GIG CYMRU NHS WALES | Bwrdd Iechyd Prifysgol Hywel Dda University Health Board

PWYLLGOR ADNODDAU CYNALIADWY
SUSTAINABLE RESOURCES COMMITTEE

DYDDIAD Y CYFARFOD: **DATE OF MEETING:**	28 October 2021
TEITL YR ADRODDIAD: **TITLE OF REPORT:**	Finance Report – Month 6 2021/22
CYFARWYDDWR ARWEINIOL: **LEAD DIRECTOR:**	Huw Thomas, Director of Finance
SWYDDOG ADRODD: **REPORTING OFFICER:**	Rebecca Hayes, Senior Finance Business Partner

Pwrpas yr Adroddiad (dewiswch fel yn addas) **Purpose of the Report** (select as appropriate)
Ar Gyfer Trafodaeth/For Discussion

ADRODDIAD SCAA
SBAR REPORT

Sefyllfa / Situation

The purpose of the report, attached at Appendix 1, is to outline Hywel Dda University Health Board's (HDdUHB) financial position to the end of the financial year 2021/22 against the Annual Plan.

The monthly reporting to Welsh Government (WG) is in line with the written report provided to the Sustainable Resources Committee and the Board. The full submission inclusive of detailed financial tables is attached at Appendix 2 for information.

Cefndir / Background

HDdUHB's Financial Plan is to deliver a deficit of £25.0m, after savings of £16.1m. This is following WG guidance to anticipate £32.4m of funding to non-recurrently offset the underlying position brought forward from 2020/21.

Month 6 position

- Following guidance from WG to recognise £32.4m of non-recurrent funding, the deficit for the year has been reduced from £57.4m to £25.0m;
- Before recognising the COVID-19 WG funding in-month, the Month 6 variance to breakeven is £4.9m;
- The additional costs incurred in Month 6 due to the impact of the COVID-19 pandemic is £5.7m (Month 5, £4.2m). COVID-19 expenditure is higher in Month 6 following recognition of £0.7m of Adult Social Care Provider support costs, which is charged quarterly as supporting data becomes available. Costs associated with the Public Health Mass Vaccination centres increased due to additional Nurse requirement to support the role out of the booster programme and drug expenditure recognised in relation to the catch up vaccination programme for children.
- The Month 6 Health Board financial position is breakeven against a deficit plan of £2.1m, after utilising £4.9m of WG funding for COVID-19, having offset £0.8m of cost reductions recognised due to reduced operational activity levels.

Asesiad / Assessment

The Health Board's key targets are as follows:

- Revenue: to contain the overspend within the Health Board's planned deficit
- Savings: to deliver savings plans to enable the revenue budget to be achieved
- Capital: to contain expenditure within the agreed limit
- Public Sector Payment Policy (PSPP): to pay 95% of Non-NHS invoices within 30 days of receipt of a valid invoice
- Cash: While there is no prescribed limit for cash held at the end of the month, WG encourages this to be minimised and a rule of thumb of 5% of monthly expenditure is used. For the Health Board, this is broadly £4.0m.

Key target		Annual limit	YTD limit	Actual delivery	Forecast Risk
Revenue	**£'m**	25.0	12.5	12.4	**Low***
Savings	**£'m**	16.1	8.0	3.5	**Medium**
Capital	**£'m**	35.8	7.5	7.5	**Low**
Non-NHS PSPP	**%**	95.0	95.0	94.6	**Medium****
Period end cash	**£'m**	4.0	4.0	3.3	**Low**

* The Health Board recognises that the risk against delivery of financial balance is high and is currently reviewing all opportunities to reduce the revenue deficit in-year. This rating does not include the significant risk against fully delivering against Recovery Plans given the on-going discussions with Policy Leads.

**The Health Board did not achieve its PSPP target of paying 95% of its non-NHS invoices within 30 days in Quarter 2 (93.0%), which has adversely affected the cumulative position (94.6%). This is attributed to a large volume of delayed Pharmacy invoices; extra resources have been obtained to resolve this with fixed term staff commencing imminently to process and clear the backlog, however, given that this is commencing during October 2021, there is a continued risk to the overall cumulative position.

Argymhelliad / Recommendation

The Sustainable Resources Committee is requested to note and discuss the financial position as at Month 6.

Amcanion: (rhaid cwblhau) Objectives: (must be completed)	
Committee ToR Reference: Cyfeirnod Cylch Gorchwyl y Pwyllgor:	4.5 Provide assurance on financial performance and delivery against Health Board financial plans and objectives and, on financial control, giving early warning on potential performance issues and making recommendations for action to continuously improve the financial position of the organisation, focusing in detail on specific issues where financial performance is showing deterioration or there are areas of concern.
Cyfeirnod Cofrestr Risg Datix a Sgôr Cyfredol: Datix Risk Register Reference and Score:	1163 (score 12) Ability to deliver the Financial Plan for 2021/22 1199 (score 16) Achieving financial sustainability
Safon(au) Gofal ac Iechyd: Health and Care Standard(s):	5. Timely Care 7. Staff and Resources
Amcanion Strategol y BIP: UHB Strategic Objectives:	All Strategic Objectives are applicable
Amcanion Llesiant BIP: UHB Well-being Objectives: Hyperlink to HDdUHB Well-being Objectives Annual Report 2018-2019	4. Improve Population Health through prevention and early intervention, supporting people to live happy and healthy lives

Gwybodaeth Ychwanegol: Further Information:	
Ar sail tystiolaeth: Evidence Base:	Monitoring returns to Welsh Government based on HDdUHB's financial reporting system.
Rhestr Termau: Glossary of Terms:	BGH – Bronglais General Hospital CHC – Continuing Healthcare FNC – Funded Nursing Care FYE – Full Year Effect GGH – Glangwili General Hospital GMS – General Medical Services MHLD – Mental Health & Learning Disabilities NICE – National Institute for Health and Care Excellence OOH – Out of Hours PPH – Prince Philip Hospital PSPP– Public Sector Payment Policy RTT – Referral to Treatment Time T&O – Trauma & Orthopaedics WG – Welsh Government

	WGH – Withybush General Hospital WRP – Welsh Risk Pool WHSSC – Welsh Health Specialised Services Committee YTD – Year to date
Partïon / Pwyllgorau â ymgynhorwyd ymlaen llaw y Pwyllgor Adnoddau Cynaliadwy: Parties / Committees consulted prior to Sustainable Resources Committee:	Finance Team Management Team Executive Team

Effaith: (rhaid cwblhau) Impact: (must be completed)	
Ariannol / Gwerth am Arian: Financial / Service:	Financial implications are inherent within the report.
Ansawdd / Gofal Claf: Quality / Patient Care:	The impact on patient care is assessed within the savings schemes.
Gweithlu: Workforce:	The report considers the financial implications of our workforce.
Risg: Risk:	Financial risks are detailed in the report.
Cyfreithiol: Legal:	HDdUHB has a legal duty to deliver a breakeven financial position over a rolling three-year basis and an administrative requirement to operate within its budget within any given financial year.
Enw Da: Reputational:	Adverse variance against HDdUHB's financial plan will affect its reputation with Welsh Government, Audit Wales, and with external stakeholders.
Gyfrinachedd: Privacy:	Not applicable.
Cydraddoldeb: Equality:	Not applicable.

Executive Summary

	Health Board's Financial Plan is to deliver a deficit of £25.0m, after savings of £16.1m. This is following WG guidance to anticipate £32.4m of funding to non-recurrently offset the underlying position brought forward from 2020/21
Revenue	• Following guidance from WG to recognise £32.4m of non-recurrent funding, the deficit for the year was reduced from £57.4m to £25.0m from Month 2. • The Month 6 Health Board financial position is a breakeven against a deficit plan of £2.1m, after utilising £4.9m of WG funding for COVID-19, having offset £0.8m of cost reductions recognised due to reduced operational activity levels.
Projection	• Following confirmation of COVID-19 stability and programme funding from WG, the Health Board is forecasting to deliver the planned deficit of £25.0m. The risk to the in-year delivery is considered to be Low, recognising that the Health Board has limited risk of any significant increase in Workforce expenditure given the restricted supply, however this is predicated on receiving confirmation of WG funding in line with current guidance. • The Health Board has received Elective Recovery funding of £21.2m; discussions with Policy Leads are on-going, however there is a significant risk that the Health Board will be unable to either commission or deliver the additional capacity in order to fully commit the full level of funding; current risk-based forecasts predict c.£6.2m of expenditure reductions against funding. • Of the identified schemes of £11.9m, a significant number (£7.3m) are currently assessed as non-recurrent. Discussions are on-going on the implications of this on our underlying deficit, given the significant risk of a deterioration from £57.4m in 2020/21 to £68.9m in 2021/22 if recurrent savings schemes of £11.5m are not identified in-year.
Savings	• Whilst the focus of the Health Board is on identifying and implementing recurrent schemes, the current combination of capacity and COVID-19 pressures being experienced operationally has diverted significant managerial resource which has meant that only £4.6m of recurrent plans of the required £16.1m have been identified. The Health Board has now identified schemes to deliver the full requirement of £16.1m, as a minimum on a non-recurrent basis. • The opportunities framework has identified schemes in excess of the in-year savings requirement, however these have not yet been converted into Amber/Green operational plans due to the escalating pressures caused by a lack of access to Primary Care manifesting in A&E attendances and Domicilliary and Social Care fragility preventing the discharge of medically fit patients. The implementation of plans to reduce the number of acute beds in this climate is exceptionally challenging. • In-month delivery of £0.6m is in line with the plan of identified savings schemes.
Next Steps	• Clarify with Policy Leads the next steps to be undertaken in respect of Elective Recovery Plans. • Continue to engage with partner organisations to develop plans to address the issues within the Primary Care and Social Care sectors, whilst working with operational teams to develop robust and deliverable recurrent saving schemes in line with the Health Board's Strategy and roadmap to financial sustainability.

Executive Summary

	Summary of key financial targets

The Health Board's key targets are as follows:

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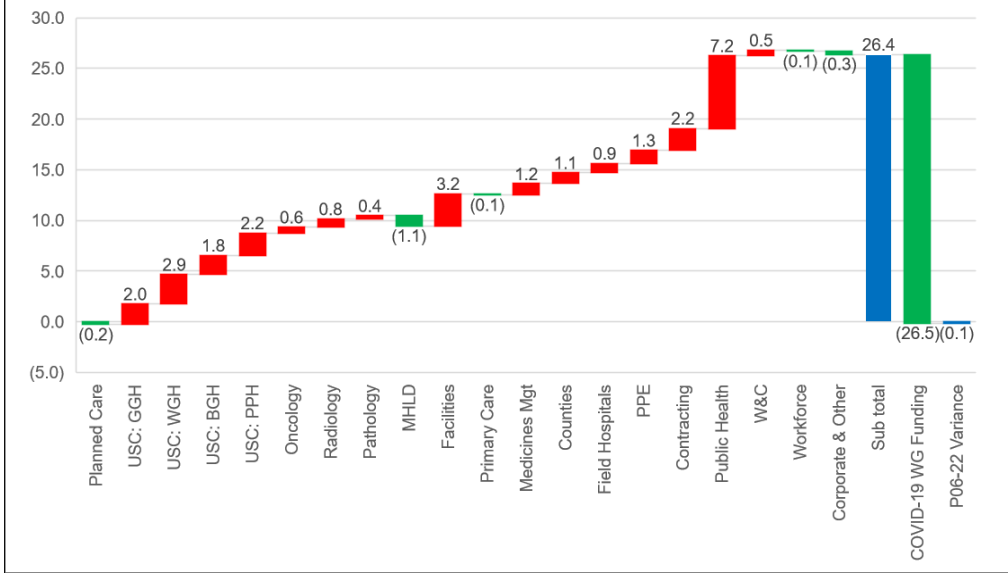
* The Health Board recognises that the risk against delivery of financial balance is high, and at this stage is reviewing all opportunities to reduce the revenue deficit in-year. This rating does not include the significant risk against fully delivering against Recovery Plans given the on-going discussions with Policy Leads.

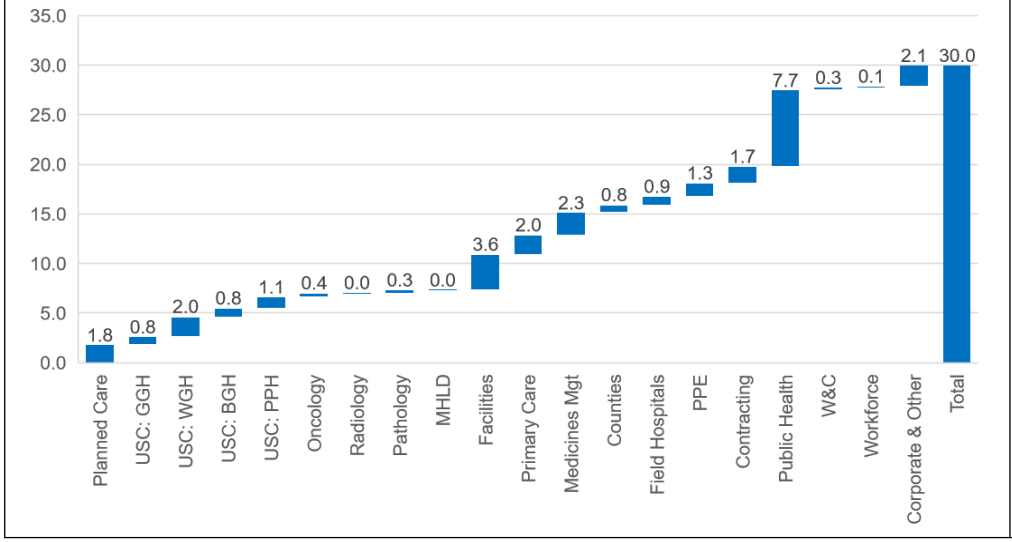
**The Health Board did not achieve its PSPP target of paying 95% of its non-NHS invoices within 30 days in Quarter 2 (93.0%), which has adversely affected the cumulative position (94.6%). This was caused by a large volume of delayed Pharmacy invoices; extra resources have been obtained to resolve this, with fixed term staff commencing imminently to process and clear the backlog, however given that this is commencing during October there is a continued risk to the overall cumulative position.

Revenue Summary

YTD variance by Directorate (against Plan)



YTD actual by Directorate (COVID-19 only)

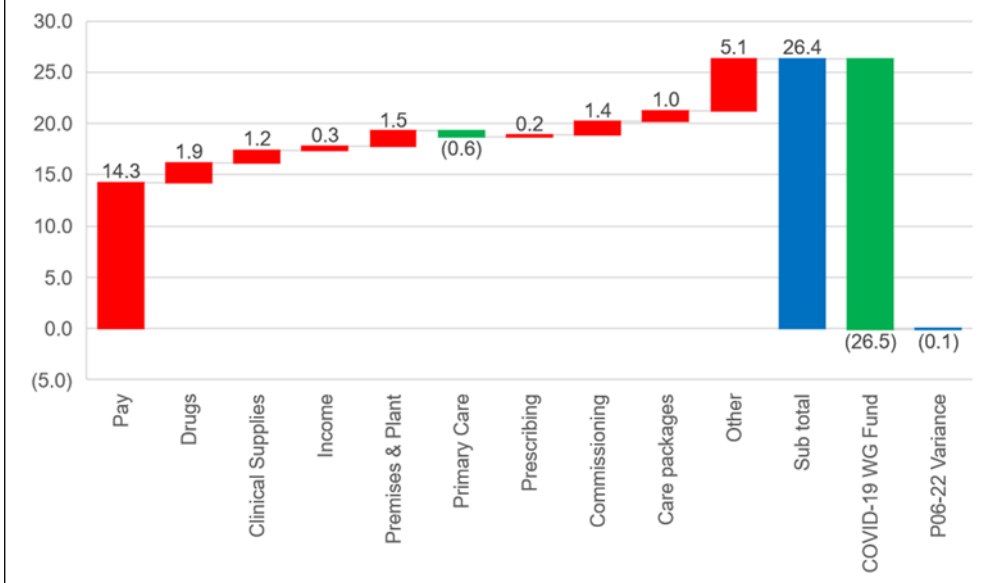


Key drivers of YTD position:

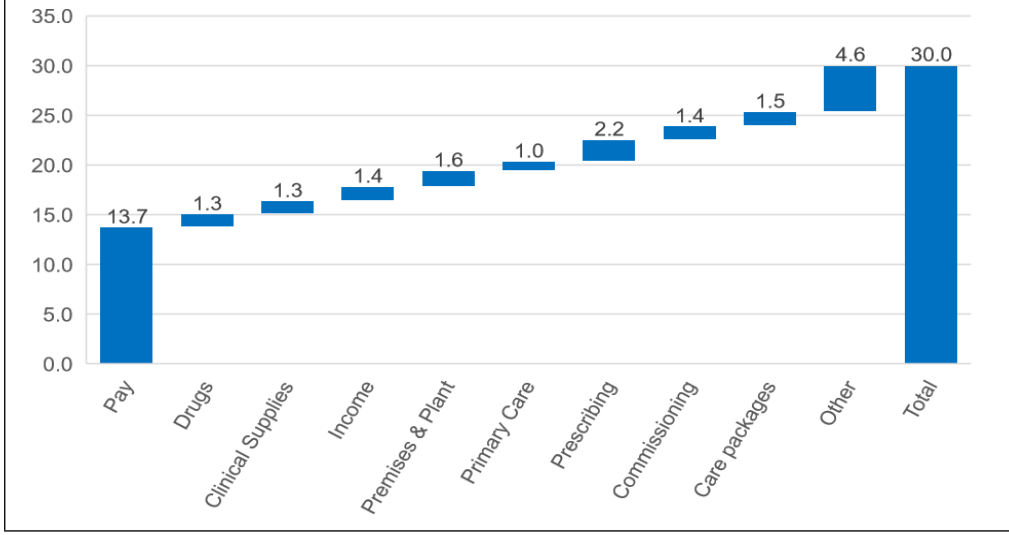
- **Planned Care (-£0.2m):** Underspend primarily driven by a reduction in non-pay expenditure due to reduced activity in elective services (particularly theatres);
- **Unscheduled Care (All sites) (£9.0m):** Increased premium agency requirement due to a high volume of vacancies, self isolation and sickness across Medical and Nursing posts throughout the Health Board's Acute sites, further exacerbated by pressures due to high demand in Emergency departments and difficulties in discharging medically fit patients due to the fragility of the Domicilliary and Social Care sectors;
- **Facilities (£3.2m):** Additional Porters and Domestics recruited as part of the enhanced cleaning standards and additional cleaning rosters introduced as part of the COVID-19 pandemic;
- **Primary Care (-£0.1m):** Costs associated with the COVID-19 Mass vaccination programme offset by slippage in Dental contract performance, OPCS and EHEW;
- **Medicines Management (£1.2m):** Pressures continue in Primary Care Prescribing due to the overall increases in the cost per item for Category M and baseline drugs;
- **Contracting (£2.2m):** A reduction in Non-Contracted Activity (NCA) and Road Traffic Accident (RTA) income as a consequence of the COVID-19 pandemic resulting in less tourism in the locality. Costs associated with Adult Social Care Provider Support;
- **Public Health (£7.2m):** Costs associated with the COVID-19 Mass vaccination programme, Testing and Tracing included as part of the Health Board's response to the COVID-19 pandemic;
- **WG Funding (-£26.5m):** Funding has been received from WG to offset the cost of the Health Board's response to the COVID-19 pandemic. This excludes the WG funding to offset the underlying position brought forward from 2020/21 in relation to undelivered savings, which is £16.2m YTD.

