

Revised Sustainability Framework Risk Matrix (including guidance notes)

The framework involves applying a Red/Amber/Green (RAG) weighted score against the risk matrix criteria. The following weighting has been applied:

- High/Red -10
- Medium/Amber – 5
- Low/Green - 1

The outcome of the risk assessment matrix score has been set as follows:

- High risk of unsustainability > or = 80
- Medium risk of unsustainability >55 -79
- Low risk of unsustainability <55

Practice Name:		Practice List Size		
Area	Indicator		Ranking	Info. source
Demographics: STAGE 1	Open/closed list	Open	Low	Open
		Application submitted (formal/informal)	Medium	
		Closed	High	
	Welsh index of multiple deprivation (WIMD % of patients living in the two most deprived fifths)	<10%	Low	Medium
		10 – 20%	Medium	
		>20%	High	
	Practice population age spread %	<30% over 65	Low	26.2%
		30% - 50% over 65	Medium	
		>50% over 65	High	
Premises: STAGE 1	Number of sites/branch surgeries (To include both open and temporarily closed branch surgeries)	1 site	Low	2
		>1 site	Medium	
		>3 sites	High	
	Condition of premises; (practices with more than 1 site will be ranked against a judgement of the total estate condition)	adequate/ new or approved funding	Low	Medium – although had extensive refurb/grant money for main site the branch surgery needs total re-modernisation including new flooring, treatment room, disabled access, decorating throughout both inside and out equating to in excess of
		Poor, but working towards improving	Medium	
		Poor quality	High	

				£80k for remedial works
	Capacity of premises	Adequate for current needs only	Low	Adequate
		Inadequate to accommodate current service needs	High	
Workforce – General Practitioner STAGE 1	Partnership/singlehanded	Partnership	Low	Partnership
		Singlehanded	High	
	Patient 000's per WTE GP (WTE assumed as 8 sessions)	< or = to 2000	Low	1384
		>2000	Medium	
		>2500	High	
	Age profile <i>(individual GP ages will be used to give an overall rank for age profile. To include all substantive GPs including principals and salaried posts.</i>	< 50 years	Low	Low – we have only one GP Partner over the age of 50
		50 -55 years	Medium	
		>55 years	High	
	Current vacancies Linked to % of WTE	<10%	Low	None
		10 – 20%	Medium	
		>20%	High	
	Length of vacancies <i>(To be based on the longest vacancy for either a principal or a salaried GP)</i>	< 6 months	Low	N/A
		6 months	Medium	
		>6 months	High	
Reliance on locums <i>(sessions per average week)</i>	<3 sessions	Low	Rarely	
	3 – 5 sessions	Medium		
	>5 sessions	High		
Workforce General STAGE 1	Patient 000's per WTE senior clinician (GP, Advanced Practitioner, Pharmacist etc)	< or = to 2000	Low	1384
		>2000	Medium	
		>2500	High	
	No: of unfilled clinical sessions per week	0	Low	Low
<3		Medium		
>3		High		
Income Streams STAGE 1	Income loss arising after MPIG redistribution (as a % of GSE).	<10%	Low	3.56%
		10% - 15%	Medium	
		>15%	High	
Access to services STAGE 1	Opening hours (per site) - recent changes <i>(Relating to a reduction in hours only)</i>	No	Low	No however having to ensure that the branch surgery is open 20 hours has proved difficult of late due to workforce issues and long term sickness
		Yes	High	

TOTAL - 27