

Reference:	FOI.4589.20
Subject:	Whistleblowing concerns during the COVID-19 Pandemic
Date of Request:	24 November 2020

Requested:

1. Please provide the total number of whistleblowing concerns received between 01/03/2019 and 31/08/2019 by Hywel Dda Health Board.
2. Please provide the total number of whistleblowing concerns received between 01/03/2020 and 31/08/2020 by Hywel Dda Health Board.
3. Please provide the following information for each whistleblowing concern received by Hywel Dda Health Board between 01/03/2020 and 31/08/2020:
 - A brief summary of the whistleblowing concern.
 - Whether any action was taken following the concern, and if so, a brief description of the action taken.
 - If the person provided the information anonymously (i.e. they did not provide you with their name and/or contact details) please indicate this.

Clarified:

We require information on concerns raised by members of staff not patient complaints.

Response:

1. & 2. Hywel Dda University Health Board (UHB) provides, within the table below, the number of whistleblowing and anonymous concerns received, during the requested periods.

Period	Number
1 March to 31 August 2019	8
1 March to 31 August 2020	7

3. The UHB provides, within the table below, a summary of the concerns, if action was taken by the UHB and if the concerns were received anonymously, for the requested period.

Summary of concern	Action taken	Anonymous
Alleged Fraud	Yes	No
Concerns about working safely	Yes	No
Concerns about patient safety	Yes	No
Complaint about staff member	Yes	Yes
Complaint about staff member	Yes	Yes
Complaint about staff member	Yes	Yes
Complaint about staff member	Yes	Yes

The UHB is unable to provide you with the description of the action taken, as there is a potential risk of identifying individuals if this was disclosed. The UHB is therefore withholding this detail under

Section 40(2) of the Freedom of Information Act 2000. This information is protected by the Data Protection Act 2018/ General Data Protection Regulations 2016 (GDPR), as its disclosure would constitute unfair and unlawful processing and would be contrary to the principles and articles 6 and 9 of the GDPR. This exemption is absolute and therefore there is no requirement to apply the public interest test

In reaching this decision, the Data Protection Act 2018/General Data Protection Regulations 2016 defines personal data as data which relates to a living individual who can be identified solely from that data or from that data and other information, which is in the possession of the data controller