50	Critical Care: Pay	Forecast Only						65	65	65	65	65	65	0	392
51	Elective IMTP Funding: Pay	Forecast Only						67	67	67	67	67	67	0	402
52	Estates: IMTP Funding: Non pay	Forecast Only						71	71	71	71	71	71	0	425
53	Frailty project: Pay	Forecast Only						43	43	43	43	43	43	0	259
54	ICF: Pay	Forecast Only						600	600	600	600	600	600	0	3,598
55	ICF: Non pay	Forecast Only						399	999	999	999	999	999	0	5,397
56	Major Trauma: Healthcare Services	Forecast Only						38	38	38	38	38	38	0	226
57	MH: IMTP Funding: Pay	Forecast Only						131	131	131	131	131	131	0	788
58	Microsoft 365: Non pay	Forecast Only						92	92	92	92	92	92	0	552
59	IMTP Non pay	Forecast Only						65	65	65	65	65	65	0	391
60	IMTP Pay: Other: Pay	Forecast Only						46	46	46	46	46	46	0	275
61	Primary Care IMTP Funding: Primary Care	Forecast Only						82	82	82	82	82	82	0	491
62	Public Health: IMTP Funding: Non pay	Forecast Only						165	165	165	165	165	165	0	990
63	RPB Winter Pressures: Pay	Forecast Only						215	215	215	215	215	215	0	1,290
64	Sec Care Drugs: Drugs	Forecast Only						122	122	122	122	122	122	0	730
65	Therapies IMTP Funding: Pay	Forecast Only						54	54	54	54	54	54	0	324
66	Transformation: Pay	Forecast Only						83	83	83	83	83	83	0	499
67	Variable pay: Pay	Forecast Only							1,100	1,100	1,100	1,100	1,100	0	4,401
68	Wage award: Pay	Forecast Only						1,667	238	238	238	238	238	0	2,858
69	Welsh Risk Pool: Non pay	Forecast Only									500	500	500	0	1,500
70	Workforce IMTP Funding: Pay	Forecast Only						144	144	144	144	144	144	0	863
71	COVID-19: Ringfenced funding	Forecast Only						2,186	2,186	2,186	2,186	2,186	2,186	0	13,117
72	COVID-19: Pay	Forecast Only						1,849	1,849	1,849	1,849	1,849	1,849	0	11,093
73	COVID-19: Non pay	Forecast Only						2,773	2,773	2,773	2,773	2,773	2,773	0	16,639
74	Total		0	0	0	0	0	13,297	11,996	11,996	13,482	13,482	12,381	0	76,634
	Phasing		0%	0%	0%	0%	0%	17%	16%	16%	18%	18%	16%	0%	

Table B2 - Pay Expenditure Analysis

A - Pay Expenditure		1	2	3	4	5	6	7	8	9	10	11	12		
		Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total YTD	Forecast year-end position
REF	TYPE	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
1	Administrative, Clerical & Board Members	5,217	5,329	5,268	5,255	5,289	5,302	5,647	5,564	5,575	5,566	5,572	5,436	31,660	65,020
2	Medical & Dental	8,315	9,202	8,523	7,651	8,208	7,372	8,372	8,296	8,299	8,295	8,298	8,146	49,271	98,978
3	Nursing & Midwifery Registered	11,665	11,766	11,737	11,814	11,993	12,091	12,943	12,764	12,816	12,783	12,815	12,435	71,066	147,622
4	Prof Scientific & Technical	1,367	1,412	1,398	1,387	1,419	1,408	1,461	1,439	1,439	1,439	1,439	1,414	8,391	17,023
5	Additional Clinical Services	5,188	5,247	5,294	5,289	5,328	5,260	5,430	5,360	5,431	5,384	5,425	5,352	31,606	63,988
6	Allied Health Professionals	2,329	2,337	2,347	2,365	2,399	2,422	2,752	2,703	2,749	2,710	2,736	2,669	14,199	30,517
7	Healthcare Scientists	841	884	842	893	908	874	951	937	937	937	937	943	5,242	10,885
8	Estates & Ancillary	2,239	2,396	2,722	2,444	2,435	2,403	2,524	2,496	2,520	2,499	2,513	2,497	14,639	29,689
9	Students	0	377	482	489	256	143	95	78	78	78	78	71	1,747	2,225
10	TOTAL PAY EXPENDITURE	37,161	38,950	38,613	37,587	38,235	37,275	40,175	39,637	39,845	39,692	39,814	38,963	227,821	465,948

Analysis of Pay Expenditure		1	2	3	4	5	6	7	8	9	10	11	12		
		Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total YTD	Forecast year-end position
REF	TYPE	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
11	LHB Provided Services - Pay	36,319	38,066	37,790	36,664	37,343	36,446	39,256	38,731	38,934	38,785	38,904	38,072	222,628	455,309
12	Other Services (incl. Primary Care) - Pay	842	884	823	923	892	829	919	906	911	908	910	891	5,193	10,639
13	Total - Pay	37,161	38,950	38,613	37,587	38,235	37,275	40,175	39,637	39,845	39,692	39,814	38,963	227,821	465,948

B - Agency / Locum (premium) Expenditure - Analysed by Type of Staff		1	2	3	4	5	6	7	8	9	10	11	12		
		Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total YTD	Forecast year-end position
REF	TYPE	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
1	Administrative, Clerical & Board Members	10	11	11	13	10	5	0	0	0	0	0	0	60	60
2	Medical & Dental	313	369	319	313	475	424	427	421	421	421	421	415	2,213	4,740
3	Nursing & Midwifery Registered	843	748	948	1,028	1,112	1,288	1,409	1,391	1,392	1,405	1,405	1,364	5,967	14,333
4	Prof Scientific & Technical	0	11	0	0	0	0	0	0	0	0	0	0	11	11
5	Additional Clinical Services	3	11	5	0	1	1	1	1	1	1	1	1	21	25
6	Allied Health Professionals	68	60	58	76	78	73	82	81	81	81	81	78	413	896
7	Healthcare Scientists	8	31	0	37	22	24	30	30	30	30	30	30	122	302
8	Estates & Ancillary	3	0	6	27	(6)	30	30	30	30	30	30	29	60	239
9	Students	0	0	0	0	0	0	0	0	0	0	0	0	0	0
10	TOTAL AGENCY/LOCUM (PREMIUM) EXPENDITURE	1,248	1,241	1,347	1,494	1,693	1,845	1,979	1,954	1,954	1,967	1,967	1,917	8,868	20,607
11	Agency/Locum (premium) % of pay	3.4%	3.2%	3.5%	4.0%	4.4%	4.9%	4.9%	4.9%	4.9%	5.0%	4.9%	4.9%	3.9%	4.4%