Revenue Summary

YTD variance by Subjective (against Plan)



YTD actual by Subjective (COVID-19 only)

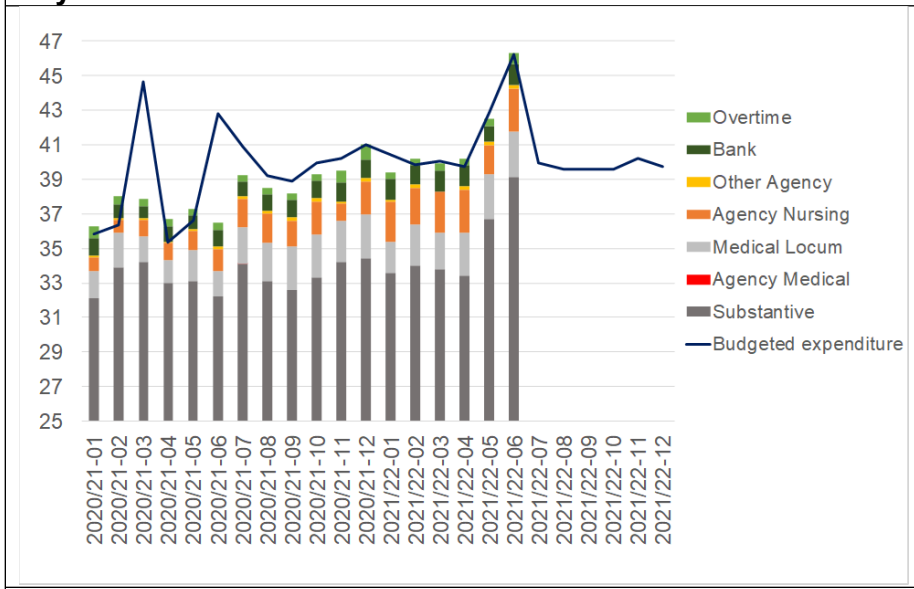


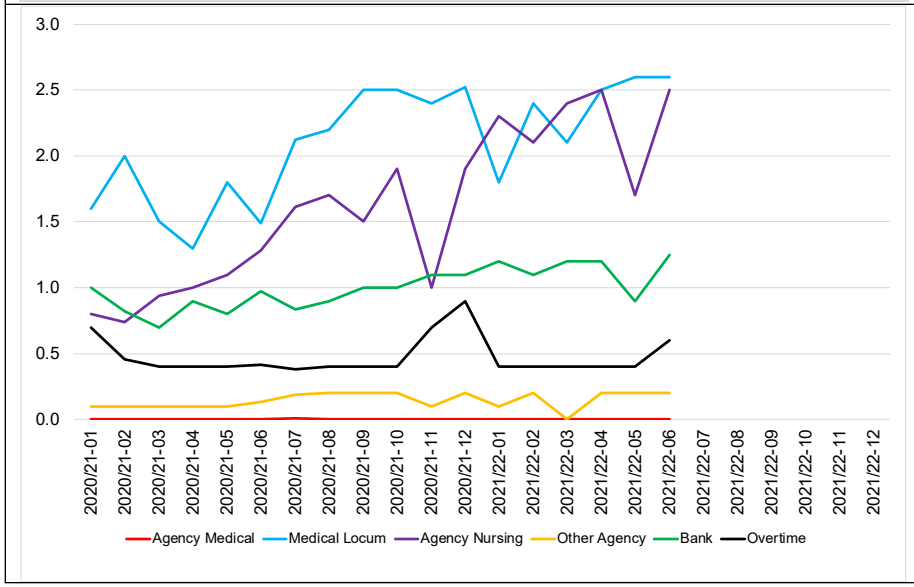
Key drivers of YTD position:

- **Pay (£14.3m):** Primarily due to the fixed term staff recruited as part of the Health Board's response to COVID-19, but also includes increased premium agency requirement due to a high volume of vacancies, self isolation and sickness across Medical and Nursing teams and pressures on Emergency departments throughout the Health Board's Acute sites;
- **Drugs & Clinical supplies (£3.1):** The overspend is primarily driven by the costs of PPE expenditure, home care and sub-cutaneous to intraveunous Cancer drug treatment regimes (as a consequence of COVID-19). In addition, other Medicines Management pressures are offset by reduced activity in elective services within Planned Care;
- **Income (£0.3m):** Income generated from NCA and RTA activity discussed above. There has also been a deterioration in income within Hospital sites due to lower patient numbers accessing commercial and hospitality facilities;
- **Prescribing (£0.2m):** Pressures continue in Primary Care Prescribing due to continued increase in the cost per item for Category M and baseline drugs from price increases in April 2020;
- **Commissioning (£1.4m):** Costs associated with commissioning additional activity from Private providers as part of the Health Board's COVID-19 Elective Recovery plans;
- **Care packages (£1.5m):** Costs are primarily driven by the Adult Social Care Provider Support in response to COVID-19;
- **WG Funding (-£26.5m):** Funding has been received from WG to offset the cost of the Health Board's response to the COVID-19 pandemic. This excludes the WG funding to offset the underlying position brought forward from 2020/21 in relation to undelivered savings, which is £16.2m YTD.

Key Subjective Summary

Pay



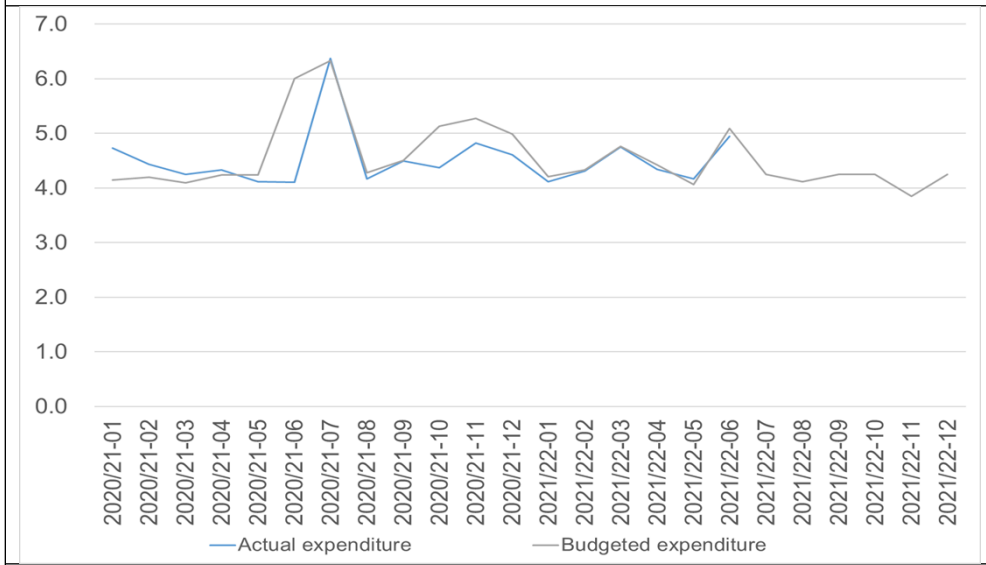


Pay costs incurred by the Health Board have (comparatively) increased by £5.9m in-month. The main driver for this increase relates to wage award inflationary uplifts across all staff grades. A breakdown of the increase is documented below:

- **Substantive £4.5m:** Retrospective wage award payment made to all A4C, Medical and Dental and Executives has been paid in the month. This is after taking into account the charge of £2.1m in Month 5 in relation to retrospective payments made to staff in respect of overtime during annual leave;
- **Agency Nursing £0.8m:** Agency costs returned to prior month levels during September following a reduction of £(0.8)m in August;
- **Overtime and Bank staff £0.6m:** Due to the Health Board's inability to fill shifts, a short term local increase in enhanced rates has been offered to Substantive and Bank staff. These rates are expected to continue in the short term following Executive sign off to continue this initiative until the end of October 2021. The increase is also partly driven by the retrospective wage award payment to staff.

Key Subjective Summary

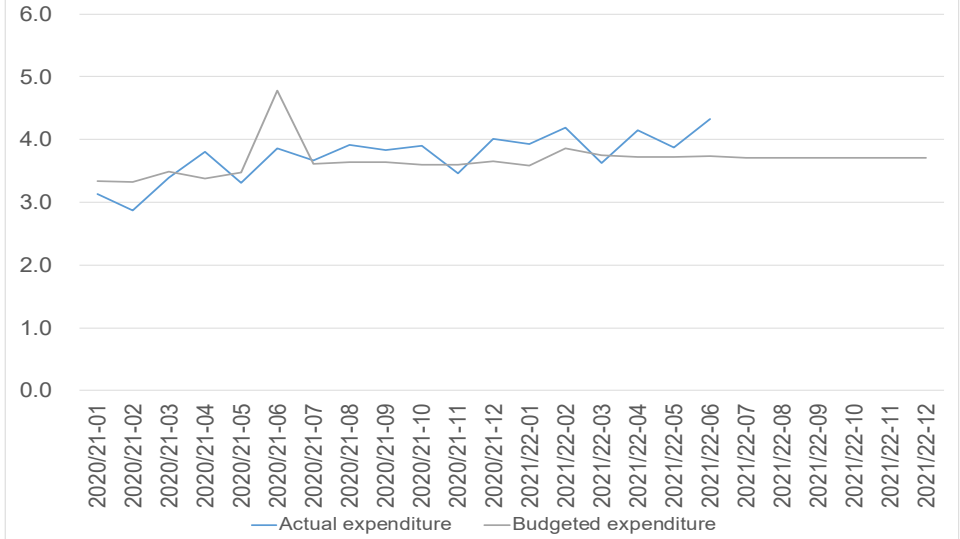
CHC



Continuing Health Care expenditure has increased by £0.7m in-month due to the Quarter two charge in relation to Adult Social Care Provider Support.

Outside of this programme, there has been minimal net movement as an increase in Mental Health and Learning disability packages has been offset by net reductions in general Continuing Health Care and Funded Nursing Care (FNC) packages.

Secondary Care Drugs



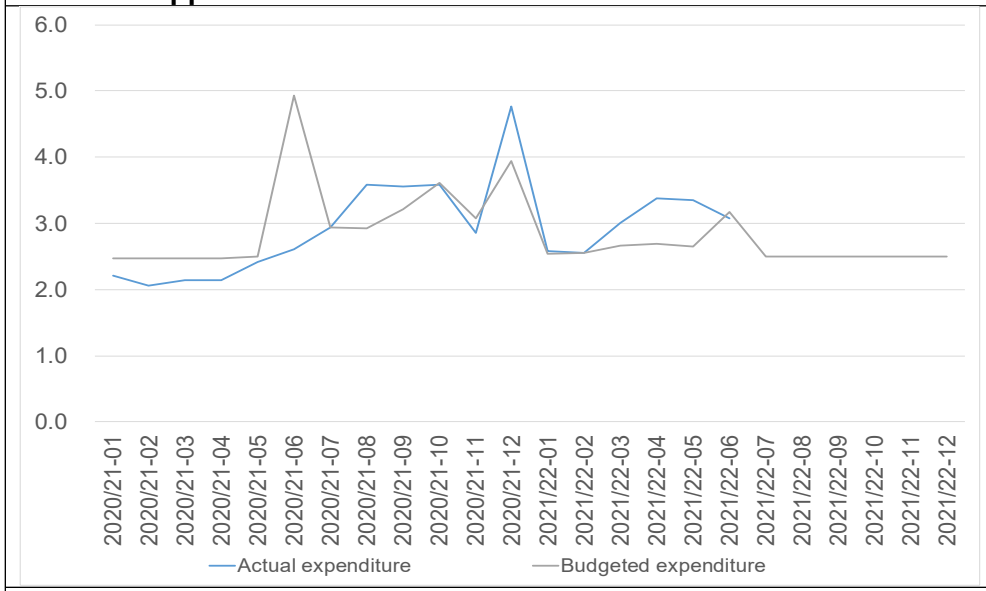
Drug expenditure has increased in-month by £0.4m due to the following:

The prior month's expenditure was low due to reduced activity in homecare drugs and Oncology in line with reduced activity during August. During Month 6, activity has returned to previous levels.

During the month there has also been higher than anticipated issues for Haematology drugs and an increase in school vaccinations.

Key Subjective Summary

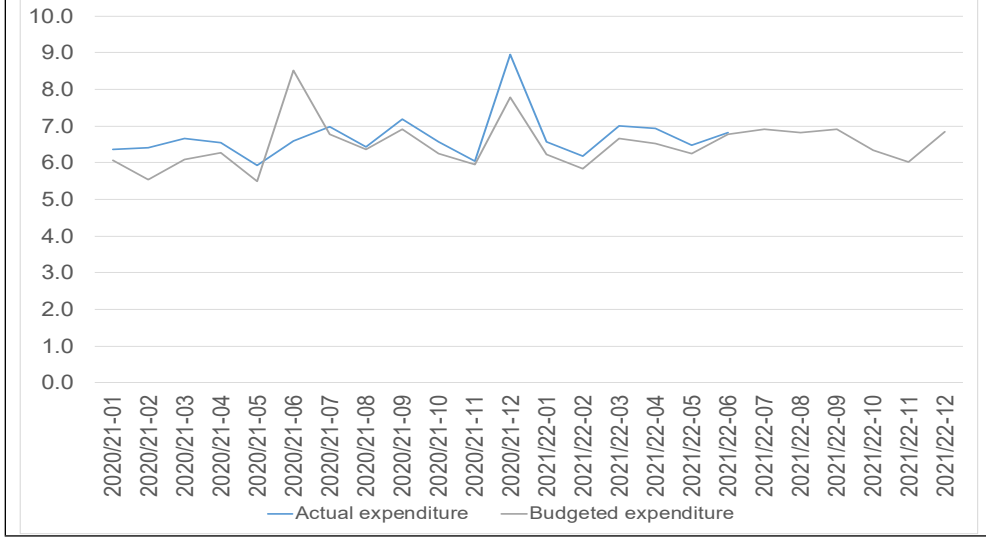
Clinical Supplies and Services



Expenditure in-month reflects the continuation of sustained Acute pressures resulting in high usage of PPE, continued usage of an external diagnostics provider within the Radiology directorate due to a high level of staff vacancies and annual leave and continued M&SE maintenance and repairs within the Clincial engineering team.

Actual expenditure reduced by £0.3m. The main reason for this is a reduction in Theatre sessions during the month as staff have been deployed to support critical care and Medical pathways. This has resulted in an a reduction in M&SE expenditure of £0.2m.

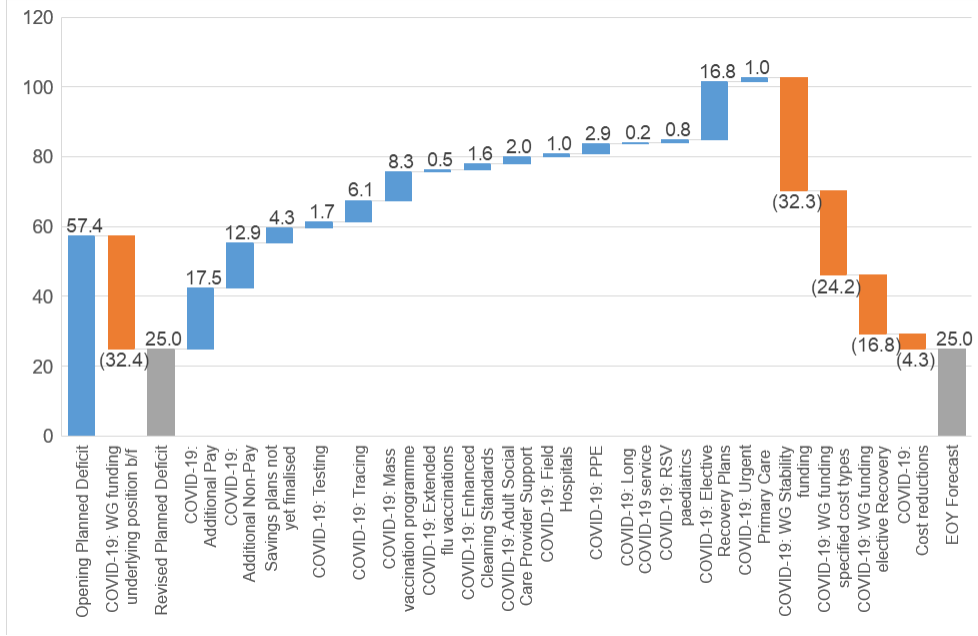
Primary Care Prescribing



Prescribing costs have increased by £0.3m in month as September has 1.5 more prescribing days than August.

Increased expenditure as a result of the additional prescribing days has been offset by a reduction Category M prices from July however this reduction has been partly offset by an increase in a higher number of items being prescribed than anticipated.

Financial Projection



Key Assumptions

- The direct impact of COVID-19, including programme expenditure (in respect of mass vaccination programmes, Testing, Tracing, Enhanced Cleaning Standards, PPE, Adult Social Care Provider Support, and Long COVID-19 Service, Extended Flu) is modelled up to a twelve-month scenario within the current forecast;
- The COVID-19 Stability WG funding allocations are expected to be fully utilised in future months to offset the impact of COVID-19;
- Existing Services modelling assumes incremental reinstatement of elective services, approved Recovery Plans and Urgent Primary Care, however excludes both expenditure and any potential WG funding assumptions at present in relation to SDEC and other unapproved plans; once internal planning discussions are concluded the projection will be updated.

Assurance

- Improved assurance methods have been established, aligning to managers across the Health Board and the Regional Partnership Board.
- Performance monitored monthly through System Engagement meetings, including Performance and Improving Together.
- Following WG confirmation of COVID-19 funding and funding to offset the underlying position brought forward from 2020/21, the Health Board is forecasting to deliver the planned deficit of £25.0m. The risk to the in-year delivery is considered to be Low, recognising that the Health Board has limited risk of any significant increase in Workforce expenditure given the restricted supply.

Concerns

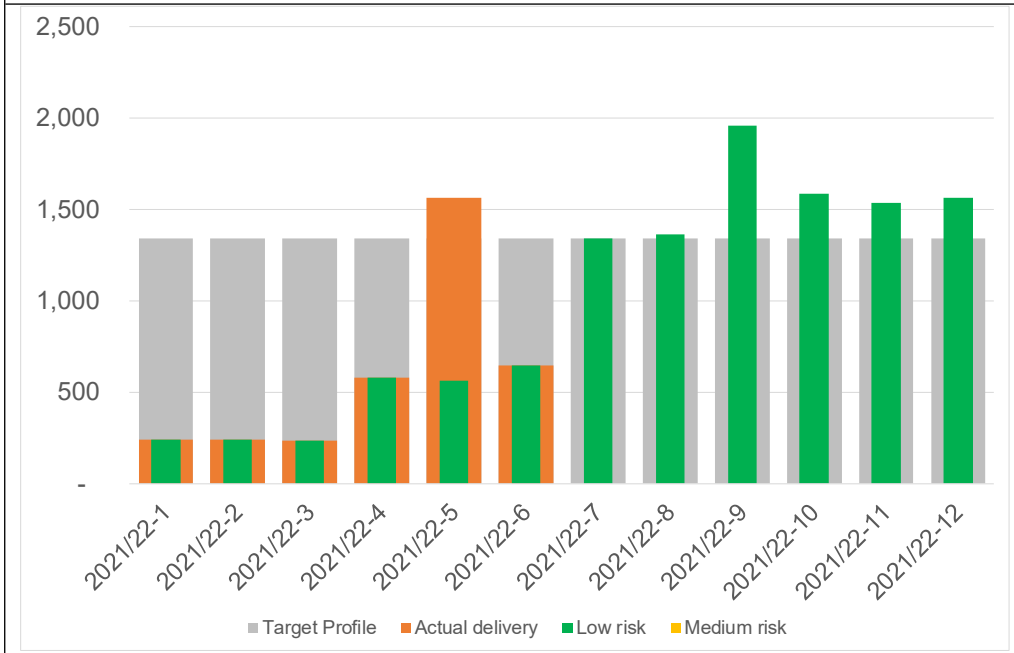
- The Health Board has received Elective Recovery funding of £21.2m; discussions with Policy Leads are on-going, however there is a significant risk that the Health Board will be unable to either commission or deliver the additional capacity in order to fully commit the full level of funding; current risk-based forecasts predict c.£6.2m of expenditure reductions against funding.
- Discussions are on-going on the implications of the significant risk of a deterioration in the underlying deficit from £57.4m in 2020/21 to £68.9m in 2021/22 if recurrent savings schemes of £11.5m are not identified in-year.

Next Steps

- Clarify with Policy Leads the next steps to be undertaken in respect of Elective Recovery Plans.
- Continue to engage with partner organisations to develop plans to address the issues within the Primary Care and Social Care sectors, whilst working with operational teams to develop robust and deliverable recurrent saving schemes in line with the Health Board's Strategy and roadmap to financial sustainability.

Appendix 2: Monitoring return reply letter

Risk-assessed directorate savings profile, delivery and forecast



Assurance

- The in-year gap between the savings target and identified savings schemes of £4.3m is fully mitigated by cost reductions resulting from COVID-19. This follows the identification of £1.2m of new recurrent schemes and the conversion of a non-recurrent scheme to recurrent in Month 6. The identified schemes of £11.9m, are assessed as low risk of non-delivery. The Health Board has now identified schemes to deliver the full requirement of £16.1m, as a minimum on a non-recurrent basis.
- In-month delivery of £0.6m, which is in line with the plan of identified savings schemes.

Concerns

- The unprecedented circumstances mean that operational focus is diverted to the organisation's response to COVID-19, and therefore not on the delivery or identification of the required level or of recurrent savings schemes that are not supportive of the response to the pandemic. Further, the escalating pressures caused by a lack of access to Primary Care manifesting in A&E attendances and Domicilliary and Social Care fragility preventing the discharge of medically fit patients. The implementation of plans to reduce the number of acute beds in this climate is exceptionally challenging.
- Discussions are on-going for additional funding to support the non-delivery of the Health Board's brought forward savings target from FY21 on a recurrent basis, having received guidance that non-recurrent support will be provided in-year (subject to validation at this stage).

Next Steps

- The opportunities framework has identified schemes in excess of the in-year savings requirement, however these have not yet been converted into Amber/Green operational plans due to the operational pressures, mostly within Acute sites.
- The continued operational pressures within Unscheduled Care has affected our ability to identify plans for the full £16.1m of recurrent savings (FYE) by 30th September 2021 as planned. We are working with our Directorates to develop plans wherever possible based on the revised planning objective given to the Director of Operations.

