



PWYLLGOR ADNODDAU CYNALIADWY SUSTAINABLE RESOURCES COMMITTEE

DYDDIAD Y CYFARFOD: DATE OF MEETING:	10 November 2022
TEITL YR ADRODDIAD: TITLE OF REPORT:	Regional Integration Fund (RIF) Allocation Breakdown
CYFARWYDDWR ARWEINIOL: LEAD DIRECTOR:	Huw Thomas, Director of Finance
SWYDDOG ADRODD: REPORTING OFFICER:	Rebecca Hayes, Senior Finance Business Partner

Pwrpas yr Adroddiad (dewiswch fel yn addas)

Purpose of the Report (select as appropriate)

Er Gwybodaeth/For Information

ADRODDIAD SCAA

SBAR REPORT

Sefyllfa / Situation

The purpose of this report is to provide the Committee with an update on the Regional Integration Fund (RIF) allocation breakdown and provide an assessment of the status of approved project plans.

Cefndir / Background

The Health and Social Care Regional Integration Fund (RIF) is a five-year fund to deliver a programme of change from April 2022 to March 2027.

The RIF builds on the learning and progress made under the previous Integrated Care Fund (ICF) and Transformation Fund (TF) and will seek to create sustainable system change through the integration of health and social care services.

Key features and values of the Fund include:

- A strong focus on prevention and early intervention.
- Developing and embedding national models of integrated care (also referred to as models of care).
- Actively sharing learning across Wales through Communities of Practice.
- Sustainable long-term resourcing to embed and mainstream new models of care.
- Creation of long-term pooled fund arrangements.
- Consistent investment in regional planning and partnership infrastructure.

The aim of the RIF is that, by the end of the five-year programme, at least six new national models of integrated care will have been established and mainstreamed to ensure that citizens of Wales, wherever they live, can be assured of an effective and seamless service experience in relation to:

- Community based care – prevention and community coordination.
- Community based care – complex care closer to home.
- Promoting good emotional health and well-being.

- Supporting families to stay together safely, and therapeutic support for care experienced children.
- Home from hospital services.
- Accommodation based solutions.

The Regional Planning Board (RPB) assumption is that the match funding test will be managed at a project level, not a programme level.

The fund architecture for the RIF has been co-designed with RPBs to further encourage the testing, embedding and mainstreaming of national integrated models of care. Partner match funding is expected from year one with 10% expected for accelerating change and 30% for embedding models.

The Health Board holds the RIF funding from Welsh Government (WG) on behalf of the RPB, with governance and decision-making responsibilities residing with the RPB. The Integrated Executive Group (IEG) sits under the RPB as a further monitoring committee.

The Health Board provides monthly financial reporting from the consolidation of the Health Board and Local Authority projects within each programme. Third sector expenditure is commissioned and reported on by the appropriate statutory partner on a project-by-project basis. The local finance leads for each project from each organisation are accountable for managing all of the financial and outcome-based analysis. There must be a partnership approach to decision making across the region, and all partners are working together in a cohesive and collaborative manner in managing financial investments in projects.

The RPB is developing a memorandum of understanding to clearly set out the governance and accountability arrangements for decision making and managing investment of the RIF.

Asesiad / Assessment

When the new RIF programme was announced, a Steering Group involving Health, Local Authority and Third Sector representatives was established with the purpose to discuss and agree projects and services to commission.

Alongside the Steering Group, a Performance and Finance Group has been established, meeting on a bi-weekly basis and chaired by the RPB. The Group includes representatives from HDdUHB Finance and Local Authority leads and enables decisions regarding governance and reporting arrangements in respect of the Fund.

The Steering Group and Performance and Finance Group have collaborated and agreed a set of RIF principles for which Health, Local Authority and Third Sector organisations are to abide by in relation to RIF. The principles include further details of the funding allocations and match funding arrangements, and the delegated responsibilities from the RPB to Locality and Project Leads.

Current position as at 30th September 2022

Since the previous report, the RPB has agreed, in partnership with Local Authority and Health leads, the plan for the current financial year, which has been submitted to WG and a review has taken place.

The below table provides an illustration of how funding has been allocated between Health and Local Authority/ Third Sector partners:

RIF Project	Funding	Allocation	Allocation Split	
			Health Board	LA / 3rd Sector
National Ringfenced Fund	100%	2,152,000	2,030,425	121,575
Regional Infrastructure Fund	75%	750,000	248,592	501,408
New model development	90%	4,731,912	914,329	3,792,499
National embedding fund	70%	11,041,128	4,461,402	6,073,555
Regional Project Management <i>(100% from New Model & National Embedding Funds)</i>			321,815	209,440
Grand Total		18,675,040	7,976,563	10,698,477

Note – Where funding is less than 100%, the WG expectation is for Health and LA to match fund the balance from within existing budgets or through non-financial means (for more information please refer to the RIF Principles above).