C - Agency / Locum (premium) Expenditure - Analysed by Reason for Using Agency/Locum (premium)		1	2	3	4	5	6	7	8	9	10	11	12		
		Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total YTD	Forecast year-end position
REF	REASON	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
1	Vacancy	834	711	1,031	1,075	1,305	1,473	1,551	1,528	1,528	1,528	1,528	1,480	6,429	15,572
2	Maternity/Paternity/Adoption Leave	3	2	3	3	4	4	5	4	4	4	4	4	19	46
3	Special Leave (Paid) - inc. compassionate leave, interview	1	1	1	1	1	1	2	1	1	1	1	1	7	16
4	Special Leave (Unpaid)	0		1	1	1	1	2	1	1	1	1	1	5	14
5	Study Leave/Examinations	0				0	0	0	0	0	0	0	0	0	0
6	Additional Activity (Winter Pressures/Site Pressures)	0				0	0	0	0	0	0	0	0	0	0
7	Annual Leave	0				0	0	0	0	0	0	0	0	0	0
8	Sickness	40	35	55	57	70	78	83	81	82	82	82	79	335	823
9	Restricted Duties	0				0	0	0	0	0	0	0	0	0	0
10	Jury Service	0				0	0	0	0	0	0	0	0	0	0
11	WLI	0				0	0	0	0	0	0	0	0	0	0
12	Exclusion (Suspension)	0				0	0	0	0	0	0	0	0	0	0
13	COVID-19	370	492	256	356	313	286	337	337	337	351	351	351	2,073	4,137
14	TOTAL AGENCY/LOCUM (PREMIUM) EXPENDITURE	1,248	1,241	1,347	1,494	1,693	1,845	1,979	1,954	1,954	1,967	1,967	1,917	8,868	20,607

Table B3 - COVID-19 Analysis

A - Additional Expenditure

REF	Enter as positive values												Total YTD £'000	Forecast year-end position £'000
	1 Apr £'000	2 May £'000	3 Jun £'000	4 Jul £'000	5 Aug £'000	6 Sep £'000	7 Oct £'000	8 Nov £'000	9 Dec £'000	10 Jan £'000	11 Feb £'000	12 Mar £'000		
1	Pay (Additional costs due to C19)													
2	Establishment & Bank Additional Hours:													
3	0	76	48	54	10	57	58	58	68	60	65	71	245	628
4	181	1,034	703	85	104	(521)	14	61	63	60	62	64	1,586	1,911
5	108	259	217	355	208	210	268	275	325	280	312	353	1,356	3,167
6	12	2	8	1	27	28	1	1	1	1	1	1	78	81
7	176	108	175	132	135	129	145	151	222	176	216	278	855	2,043
8	35	28	58	61	42	39	98	88	134	95	121	156	264	954
9	0	2	4	6	15	12	7	7	7	7	7	7	39	79
10	0	81	78	78	122	94	84	86	110	89	103	125	453	1,048
11	512	1,590	1,291	770	665	48	675	726	929	766	885	1,053	4,876	9,911
12	Agency:													
13	0	0	0	0	0	8	0	0	0	0	0	0	8	8
14	107	19	174	110	189	94	85	85	85	85	85	85	693	1,201
15	263	458	48	227	134	155	218	218	218	231	231	231	1,285	2,631
16	0	0	0	0	0	2	0	0	0	0	0	0	2	2
17	0	0	1	0	0	0	0	0	0	0	0	0	1	1
18	0	15	17	4	9	0	5	5	5	5	5	5	45	75
19	0	0	0	0	0	27	30	30	30	30	30	30	27	207
20	0	0	16	15	(20)	0	0	0	0	0	0	0	11	11
21	370	492	256	356	313	286	337	337	337	351	351	351	2,072	4,136
22	Returners (Provide WTE to the right):													
23													0	0
24													0	0
25													0	0
26													0	0
27													0	0
28													0	0
29													0	0
30													0	0
31	0	0	0	0	0	0	0	0	0	0	0	0	0	0
32	Students (Provide WTE to the right):													
33	41	34	0	1	0	0	0	0	0	0	0	0	76	76
34	0	374	469	455	103	95	64	66	66	66	66	66	1,496	1,892
35	0	0	0	0	31	0	0	0	0	0	0	0	31	31
36	0	0	3	36	119	37	19	0	0	0	0	0	195	214
37	0	2	0	0	0	0	0	0	0	0	0	0	2	4
38	0	0	0	0	0	0	0	0	0	0	0	0	0	0
39	0	0	0	0	0	0	0	0	0	0	0	0	0	0
40	41	410	472	493	253	132	83	67	67	67	67	67	1,801	2,218
41	Other Temp Staff (Provide WTE to the right):													
42	0	33	25	69	100	67	67	67	67	67	67	67	294	694
43	0	35	96	91	80	32	40	40	40	40	40	40	334	574
44	13	39	36	51	36	29	56	56	56	56	56	56	204	542
45	0	0	0	0	0	0	0	0	0	0	0	0	0	0
46	231	323	449	372	317	197	209	209	209	209	209	209	1,888	3,143
47	3	3	3	0	47	87	87	87	87	87	87	87	143	668
48	0	0	0	0	0	0	0	0	0	0	0	0	0	0
49	292	257	794	490	447	440	459	459	459	459	459	459	2,720	5,473
50	539	690	1,403	1,073	1,027	851	918	918	918	918	918	918	5,583	11,094
51	Other (specify below and in narrative)													
52													0	0
53													0	0
54													0	0
55													0	0
56	1,462	3,182	3,422	2,692	2,258	1,318	2,014	2,049	2,252	2,102	2,221	2,389	14,332	27,359

Table B3 - COVID-19 Analysis

A - Additional Expenditure (continued)