Hywel Dda ULHB **Period :** **Sep 21**

Table A - Movement of Opening Financial Plan to Forecast Outturn

		In Year Effect	Non Recurring	Recurring	FYE of Recurring
		£'000	£'000	£'000	£'000
1	Underlying Position b/fwd from Previous Year - must agree to M12 MMR (Deficit - Negative Value)	-57,351	0	-57,351	-57,351
2	Planned New Expenditure (Non Covid-19) (Negative Value)	-30,735	0	-30,735	-30,735
3	Planned Expenditure For Covid-19 (Negative Value)	-70,560	-70,560	0	0
4	Planned Welsh Government Funding (Non Covid-19) (Positive Value)	14,605	0	14,605	14,605
5	Planned Welsh Government Funding for Covid-19 (Positive Value)	102,911	102,911	0	0
6	Planned Provider Income (Positive Value)	0	0	0	0
7	RRL Profile - phasing only (In Year Effect / Column C must be nil)	0	0	0	0
8	Planned (Finalised) Savings Plan	8,071	6,971	1,100	1,100
9	Planned (Finalised) Net Income Generation	0	0	0	0
10	Planned Profit / (Loss) on Disposal of Assets	0	0	0	0
11	Planned Release of Uncommitted Contingencies & Reserves (Positive Value)	0	0	0	0
12		0	0	0	0
13	Planning Assumptions still to be finalised at Month 1	8,059	8,059	0	0
14	**Opening IMTP / Annual Operating Plan**	**-25,000**	**47,381**	**-72,381**	**-72,381**
15	Reversal of Planning Assumptions still to be finalised at Month 1	-8,059	-8,059	0	0
16	Additional In Year & Movement from Planned Release of Previously Committed Contingencies & Reserves (Positive Value)	0	0	0	0
17	Additional In Year & Movement from Planned Profit / (Loss) on Disposal of Assets	0	0	0	0
18	Underachievement of Month 1 Finalised Income Generation Due to Covid-19 (Negative Value)	0	0	0	0
19	Other Movement in Month 1 Planned & In Year Net Income Generation	0	0	0	0
20	Underachievement of Month 1 Finalised Savings Due to Covid-19 (Negative Value)	0	0	0	0
21	Other Movement in Month 1 Planned Savings - (Underachievement) / Overachievement	0	0	0	0
22	Additional In Year Identified Savings - Forecast	3,789	296	3,493	3,493
23	Variance to Planned RRL & Other Income	-1	-1	0	0
24	Additional In Year & Movement in Planned Welsh Government Funding for Covid-19 (Positive Value - additional)	2,727	2,727	0	0
25	Additional In Year & Movement in Planned Welsh Government Funding (Non Covid) (Positive Value - additional)	0	0	0	0
26	Additional In Year & Movement Expenditure for Covid-19 (Positive Value - additional/Negative Value - reduction)	-2,727	-2,727	0	0
27	In Year Expenditure Cost Reduction Due To Covid-19 (Positive Value)	4,270	4,270	0	0
28	In Year Slippage on Investments/Repurposing of Developmental Initiatives Due To Covid-19 (Positive Value)	0	0	0	0
29	In Year Accountancy Gains (Positive Value)	0	0	0	0
30	Net In Year Operational Variance to IMTP/AOP (material gross amounts to be listed separately)	0	0		
31		0	0		
32		0	0		
33		0	0		
34		0	0		
35		0	0		
36		0	0		
37		0	0		
38		0	0		
39		0	0		
40	**Forecast Outturn (- Deficit / + Surplus)**	**-25,000**	**43,888**	**-68,888**	**-68,888**
41	**Covid-19 - Forecast Outturn (- Deficit / + Surplus)**	**36,621**			
42	**Operational - Forecast Outturn (- Deficit / + Surplus)**	**-61,622**			

	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	YTD	In Year Effect
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
1	-4,779	-4,779	-4,779	-4,779	-4,779	-4,779	-4,779	-4,779	-4,779	-4,779	-4,779	-4,779	-28,676	-57,351
2	-2,536	-2,536	-2,536	-2,536	-2,536	-2,536	-2,586	-2,586	-2,586	-2,587	-2,587	-2,587	-15,216	-30,735
3	-5,119	-5,282	-5,782	-5,317	-5,404	-5,946	-5,991	-6,180	-6,624	-6,130	-6,087	-6,696	-32,850	-70,560
4	1,217	1,217	1,217	1,217	1,217	1,217	1,217	1,217	1,217	1,217	1,217	1,217	7,303	14,605
5	5,119	10,674	8,478	8,013	8,100	8,642	8,687	8,876	9,320	8,826	8,783	9,394	49,026	102,911
6	0	0	0	0	0	0	0	0	0	0	0	0	0	0
7	-262	2,424	1,082	738	754	774	-781	-780	-1,326	-889	-874	-859	5,509	0
8	238	238	237	581	565	545	807	806	1,351	916	901	886	2,404	8,071
9	0	0	0	0	0	0	0	0	0	0	0	0	0	0
10	0	0	0	0	0	0	0	0	0	0	0	0	0	0
11	0	0	0	0	0	0	0	0	0	0	0	0	0	0
12													0	0
13	1,343	-1,343	0	0	0	0	1,343	1,343	1,343	1,343	1,343	1,343	0	8,059
14	**-4,779**	**612**	**-2,083**	**-2,083**	**-2,083**	**-2,083**	**-2,084**	**-2,083**	**-2,084**	**-2,084**	**-2,083**	**-2,083**	**-12,500**	**-25,000**
15	-1,343	1,343	0	0	0	0	-1,343	-1,343	-1,343	-1,343	-1,343	-1,343	0	-8,059
16	0	0	0	0	0	0	0	0	0	0	0	0	0	0
17	0	0	0	0	0	0	0	0	0	0	0	0	0	0
18	0	0	0	0	0	0	0	0	0	0	0	0	0	0
19	0	0	0	0	0	0	0	0	0	0	0	0	0	0
20	0	0	0	0	0	0	0	0	0	0	0	0	0	0
21	0	0	0	0	1,000	0	0	0	-625	-170	-100	-105	1,000	0
22	0	0	0	0	0	100	586	590	622	632	607	652	100	3,789
23		-1,682	-508	-129	-1,187	-914	709	578	1,333	622	617	560	-4,420	-1
24	537	-537	-88	-1,386	-1,179	-244	-958	1,213	1,438	1,501	1,331	1,098	-2,897	2,727
25													0	0
26	0	0	88	1,386	1,179	244	958	-1,213	-1,438	-1,501	-1,331	-1,098	2,897	-2,727
27	806	876	508	129	306	821	135	158	133	133	133	133	3,445	4,270
28	0	0	0	0	0	0	0	0	0	0	0	0	0	0
29	0	0	0	0	0	0	0	0	0	0	0	0	0	0
30				0	0			0	-2	0		2	1	0
31													0	0
32													0	0
33													0	0
34													0	0
35													0	0
36													0	0
37													0	0
38													0	0
39													0	0
40	**-4,779**	**612**	**-2,083**	**-2,083**	**-1,964**	**-2,076**	**-1,996**	**-2,100**	**-1,965**	**-2,210**	**-2,169**	**-2,185**	**-12,374**	**-25,000**
41	**0**	**7,074**	**3,204**	**2,825**	**3,002**	**3,517**	**2,831**	**2,854**	**2,829**	**2,829**	**2,829**	**2,829**	**19,621**	**36,621**
42	**-4,779**	**-6,462**	**-5,287**	**-4,908**	**-4,966**	**-5,593**	**-4,827**	**-4,954**	**-4,794**	**-5,039**	**-4,998**	**-5,014**	**-31,995**	**-61,622**

Table A1 - Underlying Position

	Section A - By Spend Area	IMTP	Full Year Effect of Actions				IMTP
		Underlying Position b/f £'000	Recurring Savings (+ve) £'000	Recurring Allocations / Income (+ve) £'000	Subtotal £'000	Net Recurring Full Year Effect of Unmitigated Pressures / (net Savings) £'000	Underlying Position c/f £'000
1	Pay - Administrative, Clerical & Board Members	(964)			(964)		(964)
2	Pay - Medical & Dental	(10,496)			(10,496)		(10,496)
3	Pay - Nursing & Midwifery Registered	(5,302)			(5,302)		(5,302)
4	Pay - Prof Scientific & Technical	(1,414)			(1,414)		(1,414)
5	Pay - Additional Clinical Services	(3,918)			(3,918)		(3,918)
6	Pay - Allied Health Professionals	1,874			1,874		1,874
7	Pay - Healthcare Scientists	99			99		99
8	Pay - Estates & Ancillary	25			25		25
9	Pay - Students	0			0		0
10	Non Pay - Supplies and services - clinical	(4,660)			(4,660)	(8,086)	(12,746)
11	Non Pay - Supplies and services - general	(1,419)			(1,419)		(1,419)
12	Non Pay - Consultancy Services	(632)			(632)		(632)
13	Non Pay - Establishment	(2,065)			(2,065)	1,100	(965)
14	Non Pay - Transport	(129)			(129)		(129)
15	Non Pay - Premises	(2,515)			(2,515)	(1,504)	(4,019)
16	Non Pay - External Contractors	(2,976)			(2,976)	1,087	(1,889)
17	Health Care Provided by other Orgs – Welsh LHBs	(2,139)			(2,139)	(2,048)	(4,187)
18	Health Care Provided by other Orgs – Welsh Trusts	(1,644)			(1,644)	(86)	(1,730)
19	Health Care Provided by other Orgs – WHSSC	(10,258)			(10,258)	(2,000)	(12,258)
20	Health Care Provided by other Orgs – English	0			0		0
21	Health Care Provided by other Orgs – Private / Other	(8,820)			(8,820)		(8,820)
22	**Total**	**(57,351)**	**0**	**0**	**(57,351)**	**(11,537)**	**(68,888)**

	Section B - By Directorate	IMTP	Full Year Effect of Actions				IMTP
		Underlying Position b/f £'000	Recurring Savings (+ve) £'000	Recurring Allocations / Income (+ve) £'000	Subtotal £'000	Net Recurring Full Year Effect of Unmitigated Pressures / (net Savings) £'000	Underlying Position c/f £'000
1	Primary Care	(2,615)			(2,615)	(550)	(3,165)
2	Mental Health	1,694			1,694	216	1,910
3	Continuing HealthCare	(3,471)			(3,471)	1,687	(1,784)
4	Commissioned Services	(7,683)			(7,683)	(4,134)	(11,817)
5	Scheduled Care	(9,737)			(9,737)	(2,132)	(11,869)
6	Unscheduled Care	(24,597)			(24,597)	(372)	(24,969)
7	Children & Women's	(5,408)			(5,408)	43	(5,365)
8	Community Services	766			766	(29)	737
9	Specialised Services	(2,382)			(2,382)	(5,785)	(8,167)
10	Executive / Corporate Areas	(3,394)			(3,394)	218	(3,176)
11	Support Services (inc. Estates & Facilities)	(524)			(524)	(699)	(1,223)
12	**Total**	**(57,351)**	**0**	**0**	**(57,351)**	**(11,537)**	**(68,888)**

Table A2 - Overview Of Key Risks & Opportunities		FORECAST YEAR END	
		£'000	Likelihood
	Opportunities to achieve IMTP/AOP (positive values)		
1	Red Pipeline schemes (inc AG & IG)		
2	Potential Cost Reduction		
3	**Total Opportunities to achieve IMTP/AOP**	**0**	
	Risks (negative values)		
4	Under delivery of Amber Schemes included in Outturn via Tracker		
5	Continuing Healthcare		
6	Prescribing		
7	Pharmacy Contract		
8	WHSSC Performance		
9	Other Contract Performance		
10	GMS Ring Fenced Allocation Underspend Potential Claw back		
11	Dental Ring Fenced Allocation Underspend Potential Claw back		
12			
13			
14			
15			
16			
17			
18			
19			
20			
21			
22			
23			
24			
25			
26	**Total Risks**	**0**	
	Further Opportunities (positive values)		
27	Review of Prescribing Category M price reductions (TBC)	324	High
28			
29			
30	Stability of USC sites following implementation of UEC/SDEC initiatives		Medium
31			
32			
33			
34	**Total Further Opportunities**	**324**	
35	**Current Reported Forecast Outturn**	**(25,000)**	
36	**IMTP / AOP Outturn Scenario**	**(25,000)**	
37	**Worst Case Outturn Scenario**	**(25,000)**	
38	**Best Case Outturn Scenario**	**(24,676)**	

Table B - Monthly Positions

Period : Sep-21

A. Monthly Summarised Statement of Comprehensive Net Expenditure / Statement of Comprehensive Net Income

			1 Apr £'000	2 May £'000	3 Jun £'000	4 Jul £'000	5 Aug £'000	6 Sep £'000	7 Oct £'000	8 Nov £'000	9 Dec £'000	10 Jan £'000	11 Feb £'000	12 Mar £'000	Total YTD £'000	Forecast year-end position £'000
1	Revenue Resource Limit	Actual/F'cast	77,919	83,427	83,021	79,458	84,399	90,125	94,878	87,114	90,301	86,749	86,389	89,145	534,576	1,032,923
2	Capital Donation / Government Grant Income (Health Board only)	Actual/F'cast	0	0	0	98	0	0	99	0	0	99	0	99	298	396
3	Welsh NHS Local Health Boards & Trusts Income	Actual/F'cast	2,088	2,477	2,534	2,797	3,114	2,486	2,417	2,416	2,416	2,417	2,417	2,417	14,498	29,994
4	WHSSC Income	Actual/F'cast	208	209	198	229	197	215	209	209	209	209	209	209	1,254	2,508
5	Welsh Government Income (Non RRL)	Actual/F'cast	51	68	67	63	293	70	102	102	102	102	102	102	612	1,224
6	Other Income	Actual/F'cast	1,920	2,193	2,309	2,177	2,068	2,485	2,085	1,994	1,994	1,992	1,992	2,004	12,060	25,212
7	**Income Total**		**82,184**	**88,374**	**88,129**	**84,820**	**90,071**	**95,381**	**99,790**	**91,835**	**95,022**	**91,568**	**91,109**	**93,976**	**563,299**	**1,092,257**
8	Primary Care Contractor (excluding drugs, including non resource limited expenditure)	Actual/F'cast	10,362	10,752	11,453	10,428	10,415	10,416	9,646	9,636	11,915	9,561	9,578	10,701	61,036	124,862
9	Primary Care - Drugs & Appliances	Actual/F'cast	6,561	6,172	7,006	6,938	6,473	6,629	6,998	6,819	6,952	6,440	6,193	6,975	40,345	80,324
10	Provided Services - Pay	Actual/F'cast	39,404	40,234	39,868	40,045	42,506	46,350	41,901	41,930	41,935	42,120	42,468	42,067	252,412	500,819
11	Provider Services - Non Pay (excluding drugs & depreciation)	Actual/F'cast	6,856	6,318	7,132	7,411	8,071	8,381	8,139	8,462	8,506	8,556	8,403	8,051	50,117	94,286
12	Secondary Care - Drugs	Actual/F'cast	3,932	4,192	3,623	4,149	3,877	4,319	4,332	4,708	4,640	4,718	4,525	4,743	27,666	51,758
13	Healthcare Services Provided by Other NHS Bodies	Actual/F'cast	13,572	13,575	13,725	13,922	13,687	13,782	14,456	13,884	13,898	13,898	13,894	13,927	83,957	166,220
14	Non Healthcare Services Provided by Other NHS Bodies	Actual/F'cast	0	0	0	0	0	0	0	0	0	0	0	0	0	0
15	Continuing Care and Funded Nursing Care	Actual/F'cast	4,117	4,310	4,754	4,348	4,168	4,945	4,985	4,279	4,944	4,322	4,064	4,687	27,281	53,923
16	Other Private & Voluntary Sector	Actual/F'cast	75	138	582	193	299	320	946	2,086	2,065	2,054	2,054	2,664	11,869	13,476
17	Joint Financing and Other	Actual/F'cast	108	86	97	97	100	90	94	94	94	94	94	94	563	1,141
18	Losses, Special Payments and Irrecoverable Debts	Actual/F'cast	142	138	137	137	167	132	143	143	143	143	143	143	858	1,711
19	Exceptional (Income) / Costs - (Trust Only)	Actual/F'cast	0	0	0	0	0	0	0	0	0	0	0	0	0	0
20	Total Interest Receivable - (Trust Only)	Actual/F'cast	0	0	0	0	0	0	0	0	0	0	0	0	0	0
21	Total Interest Payable - (Trust Only)	Actual/F'cast	0	0	0	0	0	0	0	0	0	0	0	0	0	0
22	DEL Depreciation/Accelerated Depreciation/Impairments	Actual/F'cast	1,774	1,775	1,775	1,778	1,778	1,808	1,831	1,831	1,831	1,812	1,812	1,910	11,028	21,716
23	AME Donated Depreciation/Impairments	Actual/F'cast	60	72	60	(2,543)	494	85	8,347	63	63	60	60	201	8,794	7,023
24	Uncommitted Reserves & Contingencies	Actual/F'cast	0	0	0	0	0	0	0	0	0	0	0	0	0	0
25	Profit/Loss Disposal of Assets	Actual/F'cast	0	0	0	0	0	0	0	0	0	0	0	0	0	0
26	**Cost - Total**	Actual/F'cast	**86,963**	**87,762**	**90,212**	**86,903**	**92,035**	**97,457**	**101,786**	**93,935**	**96,966**	**93,776**	**93,278**	**96,162**	**575,925**	**1,117,257**
27	**Net surplus/ (deficit)**	Actual/F'cast	**(4,779)**	**612**	**(2,083)**	**(2,083)**	**(1,964)**	**(2,076)**	**(1,996)**	**(2,100)**	**(1,965)**	**(2,210)**	**(2,169)**	**(2,186)**	**(12,626)**	**(25,000)**

B. Assessment of Financial Forecast Positions

Year-to-date (YTD)	£'000	
28 . Actual YTD surplus/ (deficit)	(12,374)	
29. Actual YTD surplus/ (deficit) last month	(10,298)	
30. Current month actual surplus/ (deficit)	(2,076)	
		Trend
31. Average monthly surplus/ (deficit) YTD	(2,062)	▼
32. YTD /remaining months	(2,062)	

Full-year surplus/ (deficit) scenarios	£'000
33. Extrapolated Scenario	(24,830)
34. Year to Date Trend Scenario	(24,748)

C. DEL/AME Depreciation & Impairments

			1 Apr £'000	2 May £'000	3 Jun £'000	4 Jul £'000	5 Aug £'000	6 Sep £'000	7 Oct £'000	8 Nov £'000	9 Dec £'000	10 Jan £'000	11 Feb £'000	12 Mar £'000	Total YTD	Forecast year-end position
	DEL															
35	Baseline Provider Depreciation	Actual/F'cast	1,774	1,775	421	1,204	1,230	1,260	1,332	1,332	1,333	1,312	1,312	1,412	8,033	15,697
36	Strategic Depreciation	Actual/F'cast			1,354	574	548	548	499	499	498	500	500	498	2,995	6,019
37	Accelerated Depreciation	Actual/F'cast													0	0
38	Impairments	Actual/F'cast													0	0
39	Other (Specify in Narrative)	Actual/F'cast													0	0
40	**Total**		**1,774**	**1,775**	**1,775**	**1,778**	**1,778**	**1,808**	**1,831**	**1,831**	**1,831**	**1,812**	**1,812**	**1,910**	**11,028**	**21,716**
	AME															
41	Donated Asset Depreciation	Actual/F'cast	60	61	60	60	60	67	64	63	63	60	60	61	372	740
42	Impairments	Actual/F'cast		11		(2,603)	433	18	8,283					140	8,423	6,282
43	Other (Specify in Narrative)	Actual/F'cast													0	0
44	**Total**		**60**	**72**	**60**	**(2,543)**	**494**	**85**	**8,347**	**63**	**63**	**60**	**60**	**201**	**8,795**	**7,023**

D. Accountancy Gains

			1 Apr £'000	2 May £'000	3 Jun £'000	4 Jul £'000	5 Aug £'000	6 Sep £'000	7 Oct £'000	8 Nov £'000	9 Dec £'000	10 Jan £'000	11 Feb £'000	12 Mar £'000	Total YTD	Forecast year-end position
45	Accountancy Gains	Actual/F'cast	0	0	0	0	0	0	0	0	0	0	0	0	**0**	**0**

E. Committed Reserves & Contingencies

			1 Apr £'000	2 May £'000	3 Jun £'000	4 Jul £'000	5 Aug £'000	6 Sep £'000	7 Oct £'000	8 Nov £'000	9 Dec £'000	10 Jan £'000	11 Feb £'000	12 Mar £'000	Total YTD £'000	Forecast year-end position £'000
	List of all Committed Reserves & Contingencies inc above in Section A. Please specify Row number in description.															
46		Forecast Only													0	0
69		Forecast Only													0	0
70		Forecast Only													0	0
71		Forecast Only													0	0
72		Forecast Only													0	0
73		Forecast Only													0	0
74	**Total**		**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**
		Phasing	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	

