



PWYLLGOR ADNODDAU CYNALIADWY SUSTAINABLE RESOURCES COMMITTEE

DYDDIAD Y CYFARFOD: DATE OF MEETING:	28 June 2022
TEITL YR ADRODDIAD: TITLE OF REPORT:	Lessons Learnt 2021/22
CYFARWYDDWR ARWEINIOL: LEAD DIRECTOR:	Huw Thomas, Director of Finance
SWYDDOG ADRODD: REPORTING OFFICER:	Huw Thomas, Director of Finance

Pwrpas yr Adroddiad (dewiswch fel yn addas)

Purpose of the Report (select as appropriate)

Er Gwybodaeth/For Information

ADRODDIAD SCAA SBAR REPORT

Sefyllfa / Situation

The Audited Annual Accounts were submitted to Welsh Government (WG) on 15th June 2022 in accordance with the WG timetable.

The Finance Department will now undertake a post-audit review to understand what went well and what could be improved upon in preparation for next year's audit.

The Sustainable Resources Committee is requested to note the actions being taken.

Cefndir / Background

The accounts are prepared in accordance with the WG timetable and guidance in the form of the Manual for Accounts.

Each year, an internal timetable, workplan, and guidance notes are followed, and a training session is undertaken to ensure that the accounts are produced on time and adhere with WG guidelines. Regular meetings are also held between Finance and Audit Wales leads to discuss and agree the Audit Deliverables and timetable.

Following the submission of the final Accounts to WG, the Finance Department undertakes post-audit reviews to follow up any issues and lessons learned to ensure improvements are in place in readiness for the following year's audit process.

Asesiad / Assessment

The post-audit review is undertaken annually with benefits from some of the actions implemented after the 2020/21 accounts seen in the 2021/22 year. There are however, some outstanding issues which require further action.

The slides, attached at Appendix 1, highlight what went well and areas for improvement for next year.

Argymhelliad / Recommendation

The Committee is requested to note the actions being taken.

Amcanion: (rhaid cwblhau)

Objectives: (must be completed)

Committee ToR Reference: Cyfeirnod Cylch Gorchwyl y Pwyllgor:	2.4 Review financial performance, review any areas of financial concern, and report to the Board.
Cyfeirnod Cofrestr Risg Datix a Sgôr Cyfredol: Datix Risk Register Reference and Score:	Not applicable
Safon(au) Gofal ac Iechyd: Health and Care Standard(s):	7. Staff and Resources
Amcanion Strategol y BIP: UHB Strategic Objectives:	6. Sustainable use of resources
Amcanion Cynllunio Planning Objectives	6K_22 workforce, clinical service and financial sustainability
Amcanion Llesiant BIP: UHB Well-being Objectives: Hyperlink to HDdUHB Well-being Objectives Annual Report 2018-2019	10. Not Applicable

Gwybodaeth Ychwanegol:

Further Information:

Ar sail tystiolaeth: Evidence Base:	Audit Wales review of Accounts
Rhestr Termiau: Glossary of Terms:	Included within the body of the report
Partïon / Pwyllgorau â ymgynhorwyd ymlaen llaw y Pwyllgor Adnoddau Cynaliadwy: Parties / Committees consulted prior to Sustainable Resources Committee:	HDdUHB Finance Team Audit Wales

Effaith: (rhaid cwblhau)

Impact: (must be completed)

Ariannol / Gwerth am Arian: Financial / Service:	Financial implications are inherent within the report
Ansawdd / Gofal Claf: Quality / Patient Care:	Not applicable
Gweithlu: Workforce:	Not Applicable
Risg: Risk:	Not Applicable
Cyfreithiol: Legal:	Not Applicable
Enw Da: Reputational:	Not Applicable
Gyfrinachedd: Privacy:	Not Applicable
Cydraddoldeb: Equality:	Not Applicable

Lessons Learnt 2021/22

Adding value. Today. Tomorrow. Together.
Creu gwerth gyda'n gilydd. Heddiw ac i'r dyfodol.

Lessons Learnt 2021/22

Content

- What went well?
- What didn't?
- Next steps



TAKE ACTION



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Lessons Learnt 2021/22

What
did we
change
after
2020/21
year
end?