	1	2	3	4	5	6	7	8	9	10	11	12	Total YTD	Forecast year-end position
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	£'000	£'000
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
57 Non Pay (Additional costs due to C19)														
58 Accomodation Costs	0	0	0	0	0	13	3	3	3	3	3	3	13	31
59 Additional costs in Primary Care	0	34	511	276	290	180	216	437	277	193	167	156	1,290	2,737
60 Additional costs in Private Sector including via WHSSC	0	64	88	66	57	42	43	0	0	0	0	0	316	359
61 Additional costs in Temporary Hospital Capacity - Set Up Costs e.g. Field Hospitals	14	8	2,436	25	3,474	90	85	54	0	0	0	0	6,047	6,186
62 Catering Costs	19	27	2	99	(1)	35	27	28	45	30	40	52	182	407
63 CHC	122	147	245	249	155	242	1,871	174	778	0	0	599	1,160	4,581
64 Cleaning Costs	42	46	43	10	12	9	16	17	17	16	16	16	162	260
65 Costs as a result of lost income (inc SLA, services & private patients)	32	315	130	47	49	0	49	49	49	49	49	49	573	869
66 Covid-19 Testing Units	0	0	0	0	0	0	0	0	0	0	0	0	0	0
67 Decommissioning costs	0	0	0	0	0	0	191	0	0	0	0	4,147	0	4,338
68 Discharge to assess	0	158	88	18	0	(88)	0	0	0	0	0	0	176	176
69 Discharge to recover	0	8	4	15	8	0	9	9	9	9	9	9	34	88
70 Drugs inc Medical Gases	104	15	39	128	51	257	287	289	309	292	303	320	594	2,394
71 Equipment Costs - beds	49	86	187	44	31	88	8	0	0	0	0	0	485	493
72 Equipment costs - ventilators	331	76	0	9	0	0	0	0	0	0	0	0	416	416
73 Equipment costs - other (specific in narrative)	59	116	0	132	180	299	179	171	171	170	170	170	786	1,816
74 Estates/Security costs	490	293	280	208	166	147	88	88	90	89	91	93	1,584	2,124
75 External Project Management Costs	0	0	0	0	0	0	4	4	4	4	0	0	0	16
76 Insurance	0	0	0	0	0	1	1	1	1	1	1	1	1	7
77 IT Costs	42	22	11	44	11	61	4	32	4	4	4	4	191	241
78 Laundry Costs	6	79	35	44	3	24	12	13	16	13	15	18	191	276
79 Legal Fees	0	0	0	5	5	2	0	0	0	0	0	0	12	15
80 M&SE - consumables	182	336	270	246	418	510	302	299	306	306	304	310	1,963	3,791
81 Mortuary/Funeral Expenses	0	0	0	0	0	0	0	0	0	0	0	0	0	0
82 PPE	136	84	114	101	69	48	69	73	75	75	75	70	553	990
83 Rates	0	0	22	(15)	2	2	2	2	2	2	2	2	12	25
84 Rent	645	1,112	865	871	610	(3,237)	145	93	93	50	50	50	867	1,345
85 Reprovision of existing services to external facilities e.g. Haemophilia services	0	0	0	0	0	0	0	0	0	0	0	0	0	0
86 Telephony	0	0	0	0	0	10	6	7	7	6	6	5	10	46
87 Temporary LTA Arrangements	332	799	516	309	215	0	0	0	0	0	0	0	2,171	2,171
88 Training	0	4	19	3	0	0	0	0	0	0	0	0	26	26
89 Transportation	3	4	5	17	9	17	4	4	5	4	5	5	54	82
90 Utility Costs	120	15	42	39	26	104	34	35	43	36	41	46	346	581
91 Other costs (specify below and in narrative)	0	0	0	0	0	69	131	131	131	131	131	131	69	857
92 Consequential losses (Field Hospitals)	0	0	0	0	28	3,812	432	432	432	432	432	432	3,840	6,432
93 Primary Care Prescribing	0	456	0	859	388	492	503	457	481	479	463	503	2,195	5,082
94 MHLD Transformation (Month 6) Transformation Optimise Flow and Outcomes (Months 7-12)	0	0	0	0	0	0	0	0	0	0	0	0	0	0
95 Local Authority spend	0	0	0	0	460	0	634	485	525	523	525	530	460	3,682
96 TOTAL ADDITIONAL NON PAY EXPENDITURE	2,728	4,304	5,952	3,849	6,716	3,230	5,356	3,388	3,873	2,918	2,903	7,722	26,779	52,939
97 TOTAL ADDITIONAL OPERATIONAL EXPENDITURE (Agrees to Table A)	4,190	7,486	9,374	6,541	8,974	4,548	7,370	5,436	6,124	5,020	5,124	10,111	41,112	80,298

A1 - Major Projects : Change in Capacity Expenditure Due To C19 (subset of Table A)

	1	2	3	4	5	6	7	8	9	10	11	12	Total YTD	Forecast year-end position
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	£'000	£'000
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
REF Enter as positive values														
98 Major Projects: Capacity Change Expenditure (due to C19)														
99 Carmarthenshire Field Hospitals	8	175	317	282	3,296	712	366	281	269	269	265	1,946	4,791	8,188
100 Pembrokeshire Field Hospital	663	880	2,685	687	913	358	468	537	759	529	685	3,135	6,185	12,299
101 Ceredigion Field Hospitals	5	38	526	54	90	67	234	43	43	43	43	277	780	1,460
102													0	0
103 GMS Extended Hours DES Cost			203										203	203
104													0	0
105													0	0
106													0	0
107													0	0
108 Flu immunisations							200	421	284	200	174	163	0	1,442
109 Test, Trace, Protect Costs	126	95	5	0	527	13	857	708	748	745	748	750	766	5,323
110 TOTAL MAJOR PROJECTS: ADDITIONAL CAPACITY EXPENDITURE	802	1,187	3,736	1,023	4,826	1,150	2,125	1,989	2,104	1,786	1,915	6,271	12,724	28,915

B - Non Delivery of Planned Savings Due To C19

	1	2	3	4	5	6	7	8	9	10	11	12	Total YTD	Forecast year-end position
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	£'000	£'000
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
Enter as Positive values														
111 Non Delivery of Planned Savings (due to C19)														
112 Non Delivery of Finalised (M1) Savings	566	124	133	22	99	129	193	194	199	103	103	394	1,073	2,259
113 Non delivery of Savings Assumed but not finalised at M1	2,049	2,422	2,622	2,543	2,354	2,543	2,399	2,398	2,388	2,420	2,419	2,019	14,533	28,576
114 TOTAL NON DELIVERY OF PLANNED SAVINGS	2,615	2,546	2,755	2,565	2,453	2,672	2,592	2,592	2,587	2,523	2,522	2,413	15,606	30,835

Table B3 - COVID-19 Analysis

C - Planned Operational Expenditure Cost Reduction Due To C19

	1	2	3	4	5	6	7	8	9	10	11	12	Total YTD	Forecast year-end position
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	£'000	£'000
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
<i>Enter as Negative values</i>														
115	Expenditure Reductions (due to C19)													
116	(1,078)	(1,302)	(570)	(493)	(624)	(428)	(261)	0	0	(223)	(238)	(228)	(4,495)	(5,445)
117	0	0	0										0	0
118	0	0	(420)	(169)	(150)	(150)	(150)	(150)	(150)	(125)	(125)	(125)	(889)	(1,714)
119	(58)	(61)	(31)	(29)	(29)	(29)	(29)	(29)	(29)	(29)	(29)	(29)	(237)	(411)
120	(61)	(53)	(441)	(75)	(6)	(80)	20	20	20	20	20	20	(716)	(595)
121	(500)	(600)	(400)	(300)	(250)	(250)	(150)						(2,300)	(2,450)
122	0	(150)	(150)	(150)	(150)	(150)	(150)	(150)	(150)	(150)	(150)	(150)	(750)	(1,650)
123	0	(500)	0	0	(1,100)	(300)	(300)	(150)	(133)	(100)	(100)	(133)	(1,900)	(2,816)
124	(500)	(700)	(400)	(300)	(450)	(450)	(450)	0	0	0	0	0	(2,800)	(3,250)
125	(2,197)	(3,366)	(2,412)	(1,516)	(2,759)	(1,837)	(1,470)	(459)	(442)	(607)	(622)	(645)	(14,087)	(18,331)

D - Slippage on Planned Investments/Repurposing of Developmental Initiatives due to C19

