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# Health and Safety Themed Risks

# Situation

572 risks are currently recorded on Datix.

The Health and Safety Team scrutinise the Datix system and periodically review the risks.

# Risk Register Domains

| <b>Risk by Domain</b>             | <b>Number</b> |
|-----------------------------------|---------------|
| Safety – Patient, staff or public | 289           |
| Quality/Complaints/Audit          | 65            |
| Workforce/OD                      | 12            |
| Statutory Duty/Inspections        | 23            |
| Adverse Publicity/Reputation      | 2             |
| Business Objectives/Projects      | 32            |
| Finance                           | 36            |
| Service/Business/Interruption     | 113           |

Total 572

# Breakdown by Domain

|  | Total | Safety –Patient/<br>staff/public | Service/Business<br>/Interruption | Statutory<br>duty/Inspections | HSC Leading<br>Committee |
|--|-------|----------------------------------|-----------------------------------|-------------------------------|--------------------------|
| Corporate Level Risks                  | 32    | 5                                | 4                                 | 4                             | 2                        |
| Directorate Level Risks                | 128   | 68                               | 8                                 | 8                             | 11                       |
| Service or Departmental<br>Level Risks | 412   | 216                              | 101                               | 11                            | 35                       |
| Archived/closed                        | 715   |                                  |                                   |                               |                          |
| Other Domains                          | Total |                                  |                                   |                               |                          |
| Business objectives/projects           | 1     |                                  |                                   |                               |                          |
| Quality/Complaints/Audit               | 0     |                                  |                                   |                               |                          |
| Workforce/OD                           | 0     |                                  |                                   |                               |                          |
| Finance                                | 0     |                                  |                                   |                               |                          |

# HSC Leading Committee

There are currently 48 risks where the HSC is identified as the leading Committee

| Corporate Level Risks   | Initial Risk Score | Current Risk Score |
|---|--------------------|--------------------|
| Increased COVID-19 infections from poor adherence to Social Distancing      | 20                 | 10                 |
| Failure to fully comply with the Regulatory Reform (Fire Safety) Order 2005 | 20                 | 15                 |

| Service or Departmental Level Risks  | Current Risk Score |
|--|--------------------|
| 1 Estates and Facilities - bulk oxygen capacity<br>1 Carmarthenshire Community Nursing - risks associated with management of COVID-19  | EXTREME            |
| 26 - The majority (25) relate to Estates and Facilities Directorate - aging equipment and estate, fire safety, electrical infrastructure, fire evacuation                                    | HIGH               |
| 8 Moderate – 7 relate to Estates and Facilities Directorate primarily environmental hazards, site - including slip, trip fall risks, storage, security, lone working, violence towards staff | MODERATE           |

# Observations from Review

- There are a number of risk register items which are split across several disciplines, e.g. storage of medical records and are allocated to one lead committee. There is a risk that key discussions are missed if only one element is focused on at committee level.
- Scrutiny by the H&S team requesting further justification of H&S risks or mitigation of risks are not forthcoming. Therefore, assurance that risks are being well managed or correctly allocated is not certain.
- Actions often state a business case is submitted, however no outcome is achieved.

# Recommendations

- Seek assurance at Quality & Safety meetings that risks are being updated. Responses to H&S Adviser are forthcoming.
- Review to determine most appropriate leading Committee for specific risks.
- Adapt the current Induction Training for Managers content to include understanding of wider implications of specific risks, e.g. health records storage which will impact on staff manual handling, environment, data protection.