Table B2 - Pay Expenditure Analysis

A - Pay Expenditure

REF	TYPE	1 Apr £'000	2 May £'000	3 Jun £'000	4 Jul £'000	5 Aug £'000	6 Sep £'000	7 Oct £'000	8 Nov £'000	9 Dec £'000	10 Jan £'000	11 Feb £'000	12 Mar £'000	Total YTD £'000	Forecast year-end position £'000
1	Administrative, Clerical & Board Members	5,848	5,885	5,862	5,806	5,983	6,846	6,084	6,091	6,114	6,132	6,183	6,129	36,229	72,962
2	Medical & Dental	8,155	8,671	8,577	8,533	9,004	9,791	9,143	9,148	9,082	9,118	9,196	9,118	52,733	107,539
3	Nursing & Midwifery Registered	13,466	13,457	13,646	13,834	14,266	15,875	14,525	14,290	14,267	14,293	14,402	14,273	84,544	179,393
4	Prof Scientific & Technical	1,395	1,407	1,449	1,434	1,623	1,716	1,534	1,529	1,534	1,540	1,554	1,540	9,025	18,256
5	Additional Clinical Services	5,538	5,672	5,524	5,531	6,073	8,458	5,679	5,729	5,694	5,704	5,749	5,697	34,796	69,048
6	Allied Health Professionals	2,431	2,578	2,515	2,543	2,714	2,933	2,642	2,634	2,659	2,660	2,683	2,659	15,715	31,652
7	Healthcare Scientists	870	912	829	892	1,025	1,110	968	966	966	970	978	969	5,638	11,456
8	Estates & Ancillary	2,661	2,655	2,504	2,461	2,816	2,711	2,585	2,603	2,678	2,767	2,785	2,745	15,809	31,971
9	Students	3	4	4	4	5	6	5	5	5	5	5	5	25	54
10	TOTAL PAY EXPENDITURE	40,367	41,242	40,912	41,039	43,509	47,445	42,964	42,995	43,000	43,189	43,535	43,135	254,514	513,331
	Analysis of Pay Expenditure														
11	LHB Provided Services - Pay	39,404	40,234	39,868	40,045	42,506	46,350	41,901	41,930	41,935	42,120	42,458	42,067	248,407	500,819
12	Other Services (incl. Primary Care) - Pay	963	1,008	1,044	993	1,003	1,095	1,063	1,064	1,064	1,069	1,077	1,068	6,106	12,512
13	Total - Pay	40,367	41,242	40,912	41,038	43,509	47,445	42,964	42,995	43,000	43,189	43,535	43,135	254,513	513,331

B - Agency / Locum (premium) Expenditure
- Analysed by Type of Staff

REF	TYPE	1 Apr £'000	2 May £'000	3 Jun £'000	4 Jul £'000	5 Aug £'000	6 Sep £'000	7 Oct £'000	8 Nov £'000	9 Dec £'000	10 Jan £'000	11 Feb £'000	12 Mar £'000	Total YTD £'000	Forecast year-end position £'000
1	Administrative, Clerical & Board Members	10	10	47	10	11	12	12	12	12	12	12	12	101	172
2	Medical & Dental	290	598	608	578	803	511	737	737	737	737	737	737	3,385	7,806
3	Nursing & Midwifery Registered	2,266	2,110	2,279	2,468	2,018	2,530	2,453	2,453	2,453	2,417	2,412	2,412	13,671	28,270
4	Prof Scientific & Technical	0	0	0	0	0	0	0	0	0	0	0	0	0	0
5	Additional Clinical Services	25	7	27	15	15	46	22	(27)	7	7	7	7	149	163
6	Allied Health Professionals	67	43	20	70	39	83	82	82	82	82	83	82	321	814
7	Healthcare Scientists	34	36	(11)	30	25	207	53	53	53	53	53	53	321	638
8	Estates & Ancillary	37	58	33	37	44	(153)	0	0	0	0	0	0	57	57
9	Students	0	0	0	0	0	0	0	0	0	0	0	0	0	0
10	TOTAL AGENCY/LOCUM (PREMIUM) EXPENDITURE	2,728	2,880	2,990	3,209	2,986	3,212	3,315	3,344	3,343	3,307	3,303	3,302	18,005	37,920
11	Agency/Locum (premium) % of pay	6.8%	7.0%	7.3%	7.8%	6.9%	6.8%	7.7%	7.8%	7.8%	7.7%	7.6%	7.7%	7.1%	7.4%

C - Agency / Locum (premium) Expenditure
- Analysed by Reason for Using Agency/Locum (premium)

REF	REASON	1 Apr £'000	2 May £'000	3 Jun £'000	4 Jul £'000	5 Aug £'000	6 Sep £'000	7 Oct £'000	8 Nov £'000	9 Dec £'000	10 Jan £'000	11 Feb £'000	12 Mar £'000	Total YTD £'000	Forecast year-end position £'000
1	Vacancy	2,248	2,369	2,516	2,652	2,187	2,464	2,822	2,822	2,762	2,763	2,764	2,763	14,435	31,130
2	Maternity/Paternity/Adoption Leave	7	7	8	8	7	8	9	9	9	9	9	9	45	97
3	Special Leave (Paid) – inc. compassionate leave, interview	2	2	3	3	2	3	3	3	3	3	3	3	15	32
4	Special Leave (Unpaid)	0	0	0	0	0	0	0	0	0	0	0	0	0	0
5	Study Leave/Examinations	0	0	0	0	0	0	0	0	0	0	0	0	0	0
6	Additional Activity (Winter Pressures/Site Pressures)	0	0	0	0	0	0	0	0	0	0	0	0	0	0
7	Annual Leave	0	0	0	0	0	0	0	0	0	0	0	0	0	0
8	Sickness	70	74	78	82	68	77	88	88	146	146	146	146	448	1,208
9	Restricted Duties	0	0	0	0	0	0	0	0	0	0	0	0	0	0
10	Jury Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0
11	WLI	0	0	0	0	0	0	0	0	0	0	0	0	0	0
12	Exclusion (Suspension)	0	0	0	0	0	0	0	0	0	0	0	0	0	0
13	COVID-19	401	428	386	463	722	662	394	423	423	387	382	382	3,061	5,452
14	TOTAL AGENCY/LOCUM (PREMIUM) EXPENDITURE	2,728	2,880	2,990	3,209	2,986	3,212	3,315	3,344	3,343	3,307	3,303	3,302	18,005	37,920

Table B3 - COVID-19 Analysis

A - Additional Expenditure		1	2	3	4	5	6	7	8	9	10	11	12		
		Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total YTD	Forecast year-end position
A1	*Enter as positive values*	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
1	**Testing (Additional costs due to C19) enter as positive values - actual/forecast**														
2	**Provider Pay (Establishment, Temp & Agency)**														
3	Administrative, Clerical & Board Members	9	11	12	17	10	24	10	10	10	10	10	10	83	143
4	Medical & Dental													0	0
5	Nursing & Midwifery Registered	43	48	44	37	49	48	50	50	50	50	50	50	260	560
6	Prof Scientific & Technical													0	0
7	Additional Clinical Services	59	62	68	68	70	87	70	70	70	70	70	70	412	832
8	Allied Health Professionals													0	0
9	Healthcare Scientists													0	0
10	Estates & Ancillary													0	0
11	Students													0	0
12	**Sub total Testing Provider Pay**	**111**	**121**	**122**	**122**	**128**	**159**	**130**	**130**	**130**	**130**	**130**	**130**	**755**	**1,535**
13	Primary Care Contractor (excluding drugs)													0	0
14	Primary Care - Drugs													0	0
15	Secondary Care - Drugs													0	0
16	Provider - Non Pay (Clinical & General Supplies, Rent, Rates, Equipment etc) Exclude PPE - see A7	8	31	(4)	0	21	8	9	10	10	10	10	10	64	123
17	Healthcare Services Provided by Other NHS Bodies													0	0
18	Non Healthcare Services Provided by Other NHS Bodies													0	0
19	Continuing Care and Funded Nursing Care													0	0
20	Other Private & Voluntary Sector													0	0
21	Joint Financing and Other (includes Local Authority)													0	0
22	Other (only use with WG agreement & state SoCNEI line ref)													0	0
23														0	0
24														0	0
25														0	0
26	**Sub total Testing Non Pay**	**8**	**31**	**(4)**	**0**	**21**	**8**	**9**	**10**	**10**	**10**	**10**	**10**	**64**	**123**
27	**TOTAL TESTING EXPENDITURE**	**119**	**152**	**118**	**122**	**142**	**167**	**139**	**140**	**140**	**140**	**140**	**140**	**820**	**1,659**
28	**PLANNED TESTING EXPENDITURE (In Opening Plan)**	119	152	137	135	135	135	135	135	135	135	135	135	813	1,623
29	**MOVEMENT FROM OPENING PLANNED TESTING EXPENDITURE**	**0**	**0**	**19**	**13**	**(7)**	**(32)**	**(4)**	**(5)**	**(5)**	**(5)**	**(5)**	**(5)**	**(7)**	**(36)**
A2	***Tracing (Additional costs due to C19) enter as positive values - actual/forecast***														
30	**Provider Pay (Establishment, Temp & Agency)**														
31	Administrative, Clerical & Board Members		3	3	3	22	7	3	3	3	3	3	3	35	56
32	Medical & Dental					5	6							11	11
33	Nursing & Midwifery Registered	11	15	10	11	11	8	11	11	11	11	11	11	66	132
34	Prof Scientific & Technical													0	0
35	Additional Clinical Services	2	4	3	3	3	3	4	4	4	4	4	4	15	42
36	Allied Health Professionals													0	0
37	Healthcare Scientists													0	0
38	Estates & Ancillary													0	0
39	Students													0	0
40	**Sub total Tracing Provider Pay**	**13**	**22**	**16**	**17**	**41**	**24**	**18**	**18**	**18**	**19**	**18**	**18**	**133**	**241**
41	Primary Care Contractor (excluding drugs)													0	0
42	Primary Care - Drugs													0	0
43	Secondary Care - Drugs													0	0
44	Provider - Non Pay (Clinical & General Supplies, Rent, Rates, Equipment etc) Exclude PPE - see A7	(2)	0	0	3	0								1	1
45	Healthcare Services Provided by Other NHS Bodies													0	0
46	Non Healthcare Services Provided by Other NHS Bodies													0	0
47	Continuing Care and Funded Nursing Care													0	0
48	Other Private & Voluntary Sector													0	0
49	Joint Financing and Other (includes Local Authority)	605	352	377	474	522	488	500	500	500	500	500	500	2,818	5,818
50	Other (only use with WG agreement & state SoCNEI line ref)													0	0
51														0	0
52														0	0
53														0	0
54	**Sub total Tracing Non Pay**	**603**	**352**	**377**	**477**	**522**	**488**	**500**	**500**	**500**	**500**	**500**	**500**	**2,819**	**5,819**
55	**TOTAL TRACING EXPENDITURE**	**616**	**374**	**393**	**494**	**563**	**512**	**518**	**518**	**518**	**518**	**518**	**518**	**2,952**	**6,060**
56	**PLANNED TRACING EXPENDITURE (In Opening Plan)**	616	374	503	503	503	503	503	503	503	503	503	503	3,002	6,020
57	**MOVEMENT FROM OPENING PLANNED TRACING EXPENDITURE**	**0**	**0**	**110**	**9**	**(60)**	**(9)**	**(15)**	**(15)**	**(15)**	**(15)**	**(15)**	**(15)**	**50**	**(40)**
A3	***Mass COVID-19 Vaccination (Additional costs due to C19) enter as positive values - actual/forecast***														
58	**Provider Pay (Establishment, Temp & Agency)**														
59	Administrative, Clerical & Board Members	136	115	145	90	55	65	140	140	140	140	140	140	606	1,446
60	Medical & Dental	10	8	14	5	8	4	10	10	10	10	10	10	47	107
61	Nursing & Midwifery Registered	298	244	328	234	186	241	300	300	300	300	300	300	1,531	3,331
62	Prof Scientific & Technical	10	7	8	7	5	7	8	8	8	8	8	8	44	92
63	Additional Clinical Services	18	22	34	110	131	160	18	18	18	18	18	18	475	583
64	Allied Health Professionals	38	27	34	22	19	30	25	25	25	25	25	25	170	320
65	Healthcare Scientists													0	0
66	Estates & Ancillary					0								0	0
67	Students													0	0
68	**Sub total Mass COVID-19 Vaccination Provider Pay**	**510**	**423**	**563**	**468**	**401**	**507**	**501**	**501**	**501**	**501**	**501**	**501**	**2,872**	**5,878**
69	Primary Care Contractor (excluding drugs)	500	500	500	(301)	(250)	0							949	949
70	Primary Care - Drugs													0	0
71	Secondary Care - Drugs													0	0
72	Provider - Non Pay (Clinical & General Supplies, Rent, Rates, Equipment etc) Exclude PPE - see A7	37	179	259	158	79	116	106	115	115	115	115	115	828	1,509
73	Healthcare Services Provided by Other NHS Bodies													0	0
74	Non Healthcare Services Provided by Other NHS Bodies													0	0
75	Continuing Care and Funded Nursing Care													0	0
76	Other Private & Voluntary Sector													0	0
77	Joint Financing and Other (includes Local Authority)													0	0
78	Other (only use with WG agreement & state SoCNEI line ref)													0	0
79														0	0
80														0	0
81														0	0
82	**Sub total Mass COVID-19 Vaccination Non Pay**	**537**	**679**	**759**	**(143)**	**(171)**	**116**	**106**	**115**	**115**	**115**	**115**	**115**	**1,777**	**2,458**
83	**TOTAL MASS COVID-19 VACC EXPENDITURE**	**1,047**	**1,102**	**1,322**	**325**	**230**	**623**	**607**	**616**	**616**	**616**	**616**	**616**	**4,649**	**8,336**
84	**PLANNED MASS COVID-19 VACC EXPENDITURE (In Opening Plan)**	1,047	1,102	1,069	1,149	1,097	1,070	1,045	1,046	1,046	1,046	1,046	1,046	6,534	12,809
85	**MOVEMENT FROM OPENING PLANNED MASS COVID-19 VACC EXPENDITURE**	**0**	**0**	**(253)**	**824**	**867**	**447**	**438**	**430**	**430**	**430**	**430**	**430**	**1,885**	**4,473**
A4	***Extended Flu Vaccination (Additional costs due to C19) enter as positive values - actual/forecast***														
86	**Provider Pay (Establishment, Temp & Agency)**														
87	Administrative, Clerical & Board Members							8	8	7				0	23
88	Medical & Dental													0	0
89	Nursing & Midwifery Registered							10	13	4				0	27
90	Prof Scientific & Technical													0	8
91	Additional Clinical Services							9	9	7				0	25
92	Allied Health Professionals							10	10	10				0	30
93	Healthcare Scientists													0	0
94	Estates & Ancillary													0	0
95	Students													0	0
96	**Sub total Extended Flu Vaccination Provider Pay**	**0**	**0**	**0**	**0**	**0**	**0**	**37**	**40**	**28**	**0**	**0**	**0**	**0**	**105**
97	Primary Care Contractor (excluding drugs)								173	45	26	5	1	0	250
98	Primary Care - Drugs													0	0
99	Secondary Care - Drugs							60	60	60				0	180
100	Provider - Non Pay (Clinical & General Supplies, Rent, Rates, Equipment etc) Exclude PPE - see A7													0	0
101	Healthcare Services Provided by Other NHS Bodies													0	0
102	Non Healthcare Services Provided by Other NHS Bodies													0	0
103	Continuing Care and Funded Nursing Care													0	0
104	Other Private & Voluntary Sector													0	0
105	Joint Financing and Other (includes Local Authority)													0	0
106	Other (only use with WG agreement & state SoCNEI line ref)													0	0
107														0	0
108														0	0
109														0	0
110	**Sub total Extended Flu Vaccination Non Pay**	**0**	**0**	**0**	**0**	**0**	**0**	**60**	**233**	**105**	**26**	**5**	**1**	**0**	**430**
111	**TOTAL EXTENDED FLU VACC EXPENDITURE**	**0**	**0**	**0**	**0**	**0**	**0**	**97**	**273**	**133**	**26**	**5**	**1**	**0**	**535**
112	**PLANNED EXTENDED FLU VACC EXPENDITURE (In Opening Plan)**	0	0	0	0	0	0	0	279	151	132	5	1	0	568
113	**MOVEMENT FROM OPENING PLANNED EXTENDED FLU VACC EXPENDITURE**	**0**	**0**	**0**	**0**	**0**	**0**	**(97)**	**6**	**18**	**106**	**(0)**	**0**	**0**	**33**