	1	2	3	4	5	6	7	8	9	10	11	12	Total YTD	Forecast year-end position
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	£'000	£'000
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
<i>Enter as Negative values</i>														
126	Slippage on Planned Investments/Repurposing of Developmental Initiatives (due to C19)													
127					(140)	140							0	0
128						(400)	(200)	(200)	(200)	(200)	(200)	(200)	(400)	(1,600)
129							(463)	(463)	(463)	(463)	(463)	(463)	0	(2,777)
130							(21)	(21)	(21)	(21)	(21)	(21)	0	(123)
131						(2,456)	(200)					(59)	(2,456)	(2,715)
132													0	0
133													0	0
134													0	0
135													0	0
136	TOTAL RELEASE/REPURPOSING OF PLANNED INVESTMENTS/DEVELOPMENT INITIATIVES (Agrees to Table A)													
	0	0	0	0	(140)	(2,716)	(883)	(683)	(683)	(683)	(683)	(742)	(2,856)	(7,215)
137	NET EXPENDITURE DUE TO Covid-19													
	4,608	6,666	9,717	7,590	8,528	2,667	7,609	6,886	7,586	6,252	6,341	11,137	39,775	85,586

Table C - Identified Expenditure Savings Schemes (Excludes Income Generation & Accountancy Gains)

		1	2	3	4	5	6	7	8	9	10	11	12	Total YTD	Full-year forecast	YTD as %age of FY	Assessment		Full In-Year forecast		Full-Year Effect of Recurring Savings £'000	
		Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar			YTD variance as %age of YTD Budget/Plan	Green	Amber	on recurring	recurring		
		£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000				£'000	£'000	£'000	£'000		£'000
1		Budget/Plan	425	25	25	25	25	25	58	58	58	58	58	548	895							
2	CHC and Funded Nursing Care	Actual/F'cast	0	43	24	(36)	0	20	64	64	64	64	64	51	435	11.82%	435	0	67	368	495	
3		Variance	(425)	18	(1)	(61)	(25)	(4)	6	6	6	6	6	(496)	(460)	(90.61%)	(460)	0				
4		Budget/Plan	1	1	1	1	1	1	34	34	34	34	34	6	212							
5	Commissioned Services	Actual/F'cast	0	0	0	0	0	1	34	34	34	34	34	1	206	0.40%	206	0	0	206	210	
6		Variance	(1)	(1)	(1)	(1)	(1)	(0)	(0)	(0)	(0)	(0)	(0)	(5)	(6)	(86.12%)	(4)	(2)				
7		Budget/Plan	17	17	17	17	17	17	17	17	17	17	17	100	200							
8	Medicines Management (Primary & Secondary Care)	Actual/F'cast	17	17	17	3	13	13	17	17	17	17	17	80	180	44.44%	180	0	0	180	200	
9		Variance	(0)	0	0	(13)	(3)	(3)	0	0	0	0	0	(20)	(20)	(20.00%)	(20)	0				
10		Budget/Plan	200	131	127	131	321	132	208	209	219	213	343	1,044	2,448							
11	Non Pay	Actual/F'cast	107	38	36	14	31	203	117	117	122	186	186	296	1,454	29.55%	1,196	259	912	542	947	
12		Variance	(92)	(93)	(91)	(118)	(290)	71	(91)	(92)	(97)	(27)	(27)	(47)	(614)	(994)	(58.84%)	(422)	(572)			
13		Budget/Plan	156	156	151	130	130	130	132	132	132	106	106	377	853	1,837						
14	Pay	Actual/F'cast	108	108	110	106	101	108	86	86	86	60	60	60	641	1,077	59.48%	1,077	0	835	242	294
15		Variance	(48)	(48)	(40)	(25)	(28)	(22)	(46)	(46)	(46)	(46)	(46)	(317)	(212)	(760)	(24.83%)	(99)	(661)			
16		Budget/Plan	0	0	0	0	0	0	0	0	0	0	0	0	0	0						
17	Primary Care	Actual/F'cast	0	0	0	0	0	0	0	0	0	0	0	0	0	0				0	0	
18		Variance	0	0	0	0	0	0	0	0	0	0	0	0	0	0						
19		Budget/Plan	798	330	320	304	493	304	449	450	460	428	428	828	2,550	5,592						
20	Total	Actual/F'cast	232	206	187	86	146	345	317	317	322	361	361	471	1,203	3,352	35.88%	3,094	259	1,814	1,538	2,146
21		Variance	(566)	(124)	(133)	(218)	(348)	41	(131)	(132)	(137)	(67)	(67)	(357)	(1,347)	(2,240)	(52.83%)	(1,005)	(1,235)			
22	Variance in month achievement against FY forecast		(70.94%)	(37.44%)	(41.54%)	(71.57%)	(70.48%)	13.40%	(29.29%)	(29.45%)	(29.90%)	(15.65%)	(15.65%)	(43.15%)	(52.83%)							
23			6.92%	6.16%	5.59%	2.58%	4.35%	10.30%	9.47%	9.47%	9.61%	10.76%	10.76%	14.05%								

Table D - Income/Expenditure Assumptions

Annual Forecast

LHB/Trust	Contracted Income	Non Contracted Income	Total Income	Contracted Expenditure	Non Contracted Expenditure	Total Expenditure
	£'000	£'000	£'000	£'000	£'000	£'000
1 Swansea Bay University	4,474	(382)	4,092	35,861	706	36,567
2 Aneurin Bevan University	351	192	543	264	202	466
3 Betsi Cadwaladr University	4,518		4,518	276		276
4 Cardiff & Vale University	324	220	544	5,740	640	6,380
5 Cwm Taf Morgannwg University	432		432	465		465
6 Hywel Dda University	0		0	0		0
7 Powys	7,883	703	8,586	188	223	411
8 Public Health Wales	2,168		2,168	2,454		2,454
9 Velindre	3,264		3,264	15,059		15,059
10 NWSSP			0			0
11 NWIS			0			0
12 Wales Ambulance Services	147		147	4,573		4,573
13 WHSSC	1,629		1,629	77,678	(816)	76,862
14 EASC			0	24,341		24,341
15 HEIW	7,004		7,004	3		3
16 NHS Wales Executive			0			0
17 Total	32,194	733	32,927	166,902	955	167,857