- Reliance on one individual for primary care LFRs – Standard Operating Procedures developed
- Agreement of Balances – new process introduced
- Consultancy – new process introduced
- Annual Leave accrual – detailed information for some staff submitted but still data quality and completeness issues

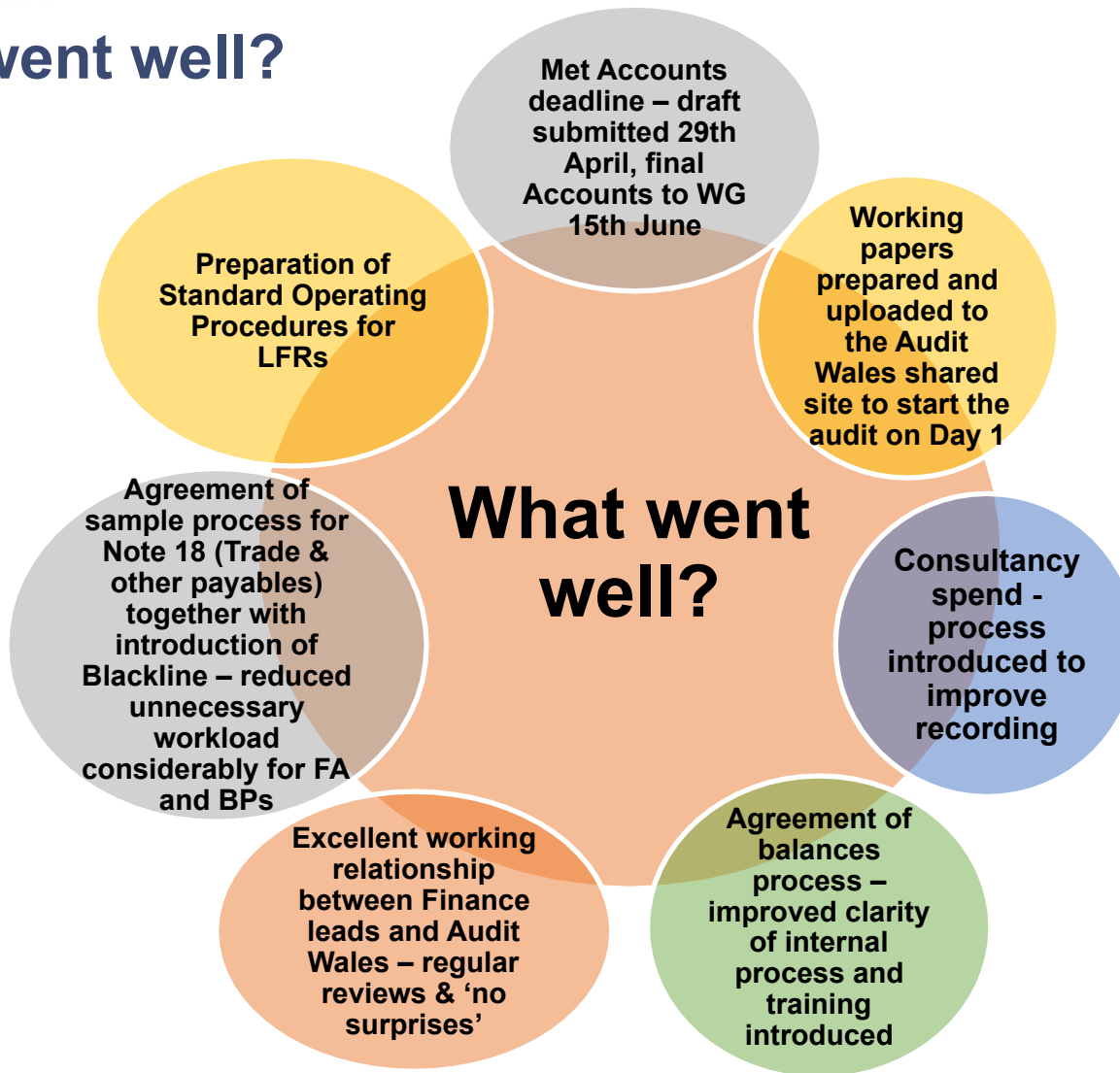


TAKE ACTION



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What went well?



What didn't go well? There were a number of issues -



Next Steps

Next Steps

- Internal Post learning meeting with Senior Finance staff responsible for Audit Deliverables and agree Action plan – July 2021
- Include other teams in post learning eg Procurement and Governance – July 2021
- Internal Post learnings meeting with Audit Wales – July 2021
- Follow up Audit Wales ISA260 recommendation with Executive Director of Workforce around Workforce systems
- Discuss technical accounting issues, consistency and communication with WG via Technical Accounting Group (TAG)



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