A5	*Field Hospital / Surge (Additional costs due to C19) enter as positive value - actual/forecast*														
114	**Provider Pay (Establishment, Temp & Agency)**														
115	Administrative, Clerical & Board Members	6	6	6	0	2	4	3	3	3	3	3	3	24	42
116	Medical & Dental	8	8	8	5	2	2	2	2	2	2	2	2	33	44
117	Nursing & Midwifery Registered	45	48	33	14	(6)								134	134
118	Prof Scientific & Technical	0	1	1	0									2	2
119	Additional Clinical Services	29	24	21	1	0	1							76	76
120	Allied Health Professionals													0	0
121	Healthcare Scientists													0	0
122	Estates & Ancillary	28	22	18	0									68	68
123	Students													0	0
124	**Sub total Field Hospital / Surge Provider Pay**	**116**	**109**	**87**	**20**	**(2)**	**7**	**5**	**5**	**5**	**5**	**5**	**5**	**337**	**365**
125	Primary Care Contractor (excluding drugs)													0	0
126	Primary Care - Drugs													0	0
127	Secondary Care - Drugs													0	0
128	Provider - Non Pay (Clinical & General Supplies, Rent, Rates, Equipment etc) Exclude PPE - see A7	103	94	79	69	65	69	66	62	59	55	51	51	479	923
129	Provider - Non Pay (Decommissioning Costs)							(500)						5	(500)
130	Healthcare Services Provided by Other NHS Bodies													0	0
131	Non Healthcare Services Provided by Other NHS Bodies													0	0
132	Continuing Care and Funded Nursing Care													0	0
133	Other Private & Voluntary Sector													0	0
134	Joint Financing and Other (includes Local Authority)													0	0
135	Joint Financing and Other - (Compensation for Consequential Losses)	11	11	11	11	11	11	11	11	11	11	11	11	66	130
136	Other (only use with WG agreement & state SoCNE/I line ref)													0	0
137														0	0
138														0	0
139														0	0
140	**Sub total Field Hospital / Surge Non Pay**	**114**	**105**	**90**	**80**	**76**	**80**	**(223)**	**73**	**70**	**66**	**62**	**62**	**544**	**553**
141	**TOTAL FIELD HOSPITAL / SURGE EXPENDITURE**	**230**	**214**	**177**	**100**	**73**	**87**	**(218)**	**78**	**75**	**71**	**67**	**67**	**881**	**1,019**
142	**PLANNED FIELD HOSPITAL / SURGE EXPENDITURE (In Opening Plan)**	230	214	230	81	77	76	74	72	71	69	67	69	**908**	**1,330**
143	**MOVEMENT FROM OPENING PLANNED FIELD HOSPITAL / SURGE EXPENDITURE**	**0**	**0**	**53**	**(19)**	**4**	**(11)**	**292**	**(6)**	**(4)**	**(2)**	**0**	**2**	**27**	**311**
A6	**Cleaning Standards (Additional costs due to C19) enter as positive value - actual/forecast**														
144	**Provider Pay (Establishment, Temp & Agency)**														
145	Administrative, Clerical & Board Members													0	0
146	Medical & Dental													0	0
147	Nursing & Midwifery Registered													0	0
148	Prof Scientific & Technical													0	0
149	Additional Clinical Services													0	0
150	Allied Health Professionals													0	0
151	Healthcare Scientists													0	0
152	Estates & Ancillary	107	107	107	107	107	58	78	107	136	166	195	233	593	1,508
153	Students													0	0
154	**Sub total Cleaning Standards Provider Pay**	**107**	**107**	**107**	**107**	**107**	**58**	**78**	**107**	**136**	**166**	**195**	**233**	**593**	**1,508**
155	Primary Care Contractor (excluding drugs)													0	0
156	Primary Care - Drugs													0	0
157	Secondary Care - Drugs													0	0
158	Provider - Non Pay (Clinical & General Supplies, Rent, Rates, Equipment etc) Exclude PPE - see A7	4	5	6	6	6	3	3	5	6	4	8	8	30	64
159	Healthcare Services Provided by Other NHS Bodies													0	0
160	Non Healthcare Services Provided by Other NHS Bodies													0	0
161	Continuing Care and Funded Nursing Care													0	0
162	Other Private & Voluntary Sector													0	0
163	Joint Financing and Other (includes Local Authority)													0	0
164	Other (only use with WG agreement & state SoCNE/I line ref)													0	0
165														0	0
166														0	0
167														0	0
168	**Sub total Cleaning Standards Non Pay**	**4**	**5**	**6**	**6**	**6**	**3**	**3**	**5**	**6**	**4**	**8**	**8**	**30**	**64**
169	**TOTAL CLEANING STANDARDS EXPENDITURE**	**111**	**112**	**113**	**113**	**112**	**61**	**81**	**112**	**142**	**170**	**203**	**241**	**622**	**1,571**
170	**PLANNED CLEANING STANDARDS EXPENDITURE (In Opening Plan)**	111	112	134	155	178	199	221	241	241	241	241	241	**889**	**2,315**
171	**MOVEMENT FROM OPENING PLANNED CLEANING STANDARDS EXPENDITURE**	**0**	**0**	**21**	**42**	**66**	**138**	**140**	**129**	**99**	**71**	**38**	**0**	**267**	**744**
A7	**Other (Additional costs due to C19) enter as positive value - actual/forecast**														
172	**Provider Pay (Establishment, Temp & Agency)**														
173	Administrative, Clerical & Board Members	153	194	145	136	123	127	152	177	246	246	246	246	**878**	**2,191**
174	Medical & Dental	100	143	73	73	149	175	192	223	223	223	223	223	**713**	**2,020**
175	Nursing & Midwifery Registered	374	351	398	490	654	576	607	610	696	671	660	662	**2,843**	**6,749**
176	Prof Scientific & Technical	2	0	0	0	0		14	14	30	30	30	30	**2**	**159**
177	Additional Clinical Services	402	348	365	330	344	334	300	367	374	369	366	364	**2,123**	**4,263**
178	Allied Health Professionals	5	2	1	2	4	3	40	40	84	84	84	84	**17**	**453**
179	Healthcare Scientists	40	56	31	39	43	33	45	45	52	52	52	52	**242**	**540**
180	Estates & Ancillary	521	471	376	303	365	369	322	318	379	430	401	343	**2,405**	**4,598**
181	Students	2	2	2	2	2	2	2	2	2	2	2	2	**12**	**22**
182	Other (only use with WG Agreement & state SoCNE/I line ref)													**0**	**0**
183														**0**	**0**
184														**0**	**0**
185														**0**	**0**
186	**Sub total Other C-19 Provider Pay**	**1,599**	**1,567**	**1,391**	**1,375**	**1,683**	**1,619**	**1,674**	**1,796**	**2,086**	**2,107**	**2,064**	**2,008**	**9,234**	**20,965**
187	Primary Care Contractor (excluding drugs)	14	16	19	0	20	0	0	274	327	327	327	327	**69**	**1,651**
188	Primary Care Contractor (excluding drugs) - Costs as a result of lost GDS Income	150	150	150	150	150	150	135	135	135	135	135	135	**900**	**1,710**
189	Primary Care - Drugs	356	331	381	373	356	381	356	373	390	356	339	382	**2,178**	**4,374**
190	Secondary Care - Drugs	162	131	169	168	219	492	210	350	350	350	350	350	**1,341**	**3,301**
191	Provider - Non Pay (Clinical & General Supplies, Rent, Rates, Equipment etc) Exclude PPE - see separ	137	348	215	215	432	265	295	387	373	372	211	211	**1,612**	**3,461**
192	Provider - Non Pay - PPE	145	124	230	287	232	286	254	260	260	260	260	260	**1,304**	**2,858**
193	Healthcare Services Provided by Other NHS Bodies	375	186	246	44	(277)	(10)	13	8	8	8	8	9	**584**	**618**
194	Healthcare Services Provided by Other NHS Bodies - Additional Costs due to Block Contracts - Wales N	12	12	12	12	12	12	12	12	12	12	12	12	**72**	**144**
195	Healthcare Services Provided by Other NHS Bodies - Additional Costs due to Block Contracts - England	0	0	0	0									**0**	**0**
196	Non Healthcare Services Provided by Other NHS Bodies	0	0	0	0									**0**	**0**
197	Continuing Care and Funded Nursing Care	0	0	557	0		734			483			227	**1,291**	**2,001**
198	Other Private & Voluntary Sector	0	10	5	5	5	13	5	5	5	5	5	5	**38**	**68**
199	Other Private & Voluntary Sector - Private Hospital Providers	46	453	196	118	220	303	839	1,979	1,958	2,106	2,106	2,238	**1,336**	**12,562**
200	Joint Financing and Other (includes Local Authority)	0	0	0	0				60	35	35	35	35	**0**	**200**
201	Other (only use with WG Agreement & state SoCNE/I line ref)													**0**	**0**
202														**0**	**0**
203														**0**	**0**
204														**0**	**0**
205	WHSSC C-19 Stoppage - Healthcare Services Provided by Other NHS Bodies (Line 13 of Table B)				30	54	7	17	18	18	18	18	17	**91**	**195**
206	**Sub total Other C-19 Non Pay**	**1,397**	**1,761**	**2,180**	**1,402**	**1,422**	**2,633**	**2,136**	**3,861**	**4,354**	**3,964**	**3,806**	**4,208**	**10,795**	**33,142**
207	**TOTAL OTHER C-19 EXPENDITURE**	**2,996**	**3,328**	**3,571**	**2,777**	**3,105**	**4,252**	**3,810**	**5,656**	**6,439**	**6,090**	**5,869**	**6,214**	**20,029**	**54,108**
208	**PLANNED OTHER C-19 EXPENDITURE (In Opening Plan)**	2,996	3,328	3,709	3,294	3,414	3,963	4,013	3,904	4,477	4,004	4,090	4,703	**20,704**	**45,895**
209	**MOVEMENT FROM OPENING PLANNED OTHER C-19 EXPENDITURE**	**0**	**0**	**138**	**517**	**309**	**(289)**	**203**	**(1,752)**	**(1,962)**	**(2,086)**	**(1,779)**	**(1,511)**	**675**	**(8,213)**
210	**TOTAL ADDITIONAL EXPENDITURE DUE TO COVID**	**5,119**	**5,282**	**5,694**	**3,931**	**4,225**	**5,702**	**5,033**	**7,393**	**8,062**	**7,631**	**7,418**	**7,796**	**29,953**	**73,287**
211	**PLANNED ADDITIONAL EXPENDITURE DUE TO COVID (In Opening Plan)**	**5,119**	**5,282**	**5,762**	**5,317**	**5,404**	**5,946**	**5,991**	**6,180**	**6,624**	**6,130**	**6,087**	**6,698**	**32,850**	**70,560**
212	**MOVEMENT FROM OPENING PLANNED ADDITIONAL COVID EXPENDITURE**	**0**	**0**	**68**	**1,386**	**1,179**	**244**	**958**	**(1,213)**	**(1,438)**	**(1,501)**	**(1,331)**	**(1,098)**	**2,897**	**(2,727)**
B - In Year Non Delivery of Savings / Net Income Generation Schemes Due To C19		**1**	**2**	**3**	**4**	**5**	**6**	**7**	**8**	**9**	**10**	**11**	**12**		
		Apr	**May**	**Jun**	**Jul**	**Aug**	**Sep**	**Oct**	**Nov**	**Dec**	**Jan**	**Feb**	**Mar**	**Total YTD**	**Forecast year-end position**
	Enter as Positive values	**£'000**	**£'000**	**£'000**	**£'000**	**£'000**	**£'000**	**£'000**	**£'000**	**£'000**	**£'000**	**£'000**	**£'000**	**£'000**	**£'000**
213	**Non Delivery of Savings (due to C19) - Actual/Forecast**														
214	Non Delivery of Finalised (M1) Savings	0	0	0	0	0	0	0	0	0	0	0	0	**0**	**0**
215	Non finalisation of Planning Assumptions (savings) at M1	1,343	(1,343)					0	0	0	0	0	0	**0**	**0**
216	Non Delivery of Finalised (M1) Net Income Generation Schemes - Actual/Forecast	0	0	0	0	0	0	0	0	0	0	0	0	**0**	**0**
217	**TOTAL NON DELIVERY OF SAVINGS/NET INCOME GENERATION DUE TO COVID**	**1,343**	**(1,343)**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**

C - In Year Operational Expenditure Cost Reduction Due To C19

		1	2	3	4	5	6	7	8	9	10	11	12		
		Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total YTD	Forecast year-end position
	Enter as Negative values	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
218	***Expenditure Reductions (due to C19) - Actual/Forecast***														
219	Reduction of non pay costs due to reduced elective activity	(524)	(405)	(167)	(217)	(84)	(21)	(2)	(25)					(1,426)	(1,483)
220	Reduction of outsourcing costs due to reduced planned activity													0	0
221	WHSSC C-19 Slippage (as advised by WHSSC)	(25)	(18)	10	32	0	0							(0)	(0)
222	Other (please specify):													0	0
223	Dental contractual payments (10%)						(800)	(133)	(133)	(133)	(133)	(133)	(133)	(800)	(1,335)
224	Primary Care enhanced and other services	(100)	(72)	(123)	0	(212)								(507)	(507)
225	Other Acute services	(157)	(232)	(180)	56									(513)	(513)
226	LTAs		(43)	(23)										(66)	(66)
227	CHC retrospective reviews			(133)										(133)	(133)
228	**TOTAL EXPENDITURE REDUCTION**	**(806)**	**(876)**	**(505)**	**(129)**	**(306)**	**(821)**	**(135)**	**(158)**	**(133)**	**(133)**	**(133)**	**(133)**	**(3,445)**	**(4,270)**

D - In Year Slippage on Planned Investments/Repurposing of Developmental Initiatives due to C19

		1	2	3	4	5	6	7	8	9	10	11	12		
		Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total YTD	Forecast year-end position
	Enter as Negative values	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
229	***Slippage on Planned Investments/Repurposing of Developmental Initiatives (due to C19) - Actual***														
230														0	0
231														0	0
232														0	0
233														0	0
234														0	0
235														0	0
236														0	0
237														0	0
238														0	0
239	**TOTAL RELEASE/REPURPOSING OF PLANNED INVESTMENTS/DEVELOPMENT INITIATIVES**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**
240	**ACTUAL / FORECAST - EXPENDITURE IMPACT DUE TO COVID-19**	**5,656**	**3,063**	**5,186**	**3,802**	**3,919**	**4,881**	**4,898**	**7,235**	**7,929**	**7,498**	**7,285**	**7,663**	**26,507**	**69,016**

E - Additional Welsh Government Funding for C19

		1	2	3	4	5	6	7	8	9	10	11	12		
		Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total YTD	Forecast year-end position
	Enter as Positive values	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
241	**PLANNED WG FUNDING FOR COVID-19**	5,119	10,674	8,478	8,013	8,100	8,642	8,687	8,876	9,320	8,826	8,783	9,394	**49,026**	**102,911**
242	**MOVEMENTS FROM OPENING PLANNED WG FUNDING FOR COVID-19**	**537**	**(537)**	**(88)**	**(1,386)**	**(1,179)**	**(244)**	**(958)**	**1,213**	**1,438**	**1,501**	**1,331**	**1,098**	**(2,897)**	**2,727**
243	**TOTAL ACTUAL / FORECAST WG FUNDING FOR COVID-19**	5,656	10,137	8,390	6,627	6,921	8,398	7,729	10,089	10,758	10,326	10,114	10,492	**46,128**	**105,638**
244	**ACTUAL / FORECAST NET IMPACT ON OVERALL FINANCIAL POSITION DUE TO COVID-19**	**(0)**	**7,074**	**3,204**	**2,825**	**3,002**	**3,517**	**2,831**	**2,854**	**2,829**	**2,829**	**2,829**	**2,829**	**19,621**	**36,621**

Table C - Identified Expenditure Savings Schemes (Excludes Income Generation & Accountancy Gains)

			1	2	3	4	5	6	7	8	9	10	11	12	Total YTD	Full-year forecast	YTD as %age of FY	Assessment		Full In-Year forecast		Full-Year Effect of Recurring Savings
			Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar			*YTD variance as %age of YTD Budget/Plan*	Green	Amber	non recurring	recurring	
			£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000				£'000	£'000	£'000	£'000	£'000
1		Budget/Plan	0	0	0	0	0	0	0	50	0	75	0	75	0	200		200	0			
2	CHC and Funded Nursing Care	Actual/Fcast	0	0	0	0	0	100	268	313	268	343	252	343	100	1,887	5.30%	1,887	0	200	1,687	1,687
3		Variance	0	0	0	0	0	100	268	263	268	268	252	268	100	1,687		1,687	0			
4		Budget/Plan	0	0	0	0	0	0	0	100	100	100	100	100	0	500		500	0			
5	Commissioned Services	Actual/Fcast	0	0	0	0	0	0	0	100	100	100	100	100	0	500	0.00%	500	0	500	0	0
6		Variance	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0			
7		Budget/Plan	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0			
8	Medicines Management (Primary & Secondary Care)	Actual/Fcast	0	0	0	0	0	0	308	301	308	299	281	309	0	1,806	0.00%	1,806	0	0	1,806	1,806
9		Variance	0	0	0	0	0	0	308	301	308	299	281	309	0	1,806		1,806	0			
10		Budget/Plan	230	210	185	200	170	160	166	105	680	295	370	280	1,155	3,051		3,051	0			
11	Non Pay	Actual/Fcast	230	210	185	200	1,170	160	166	105	55	125	270	175	2,155	3,051	70.63%	3,051	0	1,951	1,100	1,100
12		Variance	0	0	0	0	1,000	0	0	0	(625)	(170)	(100)	(105)	1,000	0	*86.58%*	0	0			
13		Budget/Plan	8	28	52	381	395	385	641	551	571	446	431	431	1,249	4,320		4,320	0			
14	Pay	Actual/Fcast	8	28	52	381	395	385	651	577	617	511	505	506	1,249	4,616	27.06%	4,616	0	4,616	0	0
15		Variance	0	0	0	0	0	0	10	26	46	65	74	75	0	296	*0.00%*	296	0			
16		Budget/Plan	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0			
17	Primary Care	Actual/Fcast	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0
18		Variance	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0			
19		Budget/Plan	238	238	237	581	565	545	807	806	1,351	916	901	886	2,404	8,071		8,071	0			
20	Total	Actual/Fcast	238	238	237	581	1,565	645	1,393	1,396	1,348	1,378	1,408	1,433	3,504	11,860	29.54%	11,860	0	7,267	4,593	4,593
21		Variance	0	0	0	0	1,000	100	586	590	(3)	462	507	547	1,100	3,789	*45.76%*	3,789	0			
22		Variance in month	0.00%	0.00%	0.00%	0.00%	176.99%	18.35%	72.63%	73.16%	(0.21%)	50.45%	56.25%	61.75%	45.76%							
23		in month achievement against	2.01%	2.01%	2.00%	4.90%	13.20%	5.44%	11.75%	11.77%	11.37%	11.62%	11.87%	12.08%								

Table D - Income/Expenditure Assumptions

Annual Forecast

	LHB/Trust	Contracted Income £'000	Non Contracted Income £'000	Total Income £'000	Contracted Expenditure £'000	Non Contracted Expenditure £'000	Total Expenditure £'000
1	Swansea Bay University	3,869	330	**4,199**	36,272	1,960	**38,232**
2	Aneurin Bevan University	366	344	**710**	299	22	**321**
3	Betsi Cadwaladr University	4,991	171	**5,162**	239	0	**239**
4	Cardiff & Vale University	324	168	**492**	5,740	577	**6,317**
5	Cwm Taf Morgannwg University	467	47	**514**	463	252	**715**
6	Hywel Dda University			**0**			**0**
7	Powys	7,910	970	**8,880**	196	34	**230**
8	Public Health Wales	3,050	372	**3,422**	1,713	523	**2,236**
9	Velindre	2,766		**2,766**	15,059	2,390	**17,449**
10	NWSSP			**0**			**0**
11	DHCW	472		**472**	3,146	34	**3,180**
12	Wales Ambulance Services		446	**446**	2,334	2,781	**5,115**
13	WHSSC	1,662		**1,662**	81,360	(1,176)	**80,184**
14	EASC			**0**	25,926	0	**25,926**
15	HEIW		7,245	**7,245**			**0**
16	NHS Wales Executive			**0**			**0**
17	**Total**	**25,877**	**10,093**	**35,970**	**172,747**	**7,397**	**180,144**

Table E - Resource Limits		STATUS OF ISSUED RESOURCE LIMIT ITEMS				Total Revenue Resource Limit	Recurring (R) or Non Recurring	Total Revenue Drawing Limit	Total Capital Resource Limit	Total Capital Drawing Limit
1. BASE ALLOCATION		HCHS £'000	Pharmacy £'000	Dental £'000	GMS £'000	£'000	(NR)	£'000	£'000	£'000
1	**LATEST ALLOCATION LETTER/SCHEDULE REF:**	**46**	**2**	**2**	**4**					
2	**Total Confirmed Funding**	889,204	22,145	18,727	75,373	**1,005,448**		990,130	35,761	35,761
2. ANTICIPATED ALLOCATIONS										
3	DEL Non Cash Depreciation - Baseline Surplus / Shortfall	380				**380**	**NR**	0		
4	DEL Non Cash Depreciation - Strategic	6,019				**6,019**	**NR**	0		
5	DEL Non Cash Depreciation - Accelerated					**0**				
6	DEL Non Cash Depreciation - Impairment					**0**				
7	AME Non Cash Depreciation - Donated Assets	740				**740**	**NR**	0		
8	AME Non Cash Depreciation - Impairment	8,885				**8,885**	**NR**	0		
9	AME Non Cash Depreciation - Impairment Reversals	(2,603)				**(2,603)**	**NR**	0		
10	Removal of Donated Assets / Government Grant Receipts	(396)				**(396)**	**NR**	0		
11	Total COVID-19 (see below analysis)	8,943	0	0	(135)	**8,808**	**NR**	8,808		
12	Substance Misuse	2,138				**2,138**	**NR**	2,138		
13	Mental Health Older People DoLs	9				**9**	**NR**	9		
14						**0**		0		
15	DDRB Pay Award 2020/21	79				**79**	**R**	79		
16	Pharmacy: delivering a Healthier Wales digital signposting tool	20				**20**	**R**	20		
17						**0**				
18	ICF Dementia	1,249				**1,249**	**NR**	1,249		
19	Eye Care Sustainability	300				**300**	**NR**	300		
20						**0**		0		
21	WCCIS 2021/22	410				**410**	**NR**	410		
22						**0**		0		
23						**0**	**NR**	0		
24	Pharmacy Contractor bonus		118			**118**	**NR**	118		
25	MHLD Recovery	1,867				**1,867**	**NR**	1,867		
26	Primary Care Contractor bonus				212	**212**	**NR**	212		
27						**0**		0		
28	Value based Healthcare	374				**374**	**NR**	374		
29						**0**		0		
30	Suicide prevention	45				**45**	**NR**	45		
31	Additional EASC funding	134				**134**	**NR**	134		
32	Invest to Save: Allocate	(150)				**(150)**	**NR**	(150)		
33	Invest to save: Switchboard consolidation	(150)				**(150)**	**NR**	(150)		
34	Invest to save: Haematology lead Pharmacist	(62)				**(62)**	**NR**	(62)		
35	Invest to save: E-Job planning	(34)				**(34)**	**NR**	(34)		
36	Invest to save: Delivery of dressings	(20)				**(20)**	**NR**	(20)		
37	Invest to save: Operational maintenance software	(10)				**(10)**	**NR**	(10)		
38	NHS Bonus payment over-provision in 2020/21	(887)				**(887)**	**NR**			
54						**0**				
55						**0**				
56	**Total Anticipated Funding**	**27,279**	**118**	**0**	**77**	**27,474**		**15,337**	**0**	**0**
3. TOTAL RESOURCES & BUDGET RECONCILIATION										
57	Confirmed Resources Per 1. above	889,204	22,145	18,727	75,373	1,005,448		990,130	35,761	35,761
58	Anticipated Resources Per 2. above	27,279	118	0	77	27,474		15,337	0	0
59	**Total Resources**	**916,483**	**22,263**	**18,727**	**75,450**	**1,032,922**		**1,005,467**	**35,761**	**35,761**

ANALYSIS OF WG FUNDING FOR COVID-19 INCLUDED ABOVE		Allocated Total £'000	Anticipated HCHS £'000	Anticipated Pharmacy £'000	Anticipated Dental £'000	Anticipated GMS £'000	Total RRL £'000
60	Testing (inc Community Testing)	788	871				**1,659**
61	Tracing	2,937	3,123				**6,060**
62	Mass COVID-19 Vaccination	4,768	3,703			(135)	**8,336**
63	Extended Flu Vaccination	428	107				**535**
64	Field Hospital / Surge						**0**
65	Cleaning Standards	673	898				**1,571**
66	PPE	1,246	1,614				**2,860**
67	Private Providers		2,001				**2,001**
68	Urgent & Emergency Care		949				**949**
69	Stability Fund	31,528	0				**31,528**
70	FY21 underlying operational position due to COVID-19	32,351					**32,351**
71	Elective Recovery	20,815	(3,970)				**16,845**
72	Long COVID-19 Service	640	(454)				**186**
73	RSV Paediatrics	656	101				**757**
74							**0**
90	**Total Funding**	**96,830**	**8,943**	**0**	**0**	**(135)**	**105,638**