Table E - Resource Limits	STATUS OF ISSUED RESOURCE LIMIT ITEMS				Revenue Resource Limit £'000	Recurring (R) or Non Recurring (NR)	Revenue Drawing Limit £'000	Capital Resource Limit £'000	Total Capital Drawing Limit £'000
	HCHS £'000	Pharmacy £'000	Dental £'000	GMS £'000					
1. BASE ALLOCATION									
1 LATEST ALLOCATION LETTER/SCHEDULE REF:	39	3	5	5					
2 Total Confirmed Funding	780,913	21,133	17,956	72,271	892,273		876,955	26,573	26,573
2. ANTICIPATED ALLOCATIONS									
3 DEL Non Cash Depreciation - Baseline Surplus / Shortfall	3,406				3,406	NR			
4 DEL Non Cash Depreciation - Strategic	1,317				1,317	NR			
5 DEL Non Cash Depreciation - Accelerated					0				
6 DEL Non Cash Depreciation - Impairment					0	NR			
7 AME Non Cash Depreciation - Donated Assets	669				669	NR			
8 AME Non Cash Depreciation - Impairment	5,458				5,458				
9 AME Non Cash Depreciation - Impairment Reversals					0				
10 Removal of Donated Assets / Government Grant Receipts	(453)				(453)	NR			
11 Treatment Fund	910				910	R	910		
12 Substance Misuse	2,039				2,039	R	2,039		
13 Delivery Plan	388				388	NR			
14 Prevention and Early Years	742				742	R	742		
15					0				
16					0				
17 A Healthier Wales - Blades for Children	22				22	R	22		
18 A Healthier Wales - Rehab, Reablement and Recovery	25				25	R	25		
19 A Healthier Wales - AHP AAC	8				8	R	8		
20					0				
21 Dental VT intake			290		290	NR	290		
22					0	NR	0		
23					0				
24 CAMHs School In Reach	308				308	NR	308		
25 Clinical Excellence Awards	70				70	NR	70		
26					0				
27 Calman Registrars (SpR)	12				12	NR	12		
28					0				
29					0	NR	0		
30					0				
31 COVID-19: TTP including IT (HB and LA)	4,130				4,130	NR	4,130		
32 COVID-19: Field Hospitals set up costs (Month 5)	3,422				3,422	NR	3,422		
33 COVID-19: Field Hospitals set up costs	268				268	NR	268		
34 COVID-19: Field Hospitals decommissioning costs	4,338				4,338	NR	4,338		
35 COVID-19: Field Hospitals consequential losses	6,432				6,432	NR	6,432		
36 COVID-19: PPE	990				990	NR	990		
37 COVID-19: Flu Immunisations	1,442				1,442	NR	1,442		
38 COVID-19: Additional funding	47,900				47,900	NR	47,900		
39 RPB: Discharge to Recover and Assess (D2RA) pathways	1,290				1,290	NR	1,290		
40 COVID-19: TTP Antigen and Antibody	1,193				1,193	NR	1,193		
41					0				
42					0				
43					0				
44					0				
45					0				
46					0				
47					0				
48					0				
49					0				
50					0				
51					0				
52					0				
53					0				
54					0				
55					0				
56 Total Anticipated Funding	86,326	0	290	0	86,616		76,219	0	0
3. TOTAL RESOURCES & BUDGET RECONCILIATION									
57 Confirmed Resources Per 1. above	780,913	21,133	17,956	72,271	892,273		876,955	26,573	26,573
58 Anticipated Resources Per 2. above	86,326	0	290	0	86,616		76,219	0	0
59 Total Resources	867,239	21,133	18,246	72,271	978,889		953,174	26,573	26,573

Table F - Statement of Financial Position For Monthly Period

	Opening Balance	Closing Balance	Closing Balance
	Beginning of	End of	End of
	Apr 20	Sep 20	Mar 21
	£'000	£'000	£'000
Non-Current Assets			
1 Property, plant and equipment	278,649	275,570	283,923
2 Intangible assets	1,461	1,233	1,233
3 Trade and other receivables	58,101	47,656	58,101
4 Other financial assets	0	0	
5 Non-Current Assets sub total	338,211	324,459	343,257
Current Assets			
6 Inventories	9,216	9,210	9,216
7 Trade and other receivables	68,507	60,491	60,491
8 Other financial assets	0	0	0
9 Cash and cash equivalents	1,654	1,903	(25,000)
10 Non-current assets classified as held for sale	832	0	0
11 Current Assets sub total	80,209	71,604	44,707
12 TOTAL ASSETS	418,420	396,063	387,964
Current Liabilities			
13 Trade and other payables	119,136	111,231	119,136
14 Borrowings (Trust Only)	0	0	0
15 Other financial liabilities	0	0	0
16 Provisions	39,837	37,477	37,477
17 Current Liabilities sub total	158,973	148,708	156,613
18 NET ASSETS LESS CURRENT LIABILITIES	259,447	247,355	231,351
Non-Current Liabilities			
19 Trade and other payables	0	0	0
20 Borrowings (Trust Only)	0	0	0
21 Other financial liabilities	0	0	0
22 Provisions	58,365	40,599	40,599
23 Non-Current Liabilities sub total	58,365	40,599	40,599
24 TOTAL ASSETS EMPLOYED	201,082	206,756	190,752
FINANCED BY:			
Taxpayers' Equity			
25 General Fund	173,027	172,397	156,393
26 Revaluation Reserve	28,055	34,359	34,359
27 PDC (Trust only)	0	0	0
28 Retained earnings (Trust Only)	0	0	0
29 Other reserve	0	0	0
30 Total Taxpayers' Equity	201,082	206,756	190,752

	Opening Balance	Closing Balance	Closing Balance
	Beginning of	End of	End of
	Apr 20	Sep 20	Mar 21
EXPLANATION OF ALL PROVISIONS			
31 Clinical negligence	92,541	70,745	70,745
32 Personal injury	3,203	5,014	5,014
33 Defence fees	1,673	1,526	1,526
34 Pensions	29	35	35
35 CHC	756	756	756
36			
37			
38			
39			
40 Total Provisions	98,202	78,076	78,076

	£'000
ANALYSIS OF WELSH NHS RECEIVABLES (current month)	
41 Welsh NHS Receivables Aged 0 - 10 weeks	1,329
42 Welsh NHS Receivables Aged 11 - 16 weeks	11
43 Welsh NHS Receivables Aged 17 weeks and over	0

	£'000	£'000	£'000
ANALYSIS OF TRADE & OTHER PAYABLES (opening, current & closing)			
44 Capital	8,355	4,824	8,355
45 Revenue	110,781	106,407	110,781

	£'000	£'000	£'000
ANALYSIS OF CASH (opening, current & closing)			
46 Capital	240	4,000	1,000
47 Revenue	1,414	(2,097)	(26,000)

