



GIG
CYMRU
NHS
WALES

Bwrdd Iechyd Prifysgol
Hywel Dda
University Health Board

Date: January 2022 **FINAL DRAFT**

**Canolbarth
a Gorllewin
Iachach**

Cenedlaethau'r
dyfodol yn byw
bywydau iach

Hywel Dda University Health Board's

A Healthier Mid and West Wales

Programme Business Case

Appendix 21A : Integrated Assurance and Approval Plan



Integrated Assurance & Approval Plan

Name of Portfolio / Programme		A Healthier Mid and West Wales : Our future Generations Living Well	
Version Number	DRAFT - 1.0	Last Updated	07/12/2021
SRO Name	Steve Moore		
Programme/Project Manager	Paul Williams	Portfolio/Programme Director/Manager	Lee Davies
RPA - date submitted to IAH	19/02/2021	RPA - Outcome	Amber
WG Major Portfolio/Programme/Project	Yes	IAH Ref	AH/21/26

Guidance

The Integrated Assurance and Approvals Plan (IAAP) sets out the assurance activities that will be undertaken at portfolio, programme and project level.

As part of the IAAP, assurance activities will take place across all levels of the Programme – programme and project. The Programme will utilise the pre-defined Gateway 0-5 and flexible Assurance Products (PAR, PVR, Critical Friend) as appropriate and proportionate.

The IAAP has been developed using a risk-based approach to ensure that the assurance provision is both proportionate and meets the needs of all those parties requiring assurance. It takes into account Portfolio/Programme/Project milestones and planned assurance activities. The Programme IAAP takes into account the assurance coverage of its constituent Projects.

1st Line Defence/Assurance will be provided by the Portfolio/Programme/Project itself through its Governance.

2nd Line Defence/Assurance refers to independent assurance such as Gateway Assurance.

3rd Line Defence/Assurance refers to scrutiny provided by 'external' organisations such as Audit.

This IAAP has been developed in line with the WG Integrated Assurance Strategy and is a live document. It will be periodically reviewed and updated and if appropriate, after each assurance review, change in scope, or risk potential of the Programme/Project. The IAAP will be maintained until the Programme/Project is closed and delivery responsibility passes to the operational business.

The milestones for governance, assurance and audit activity are based upon timescales included within the Current Portfolio and Programme Implementation Plans and will be updated should timetables change.

The Welsh Government Integrated Assurance Hub (IAH) have provided support in the completion of the IAAP and tailoring it to suit the specific needs of the Programme.

Glossary

AB - Accountable Body
 IA - Internal Audit
 IAH - Integrated Assurance Hub
 JC - Joint Committee
 JSC - Joint Scrutiny Committee
 LAs - Local Authorities
 NAO - National Audit Office
 OGC - Gateway (0 to 5)
 PAC - Public Accounts Committee
 PAR - Programme/Project Assessment Review
 PB - Programme Board
 PMO - Programme Management Office
 PVR - Programme/Project Validation Review
 RPA - Risk Potential Assessment
 SRO - Senior Responsible Owner
 WAO - Wales Audit Office

Hywel Dda University Health Board - A Healthier Mid and West Wales Programme Business Case

Integrated Assurance & Approval Plan

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Integrated Assurance & Approval Plan

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