	Table F - Statement of Financial Position For Monthly Period	Opening Balance Beginning of Apr 21	Closing Balance End of Sep 21	Forecast Closing Balance End of Mar 22
	Non-Current Assets	£'000	£'000	£'000
1	Property, plant and equipment	290,648	290,822	303,008
2	Intangible assets	1,349	1,122	1,122
3	Trade and other receivables	59,024	70,944	59,024
4	Other financial assets	0		
5	**Non-Current Assets sub total**	**351,021**	**362,888**	**363,154**
	Current Assets			
6	Inventories	9,029	9,647	9,029
7	Trade and other receivables	42,207	53,051	42,207
8	Other financial assets	0	0	0
9	Cash and cash equivalents	2,313	3,314	(24,978)
10	Non-current assets classified as held for sale	392	0	0
11	**Current Assets sub total**	**53,941**	**66,012**	**26,258**
12	**TOTAL ASSETS**	**404,962**	**428,900**	**389,412**
	Current Liabilities			
13	Trade and other payables	152,942	140,944	133,087
14	Borrowings (Trust Only)			
15	Other financial liabilities			
16	Provisions	21,116	29,699	29,699
17	**Current Liabilities sub total**	**174,058**	**170,643**	**162,786**
18	**NET ASSETS LESS CURRENT LIABILITIES**	**230,904**	**258,257**	**226,626**
	Non-Current Liabilities			
19	Trade and other payables	1,123	0	0
20	Borrowings (Trust Only)			
21	Other financial liabilities			
22	Provisions	59,381	66,103	66,103
23	**Non-Current Liabilities sub total**	**60,504**	**66,103**	**66,103**
24	**TOTAL ASSETS EMPLOYED**	**170,400**	**192,154**	**160,523**
	FINANCED BY:			
	Taxpayers' Equity			
25	General Fund	140,985	157,020	125,389
26	Revaluation Reserve	29,415	35,134	35,134
27	PDC (Trust only)			
28	Retained earnings (Trust Only)			
29	Other reserve			
30	**Total Taxpayers' Equity**	**170,400**	**192,154**	**160,523**

	EXPLANATION OF ALL PROVISIONS	Opening Balance Beginning of Apr 21	Closing Balance End of Sep 21	Closing Balance End of Mar 22
31	Clinical negligence	69,753	86,909	86,909
32	Redress	893	934	934
33	Personal injury	4,894	4,750	4,750
34	Defence fees	1,503	1,369	1,369
35	Pensions	20	27	27
36	Other	3,434	1,813	1,813
37				
38				
39				
40	**Total Provisions**	**80,497**	**95,802**	**95,802**

	ANALYSIS OF WELSH NHS RECEIVABLES (current month)	£'000
41	Welsh NHS Receivables Aged 0 - 10 weeks	1,254
42	Welsh NHS Receivables Aged 11 - 16 weeks	0
43	Welsh NHS Receivables Aged 17 weeks and over	0

	ANALYSIS OF TRADE & OTHER PAYABLES (opening, current & closing)	£'000	£'000	£'000
44	Capital	9,661	4,870	9,175
45	Revenue	144,404	136,074	123,912

	ANALYSIS OF CASH (opening, current & closing)	£'000	£'000	£'000
46	Capital	160	(630)	500
47	Revenue	2,153	3,944	(25,478)

Table G - Monthly Cashflow Forecast

		April £'000	May £'000	June £'000	July £'000	Aug £'000	Sept £'000	Oct £'000	Nov £'000	Dec £'000	Jan £'000	Feb £'000	Mar £,000	Total £,000
	RECEIPTS													
1	**WG Revenue Funding - Cash Limit (excluding NCL) - LHB & SHA only**	88,127	87,627	93,627	90,656	83,743	76,153	81,157	80,156	94,856	82,656	86,156	60,553	1,005,467
2	**WG Revenue Funding - Non Cash Limited (NCL) - LHB & SHA only**	(127)	(127)	(127)	(156)	(243)	(153)	(157)	(156)	(155)	(155)	(155)	(156)	(1,867)
3	**WG Revenue Funding - Other (e.g. invoices)**	472	123	123	4,434	363	149	500	500	500	500	500	500	8,664
4	**WG Capital Funding - Cash Limit - LHB & SHA only**	1,500	2,000	1,000	1,700	2,500	2,400	4,000	4,600	3,750	3,400	6,400	2,511	35,761
5	**Income from other Welsh NHS Organisations**	7,088	5,575	5,426	5,999	4,900	5,883	2,500	2,500	2,500	2,500	2,500	2,500	49,871
6	**Short Term Loans - Trust only**	0	0	0	0	0	0	0	0	0	0	0	0	0
7	**PDC - Trust only**	0	0	0	0	0	0	0	0	0	0	0	0	0
8	**Interest Receivable - Trust only**	0	0	0	0	0	0	0	0	0	0	0	0	0
9	**Sale of Assets**	0	89	300	0	0	0	0	0	0	0	0	0	389
10	**Other - (Specify in narrative)**	2,550	2,145	768	3,467	12,629	1,980	3,615	5,790	3,020	3,200	2,540	3,023	44,727
11	**TOTAL RECEIPTS**	**99,610**	**97,432**	**101,117**	**106,100**	**103,892**	**86,412**	**91,615**	**93,390**	**104,471**	**92,101**	**97,941**	**68,931**	**1,143,012**
	PAYMENTS													
12	**Primary Care Services : General Medical Services**	6,082	5,419	6,874	5,889	4,576	5,278	5,397	4,656	4,656	4,656	4,656	4,656	62,795
13	**Primary Care Services : Pharmacy Services**	3,124	0	1,669	3,462	0	1,722	1,020	1,050	2,050	0	1,000	1,000	16,097
14	**Primary Care Services : Prescribed Drugs & Appliances**	11,595	0	5,666	11,685	0	5,902	6,000	6,450	12,950	0	6,500	6,500	73,248
15	**Primary Care Services : General Dental Services**	1,873	1,315	1,346	1,337	2,924	0	1,583	1,411	1,390	1,411	1,411	1,411	17,412
16	**Non Cash Limited Payments**	(154)	284	(149)	(64)	56	(165)	(898)	(156)	(155)	(155)	(155)	(156)	(1,867)
17	**Salaries and Wages**	39,943	45,615	42,647	38,732	56,808	36,466	42,364	40,275	40,250	40,225	40,275	40,095	503,695
18	**Non Pay Expenditure**	30,609	40,908	38,307	40,196	34,286	34,047	34,055	33,144	38,811	42,308	37,644	39,755	444,070
19	**Short Term Loan Repayment - Trust only**	0	0	0	0	0	0	0	0	0	0	0	0	0
20	**PDC Repayment - Trust only**	0	0	0	0	0	0	0	0	0	0	0	0	0
21	**Capital Payment**	5,544	1,075	1,470	990	2,286	916	2,819	4,600	3,750	3,400	6,400	2,900	36,150
22	**Other Items (Specify in narrative)**	2,585	2,258	2,967	2,864	2,135	2,362	457	550	725	500	650	650	18,703
23	**TOTAL PAYMENTS**	**101,201**	**96,874**	**100,797**	**105,091**	**103,071**	**86,528**	**92,797**	**91,980**	**104,427**	**92,345**	**98,381**	**96,811**	**1,170,303**
24	**Net cash inflow/outflow**	(1,591)	558	320	1,009	821	(116)	(1,182)	1,410	44	(244)	(440)	(27,880)	
25	**Balance b/f**	2,313	722	1,280	1,600	2,609	3,430	3,314	2,132	3,542	3,586	3,342	2,902	
26	**Balance c/f**	722	1,280	1,600	2,609	3,430	3,314	2,132	3,542	3,586	3,342	2,902	(24,978)	

Table H - PSPP

30 DAY COMPLIANCE

	PROMPT PAYMENT OF INVOICE PERFORMANCE	Target %	ACTUAL Q1 Actual %	ACTUAL Q1 Variance %	ACTUAL Q2 Actual %	ACTUAL Q2 Variance %	ACTUAL Q3 Actual %	ACTUAL Q3 Variance %	ACTUAL Q4 Actual %	ACTUAL Q4 Variance %	YEAR TO DATE Actual %	YEAR TO DATE Variance %	FORECAST YEAR END Forecast %	FORECAST YEAR END Variance %
1	% of NHS Invoices Paid Within 30 Days - By Value	95.0%	99.5%	4.5%	96.1%	1.1%		-95.0%		-95.0%	97.9%	2.9%	95.0%	0.0%
2	% of NHS Invoices Paid Within 30 Days - By Number	95.0%	95.0%	0.0%	95.0%	0.0%		-95.0%		-95.0%	95.1%	0.1%	95.0%	0.0%
3	% of Non NHS Invoices Paid Within 30 Days - By Value	95.0%	97.4%	2.4%	96.0%	1.0%		-95.0%		-95.0%	96.5%	1.5%	95.0%	0.0%
4	% of Non NHS Invoices Paid Within 30 Days - By Number	95.0%	96.4%	1.4%	93.0%	-2.0%		-95.0%		-95.0%	94.6%	-0.4%	95.0%	0.0%

10 DAY COMPLIANCE

	PROMPT PAYMENT OF INVOICE PERFORMANCE	ACTUAL Q1 Actual %	ACTUAL Q1	ACTUAL Q2 Actual %	ACTUAL Q2	ACTUAL Q3 Actual %	ACTUAL Q3	ACTUAL Q4 Actual %	ACTUAL Q4	YEAR TO DATE Actual %	YEAR TO DATE	FORECAST YEAR END Actual %	FORECAST YEAR END
5	% of NHS Invoices Paid Within 10 Days - By Value	71.5%		67.6%						69.6%		75.0%	
6	% of NHS Invoices Paid Within 10 Days - By Number	28.5%		23.9%						25.8%		50.0%	
7	% of Non NHS Invoices Paid Within 10 Days - By Value	54.6%		31.8%						38.9%		50.0%	
8	% of Non NHS Invoices Paid Within 10 Days - By Number	50.5%		48.1%						49.1%		50.0%	

Table I - Capital Resource / Expenditure Limit Management

£'000	35,761
Approved CRL / CEL issued at :	1/10/21

Ref:	Performance against CRL / CEL	Year To Date Plan £'000	Year To Date Actual £'000	Year To Date Variance £'000	Forecast Plan £'000	Forecast F'cast £'000	Forecast Variance £'000
	Gross expenditure (accrued, to include capitalised finance leases)						
	All Wales Capital Programme:						
	Schemes:						
1	Imaging - Replacement MRI scanner - Withybush	2,189	2,189	0	1,260	2,559	1,299
2	Neonates - Phase II - main	2,007	2,007	0	3,315	3,681	366
3	Fire Prevention Works Glangwili Hospital	220	220	0	787	787	0
4	National Programmes – Fire	20	20	0	656	656	0
5	National Programmes – Infrastructure	94	94	0	1,358	1,358	0
6	National Programmes – Decarbonisation	20	20	0	2,037	2,037	0
7	National Programmes – Mental Health	1	1	0	1,377	1,377	0
8	National Programmes – Imaging	233	233	0	4,515	4,179	(336)
9	Cross Hands Primary Care	0	0	0	0	75	75
10	Withybush Fire Project	1,371	1,371	0	7,110	6,998	(112)
11	E-prescribing	0	0	0	195	195	0
12	Fire Prevention Works Glangwili Hospital - Fees	240	240	0	596	463	(133)
13	Fire Enforcement Works - WGH - Decant Ward Fees	0	0	0	460	460	0
14	COVID Recovery 2021-22	0	0	0	4,824	4,824	0
35				0			0
36				0			0
37				0			0
38				0			0
39				0			0
40				0			0
41				0			0
42	**Sub Total**	**6,395**	**6,395**	**0**	**28,490**	**29,649**	**1,159**
	Discretionary:						
43	I.T.	362	362	0	811	811	0
44	Equipment	91	91	0	885	885	0
45	Statutory Compliance	183	183	0	1,255	1,255	0
46	Estates	551	551	0	2,844	2,844	0
47	Other	(37)	(37)	0	1,476	317	(1,159)
48	**Sub Total**	**1,151**	**1,151**	**0**	**7,271**	**6,112**	**(1,159)**
	Other Schemes:						
49	Capital disposals	0	0	0	389	389	0
50	Donated purchases	98	98	0	396	396	0
51				0			0
52				0			0
53				0			0
54				0			0
55				0			0
56				0			0
57				0			0
69	**Sub Total**	**98**	**98**	**0**	**785**	**785**	**0**
70	**Total Expenditure**	**7,644**	**7,644**	**0**	**36,546**	**36,546**	**0**
	Less:						
	Capital grants:						
71				0			0
72				0			0
73				0			0
74				0			0
75				0			0
76	**Sub Total**	**0**	**0**	**0**	**0**	**0**	**0**
	Donations:						
77	Donated asset income	98	98	0	396	396	0
78	**Sub Total**	**98**	**98**	**0**	**396**	**396**	**0**
	Asset Disposals:						
79	Neyland HC	89	89	0	89	89	0
80	Cardigan HC	300	300	0	300	300	0
81				0			0
82				0			0
83				0			0
90	**Sub Total**	**389**	**389**	**0**	**389**	**389**	**0**
91	***Technical Adjustments***			**0**			**0**
92	**CHARGE AGAINST CRL / CEL**	**7,157**	**7,157**	**0**	**35,761**	**35,761**	**0**
93	**PERFORMANCE AGAINST CRL / CEL (Under)/Over**		(26,604)			**0**	

Table J - In Year Capital Scheme Profiles

Ref:	All Wales Capital Programme: Schemes:	Project Manager	In Year Forecast Min. £'000	In Year Forecast Max. £'000	Capital Expenditure Monthly Profile April £'000	May £'000	Jun £'000	Jul £'000	Aug £'000	Sep £'000	Oct £'000	Nov £'000	Dec £'000	Jan £'000	Feb £'000	Mar £'000	YTD £'000	Total £'000	Risk Level
1	Imaging - Replacement MRI scanner - Withybush	AE	2,559	2,559	248	270	169	1,364	28	111	178	159	0	0	0	33	2,189	2,559	Low
2	Neonates - Phase II - main	LH	2,879	3,681	457	435	327	305	256	227	269	136	95	181	140	854	2,007	3,681	Medium
3	Fire Prevention Works Glangwili Hospital	RE	787	787	36	0	8	2	23	152	189	180	0	0	197	0	220	787	Low
4	National Programmes – Fire	RE	656	656	0	0	3	1	1	15	57	3	0	0	576	0	20	656	Low
5	National Programmes – Infrastructure	RE	1,358	1,358	0	0	2	34	14	43	196	475	458	0	136	(0)	94	1,358	Low
6	National Programmes – Decarbonisation	RE	851	2,037	0	4	0	5	5	6	86	269	0	0	500	1,162	20	2,037	Medium
7	National Programmes – Mental Health	LC	1,377	1,377	0	0	1	0	0	0	61	47	144	0	512	612	1	1,377	Low
8	National Programmes – Imaging	AE	4,179	4,179	0	0	0	0	79	154	90	1,541	325	249	1,406	334	233	4,179	Low
9	Cross Hands Primary Scheme	RD	75	75					0							75	0	75	Low
10	Withbush Advance Fire Compliance Works	RE	6,998	6,998			384	212	264	512	828	988	1,164	981	941	725	1,371	6,998	Low
11	E-prescribing	JPJ	195	195			0	0		0	0	0	195	0	0	0	0	195	Low
12	Fire Prevention Works Glangwili Hospital - Fees	RE	463	463					203	37	160	63	0	0	0	0	240	463	Low
13	Fire Enforcement Works - WGH - Decant Ward Fees	RE	460	460						0	0	50	50	120	120	120	0	460	Low
14	COVID Recovery 2021-22	Various	4,824	4,824						0	46	599	350	639	1,259	1,930	0	4,824	Low
15																	0	0	
16																	0	0	
17																	0	0	
18																	0	0	
19																	0	0	
20																	0	0	
34	**Sub Total**		**27,661**	**29,649**	**740**	**709**	**893**	**1,923**	**873**	**1,257**	**2,161**	**4,510**	**2,781**	**2,171**	**5,786**	**5,845**	**6,395**	**29,649**	
	Discretionary:																		
35	I.T.	AT	811	811	0	38	53	25	133	113	67	158	138	68	18	0	362	811	Low
36	Equipment	GR	885	885	0	51	46	(6)	0	0	145	134	0	515	0	0	91	885	Low
37	Statutory Compliance	RE	1,255	1,255	0	10	43	39	27	65	172	185	292	250	155	17	183	1,255	Low
38	Estates	RE	2,844	2,844	89	202	104	69	72	14	463	605	369	294	395	172	551	2,844	Low
39	Other	RE	317	318	0	73	1	19	(160)	31	66	44	178	64	0	0	(37)	317	Low
40	**Sub Total**		**6,112**	**6,113**	**89**	**374**	**246**	**146**	**72**	**223**	**913**	**1,122**	**978**	**1,191**	**568**	**190**	**1,151**	**6,112**	
	Other Schemes:																		
41	Neyland HC	Various	89	89												89	0	89	Low
42	Cardigan HC	Various	300	300												300	0	300	Low
43	Donated purchases	Various	396	396				98			99			99		100	98	396	Low
44																	0	0	
45																	0	0	
46																	0	0	
47																	0	0	
48																	0	0	
49																	0	0	
61	**Sub Total**		**785**	**785**	**0**	**0**	**0**	**98**	**0**	**0**	**99**	**0**	**0**	**99**	**0**	**489**	**98**	**785**	
62	**Total Capital Expenditure**		**34,558**	**36,547**	**830**	**1,083**	**1,139**	**2,167**	**946**	**1,480**	**3,173**	**5,632**	**3,759**	**3,460**	**6,354**	**6,524**	**7,644**	**36,546**	

Table K - Capital Disposals

A: In Year Disposal of Assets

	Description	Date of Ministerial Approval to Dispose (Land & Buildings only)	Date of Ministerial Approval to Retain Proceeds > £0.5m	Date of Disposal	NBV	Sales Receipts	Cost of Disposals	Gain/ (Loss)	Comments
		MM/YY (text format, e.g. Apr 21)	MM/YY (text format, e.g. Apr 21)	MM/YY (text format, e.g. Feb 22)	£'000	£'000	£'000	£'000	
1	**Neyland HC**	May 2020	n/a	May 21	89	89	0	0	
2	Cardigan HC	December 2017	n/a	June 21	300	300	0	0	
3								0	
4								0	
5								0	
6								0	
7								0	
8								0	
9								0	
10								0	
11								0	
12								0	
13								0	
14								0	
15								0	
16								0	
17								0	
18								0	
19								0	
	Total for in-year				389	389	0	0	

Table M - Debtors Schedule

11 weeks before end of Sep 21 = 15 July 2021
17 weeks before end of Sep 21 = 03 June 2021

Debtor	Inv #	Inv Date	Orig Inv £	Outstand. Inv £	Valid Entry	>11 weeks but <17 weeks	Over 17 weeks	Arbitration Due Date	Comments
			0.00	0.00		0.00	0.00		
					Invoices paid since the end of the month				
					Total outstanding as per MR submission date	0.00	0.00		

Table N - General Medical Services

Operating Expenditure - ring fenced GMS budget

SUMMARY OF GENERAL MEDICAL SERVICES FINANCIAL POSITION

	LINE NO.	WG Allocation £000's	Current Plan £000's	Forecast Outturn £000's	Variance £000's	Year to Date £000's
Global Sum	1					18,733
MPIG Correction Factor/Practice support payment	2					101
Total Global Sum and MPIG	3	40,191	37,815	37,867	52	18,834
Quality Aspiration Payments	4					1,680
Quality Achievement Payments	5					
Quality Assurance Improvement Framework (QAIF)	6					
QAIF (In hours Access)	7					940
Total Quality	8	5,938	6,113	5,698	(415)	2,620
Direct Enhanced Services (To equal data in Section A (i) Line 32)	9		3,497	2,791	(707)	525
National Enhanced Services (To equal data in Section A (ii) Line 42)	10		257	129	(129)	15
Local Enhanced Services (To equal data in Section A (iii) Line 95)	11		2,822	3,203	381	1,793
Total Enhanced Services (To equal data in section A Line 96)	12	9,660	6,576	6,122	(455)	2,333
LHB Administered (To equal data in Section B Line 109)	13	4,120	9,226	9,133	(93)	5,822
Premises (To equal data in section C Line 138)	14	4,877	4,608	5,161	553	2,264
IM & T	15	231	30	0	(30)	0
Out of Hours (including OOHDF)	16	4,826	6,313	5,970	(343)	2,914
Dispensing (To equal data in Line 154)	17	5,607	5,661	6,100	439	2,897
Total	18	75,450	76,142	75,851	(292)	37,714