Table G - Monthly Cashflow Forecast

	April £'000	May £'000	June £'000	July £'000	Aug £'000	Sept £'000	Oct £'000	Nov £'000	Dec £'000	Jan £'000	Feb £'000	Mar £,000	Total £,000	
RECEIPTS														
1	WG Revenue Funding - Cash Limit (excluding NCL) - LHB & SHA only	80,782	75,127	83,127	78,138	69,138	83,438	91,500	70,600	87,100	71,100	78,935	84,189	953,174
2	WG Revenue Funding - Non Cash Limited (NCL) - LHB & SHA only	(127)	(127)	(127)	(138)	(138)	(138)	0	(100)	(100)	(100)	(100)	(100)	(1,295)
3	WG Revenue Funding - Other (e.g. invoices)	108	2,665	419	122	122	168	650	650	650	650	650	650	7,504
4	WG Capital Funding - Cash Limit - LHB & SHA only	5,000	8,000	3,000	5,000	0	0	0	0	0	2,000	3,573	26,573	
5	Income from other Welsh NHS Organisations	7,087	8,453	8,082	16,158	4,685	7,145	2,500	2,500	2,500	9,100	2,500	2,500	73,210
6	Short Term Loans - Trust only													0
7	PDC - Trust only													0
8	Interest Receivable - Trust only													0
9	Sale of Assets		450											450
10	Other - (Specify in narrative)	5,601	1,321	1,963	1,982	1,647	910	8,408	3,877	8,243	2,346	2,346	2,826	41,470
11	TOTAL RECEIPTS	98,451	95,889	96,464	101,262	75,454	91,523	103,058	77,527	98,393	83,096	86,331	93,638	1,101,086
PAYMENTS														
12	Primary Care Services : General Medical Services	5,216	4,774	6,437	4,546	4,518	5,204	4,529	4,531	4,100	4,100	4,100	4,100	56,155
13	Primary Care Services : Pharmacy Services	1,535	1,403	1,486	2,065	0	877	1,500	475	1,500	473	1,500	1,500	14,314
14	Primary Care Services : Prescribed Drugs & Appliances	11,450	6,297	5,791	11,267	0	5,977	13,000	0	13,000	0	6,000	6,000	78,782
15	Primary Care Services : General Dental Services	1,078	1,056	1,103	1,110	1,387	1,331	1,400	1,400	1,400	1,400	1,400	1,400	15,465
16	Non Cash Limited Payments	84	34	74	(68)	19	3	(529)	(513)	(100)	(100)	(100)	(100)	(1,296)
17	Salaries and Wages	31,255	37,375	37,756	37,906	37,669	37,175	38,189	37,954	38,169	38,039	38,332	38,432	448,251
18	Non Pay Expenditure	34,176	34,925	41,584	35,603	28,379	35,542	37,319	31,016	33,251	37,612	31,834	65,196	446,437
19	Short Term Loan Repayment - Trust only													0
20	PDC Repayment - Trust only													0
21	Capital Payment	6,835	4,219	862	1,506	1,204	2,661	928	928	928	2,000	4,024		27,023
22	Other items (Specify in narrative)	5,531	4,255	4,394	5,871	2,090	3,968	6,450	1,800	6,452	600	600	598	42,609
23	TOTAL PAYMENTS	97,160	94,338	99,487	99,806	75,266	92,738	102,786	77,591	98,700	83,052	85,666	121,150	1,127,740
24	Net cash inflow/outflow	1,291	1,551	(3,023)	1,456	188	(1,215)	272	(64)	(307)	44	665	(27,512)	
25	Balance b/f	1,654	2,945	4,496	1,473	2,929	3,117	1,902	2,174	2,110	1,803	1,847	2,512	
26	Balance c/f	2,945	4,496	1,473	2,929	3,117	1,902	2,174	2,110	1,803	1,847	2,512	(25,000)	

Table H - PSPP

30 DAY COMPLIANCE		Target	ACTUAL Q1		ACTUAL Q2		ACTUAL Q3		ACTUAL Q4		YEAR TO DATE		FORECAST YEAR END	
PROMPT PAYMENT OF INVOICE PERFORMANCE		%	Actual %	Variance %	Actual %	Variance %	Actual %	Variance %	Actual %	Variance %	Actual %	Variance %	Forecast %	Variance %
1	% of NHS Invoices Paid Within 30 Days - By Value	95.0%	98.7%	3.7%	99.6%	4.6%	-95.0%		-95.0%		99.2%	4.2%	95.0%	0.0%
2	% of NHS Invoices Paid Within 30 Days - By Number	95.0%	87.2%	-7.8%	92.7%	-2.3%	-95.0%		-95.0%		89.5%	-5.6%	92.0%	-3.0%
3	% of Non NHS Invoices Paid Within 30 Days - By Value	95.0%	97.1%	2.1%	95.5%	0.5%	-95.0%		-95.0%		96.4%	1.4%	95.0%	0.0%
4	% of Non NHS Invoices Paid Within 30 Days - By Number	95.0%	95.1%	0.1%	94.3%	-0.7%	-95.0%		-95.0%		94.7%	-0.3%	95.0%	0.0%

10 DAY COMPLIANCE		Actual %	ACTUAL Q1		ACTUAL Q2		ACTUAL Q3		ACTUAL Q4		YEAR TO DATE		FORECAST YEAR END	
PROMPT PAYMENT OF INVOICE PERFORMANCE		%	Actual %	Actual %	Actual %	Actual %	Actual %	Actual %	Actual %	Actual %	Actual %	Actual %	Actual %	Actual %
5	% of NHS Invoices Paid Within 10 Days - By Value	46.5%			74.8%						69.9%		70.0%	
6	% of NHS Invoices Paid Within 10 Days - By Number	65.3%			47.4%						46.9%		50.0%	
7	% of Non NHS Invoices Paid Within 10 Days - By Value	36.9%			31.7%						34.6%		35.0%	
8	% of Non NHS Invoices Paid Within 10 Days - By Number	33.9%			36.4%						35.1%		35.0%	

Table J - In Year Capital Scheme Profiles

Ref:	All Wales Capital Programme: Schemes:	Project Manager	In Year Forecast		Capital Expenditure Monthly Profile												YTD £'000	Total £'000	Risk Level
			Min. £'000	Max. £'000	April £'000	May £'000	Jun £'000	Jul £'000	Aug £'000	Sep £'000	Oct £'000	Nov £'000	Dec £'000	Jan £'000	Feb £'000	Mar £'000			
1	Womens and Childrens - Phase II - GGH - Main works	LH	7,761	7,761	229	207	310	314	444	478	855	856	855	856	856	1,500	1,983	7,761	Low
2	Imaging Equipment	AE	467	467	58	(7)	66	15	116	153	65	0	0	0	0	0	402	467	Low
3	WGH MRI Scheme	AE	1,440	1,440	0	0	0	0	0	0	190	300	300	300	350	0	1,440	Low	
4	Slippage from 19/20 (Statutory Fire and Estate Allocation)	RE	315	315	12	17	5	0	0	15	75	79	52	60	0	0	49	315	Low
5	Covid 19 - Digital	AT	743	743	720	23			0								743	743	Low
6	Covid 19 - IT Other	AT	1,113	1,113				242	121	6	93	93	93	93	93	279	369	1,113	Low
7	Covid 19 - Estates	RE	196	196	29	62	37	59	9								196	196	Low
8	Covid 19 - Equipment - Field Hospitals	GR	4,313	4,313	2,474	593	379	519	27	122	33	33	33	33	34		4,114	4,313	Low
9	Covid 19 - Equipment - Acute Sites	GR	16,617	16,617		2,361			567	1,047	2,098	2,098	2,098	2,099	2,099	2,150	3,975	16,617	Low
10	COVID 19 - Other	GR	235	235					151		14	14	14	14	14		151	235	Low
11	Cross Hands	RD	20	20	7	24	(11)	0	0								20	20	Low
12	Bronglais MRI	AE	44	44	0	0	0	0								44	0	44	Low
13	Cardigan	PS	223	223	0	0	0	0								223	0	223	Low
14	WGH Advanced Fire Compliance work	RE	350	350					14		56	56	56	56	56	56	14	350	Low
15	COVID 19 IT Digital	AT	192	192							32	32	32	32	32	32	0	192	Low
16	ICF - MCP - South Pembs Hospital Health and Social Care Resource Centre, Sunderland Ward	RE	202	202						15	30	50	50	57	0	0	15	202	Low
17	PHW Molecular Hot lab PPH	AS	7	7						7							7	7	Low
18																	0	0	
19																	0	0	
20																	0	0	
21																	0	0	
22																	0	0	
23																	0	0	
24																	0	0	
25																	0	0	
26																	0	0	
27																	0	0	
28																	0	0	
29																	0	0	
30																	0	0	
31																	0	0	
32																	0	0	
33																	0	0	
34	Sub Total		34,238	34,238	3,530	3,281	786	1,150	1,449	1,843	3,351	3,501	3,583	3,600	3,483	4,682	12,039	34,239	
Discretionary:																			
35	I.T.	AT	1,445	1,445	339	119	(155)	318	52	240	50	96	96	96	96	97	914	1,445	Low
36	Equipment	GR	722	767	78	45	12	5	9	442	30	30	30	30	27		590	767	Low
37	Statutory Compliance	RE	1,120	1,120	0	0	0	4	18	53	170	175	175	175	175	175	75	1,120	Low
38	Estates	RE	1,947	2,897	0	2	28	37	24	10	150	250	450	550	650	707	100	2,857	Low
39	Other	RE	898	1,811			6	15		17	142	143	142	142	149		38	898	Low
40	Sub Total		6,132	8,040	417	166	(109)	379	103	762	542	694	893	993	1,093	1,155	1,718	7,088	
Other Schemes:																			
41	Donated Assets	RE	20	453				20			93			170			20	453	Low
42	Disposal Cardigan Hospital	RE	450	450												450	0	450	Low
43																	0	0	
44																	0	0	
45																	0	0	
46																	0	0	
47																	0	0	
48																	0	0	
49																	0	0	
50																	0	0	
51																	0	0	
52																	0	0	
53																	0	0	
54																	0	0	
55																	0	0	
56																	0	0	
57																	0	0	
58																	0	0	
59																	0	0	
60																	0	0	
61	Sub Total		470	903	0	0	0	20	0	0	93	0	0	170	0	620	20	903	
62	Total Capital Expenditure		40,840	43,181	3,947	3,447	677	1,549	1,553	2,605	3,986	4,195	4,476	4,763	4,576	6,457	13,776	42,229	

