

Integrated Assurance & Approval Plan

Name of Project		Aseptics	
Version Number	v.4	Last Updated	01/11/2024
SRO Name	Jill Paterson		
Project Manager	Rachel Stuart	Project Director	Stuart Rees
RPA - date submitted to IAH	01/11/2024	RPA - Outcome	Low
WG Major Portfolio/Programme/Project	Yes	IAH Ref	

Guidance
 The Integrated Assurance and Approvals Plan (IAAP) sets out the assurance activities that will be undertaken at portfolio, programme and project level.

As part of the IAAP, assurance activities will take place across all levels of the Programme – programme and project. The Programme will utilise the pre-defined Gateway 0-5 and flexible Assurance Products (PAR, PVR, Critical Friend) as appropriate and proportionate.

The IAAP has been developed using a risk-based approach to ensure that the assurance provision is both proportionate and meets the needs of all those parties requiring assurance. It takes into account Portfolio/Programme/Project milestones and planned assurance activities. The Programme IAAP takes into account the assurance coverage of its constituent Projects.

1st Line Defence/Assurance will be provided by the Portfolio/Programme/Project itself through its Governance.
 2nd Line Defence/Assurance refers to independent assurance such as Gateway Assurance.
 3rd Line Defence/Assurance refers to scrutiny provided by 'external' organisations such as Audit.

This IAAP has been developed in line with the WG Integrated Assurance Strategy and is a live document. It will be periodically reviewed and updated and if appropriate, after each assurance review, change in scope, or risk potential of the Programme/Project. The IAAP will be maintained until the Programme/Project is closed and delivery responsibility passes to the operational business.

The milestones for governance, assurance and audit activity are based upon timescales included within the Current Portfolio and Programme Implementation Plans and will be updated should timetables change.

The Welsh Government Integrated Assurance Hub (IAH) have provided support in the completion of the IAAP and tailoring it to suit the specific needs of the Programme.

Glossary

- AB - Accountable Body
- IA - Internal Audit
- IAH - Integrated Assurance Hub
- JC - Joint Committee
- JSC - Joint Scrutiny Committee
- LAs - Local Authorities
- NAO - National Audit Office
- OGC - Gateway (0 to 5)
- PAC - Public Accounts Committee
- PAR - Programme/Project Assessment Review
- PB - Programme Board
- PMO - Programme Management Office
- PVR - Programme/Project Validation Review
- RPA - Risk Potential Assessment
- SRO - Senior Responsible Owner
- WAO - Wales Audit Office