SUPPLEMENTARY INFORMATION

Directed Enhanced Services *Section A (i)*	LINE NO.	£000's	£000's	£000's	£000's	£000's
Learning Disabilities	19		77	38	(39)	1
Childhood Immunisation Scheme	20		604	474	(130)	229
Mental Health	21				0	
Influenza & Pneumococcal Immunisations Scheme	22		914	914	0	76
Services for Violent Patients	23		16	16	0	0
Minor Surgery Fees	24		349	175	(175)	1
MENU of Agreed DES						
Asylum Seekers & Refugees	25				0	
Care of Diabetes	26				0	
Care Homes	27		689	689	0	146
Extended Surgery Opening	28		73	37	(37)	28
Gender Identity	29		63	63	0	10
Homeless	30				0	
Oral Anticoagulation with Warfarin	31		721	395	(327)	34
TOTAL Directed Enhanced Services (must equal line 9)	32		3,497	2,791	(707)	525

National Enhanced Services *A (ii)*	LINE NO.	£000's	£000's	£000's	£000's	£000's
INR Monitoring	33				0	
Shared care drug monitoring (Near Patient Testing)	34				0	
Drug Misuse	35		257	129	(129)	15
NJCD	36				0	
Alcohol misuse	37				0	
Depression	38				0	
Minor injury services	39				0	
Diabetes	40				0	
Services to the homeless	41				0	
TOTAL National Enhanced Services (must equal line 10)	42		257	129	(129)	15

Local Enhanced Services *A (iii)*	LINE NO.	£000's	£000's	£000's	£000's	£000's
ADHD	43				0	
Asylum Seekers & Refugees	44		20	2	(18)	1
Cardiology	45				0	
Care Homes	46				0	
Care of Diabetes	47		653	327	(327)	86
Chiropody	48				0	
Counselling	49				0	
Depo - Provera (including Implanon & Nexplanon)	50				0	
Dermatology	51				0	
Dietetics	52				0	
DOAC/NOAC	53				0	
Drugs Misuse	54				0	
Extendad Minor Surgery	55				0	
Gonaderlins	56				0	
Homeless	57				0	
HPV Vaccinations	58		3		(3)	
Immunisations (inc Pertussis excluding DES - Childhood Imm & Influenza & Pneumococcal Imm)	59		311	311	0	6
Learning Disabilities	60				0	
Lithium / INR Monitoring	61				0	
Local Development Schemes	62				0	
Mental Health	63				0	
Minor Injuries	64				0	
MMR	65		4		(4)	1
Multiple Sclerosis	66				0	
Muscular Skeletal	67				0	
Nursing Homes	68				0	
Orthopaedic (Upper Limb GPwSI/Clinical Assessments)	69				0	
Osteopathy	70				0	
Phlebotomy	71		137	137	0	58
Physiotherapy (inc MT3)	72				0	
Referral Management	73				0	
Respiratory (inc COPD)	74				0	
Ring Pessaries	75				0	
Sexual Health Services	76		17	9	(9)	
Shared Care	77		291	146	(146)	11
Smoking Cessation	78				0	
Substance Misuse	79		130	65	(65)	15
Suturing	80				0	
Swine Flu	81				0	
Transport/Ambulance costs	82				0	
Vasectomy	83				0	
Weight Loss Clinic (Inc Exercise Referral)	84				0	
Wound Care	85				0	
Zoladex	86		112	61	(51)	5
Shingles	87		33	33	0	16
Covid Local Enhanced Service	88		35	1,548	1,513	1,548
Treatment Room	89		1,016	508	(508)	27
Osteoporosis	90		12	9	(3)	3
Transport Costs	91		48	48	0	16
	92				0	
	93				0	
	94				0	
TOTAL Local Enhanced Services (must equal line 11)	95		2,822	3,203	381	1,793
TOTAL Enhanced Services (must equal line 12)	96		6,576	6,122	(455)	2,333

GENERAL MEDICAL SERVICES
Operating Expenditure

LHB Administered *Section B*	LINE NO.	WG Allocation £000's	Current Plan £000's	Forecast Outturn £000's	Variance £000's	Year to Date £000's
Seniority	97					340
Doctors Retention Scheme Payments	98					
Locum Allowances consists of adoptive, paternity & maternity	99					53
Locum Allowances : Cover for Sick Leave	100					
Locum Allowances : Cover For Suspended Doctors	101					
Prolonged Study Leave	102					
Recruitment and Retention (including Golden Hello)	103					21
Appraisal - Appraiser Costs	104					
Primary Care Development Scheme	105					
Partnership Premium	106					293
Supply of syringes & needles	107					6
Other (please provide detail below, this should reconcile to line 128)	108					5,109
TOTAL LHB Administered (must equal line 13)	109	4,120	9,226	9,133	93	5,822
Analysis of Other Payments (line 108)	LINE NO.	£000's	£000's	£000's	£000's	£000's
Additional Managed Practice costs **(costs in excess of Global Sum/MPIG)**	110					
CRB checks	111					
GP Locum payments	112					
LHB Locality group costs	113					
Managing Practice costs **(LHB employed staff working in GP practices to improve GP services)**	114					3,113
Primary Care Initiatives	115					
Salaried GP costs	116					
Stationery & Distribution	117					35
Training	118					7
Translation fees	119					1
COVID vaccination payments to GP practices	120					943
Packing and Storage	121					1
Recharge	122					88
GP Staff Payments	123					937
	124					
	125					
Other	126					(16)
	127					
TOTAL of Other Payments (must equal line 108)	128					5,109
Premises *Section C*	LINE NO.	£000's	£000's	£000's	£000's	£000's
Notional Rents	129					798
Actual Rents: Health Centres	130					
Actual Rents: Others	131					858
Cost Rent	132					
Clinical Waste/ Trade Refuse	133					81
Rates, Water, sewerage etc	134					407
Health Centre Charges	135					
Improvement Grants	136					189
All other Premises (please detail below which should reconcile to line 146)	137					3
TOTAL Premises (must equal line 14)	138	4,877	4,605	5,161	(553)	2,294
Analysis of Other Premises (Line 137)	LINE NO.	£000's	£000's	£000's	£000's	£000's
DV Fees	139					3
	140					
	141					
	142					
	143					
	144					
	145					
TOTAL of Other Premises (must equal line 137)	146					3
Memorandum Item						
Enhanced Services included above but in dispute with LMC (TOTAL)	147					
Enhanced Services included above but not yet formally agreed LMC	148					

GENERAL MEDICAL SERVICES
Dispensing

Dispensing Data	LINE NO.	WG Allocation £000's	Current Plan £000's	Forecast Outturn £000's	Variance £000's	Year to Date £000's
Cost of Drugs and Appliances, after discounts and plus container allowance (and plus VAT where applicable)						
Dispensing Doctors	149					1,286
Prescribing Medical Practitioners - Personal Administration	150					880
Dispensing Service Quality Payment	151					0
Professional Fees and on-cost						
Dispensing Doctors	152					399
Prescribing Medical Practitioners - Personal Administration	153					332
TOTAL DISPENSING DATA (must equal line 17)	154	5,807	5,661	6,100	(439)	2,897

Table O - General Dental Services

Operating Expenditure from the revenue allocation for the dental contract

SUMMARY OF DENTAL SERVICES FINANCIAL POSITION		WG Allocation	Current Plan	Forecast Outturn	Variance	Year to Date
Expenditure / activities included in a GDS contract and / or PDS agreement	**LINE NO.**	**£000's**	**£000's**	**£000's**	**£000's**	**£000's**
Gross Contract Value - Personal Dental Services	1		16,077	15,193	(884)	8,668
Gross Contract Value - General Dental Services	2		1,820	1,598	(222)	963
Emergency Dental Services (inc Out of Hours)	3		303	303	0	152
Additional Access	4				0	
Business Rates	5				0	
Domiciliary Services	6		84	52	(32)	19
Maternity/Sickness etc.	7		89	58	(31)	37
Sedation services including GA	8				0	
Seniority payments	9		11	12	1	0
Employer's Superannuation	10		656	691	35	340
Oral surgery	11				0	
OTHER (PLEASE DETAIL BELOW)	12		3,891	3,049	(842)	1,612
TOTAL DENTAL SERVICES EXPENDITURE	13	**18,727**	**22,931**	**20,956**	**(1,975)**	**11,791**
agreement. This includes payments made under other arrangements e.g. GA under an SLA and D25, plus	**LINE NO.**		**£000's**	**£000's**	**£000's**	**£000's**
Emergency Dental Services (inc Out of Hours)	14					
Additional Access	15					
Sedation services including GA	16			490		233
Continuing professional development	17					
Occupational Health / Hepatitis B	18					
Gwen Am Byth - Oral Health in care homes	19			37		
Refund of patient charges	20					1
Design to Smile	21			428		172
Other Community Dental Services	22					
Dental Foundation Training/Vocational Training	23			240		117
DBS/CRB checks	24					
Health Board staff costs associated with the delivery / monitoring of the dental contract	25					
Oral Surgery	26					
Orthodontics	27					
Special care dentistry e.g. WHC/2015/002	28					
Oral Health Promotion/Education	29					
Improved ventillation in dental practices	30					
Attend Anywhere	31					
CDS	32			1,692		847
Trainee Income	33			(240)		(126)
Dental Recharges	34			207		153
Other Income	35			(42)		(22)
Dental Staff Payment	36			237		237
	37					
	38					
	39					
	40					
	41					
	42					
TOTAL OTHER (must equal line 12)	43			**3,049**		**1,612**
RECEIPTS						
TOTAL DENTAL SERVICES INCOME (Enter as a negative value)	44		(3,544)	(1,705)	**1,839**	(817)

GIG CYMRU NHS WALES | Bwrdd Iechyd Prifysgol Hywel Dda University Health Board

YTD Financial Performance and EoY Forecast Month 6 2021/22

Health Board's Financial Plan to deliver deficit of £25.0m (following recognition of non- recurrent Welsh Government (WG) funding of £32.4m to offset underlying position brought forward), after savings of £16.1m.

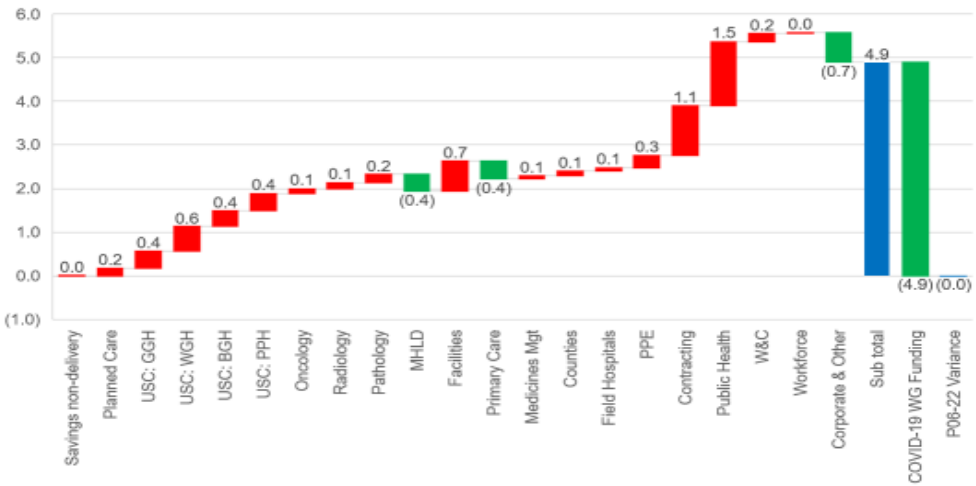
Financial position	Month 5 £'m	Month 6 £'m	YTD £'m	EOY £'m
Additional COVID-19 costs	4.2	5.7	**30.0**	**73.3**
Cost reductions due to reduced activity	(0.3)	(0.8)	**(3.4)**	**(4.3)**
Savings to be identified	0.0	0.0	**0.0**	**4.3**
Operational variance before WG COVID-19 funding	**3.9**	**4.9**	**26.6**	**73.3**
Planned deficit	2.1	2.1	**12.5**	**25.0**
Variance to breakeven before WG COVID-19 funding	**6.0**	**7.0**	**39.1**	**98.3**
WG COVID-19 funding: 'Programme' costs*	(1.3)	(2.4)	**(11.6)**	**(23.2)**
WG COVID-19 funding: 'Stability' costs	(2.4)	(2.1)	**(13.7)**	**(32.3)**
WG COVID-19 funding: Six Goals for Urgent/Emergency Care	0.0	0.0	**0.0**	**(1.0)**
WG COVID-19 funding: Elective recovery	(0.3)	(0.4)	**(1.4)**	**(16.8)**
Reported financial position	**2.0**	**2.1**	**12.4**	**25.0**

- *'Programme' costs relate to COVID-19 Testing, Tracing, Mass vaccinations, Enhanced Cleaning Standards, Adult Social Care Provider Support, Long COVID-19 Service, Extended Flu and Personal Protective Equipment (PPE). End of Year reduction since Month 4 of £5.1m due to the Mass Vaccination programme £4.3m (as the booster programme will only be delivered through the Mass Vaccination Centres and not supported by a Primary Care provision as was previously assumed), Paediatric respiratory syncytial virus (RSV) £0.7m now forms part of Stability funding following latest WG guidance, and Enhanced Cleaning standards £0.4m due to continued delays in recruitment (£0.4m). Now confirmed that Programme costs will be match funded to actual costs incurred on a quarterly basis.
- Elective Recovery funding received of £21.2m against current risk-based forecasts of £15.0m given risk of inability to either commission or deliver the additional capacity. Further £1.8m expected for National schemes; risk that local responses may not be able to fully deliver against available funding; Directorate plans are under development.
- Full year Stability funding now confirmed and 'fixed' by WG based on Month 5 forecasts.

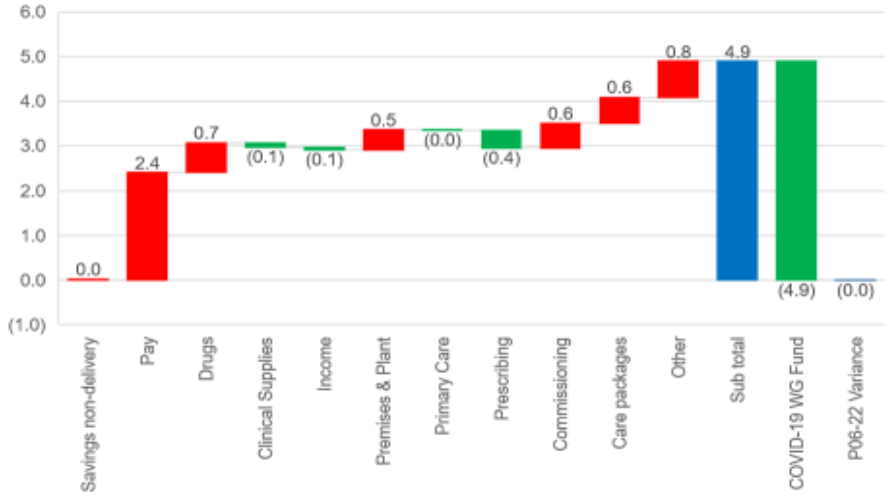
Executive Summary: Key drivers of in-month position

Bwrdd Iechyd Prifysgol Hywel Dda University Health Board

In-month variance by Directorate



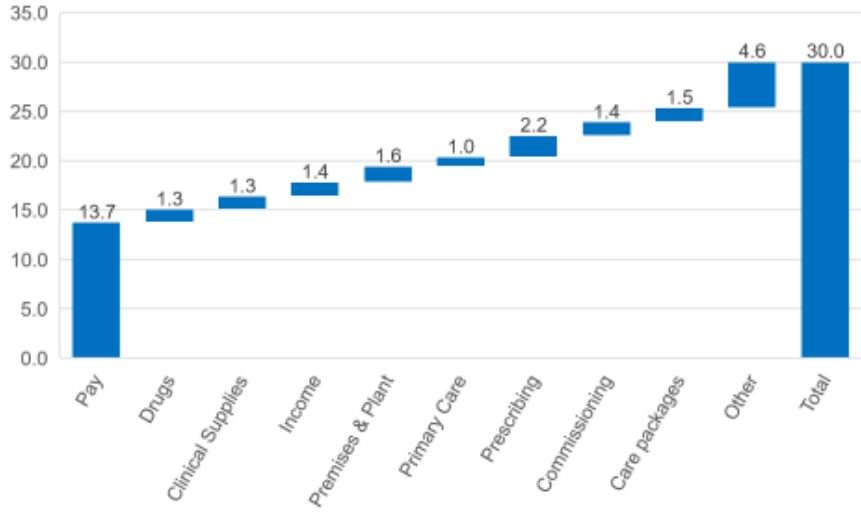
In-month variance by Subjective



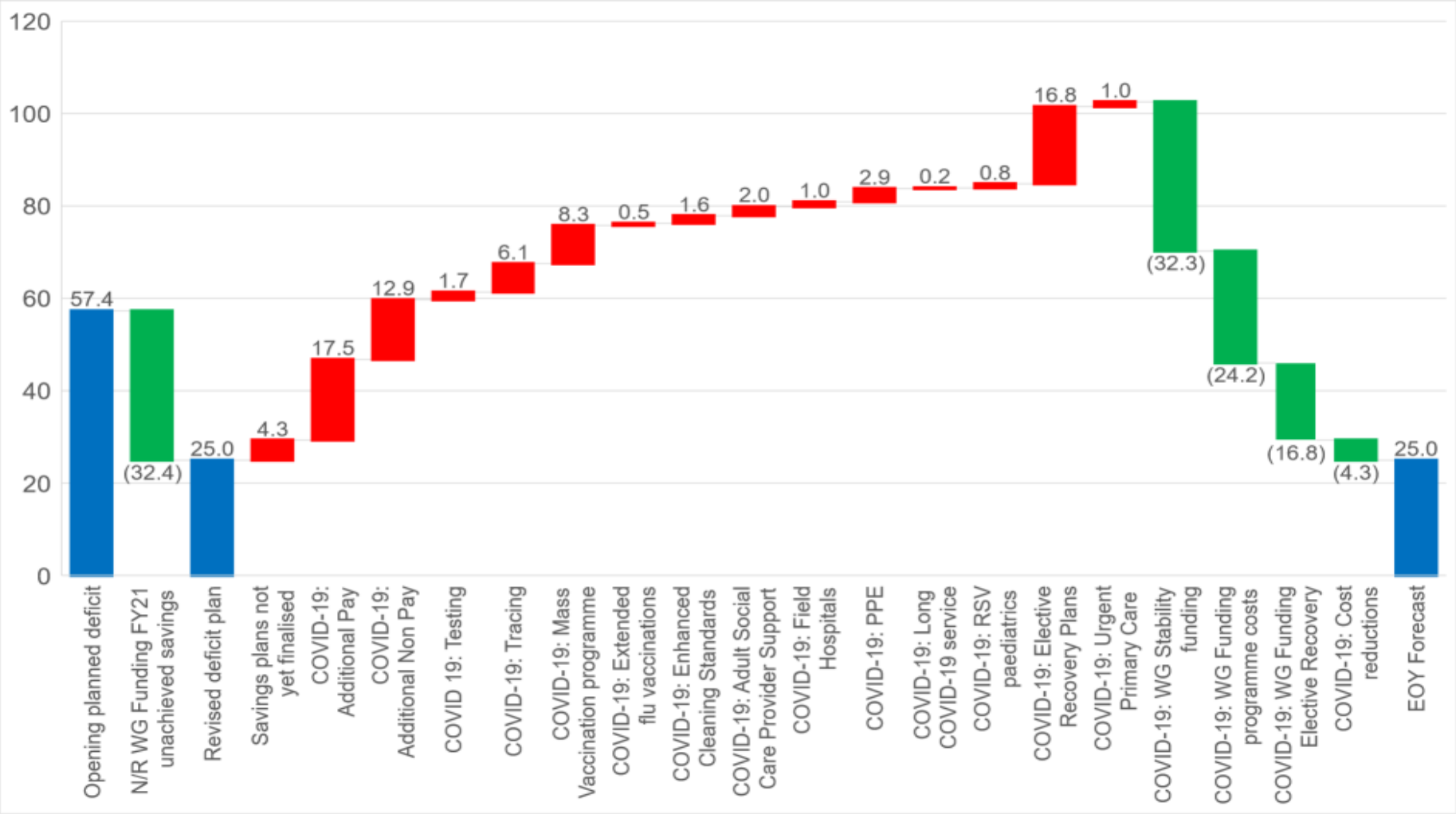
Directorate over-spends were primarily driven by:

- **Unscheduled Care (£1.7m):** Premium agency requirement due to a high volume of vacancies across Medical and Nursing posts throughout the Health Board's Acute sites further exacerbated by pressures in Emergency departments and staff sickness / fatigue;
- **Facilities (£0.7m):** Fixed term Porters and Domestic staff recruited in response of COVID-19 further impacted in month by an increase in utility costs;
- **Contracting (£1.1m):** Q2 Adult Social Care Provider Support expenditure recognised in month plus lower than anticipated Non Contract Activity receipts;
- **Public Health (£1.5m):** Primarily due to Thrombotic thrombocytopenic purpura (TTP) and mass vaccination programme expenditure in response to COVID-19.