As part of the submission to WG, the Health Board Finance team was asked to provide an analysis of plans and forecasts at Month 6 at a programme and project level with a split between Regional and general funds. The below table is an extract of this information:

RIF 2022-23	Regional	Carms	Cered	Pembs	Total Plan
National Ringfenced Fund (100%)	2,152,000	-	-	-	2,152,000
IAS	398,000				398,000
Dementia	1,249,000				1,249,000
Memory Assessment Services	384,000				384,000
Unpaid Carers Hospital Discharge Engagement	121,000				121,000
Regional Infrastructure Fund (75%)	750,000	-	-	-	750,000
Regional Infrastructure Fund (75% up to £750,000)	750,000				750,000
New model development and national embedding fund (90%)	-	2,901,160	957,059	1,494,261	5,352,480
1 Place based care – Prevention and community coordination		458,403	127,768	411,169	997,340
2 Place based care – complex care closer to home		68,387	448,956	396,379	913,722
3 Promoting good emotional health and wellbeing		435,222	278,336	394,614	1,108,172
4 Preventing children entering care and supporting children to remain with their families		550,354	102,000	189,506	841,860
5 Home from hospital		1,040,651		102,592	1,143,244
6 Accommodation based solutions		348,143			348,143
National embedding fund (70%)	-	5,441,776	2,322,757	3,792,609	11,557,142
1 Place based care – Prevention and community coordination		1,562,626	309,436	522,998	2,395,061
2 Place based care – complex care closer to home		766,259	214,649	303,651	1,284,559
3 Promoting good emotional health and wellbeing		125,657	45,992	117,384	289,033
4 Preventing children entering care and supporting children to remain with their families		395,320	277,596	417,870	1,090,786
5 Home from hospital		2,411,543	1,071,409	1,936,905	5,419,858
6 Accommodation based solutions		180,370	403,674	493,801	1,077,845
Regional Project Management (100% from New Model & National Embedding Funds)	-	150,092	238,628	93,589	482,309
Projected Plan Totals	2,902,000	8,493,028	3,518,444	5,380,459	20,293,931
Funding Allocated	2,902,000	7,571,059	3,154,608	5,047,373	18,675,040
Value of Plans exceeding the Funding Allocated	-	921,969	363,836	333,086	1,618,891

Whilst County leads are still forecasting an overspend of £1.6m against an allocation of £18.7m, this has reduced from £3.2m reported in the previous report to the Committee at its meeting on 22nd August 2022.

While this overspend is a concern, the Health Board, in its role as Banker for this fund, has received assurances from County leads and the RPB that due to slippage and other factors such as Carmarthenshire Local Authority's decision to hold vacancies, they are confident of bringing forecasts back in line with the level of funding received. Finance has also received early indication this month that the overspend position will be reduced in Month 7 (October 2022). However, this will not be confirmed until revised forecasts are submitted by County leads on 8th November 2022.

Finance Business Partnering teams are working alongside County leads to provide greater scrutiny and ensure forecasts are prepared in line with the RIF principles that have been agreed. This will be further supported by the new Finance Business Partner for RIF who will commence in their role from early December 2022.

Argymhelliad / Recommendation

The Committee is requested to:

- Note and discuss the RIF position as at Month 6 2022/23 and the next steps in the management of project plans to ensure the funding allocation is not exceeded.
- Note the possible risk of an overspend against the regional allocation, that requires mitigation..

Amcanion: (rhaid cwblhau) Objectives: (must be completed)	
Committee ToR Reference: Cyfeirnod Cylch Gorchwyl y Pwyllgor:	4.5 Provide assurance on financial performance and delivery against Health Board financial plans and objectives and, on financial control, giving early warning on potential performance issues and making recommendations for action to continuously improve the financial position of the organisation, focusing in detail on specific issues where financial performance is showing deterioration or there are areas of concern.
Cyfeirnod Cofrestr Risg Datix a Sgôr Cyfredol: Datix Risk Register Reference and Score:	1432 (score 25) Delivery of the Health Board's draft interim Financial Plan for 2022/23 1199 (score 25) Achieving financial sustainability.
Safon(au) Gofal ac Iechyd: Health and Care Standard(s):	All Health & Care Standards Apply
Amcanion Strategol y BIP: UHB Strategic Objectives:	All Strategic Objectives are applicable
Amcanion Cynllunio Planning Objectives	All Planning Objectives Apply
Amcanion Llesiant BIP: UHB Well-being Objectives: Hyperlink to HDdUHB Well-being Objectives Annual Report 2018-2019	4. Improve Population Health through prevention and early intervention, supporting people to live happy and healthy lives 8. Transform our communities through collaboration with people, communities and partners

Gwybodaeth Ychwanegol: **Further Information:**

Ar sail tystiolaeth: Evidence Base:	Financial and Other submissions from Locality Leads
Rhestr Termau:	Contained within the report

Glossary of Terms:	
Partïon / Pwyllgorau â ymgynhorwyd ymlaen llaw y Pwyllgor Adnoddau Cynaliadwy: Parties / Committees consulted prior to Sustainable Resources Committee:	Finance Team Performance and Finance Group for RIF RPB IEG

Effaith: (rhaid cwblhau) Impact: (must be completed)	
Ariannol / Gwerth am Arian: Financial / Service:	Financial implications are inherent within the report.
Ansawdd / Gofal Claf: Quality / Patient Care:	Patient and Quality implications are inherent within the report.
Gweithlu: Workforce:	Not applicable.
Risg: Risk:	Financial risks are detailed in the report.
Cyfreithiol: Legal:	HDdUHB has a legal duty to deliver a breakeven financial position over a rolling three-year basis and an administrative requirement to operate within its budget within any given financial year.
Enw Da: Reputational:	Adverse variance against HDdUHB's financial plan will affect its reputation with Welsh Government, Audit Wales, and with external stakeholders.
Gyfrinachedd: Privacy:	Not applicable.
Cydraddoldeb: Equality:	Not applicable.