Table K - Capital Disposals

A: In Year Disposal of Assets

	Description	Date of Ministerial Approval to Dispose (Land & Buildings only)	Date of Ministerial Approval to Retain Proceeds > £0.5m	Date of Disposal	NBV	Sales Receipts	Cost of Disposals	Gain/ (Loss)
		MM/YY (text format, e.g. Apr 20)	MM/YY (text format, e.g. Apr 20)	MM/YY (text format, e.g. Feb 21)	£'000	£'000	£'000	£'000
1	Cardigan Hospital	December 2017	N/A	May 20	450	450		0
2	Cardigan Health Centre	December 2017	N/A	TBC				0
3	Neyland	TBC		TBC				0
4								0
5								0
6								0
7								0
8								0
9								0
10								0
11								0
12								0
13								0
14								0
15								0
16								0
17								0
18								0
19								0
	Total for in-year				450	450	0	0

Table N - General Medical Services

Operating Expenditure - ring fenced GMS budget

SUMMARY OF GENERAL MEDICAL SERVICES FINANCIAL POSITION						Year to Date
	LINE NO.	WG Allocation £000's	Current Plan £000's	Forecast Outturn £000's	Variance £000's	£000's
Global Sum	1					17,997
MPIG Correction Factor	2					203
Total Global Sum and MPIG	3	38,963	36,387	36,244	(143)	18,200
Quality Aspiration Payments	4					513
Quality Achievement Payments	5					0
Quality Assurance Improvement Framework (QAIF)	6					1,925
QAIF (In hours Access)	7					947
Total Quality	8	5,938	6,113	6,128	15	3,385
Direct Enhanced Services (To equal data in Section A (i) Line 32)	9		2,732	2,473	(259)	219
National Enhanced Services (To equal data in Section A (ii) Line 42)	10		1,727	302	(1,425)	52
Local Enhanced Services (To equal data in Section A (iii) Line 95)	11		2,192	3,506	1,314	2,176
Total Enhanced Services (To equal data in section A Line 96)	12	9,844	6,651	6,281	(370)	2,447
LHB Administered (To equal data in Section B Line 109)	13	2,040	6,660	7,925	1,265	4,173
Premises (To equal data in section C Line 138)	14	4,821	4,923	4,330	(593)	2,168
IM & T	15	231	30	4	(26)	2
Out of Hours (including OOHDF)	16	4,826	5,952	5,615	(337)	2,781
Dispensing (To equal data in Line 154)	17	5,608	5,661	5,260	(401)	2,749
Total	18	72,271	72,377	71,787	(590)	35,905
SUPPLEMENTARY INFORMATION						
Directed Enhanced Services Section A (i)	LINE NO.	£000's	£000's	£000's	£000's	£000's
Learning Disabilities	19		77	30	(47)	
Childhood Immunisation Scheme	20		605	497	(108)	208
Mental Health	21		37		(37)	
Influenza & Pneumococcal Immunisations Scheme	22		876	869	(7)	
Services for Violent Patients	23		16		(16)	
Minor Surgery Fees	24		349	171	(178)	
MENU of Agreed DES						
Asylum Seekers & Refugees	25		20	13	(7)	
Care of Diabetes	26			445	445	
Care Homes	27		679	410	(269)	
Extended Surgery Opening	28		73	38	(35)	11
Gender Identity	29				0	
Homeless	30				0	
Oral Anticoagulation with Warfarin	31				0	
TOTAL Directed Enhanced Services (must equal line 9)	32		2,732	2,473	(259)	219
National Enhanced Services A (ii)	LINE NO.	£000's	£000's	£000's	£000's	£000's
INR Monitoring	33		721	257	(464)	52
Shared care drug monitoring (Near Patient Testing)	34				0	
Drug Misuse	35		116	45	(71)	
IUCD	36		237		(237)	
Alcohol misuse	37				0	
Depression	38				0	
Minor injury services	39				0	
Diabetes	40		653		(653)	
Services to the homeless	41				0	
TOTAL National Enhanced Services (must equal line 10)	42		1,727	302	(1,425)	52

Table N - General Medical Services

SUPPLEMENTARY INFORMATION (continued)