YTD actual by Subjective (COVID-19 only)

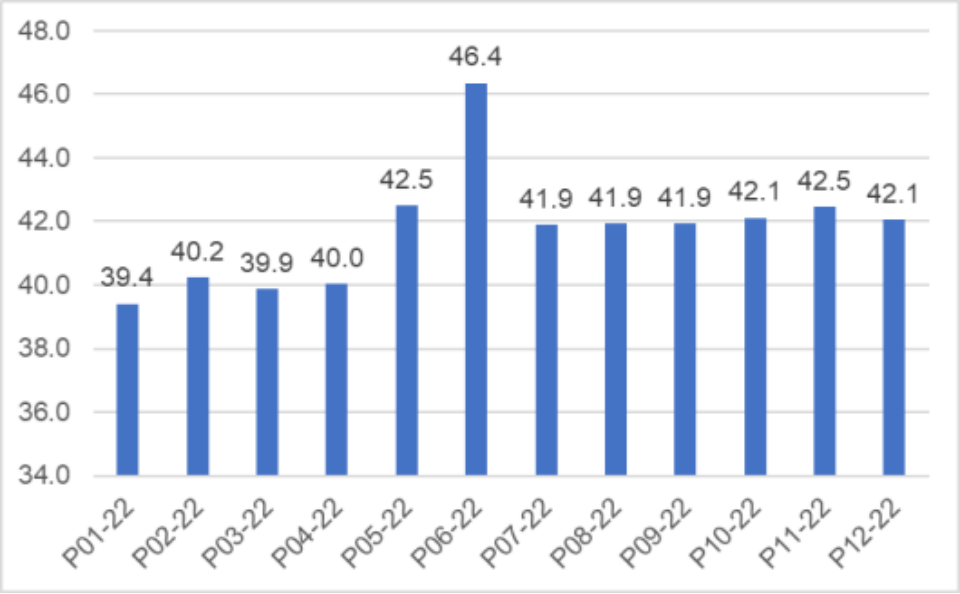


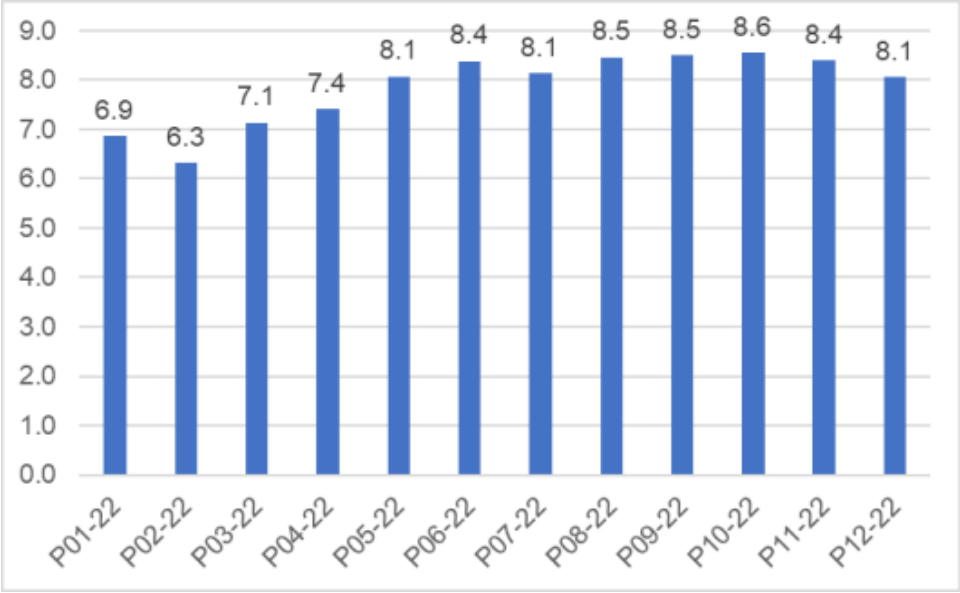
End of Year Financial Position 2021/22



Whilst the in-year delivery of the planned deficit is considered low risk, there is a significant risk of a deterioration in the underlying deficit from £57.4m in 2020/21 to £68.9m in 2021/22 if further recurrent savings schemes of £11.5m are not identified in-year.

End of Year Financial Position 2021/22: Expenditure Profile





Pay

The profile of forecast staffing costs is driven by:

- Pay award for all staff groups was recognised in P06-22 inclusive of the YTD arrears; increased rates reflected in future months;
- Acute pressures in Emergency Departments are now anticipated to continue for the remainder of the financial year;
- A continued reliance on Agency to backfill vacancies and sickness over the Winter months is expected;
- Additional pay costs are assumed to be required to deliver additional capacity for Elective Recovery;
- Contracts for fixed term staff recruited in response to the COVID-19 pandemic extended to March 2022.

Non-Pay

The step up in actual expenditure in future months is primarily due to the reinstatement of Elective services and the escalated Recovery activity delivered through Outsourcing.

Financial Position 2021/22: Key items

Description	Total	Central	Pay	Non-Pay
Deficit plan	**25.0**	25.0		
COVID-19: Testing	**1.7**		1.5	0.1
COVID-19: Tracing	**6.1**		0.2	5.8
COVID-19: Mass vaccinations	**8.3**		5.9	2.5
COVID-19: Extended Flu	**0.5**		0.1	0.4
COVID-19: Field Hospitals	**1.0**		0.4	0.7
COVID-19: PPE	**2.9**			2.9
COVID-19: Enhanced Cleaning standards	**1.6**		1.5	0.1
COVID-19: Adult Social Care provider	**2.0**			2.0
COVID-19: Elective Care recovery	**16.8**		2.6	14.2
COVID-19: Long COVID Service	**0.2**		0.2	0.0
COVID-19: RSV Paediatrics	**0.8**		0.5	0.3
COVID-19: Urgent Primary Care	**1.0**		0.2	0.8
COVID-19: Stability costs	**30.4**		17.5	12.9
COVID-19: WG Funding	**(73.3)**	(73.3)		
COVID-19 Cost reductions	**(4.3)**	(4.3)		
Savings to be identified	**4.3**	4.3		
Pipeline savings schemes to deliver	**0.0**	0.0		
Total	**25.0**	**(48.3)**	**30.6**	**42.6**

- Local Authority Tracing: £5.8m.

- General Medical Services (GMS) Enhanced Services: £0.9m;
- Premises costs: £1.5m.

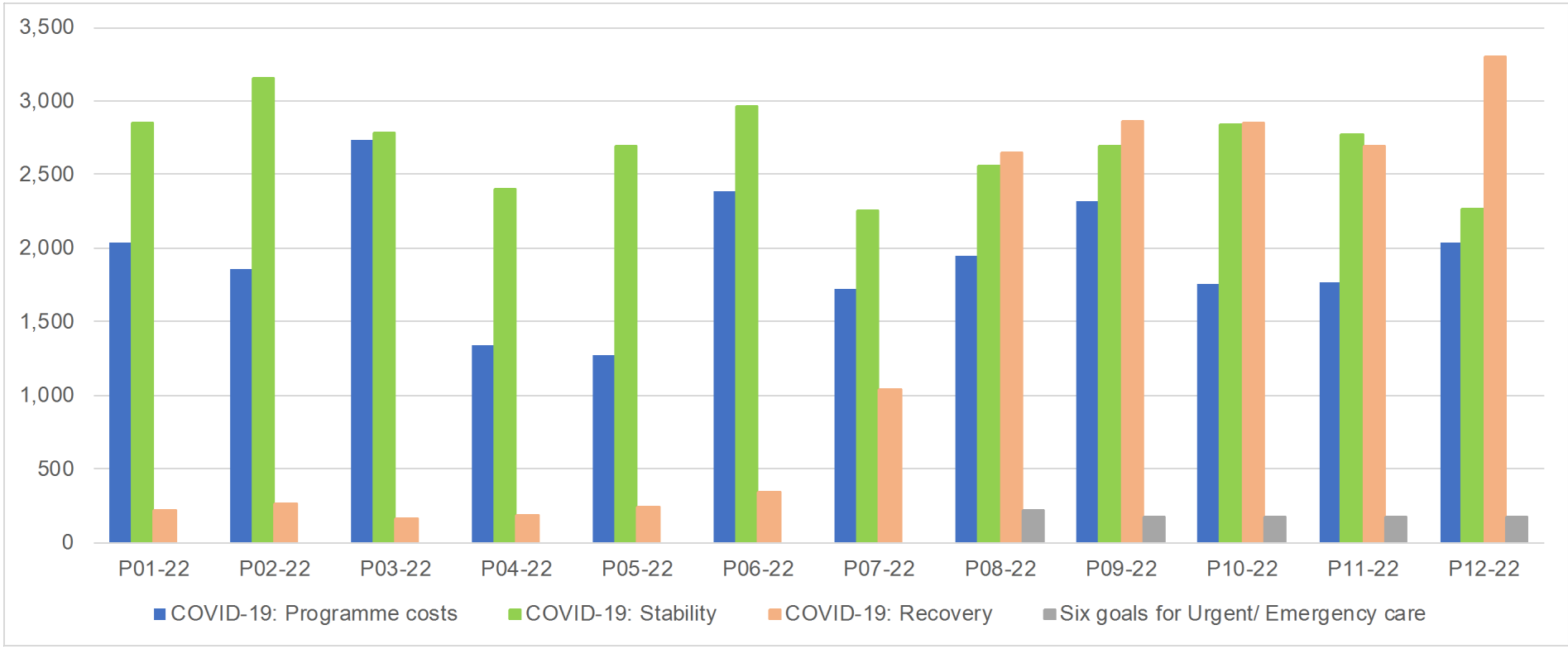
- Private Hospital providers: £11.8m;
- Primary Care Recovery £1.0m;
- Secondary Care Drugs £0.7m.

- Prescribing: £4.4m;
- Dental contract loss of income: £1.7m;
- Drugs and Medical Gases £3.3m;
- PPE: £2.9m;
- Loss of Non Contract Activity (NCA) income: £0.5m;
- Loss of catering income £0.4m.

- Planned Care Non-Pay: £1.5m;
- Reduction in Primary Care enhanced services and 10% Dental contractual payments: £2.1m;
- Long Term Agreement (LTAs) and Community Health Council (CHC) Retrospective reviews: £0.2m.

- Healthcare Support Workers: £4.3m;
- Admin & Clerical: £2.2m;
- Estates (Cleaning and Portering): £4.6m;
- Medical staff: £2.0m, of which £0.9m is Agency use;
- Nursing: £6.7m, of which £3.9m is Agency use.

Financial Position 2021/22: COVID-19 expenditure profile



Welsh Government funding has been profiled to offset expenditure in full.

Elective Recovery funding received of £21.2m against current risk-based forecasts of £15.0m given risk of inability to either commission or deliver the additional capacity. Further £1.8m expected for national schemes; risk that local responses may not be able to fully deliver against available funding; Directorate plans are under development. The above profile reflects the risk-based forecast of £16.8m.

Recovery Fund 1 & 2

Planned care

Agenda

- WG phase 1 funding
- WG phase 2 funding
- Summary
- Mitigating actions

Current Forecast

Phase 1	2021/22 Plan	2021/22 Forecast	Variance
Demountable	1,210,500	154,000	-1,056,500
Insourcing / Outsourcing	9,218,187	8,234,011	-984,175
Internal	893,778	1,756,342	862,564
Total	11,322,465	10,144,354	-1,178,111

Phase 2	Plan	Forecast	Variance
Insourcing / Outsourcing	4,647,485	6,231,040	1,583,555
Internal	2,364,133	675,155	-1,688,978
Primary care	2,481,000	2,481,000	0
Total	9,492,618	9,387,195	-105,423

WG Bid 1 & 2	20,815,083	19,531,548	-1,283,534

Excluded from analysis is £1.7m funding confirmed separately.

Highlights – Phase 1

- Demountable - Behind planned expenditure profile, unlikely to see many costs this year. Tender out to market
- Outsourcing – Time to market (internal governance) and latterly operational execution proving slower than anticipated
- Internal – Exploring options for recovery, brought forward phase 2 funding to support phase one delivery

Highlights – Phase 2

- Outsourcing – Expressions of Interest (EOI) sent to market, Voluntary Ex-Ante Transparency (VEAT) explored with St Josephs
- Internal - £800k Capital allocation shown as revenue. Impact of phase 2 funding brought into Phase 1
- Primary care out of scope

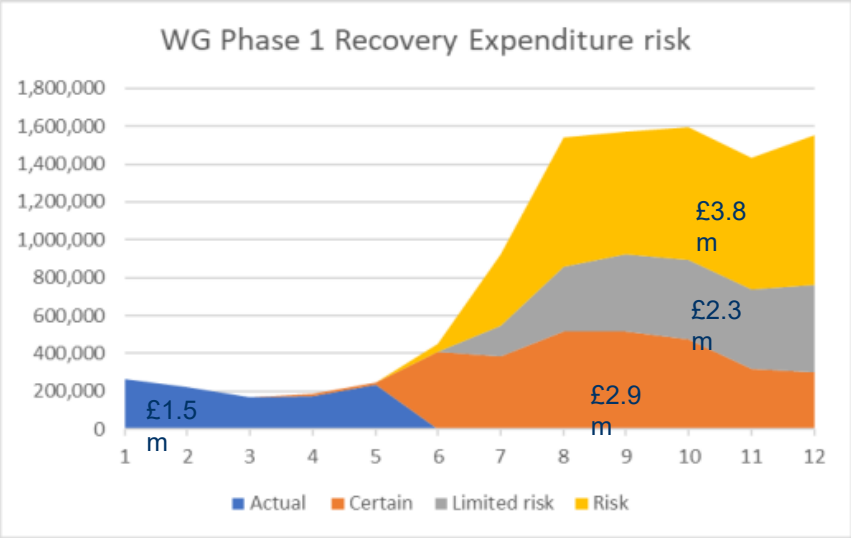
Phase 1 Risk Analysis

Phase 1

- As at September estimated costs are £1.5m against a forecast of £4.2m (35% of plan). Due to the requirements of the commissioning process

- High Risk £3.8m
  - Ophthalmology (£2.2m forecasted spend). Operationalisation difficulties and patient uptake represents a challenge
  - Trauma & Orthopaedics (T&O) (£1.5m further afield providers BMI Bath and Droitwich) present an uptake risk. Theatre staffing at all sites is also proving challenging
- Limited Risk £2.3m
  - GS £0.9m – Operationalisation is slower than planned, No availability for operating until November currently due to provider capacity
  - T&O £0.9m – Capacity deficits due to theatre staffing at the provider.

- Current monthly expenditure is c £0.3m, this needs to increase five fold to circa £1.4m to deliver Forecast position



Phase 2 Risk Analysis

Phase 2	Plan	Forecast	Variance
Insourcing / Outsourcing	4,647,485	6,231,040	1,583,555
Internal	2,364,133	675,155	-1,688,978
Primary care	2,481,000	2,481,000	0
Total	9,492,618	9,387,195	-105,423
WG Bid 1 & 2	20,815,083	19,531,548	-1,283,534

- Plans have yet to be signed off by board.
- Approval gained 30.09.2021
- Upon bid submission clear risks around market availability were highlighted to WG

<u>Outsourcing</u>

No contracts in plan, no certainty on whether volume is still available. Unlikely to deliver any material volume prior to Q4

Opportunity St Josephs c£3m - VEAT can be approved T&O and Endoscopy. Costs appear significantly above national tariff.

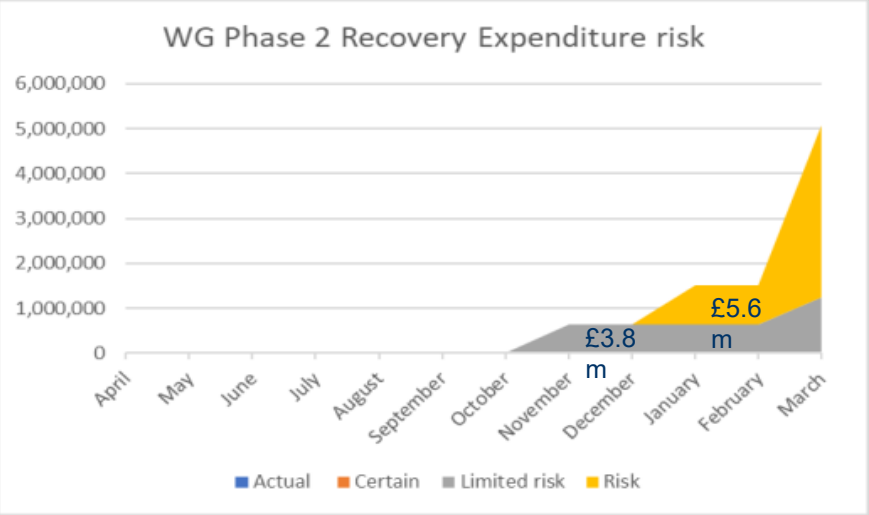
<u>Internal</u>

£800k Capital within revenue bid. Can be removed

£800k accelerated items to support delivery Phase 1

Primary care – Out of scope

The phase 2 funding is highly uncertain at this stage due to no contracts in place, and no understanding of market availability until tenders are placed and returned



Summary

Tender approval governance, New Vendors have all led to significant delays against the delivery of the recovery plan.

Approval of phase 2 plan is required to accelerate delivery

Organisational capacity to deliver on plans is a challenge, additional resource being recruited. Skills experience is critical.

Phase 2 monies, is highly uncertain only further exploration of the market, and phase 1 delivery will give greater insight into the actual deliverability of the plan. The next 2 months will be critical for this.

Delivery of forecasted positions would mean an actual spend of £3m per month against a YTD run rate of £0.3m

Phase 1	2021/22 Plan	2021/22 Forecast	Variance
Demountable	1,210,500	154,000	-1,056,500
Insourcing / Outsourcing	9,218,187	8,234,011	-984,175
Internal	893,778	1,756,342	862,564
Total	11,322,465	10,144,354	-1,178,111

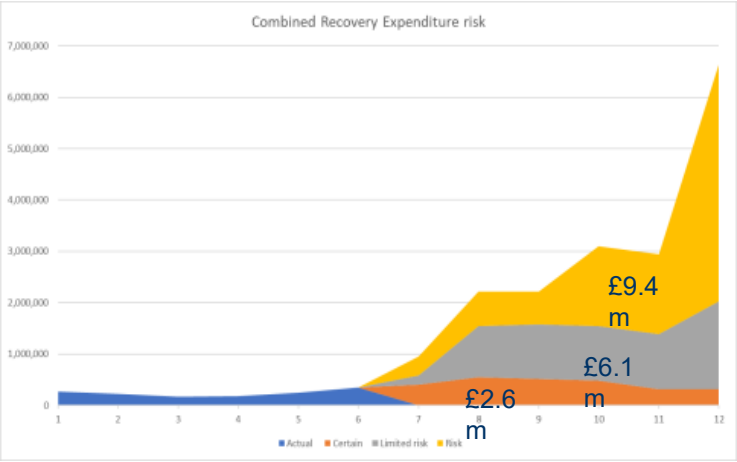
Phase 2	Plan	Forecast	Variance
Insourcing / Outsourcing	4,647,485	6,231,040	1,583,555
Internal	2,364,133	675,155	-1,688,978
Primary care	2,481,000	2,481,000	0
Total	9,492,618	9,387,195	-105,423

WG Bid 1 & 2	20,815,083	19,531,548	-1,283,534

Summary

Opposite is a summary of the delivery risk within the current plan, as assessed by the finance department

The Risk Adjusted column (RA) indicates a lower spend actual £11.4m. Based on a 60% conversion of Limited risk costs and 40% conversion of Risk items



Risk		40%	60%
Limited Risk		60%	80%
Risk Adjusted scores	£	RA %	RA %
Budget	20.8	0.0	
Slippage currently Identified	1.3	0.0	
F'cast	19.5	0.0	
	0.0	0.0	
Actual M1-M6	1.4	1.4	1.4
Certain	2.6	2.6	2.6
Limited risk	6.1	3.7	4.9
Risk	9.4	3.8	5.6
	19.5	11.4	14.5

Risk of further slippage above Forecast	£8m	£5m
Total Slippage risk	£10m	£7m

Primary care slippage	£1.5m	£1m
Planned care slippage	£8.5m	£6m

Risk Mitigations

Below are some of the actions taken to support mitigation of some of the risks:

- Re-aligned internal capacity to increase focus on outsourcing activities
- Working with local providers to see if we can offer staff to increase their throughput
- Dedicated resource to write tender designs for phase 2 outsourcing bids
- Further recruitment of short term resource to support delivery
- Communications to public to encourage patient take up