Local Enhanced Services	A (iii)	LINE NO.	£000's	£000's	£000's	£000's	£000's
ADHD		43					0
Asylum Seekers & Refugees		44					0
Cardiology		45					0
Care Homes		46					0
Care of Diabetes		47					0
Chiropody		48					0
Counselling		49					0
Depo - Provera (including Implanon & Nexplanon)		50					0
Dermatology		51					0
Dietetics		52					0
DOAC/NOAC		53					0
Drugs Misuse		54					0
Extended Minor Surgery		55					0
Gonaderlins		56					0
Homeless		57					0
HPV Vaccinations		58		3	198		195
Immunisations (inc Pertussis excluding DES - Childhood Imm & Influenza & Pneumococcal Imm)		59		289	14		(275)
Learning Disabilities		60					0
Lithium / INR Monitoring		61					0
Local Development Schemes		62					0
Mental Health		63					0
Minor Injuries		64					0
MMR		65		4	5		1
Multiple Sclerosis		66					0
Muscular Skeletal		67					0
Nursing Homes		68					0
Orthopaedic (Upper Limb GPwSi/Clinical Assessments)		69					0
Osteopathy		70		12	15		3
Phlebotomy		71		137	91		(46)
Physiotherapy (inc MT3)		72					0
Referral Management		73					0
Respiratory (inc COPD)		74					0
Ring Pessaries		75					0
Sexual Health Services		76		9	115		106
Shared Care		77		291	112		(179)
Smoking Cessation		78					0
Substance Misuse		79		12			(12)
Suturing		80					0
Swine Flu		81					0
Transport/Ambulance costs		82					0
Vasectomy		83					0
Weight Loss Clinic (inc Exercise Referral)		84					0
Wound Care		85					0
Zoladex		86		112	83		(29)
COVID-19 ES		87		0	2,039		2,039
Shingles		88		33	25		(8)
Treatment Room		89		1,017	534		(483)
GMS Local Enhanced Services		90		35			(35)
Specimen Transport		91			45		45
Students		92		8			(8)
Bank Holiday opening		93		230	230		0
		94					0
TOTAL Local Enhanced Services (must equal line 11)		95		2,192	3,506		1,314

TOTAL Enhanced Services (must equal line 12)	96		6,651	6,281	(370)		2,447
---	-----------	--	--------------	--------------	--------------	--	--------------

GENERAL MEDICAL SERVICES
Operating Expenditure

LHB Administered	Section B	LINE NO.	WG Allocation £000's	Current Plan £000's	Forecast Outturn £000's	Variance £000's	Year to Date £000's
Seniority		97					515
Doctors Retainer Scheme Payments		98					18
Locum Allowances consists of adoptive, paternity & maternity		99					219
Locum Allowances : Cover for Sick Leave		100					
Locum Allowances : Cover For Suspended Doctors		101					
Prolonged Study Leave		102					
Recruitment and Retention (including Golden Hello)		103					
Appraisal - Appraiser Costs		104					
Primary Care Development Scheme		105					
Partnership Premium		106					339
Supply of syringes & needles		107					5
Other (please provide detail below, this should reconcile to line 128)		108					3,077
TOTAL LHB Administered (must equal line 13)		109	2,040	6,660	7,925	(1,265)	4,173

Table N - General Medical Services

Operating Expenditure (continued)

Analysis of Other Payments (line 108)	LINE NO.	£000's	£000's	£000's	£000's	£000's
Additional Managed Practice costs (costs in excess of Global Sum/MPIG)	110					2,871
CRB checks	111					(9)
GP Locum payments	112					50
LHB Locality group costs	113					
Managing Practice costs (LHB employed staff working in GP practices to improve GP services)	114					
Primary Care Initiatives	115					
Salaried GP costs	116					
Stationery & Distribution	117					8
Training	118					20
Translation fees	119					8
	120					
Management salary costs apportioned	121					129
	122					
	123					
	124					
	125					
	126					
	127					
TOTAL of Other Payments (must equal line 108)	128					3,077

Premises Section C	LINE NO.	£000's	£000's	£000's	£000's	£000's
Notional Rents	129					751
Actual Rents: Health Centres	130					
Actual Rents: Others	131					980
Cost Rent	132					
Clinical Waste/ Trade Refuse	133					1
Rates, Water, sewerage etc	134					323
Health Centre Charges	135					
Improvement Grants	136					109
All other Premises (please detail below which should reconcile to line 146)	137					4
TOTAL Premises (must equal line 14)	138	4,821	4,923	4,330	593	2,168

Analysis of Other Premises (Line 137)	LINE NO.	£000's	£000's	£000's	£000's	£000's
Miscellaneous	139					4
	140					
	141					
	142					
	143					
	144					
	145					
TOTAL of Other Premises (must equal line 137)	146					4

Memorandum item	LINE NO.	£000's	£000's	£000's	£000's	£000's
Enhanced Services included above but in dispute with LMC (TOTAL)	147					
Enhanced Services included above but not yet formally agreed LMC	148					

GENERAL MEDICAL SERVICES
Dispensing

Dispensing Data	LINE NO.	WG Allocation £000's	Current Plan £000's	Forecast Outturn £000's	Variance £000's	Year to Date £000's
Cost of Drugs and Appliances, after discounts and plus container allowance (and plus VAT where applicable)						
Dispensing Doctors	149					1,261
Prescribing Medical Practitioners - Personal Administration	150					756
Dispensing Service Quality Payment	151					16
Professional Fees and on-cost						
Dispensing Doctors	152					479
Prescribing Medical Practitioners - Personal Administration	153					237
TOTAL DISPENSING DATA (must equal line 17)	154	5,608	5,661	5,260	401	2,749

Table O - General Dental Services

Operating Expenditure from the revenue allocation for the dental contract

SUMMARY OF DENTAL SERVICES FINANCIAL POSITION

Expenditure / activities included in a GDS contract and / or PDS agreement	LINE NO.	WG Allocation £000's	Current Plan £000's	Forecast Outturn £000's	Variance £000's	Year to Date £000's
Gross Contract Value - Personal Dental Services	1		17,085	13,827	(3,258)	8,502
Gross Contract Value - General Dental Services	2				0	
Emergency Dental Services (inc Out of Hours)	3				0	
Additional Access	4				0	
Business Rates	5		84	100	16	35
Domiciliary Services	6				0	
Maternity/Sickness etc.	7		89	20	(69)	7
Sedation services including GA	8				0	
Seniority payments	9		11	8	(3)	5
Employer's Superannuation	10		656	667	11	428
Oral surgery	11				0	
OTHER (PLEASE DETAIL BELOW)	12		4,077	4,339	262	1,747
TOTAL DENTAL SERVICES EXPENDITURE	13	18,246	22,002	18,961	(3,041)	10,724

OTHER (PLEASE DETAIL BELOW) - Activities / expenditure not included in a GDS contract and / or	LINE NO.	£000's	£000's	£000's	£000's
Emergency Dental Services (inc Out of Hours)	14			390	
Additional Access	15			20	
Sedation services including GA	16			386	226
Continuing professional development	17				
Occupational Health / Hepatitis B	18				
Gwen Am Byth - Oral Health in care homes	19				
Refund of patient charges	20				
Design to Smile	21			375	171
Other Community Dental Services inc WHC/2015/001	22			1,694	847
Dental Foundation Training/Vocational Training	23			401	103
DBS/CRB checks	24				
Health Board staff costs associated with the delivery / monitoring of the dental contract	25			175	64
Oral Surgery	26			814	336
Orthodontics	27				
Special care dentistry e.g. WHC/2015/002	28				
Oral Health Promotion/Education	29			50	
Other	30			34	
	31				
	32				
	33				
	34				
	35				
	36				
	37				
	38				
	39				
	40				
	41				
	42				
TOTAL OTHER (must equal line 12)	43			4,339	1,747

RECEIPTS

TOTAL DENTAL SERVICES INCOME (Enter as a negative value)	44		(3,545)	(504)	3,041	(1,445)
---	-----------	--	----------------	--------------	--------------	----------------